Appendix C

DFS01-C000455-3500000

OSC Use Only:
Reporting Code: CB
Category Code:
Date Contract Approved:

FORM A

State Consultant Services - Contractor's Planned Employment From Contract Start Date Through The End Of The Contract Term

State Agency Name: NYS Dept. of Financial Services — Agency Code: 350000
Contractor Name: Risk & Regulatory Consulting, LLC — Contract #: C000455
Contract Start Date: 6 /11 / 2018 — Contract End Date: 10 / 30/ 2018

Employment Category	Number of Employees	Number of hours to be worked	Amount Payable Under the Contract
13-2061.00 - Financial Examiners (Principal Examiner)	2	405	$ 68,850.00
13-2061.00 - Financial Examiners (Supervising Examiner)	1	475	$ 76,000.00
13-2061.00 - Financial Examiners (Senior Examiners)	3	1,213	$ 181,950.00
13-2061.00 - Financial Examiners (Senior Associate Examiner)	1	453	$ 61,155.00
13-2061.00 - Financial Examiners (Associate Examiner)	1	559	$ 72,670.00
13-2061.00 - Financial Examiners (Pharmacy Specialist)	1	400	$ 52,000.00
13-2061.00 - Financial Examiners (Pharmacy Specialist Subcontractor)	1	559	$ 72,670.00
13-2061.00 - Financial Examiners (Senior Pharmacist)	1	1,012	$ 151,800.00
13-2061.00 - Financial Examiners (Medical/Surgical/ Clinical/SU/Specialist - Subcontractor - QCP)	2	60	$ 12,000.00
13-2061.00 - Financial Examiners (Physician)	1	42	$ 6,300.00
13-2061.00 - Financial Examiners (Psychiatrists)	1	42	$ 7,350.00
13-2061.00 - Financial Examiners (Nurse)	1	140	$ 7,000.00
13-2061.00 - Financial Examiners (Data Analyst Specialist)	1	187	$ 28,050.00
13-2061.00 - Financial Examiners (Examiner support)	2	53	$ 2,650.00
Total this page		5,600	$ 800,445.00
Grand Total		5,600	$ 800,445.00

Name of person who prepared this report: LeeAnne W. Creevy
Title: Partner — Phone #: 860-655-3402
Preparer's Signature: LeeAnne W. Creevy
Date Prepared: 5 /17/ 2018
(Use additional pages, if necessary) — Page of