



Office of the NEW YORK STATE

COMPTROLLER

# Comptroller's Monthly Report on State Funds Cash Basis of Accounting

JANUARY 2021

Office of Operations

Division of Payroll, Accounting and Revenue Services

Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller

THOMAS P. DINAPOLI



STATE OF NEW YORK  
OFFICE OF OPERATIONS  
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES  
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI  
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING  
January 31, 2021

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STATE OF NEW YORK  
 GOVERNMENTAL FUNDS  
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 (amounts in millions)

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2020	10 MOS. ENDED JAN. 31, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>														
Personal Income Tax (3)	\$ 2,754.5	\$ 21,117.0	\$ 1,972.0	\$ 2,008.6	\$ 4,726.5	\$ 23,125.6	\$ -	\$ -	\$ 9,453.0	\$ 46,251.2	\$ 8,899.2	\$ 46,216.4	\$ 34.8	0.1%
Consumption/Use Taxes	663.1	6,055.6	153.4	1,489.0	597.8	5,510.1	35.1	438.4	1,449.4	13,493.1	1,546.8	15,314.2	(1,821.1)	-11.9%
Business Taxes	(29.7)	4,440.4	104.2	1,355.1	-	-	42.9	452.8	117.4	6,248.3	251.5	6,595.2	(346.9)	-5.3%
Other Taxes	294.5	1,260.2	-	-	111.2	675.4	11.9	95.3	417.6	2,030.9	189.4	1,875.7	155.2	8.3%
Miscellaneous Receipts	127.2	6,706.9	1,528.3	13,842.9	18.5	344.1	78.7	4,838.8	1,752.7	25,732.7	2,945.7	23,490.1	2,242.6	9.5%
Federal Receipts	-	0.2	5,782.7	66,364.6	-	36.9	125.9	1,673.0	5,908.6	68,074.7	5,728.8	55,464.2	12,610.5	22.7%
<b>Total Receipts</b>	<b>3,809.6</b>	<b>39,580.3</b>	<b>9,540.6</b>	<b>85,060.2</b>	<b>5,454.0</b>	<b>29,692.1</b>	<b>294.5</b>	<b>7,498.3</b>	<b>19,098.7</b>	<b>161,830.9</b>	<b>19,561.4</b>	<b>148,955.8</b>	<b>12,875.1</b>	<b>8.6%</b>
<b>DISBURSEMENTS:</b>														
Local Assistance Grants:														
Education	480.6	16,239.1	2,492.2	7,737.7	-	-	1.3	91.7	2,974.1	24,068.5	3,365.8	26,308.9	(2,240.4)	-8.5%
Environment and Recreation	0.2	0.5	0.1	2.4	-	-	36.3	143.5	36.6	146.4	233.3	385.3	(238.9)	-62.0%
General Government	10.4	815.9	22.8	4,352.4	-	-	60.4	464.1	93.6	5,632.4	87.9	1,945.8	3,686.6	189.5%
Public Health:														
Medicaid	1,007.1	12,928.1	4,408.4	42,542.8	-	-	-	-	5,415.5	55,470.9	6,153.4	55,670.9	(200.0)	-0.4%
Other Public Health	225.7	1,874.0	761.8	6,201.3	-	-	41.0	437.5	1,028.5	8,512.8	825.6	8,376.6	136.2	1.6%
Public Safety	7.6	93.5	84.8	1,638.6	-	-	3.3	45.4	95.7	1,777.5	239.2	1,455.8	321.7	22.1%
Public Welfare	154.1	1,971.6	265.8	2,797.6	-	-	16.8	488.2	436.7	5,257.4	672.8	5,995.7	(738.3)	-12.3%
Support and Regulate Business	9.0	66.2	1.6	45.4	-	-	20.8	415.7	31.4	527.3	36.4	898.9	(371.6)	-41.3%
Transportation	-	80.4	74.5	2,974.9	-	-	200.6	2,137.0	275.1	5,192.3	120.7	4,801.6	390.7	8.1%
<b>Total Local Assistance Grants</b>	<b>1,894.7</b>	<b>34,069.3</b>	<b>8,112.0</b>	<b>68,293.1</b>	<b>-</b>	<b>-</b>	<b>380.5</b>	<b>4,223.1</b>	<b>10,387.2</b>	<b>106,585.5</b>	<b>11,735.1</b>	<b>105,839.5</b>	<b>746.0</b>	<b>0.7%</b>
Departmental Operations:														
Personal Service	594.6	5,709.8	484.2	6,558.4	-	-	-	-	1,078.8	12,268.2	1,241.7	12,501.3	(233.1)	-1.9%
Non-Personal Service	219.1	1,486.3	418.5	4,327.1	0.1	24.0	-	-	637.7	5,837.4	683.3	5,626.8	210.6	3.7%
General State Charges	(277.2)	5,100.5	845.0	2,007.3	-	-	-	-	567.8	7,107.8	566.5	7,680.9	(573.1)	-7.5%
Debt Service, Including Payments on Financing Agreements	-	-	-	102.2	10.6	2,589.6	-	-	10.6	2,691.8	44.6	1,556.8	1,135.0	72.9%
Capital Projects (1)	-	-	-	2.3	-	-	453.5	5,838.5	453.5	5,840.8	551.8	5,976.8	(136.0)	-2.3%
<b>Total Disbursements</b>	<b>2,431.2</b>	<b>46,365.9</b>	<b>9,859.7</b>	<b>81,290.4</b>	<b>10.7</b>	<b>2,613.6</b>	<b>834.0</b>	<b>10,061.6</b>	<b>13,135.6</b>	<b>140,331.5</b>	<b>14,823.0</b>	<b>139,182.1</b>	<b>1,149.4</b>	<b>0.8%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>1,378.4</b>	<b>(6,785.6)</b>	<b>(319.1)</b>	<b>3,769.8</b>	<b>5,443.3</b>	<b>27,078.5</b>	<b>(539.5)</b>	<b>(2,563.3)</b>	<b>5,963.1</b>	<b>21,499.4</b>	<b>4,738.4</b>	<b>9,773.7</b>	<b>11,725.7</b>	<b>120.0%</b>
<b>OTHER FINANCING SOURCES (USES):</b>														
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds (2)	2,103.8	22,066.6	45.0	1,889.3	367.2	1,968.7	481.9	2,698.8	2,997.9	28,623.4	4,969.2	37,629.6	(9,006.2)	-23.9%
Transfers to Other Funds (2)	(701.9)	(4,892.5)	(210.6)	(1,677.3)	(2,075.1)	(21,901.4)	(11.6)	(364.5)	(2,999.2)	(28,835.7)	(4,979.9)	(37,750.3)	(8,914.6)	-23.6%
<b>Total Other Financing Sources (Uses)</b>	<b>1,401.9</b>	<b>17,174.1</b>	<b>(165.6)</b>	<b>212.0</b>	<b>(1,707.9)</b>	<b>(19,932.7)</b>	<b>470.3</b>	<b>2,334.3</b>	<b>(1.3)</b>	<b>(212.3)</b>	<b>(10.7)</b>	<b>(120.7)</b>	<b>(91.6)</b>	<b>-75.9%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>2,780.3</b>	<b>10,388.5</b>	<b>(484.7)</b>	<b>3,981.8</b>	<b>3,735.4</b>	<b>7,145.8</b>	<b>(69.2)</b>	<b>(229.0)</b>	<b>5,961.8</b>	<b>21,287.1</b>	<b>4,727.7</b>	<b>9,653.0</b>	<b>11,634.1</b>	<b>120.5%</b>
<b>Beginning Fund Balances (Deficits)</b>	<b>16,552.4</b>	<b>8,944.2</b>	<b>10,778.6</b>	<b>6,312.1</b>	<b>3,473.8</b>	<b>63.4</b>	<b>(1,194.7)</b>	<b>(1,034.9)</b>	<b>29,610.1</b>	<b>14,284.8</b>	<b>14,900.3</b>	<b>9,975.0</b>	<b>4,309.8</b>	<b>43.2%</b>
<b>Ending Fund Balances (Deficits)</b>	<b>\$ 19,332.7</b>	<b>\$ 19,332.7</b>	<b>\$ 10,293.9</b>	<b>\$ 10,293.9</b>	<b>\$ 7,209.2</b>	<b>\$ 7,209.2</b>	<b>\$ (1,263.9)</b>	<b>\$ (1,263.9)</b>	<b>\$ 35,571.9</b>	<b>\$ 35,571.9</b>	<b>\$ 19,628.0</b>	<b>\$ 19,628.0</b>	<b>\$ 15,943.9</b>	<b>81.2%</b>

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS-STATE OPERATING (\*)  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)**

**EXHIBIT A  
SUPPLEMENTAL**

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	\$ Increase/ (Decrease)	% Increase/ Decrease
	JAN. 2021	JAN. 31, 2021	JAN. 2021	JAN. 31, 2021	JAN. 2021	JAN. 31, 2021	JAN. 2021	JAN. 31, 2021	JAN. 2020	JAN. 31, 2020		
<b>RECEIPTS:</b>												
Personal Income Tax (3)	\$ 2,754.5	\$ 21,117.0	\$ 1,972.0	\$ 2,008.6	\$ 4,726.5	\$ 23,125.6	\$ 9,453.0	\$ 46,251.2	\$ 8,899.2	\$ 46,216.4	\$ 34.8	0.1%
Consumption/Use Taxes	663.1	6,055.6	153.4	1,489.0	597.8	5,510.1	1,414.3	13,054.7	1,501.1	14,776.4	(1,721.7)	-11.7%
Business Taxes	(29.7)	4,440.4	104.2	1,355.1	-	-	74.5	5,795.5	196.6	6,025.5	(230.0)	-3.8%
Other Taxes	294.5	1,260.2	-	-	111.2	675.4	405.7	1,935.6	177.5	1,780.4	155.2	8.7%
Miscellaneous Receipts	127.2	6,706.9	1,518.5	13,684.3	18.5	344.1	1,664.2	20,735.3	2,313.6	18,793.8	1,941.5	10.3%
Federal Receipts	-	0.2	-	7.2	-	36.9	-	44.3	(25.3)	29.7	14.6	49.2%
<b>Total Receipts</b>	<b>3,809.6</b>	<b>39,580.3</b>	<b>3,748.1</b>	<b>18,544.2</b>	<b>5,454.0</b>	<b>29,692.1</b>	<b>13,011.7</b>	<b>87,816.6</b>	<b>13,062.7</b>	<b>87,622.2</b>	<b>194.4</b>	<b>0.2%</b>
<b>DISBURSEMENTS:</b>												
Local Assistance Grants:												
Education	480.6	16,239.1	2,128.4	5,222.8	-	-	2,609.0	21,461.9	3,045.8	23,183.9	(1,722.0)	-7.4%
Environment and Recreation	0.2	0.5	-	1.8	-	-	0.2	2.3	0.7	4.5	(2.2)	-48.9%
General Government	10.4	815.9	21.6	133.2	-	-	32.0	949.1	30.8	1,137.3	(188.2)	-16.5%
Public Health:												
Medicaid	1,007.1	12,928.1	462.0	4,632.7	-	-	1,469.1	17,560.8	2,245.7	21,545.3	(3,984.5)	-18.5%
Other Public Health	225.7	1,874.0	114.5	729.0	-	-	340.2	2,603.0	223.6	2,636.1	(33.1)	-1.3%
Public Safety	7.6	93.5	9.3	117.4	-	-	16.9	210.9	(19.8)	255.3	(44.4)	-17.4%
Public Welfare	154.1	1,971.6	(1.3)	0.6	-	-	152.8	1,972.2	171.1	2,011.0	(38.8)	-1.9%
Support and Regulate Business	9.0	66.2	1.0	38.6	-	-	10.0	104.8	4.7	159.6	(54.8)	-34.3%
Transportation	-	80.4	70.4	2,923.0	-	-	70.4	3,003.4	62.5	3,344.8	(341.4)	-10.2%
<b>Total Local Assistance Grants</b>	<b>1,894.7</b>	<b>34,069.3</b>	<b>2,805.9</b>	<b>13,799.1</b>	<b>-</b>	<b>-</b>	<b>4,700.6</b>	<b>47,868.4</b>	<b>5,765.1</b>	<b>54,277.8</b>	<b>(6,409.4)</b>	<b>-11.8%</b>
Departmental Operations:												
Personal Service	594.6	5,709.8	369.3	4,202.3	-	-	963.9	9,912.1	1,193.1	11,964.2	(2,052.1)	-17.2%
Non-Personal Service	219.1	1,486.3	237.3	2,087.6	0.1	24.0	456.5	3,597.9	540.4	4,526.2	(928.3)	-20.5%
General State Charges	(277.2)	5,100.5	59.7	738.4	-	-	(217.5)	5,838.9	529.8	7,402.4	(1,563.5)	-21.1%
Debt Service, Including Payments on												
Financing Agreements	-	-	-	-	10.6	2,589.6	10.6	2,589.6	44.6	1,556.8	1,032.8	66.3%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	0.0%
<b>Total Disbursements</b>	<b>2,431.2</b>	<b>46,365.9</b>	<b>3,472.2</b>	<b>20,827.4</b>	<b>10.7</b>	<b>2,613.6</b>	<b>5,914.1</b>	<b>69,806.9</b>	<b>8,073.0</b>	<b>79,727.4</b>	<b>(9,920.5)</b>	<b>-12.4%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>1,378.4</b>	<b>(6,785.6)</b>	<b>275.9</b>	<b>(2,283.2)</b>	<b>5,443.3</b>	<b>27,078.5</b>	<b>7,097.6</b>	<b>18,009.7</b>	<b>4,989.7</b>	<b>7,894.8</b>	<b>10,114.9</b>	<b>128.1%</b>
<b>OTHER FINANCING SOURCES (USES):</b>												
Transfers from Other Funds (2)	2,103.8	22,066.6	63.9	2,458.7	367.2	1,968.7	2,534.9	26,494.0	4,658.8	34,398.6	(7,904.6)	-23.0%
Transfers to Other Funds (2)	(701.9)	(4,892.5)	(38.5)	(271.6)	(2,075.1)	(21,901.4)	(2,815.5)	(27,065.5)	(4,662.6)	(35,645.6)	(8,580.1)	-24.1%
<b>Total Other Financing Sources (Uses)</b>	<b>1,401.9</b>	<b>17,174.1</b>	<b>25.4</b>	<b>2,187.1</b>	<b>(1,707.9)</b>	<b>(19,932.7)</b>	<b>(280.6)</b>	<b>(571.5)</b>	<b>(3.8)</b>	<b>(1,247.0)</b>	<b>675.5</b>	<b>54.2%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>2,780.3</b>	<b>10,388.5</b>	<b>301.3</b>	<b>(96.1)</b>	<b>3,735.4</b>	<b>7,145.8</b>	<b>6,817.0</b>	<b>17,438.2</b>	<b>4,985.9</b>	<b>6,647.8</b>	<b>10,790.4</b>	<b>162.3%</b>
<b>Beginning Fund Balances (Deficits)</b>	<b>16,552.4</b>	<b>8,944.2</b>	<b>5,003.3</b>	<b>5,400.7</b>	<b>3,473.8</b>	<b>63.4</b>	<b>25,029.5</b>	<b>14,408.3</b>	<b>14,023.2</b>	<b>12,361.3</b>	<b>2,047.0</b>	<b>16.6%</b>
<b>Ending Fund Balances (Deficits)</b>	<b>\$ 19,332.7</b>	<b>\$ 19,332.7</b>	<b>\$ 5,304.6</b>	<b>\$ 5,304.6</b>	<b>\$ 7,209.2</b>	<b>\$ 7,209.2</b>	<b>\$ 31,846.5</b>	<b>\$ 31,846.5</b>	<b>\$ 19,009.1</b>	<b>\$ 19,009.1</b>	<b>\$ 12,837.4</b>	<b>67.5%</b>

(\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(\*\*) Eliminations between Special Revenue - State and Federal Funds are not included.

**GOVERNMENTAL FUNDS FOOTNOTES**

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$215.0 million
Urban Development Corporation (Youth Facilities)	13.0
Housing Finance Agency (HFA)	270.1
Housing Assistance Fund	12.9
Dormitory Authority (Mental Hygiene)	470.1
Dormitory Authority and State University Income Fund	433.3
Federal Capital Projects	339.2
State bond and note proceeds	203.0

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

**General Fund** "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$2,232.7 million
General Debt Service Fund	339.2
Banking Services Account	26.6
Building Administration Account	8.0
Business Services Center	26.9
Centralized Tech Services	11.5
Court Facilities Incentive Aid Fund	90.6
Dedicated Highway & Bridge Trust Fund	49.5
Dedicated Infrastructure Investment Fund	363.0
Dedicated Mass Transportation - Railroad Account	6.6
Dedicated Mass Transportation - Transit Authority Account	36.7
Dedicated Mass Transportation - (Non MTA)	3.8
Entertainment Diversity Job Training and Development	1.5
Environmental Protection Fund	19.8
Health Insurance Revolving Fund	12.0
Indigent Legal Services	1.2
Mass Transportation Operating Assistance Fund	27.8
Mass Transportation Financial Assistance	244.3
New York Central Business District Trust Fund	125.0
New York City County Clerks' Operations Offset	2.8
Recruitment Incentive Account	2.1
State Fair Receipts	3.0
State University Income Fund	994.1

Also included in the General Fund are transfers representing payments for patients residing in State-operated health, mental hygiene and State University facilities to Debt Service funds (\$7.1m), and the State University Income Fund (\$256.5m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of January 31, 2021 - pursuant to a certification of the Budget Director - the reserve amount is (\$185.8m), which was funded by a transfer from the General Fund.

**Special Revenue Funds** "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$1,311.2m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Service Fund (\$4.9m), Medicaid Management Information System Escrow Fund (\$122.3m), SUNY Capital Projects Fund (\$1.8m), and All Other Capital Projects (\$32.0m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Business & Licensing Services Account	\$3.0 million
Clean Air	2.1
Encon Special Revenue	4.7
Federal Department of Health Services Fund	57.7
Federal Education Fund	1.0
Federal Operating Grants Fund	5.3
Federal USDA/Food and Nutrition Services Fund	8.7
HESC Insurance Premium Account	5.3
Mass Transportation Operating Assistance Fund	1.3
Miscellaneous State Special Revenue Fund	2.0
Patron Services Account	1.6
Public Service Account	3.6
State Lottery Fund	4.6
State Police Motor Vehicle Law Enforcement Fund	37.5
SUNY Income Fund	22.4
System and Technology Account	2.6
Unemployment Insurance Administration Fund	16.2
Unemployment Insurance Interest & Penalty Account	11.4
Workers' Compensation Board Account	7.3

**Debt Service Funds** "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$15,595.7 million
Local Government Assistance Tax Fund	2,755.0
Sales Tax Revenue Bond Tax Fund	1,716.2
Clean Water/Clean Air Fund	633.2
Mental Health Services Fund	1,105.1

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$96.2m).

**Capital Projects Funds** "Transfers To Other Funds" includes transfers to the General Fund (\$58.4m), the General Debt Service Fund - Lease Purchase (\$104.2m), and the Revenue Bond Tax Fund (\$201.9m).

3. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$2,008.6m) as of January 31, 2021.

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2020	10 MOS. ENDED JAN. 31, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>										
Miscellaneous Receipts	\$ 9.6	\$ 58.0	\$ 33.0	\$ 329.7	\$ 42.6	\$ 387.7	\$ 68.3	\$ 540.4	\$ (152.7)	-28.3%
Federal Receipts	4,910.9	45,943.8	-	-	4,910.9	45,943.8	1.0	14.7	45,929.1	312,442.9%
Unemployment Taxes	499.8	14,144.6	-	-	499.8	14,144.6	227.7	1,704.7	12,439.9	729.7%
<b>Total Receipts</b>	<b>5,420.3</b>	<b>60,146.4</b>	<b>33.0</b>	<b>329.7</b>	<b>5,453.3</b>	<b>60,476.1</b>	<b>297.0</b>	<b>2,259.8</b>	<b>58,216.3</b>	<b>2,576.2%</b>
<b>DISBURSEMENTS:</b>										
Departmental Operations:										
Personal Service	0.7	11.1	9.4	113.6	10.1	124.7	11.7	121.6	3.1	2.5%
Non-Personal Service	3.6	44.0	6.5	366.7	10.1	410.7	31.8	389.5	21.2	5.4%
General State Charges	-	1.4	4.5	52.0	4.5	53.4	7.4	49.5	3.9	7.9%
Unemployment Benefits	5,411.4	60,079.6	-	-	5,411.4	60,079.6	228.4	1,720.5	58,359.1	3,392.0%
<b>Total Disbursements</b>	<b>5,415.7</b>	<b>60,136.1</b>	<b>20.4</b>	<b>532.3</b>	<b>5,436.1</b>	<b>60,668.4</b>	<b>279.3</b>	<b>2,281.1</b>	<b>58,387.3</b>	<b>2,559.6%</b>
<b>Excess (Deficiency) of Receipts Over Disbursements</b>	<b>4.6</b>	<b>10.3</b>	<b>12.6</b>	<b>(202.6)</b>	<b>17.2</b>	<b>(192.3)</b>	<b>17.7</b>	<b>(21.3)</b>	<b>(171.0)</b>	<b>-802.8%</b>
<b>OTHER FINANCING SOURCES (USES):</b>										
Transfers from Other Funds	-	3.0	1.4	89.9	1.4	92.9	10.7	67.7	25.2	37.2%
Transfers to Other Funds	-	-	-	(3.3)	-	(3.3)	-	(4.3)	(1.0)	-23.3%
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>3.0</b>	<b>1.4</b>	<b>86.6</b>	<b>1.4</b>	<b>89.6</b>	<b>10.7</b>	<b>63.4</b>	<b>26.2</b>	<b>41.3%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>4.6</b>	<b>13.3</b>	<b>14.0</b>	<b>(116.0)</b>	<b>18.6</b>	<b>(102.7)</b>	<b>28.4</b>	<b>42.1</b>	<b>(144.8)</b>	<b>-343.9%</b>
<b>Beginning Fund Balances (Deficits)</b>	<b>38.4</b>	<b>29.7</b>	<b>(427.5)</b>	<b>(297.5)</b>	<b>(389.1)</b>	<b>(267.8)</b>	<b>(262.4)</b>	<b>(276.1)</b>	<b>8.3</b>	<b>3.0%</b>
<b>Ending Fund Balances (Deficits)</b>	<b>\$ 43.0</b>	<b>\$ 43.0</b>	<b>\$ (413.5)</b>	<b>\$ (413.5)</b>	<b>\$ (370.5)</b>	<b>\$ (370.5)</b>	<b>\$ (234.0)</b>	<b>\$ (234.0)</b>	<b>\$ (136.5)</b>	<b>-58.3%</b>

## STATE OF NEW YORK

## TRUST FUNDS

## COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES

(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2021	10 MOS. ENDED JAN. 31, 2021	MONTH OF JAN. 2020	10 MOS. ENDED JAN. 31, 2020	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>										
Miscellaneous Receipts	\$ 5.6	\$ 100.6	\$ 0.1	\$ 0.3	\$ 5.7	\$ 100.9	\$ 5.3	\$ 119.3	\$ (18.4)	-15.4%
<b>Total Receipts</b>	<b>5.6</b>	<b>100.6</b>	<b>0.1</b>	<b>0.3</b>	<b>5.7</b>	<b>100.9</b>	<b>5.3</b>	<b>119.3</b>	<b>(18.4)</b>	<b>-15.4%</b>
<b>DISBURSEMENTS:</b>										
Departmental Operations:										
Personal Service	5.5	60.9	-	0.2	5.5	61.1	5.1	57.4	3.7	6.4%
Non-Personal Service	2.2	10.9	-	-	2.2	10.9	1.4	30.7	(19.8)	-64.5%
General State Charges	3.4	34.5	-	0.1	3.4	34.6	5.0	33.4	1.2	3.6%
<b>Total Disbursements</b>	<b>11.1</b>	<b>106.3</b>	<b>-</b>	<b>0.3</b>	<b>11.1</b>	<b>106.6</b>	<b>11.5</b>	<b>121.5</b>	<b>(14.9)</b>	<b>-12.3%</b>
<b>Excess (Deficiency) of Receipts Over Disbursements</b>	<b>(5.5)</b>	<b>(5.7)</b>	<b>0.1</b>	<b>-</b>	<b>(5.4)</b>	<b>(5.7)</b>	<b>(6.2)</b>	<b>(2.2)</b>	<b>(3.5)</b>	<b>-159.1%</b>
<b>OTHER FINANCING SOURCES (USES):</b>										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.0%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(5.5)</b>	<b>(5.7)</b>	<b>0.1</b>	<b>-</b>	<b>(5.4)</b>	<b>(5.7)</b>	<b>(6.2)</b>	<b>(2.2)</b>	<b>(3.5)</b>	<b>-159.1%</b>
<b>Beginning Fund Balances (Deficits)</b>	<b>(1.3)</b>	<b>(1.1)</b>	<b>14.2</b>	<b>14.3</b>	<b>12.9</b>	<b>13.2</b>	<b>14.2</b>	<b>10.2</b>	<b>3.0</b>	<b>29.4%</b>
<b>Ending Fund Balances (Deficits)</b>	<b>\$ (6.8)</b>	<b>\$ (6.8)</b>	<b>\$ 14.3</b>	<b>\$ 14.3</b>	<b>\$ 7.5</b>	<b>\$ 7.5</b>	<b>\$ 8.0</b>	<b>\$ 8.0</b>	<b>\$ (0.5)</b>	<b>-6.3%</b>

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 FISCAL YEAR 2020-2021  
 FOR TEN MONTHS ENDED JANUARY 31, 2021  
 (amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>					
Taxes:					
Personal Income	\$ 42,554.0	\$ 44,830.0	\$ 46,251.2	\$ 3,697.2	\$ 1,421.2
Consumption/Use	12,937.0	13,455.0	13,493.1	556.1	38.1
Business	6,436.0	6,117.0	6,248.3	(187.7)	131.3
Other	1,730.0	1,954.0	2,030.9	300.9	76.9
Miscellaneous Receipts	26,178.0	25,912.0	25,732.7	(445.3)	(179.3)
Federal Receipts	57,239.0	68,291.0	68,074.7	10,835.7	(216.3)
<b>Total Receipts</b>	<b>147,074.0</b>	<b>160,559.0</b>	<b>161,830.9</b>	<b>14,756.9</b>	<b>1,271.9</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants	103,057.0	107,320.0	106,585.5	3,528.5	(734.5)
Departmental Operations	17,624.0	18,097.0	18,105.6	481.6	8.6
General State Charges	7,321.0	6,962.0	7,107.8	(213.2)	145.8
Debt Service	1,742.0	2,692.0	2,691.8	949.8	(0.2)
Capital Projects	8,067.0	6,272.0	5,840.8	(2,226.2)	(431.2)
<b>Total Disbursements</b>	<b>137,811.0</b>	<b>141,343.0</b>	<b>140,331.5</b>	<b>2,520.5</b>	<b>(1,011.5)</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>9,263.0</b>	<b>19,216.0</b>	<b>21,499.4</b>	<b>12,236.4</b>	<b>2,283.4</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	28,344.0	28,843.0	28,623.4	279.4	(219.6)
Transfers to Other Funds	(28,445.0)	(29,060.0)	(28,835.7)	390.7	(224.3)
<b>Total Other Financing Sources (Uses)</b>	<b>(101.0)</b>	<b>(217.0)</b>	<b>(212.3)</b>	<b>(111.3)</b>	<b>4.7</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>9,162.0</b>	<b>18,999.0</b>	<b>21,287.1</b>	<b>12,125.1</b>	<b>2,288.1</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>14,284.0</b>	<b>14,283.0</b>	<b>14,284.8</b>	<b>0.8</b>	<b>1.8</b>
<b>Fund Balances (Deficits) at January 31, 2021</b>	<b>\$ 23,446.0</b>	<b>\$ 33,282.0</b>	<b>\$ 35,571.9</b>	<b>\$ 12,125.9</b>	<b>\$ 2,289.9</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2021-22 Executive Budget dated January 19, 2021.



STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 FISCAL YEAR 2020-2021  
 FOR TEN MONTHS ENDED JANUARY 31, 2021  
 (amounts in millions)

EXHIBIT D

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>					
Taxes:					
Personal Income	\$ 42,554.0	\$ 44,830.0	\$ 46,251.2	\$ 3,697.2	\$ 1,421.2
Consumption/Use	12,479.0	13,014.0	13,054.7	575.7	40.7
Business	5,958.0	5,656.0	5,795.5	(162.5)	139.5
Other	1,634.0	1,859.0	1,935.6	301.6	76.6
Miscellaneous Receipts	19,574.0	20,751.0	20,735.3	1,161.3	(15.7)
Federal Receipts	12.0	20.0	44.3	32.3	24.3
<b>Total Receipts</b>	<b>82,211.0</b>	<b>86,130.0</b>	<b>87,816.6</b>	<b>5,605.6</b>	<b>1,686.6</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants	49,399.0	48,576.0	47,868.4	(1,530.6)	(707.6)
Departmental Operations	15,878.0	13,625.0	13,510.0	(2,368.0)	(115.0)
General State Charges	7,035.0	5,719.0	5,838.9	(1,196.1)	119.9
Debt Service	1,609.0	2,590.0	2,589.6	980.6	(0.4)
Capital Projects	-	-	-	-	-
<b>Total Disbursements</b>	<b>73,921.0</b>	<b>70,510.0</b>	<b>69,806.9</b>	<b>(4,114.1)</b>	<b>(703.1)</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>8,290.0</b>	<b>15,620.0</b>	<b>18,009.7</b>	<b>9,719.7</b>	<b>2,389.7</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Transfers from Other Funds	26,211.0	25,957.0	26,494.0 (****)	283.0	537.0
Transfers to Other Funds	(26,585.0)	(26,770.0)	(27,065.5) (****)	480.5	295.5
<b>Total Other Financing Sources (Uses)</b>	<b>(374.0)</b>	<b>(813.0)</b>	<b>(571.5)</b>	<b>(197.5)</b>	<b>241.5</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>7,916.0</b>	<b>14,807.0</b>	<b>17,438.2</b>	<b>9,522.2</b>	<b>2,631.2</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>14,408.0</b>	<b>14,407.0</b>	<b>14,408.3</b>	<b>0.3</b>	<b>1.3</b>
<b>Fund Balances (Deficits) at January 31, 2021</b>	<b>\$ 22,324.0</b>	<b>\$ 29,214.0</b>	<b>\$ 31,846.5</b>	<b>\$ 9,522.5</b>	<b>\$ 2,632.5</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2021-22 Executive Budget dated January 19, 2021.

(\*\*\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(\*\*\*\*) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 FISCAL YEAR 2020-2021  
 FOR TEN MONTHS ENDED JANUARY 31, 2021  
 (amounts in millions)

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>					
Taxes:					
Personal Income	\$ 19,214.0	\$ 20,406.0	\$ 21,117.0	\$ 1,903.0	\$ 711.0
Consumption/Use	5,795.0	6,038.0	6,055.6	260.6	17.6
Business	4,566.0	4,358.0	4,440.4	(125.6)	82.4
Other	964.0	1,217.0	1,260.2	296.2	43.2
Miscellaneous Receipts	5,925.0	6,656.0	6,706.9	781.9	50.9
Federal Receipts	-	-	0.2	0.2	0.2
Transfers From:					
PIT / ECET in excess of Revenue Bond Debt Service	14,506.0	14,795.0	15,595.7	1,089.7	800.7
Sales Tax in excess of LGAC / STRBF Debt Service	4,170.0	4,452.0	4,471.2	301.2	19.2
Real Estate Taxes in excess of CW/CA Debt Service	630.0	600.0	633.2	3.2	33.2
All Other	1,163.0	1,721.0	1,366.5	203.5	(354.5)
<b>Total Receipts and Other Financing Sources</b>	<b>56,933.0</b>	<b>60,243.0</b>	<b>61,646.9</b>	<b>4,713.9</b>	<b>1,403.9</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants	35,563.0	34,798.0	34,069.3	(1,493.7)	(728.7)
Departmental Operations	9,587.0	7,256.0	7,196.1	(2,390.9)	(59.9)
General State Charges	6,147.0	4,951.0	5,100.5	(1,046.5)	149.5
Transfers To:					
Debt Service	1,840.0	341.0	339.2	(1,500.8)	(1.8)
Capital Projects	2,057.0	2,850.0	2,665.0	608.0	(185.0)
State Share Medicaid	-	-	263.6 (***)	263.6	263.6
SUNY Operations	1,223.0	1,004.0	994.1	(228.9)	(9.9)
Other Purposes	896.0	892.0	630.6	(265.4)	(261.4)
<b>Total Disbursements and Other Financing Uses</b>	<b>57,313.0</b>	<b>52,092.0</b>	<b>51,258.4</b>	<b>(6,054.6)</b>	<b>(833.6)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(380.0)</b>	<b>8,151.0</b>	<b>10,388.5</b>	<b>10,768.5</b>	<b>2,237.5</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>8,944.0</b>	<b>8,944.0</b>	<b>8,944.2</b>	<b>0.2</b>	<b>0.2</b>
<b>Fund Balances (Deficits) at January 31, 2021</b>	<b>\$ 8,564.0</b>	<b>\$ 17,095.0</b>	<b>\$ 19,332.7</b>	<b>\$ 10,768.7</b>	<b>\$ 2,237.7</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2021-22 Executive Budget dated January 19, 2021.

(\*\*\*) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 FISCAL YEAR 2020-2021  
 FOR TEN MONTHS ENDED JANUARY 31, 2021  
 (amounts in millions)

EXHIBIT D

SPECIAL REVENUE FUNDS							
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>							
Taxes:							
Personal Income	\$ 2,064.0	\$ 2,009.0	\$ 2,008.6	\$ -	\$ 2,008.6	\$ (55.4)	\$ (0.4)
Consumption/Use	1,459.0	1,482.0	1,489.0	-	1,489.0	30.0	7.0
Business	1,392.0	1,298.0	1,355.1	-	1,355.1	(36.9)	57.1
Miscellaneous Receipts	13,470.0	13,912.0	13,842.9	-	13,842.9	372.9	(69.1)
Federal Receipts	55,438.0	66,538.0	66,364.6	-	66,364.6	10,926.6	(173.4)
Transfers from Other Funds (***)	2,514.0	2,463.0	2,458.7	(569.4)	1,889.3	(624.7)	(573.7)
<b>Total Receipts and Other Financing Sources</b>	<b>76,337.0</b>	<b>87,702.0</b>	<b>87,518.9</b>	<b>(569.4)</b>	<b>86,949.5</b>	<b>10,612.5</b>	<b>(752.5)</b>
<b>DISBURSEMENTS:</b>							
Local Assistance Grants	63,500.0	68,162.0	68,293.1	-	68,293.1	4,793.1	131.1
Departmental Operations	8,015.0	10,817.0	10,885.5	-	10,885.5	2,870.5	68.5
General State Charges	1,174.0	2,011.0	2,007.3	-	2,007.3	833.3	(3.7)
Debt Service	133.0	102.0	102.2	-	102.2	(30.8)	0.2
Capital Projects	-	2.0	2.3	-	2.3	2.3	0.3
Transfers to Other Funds (***)	1,720.0	2,207.0	2,246.7	(569.4)	1,677.3	(42.7)	(529.7)
<b>Total Disbursements and Other Financing Uses</b>	<b>74,542.0</b>	<b>83,301.0</b>	<b>83,537.1</b>	<b>(569.4)</b>	<b>82,967.7</b>	<b>8,425.7</b>	<b>(333.3)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>1,795.0</b>	<b>4,401.0</b>	<b>3,981.8</b>	<b>-</b>	<b>3,981.8</b>	<b>2,186.8</b>	<b>(419.2)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>6,312.0</b>	<b>6,311.0</b>	<b>6,312.1</b>	<b>-</b>	<b>6,312.1</b>	<b>0.1</b>	<b>1.1</b>
<b>Fund Balances (Deficits) at January 31, 2021</b>	<b>\$ 8,107.0</b>	<b>\$ 10,712.0</b>	<b>\$ 10,293.9</b>	<b>\$ -</b>	<b>\$ 10,293.9</b>	<b>\$ 2,186.9</b>	<b>\$ (418.1)</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2021-22 Executive Budget dated January 19, 2021.

(\*\*\*) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
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 (amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>										
Taxes:										
Personal Income	\$ 2,064.0	\$ 2,009.0	\$ 2,008.6	\$ (55.4)	\$ (0.4)	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	1,459.0	1,482.0	1,489.0	30.0	7.0	-	-	-	-	-
Business	1,392.0	1,298.0	1,355.1	(36.9)	57.1	-	-	-	-	-
Miscellaneous Receipts	13,332.0	13,757.0	13,684.3	352.3	(72.7)	138.0	155.0	158.6	20.6	3.6
Federal Receipts	(24.0)	(17.0)	7.2	31.2	24.2	55,462.0	66,555.0	66,357.4	10,895.4	(197.6)
Transfers from Other Funds	2,514.0	2,463.0	2,458.7	(55.3)	(4.3)	-	-	-	-	-
<b>Total Receipts and Other Financing Sources</b>	<b>20,737.0</b>	<b>20,992.0</b>	<b>21,002.9</b>	<b>265.9</b>	<b>10.9</b>	<b>55,600.0</b>	<b>66,710.0</b>	<b>66,516.0</b>	<b>10,916.0</b>	<b>(194.0)</b>
<b>DISBURSEMENTS:</b>										
Local Assistance Grants	13,836.0	13,778.0	13,799.1	(36.9)	21.1	49,664.0	54,384.0	54,494.0	4,830.0	110.0
Departmental Operations	6,269.0	6,345.0	6,289.9	20.9	(55.1)	1,746.0	4,472.0	4,595.6	2,849.6	123.6
General State Charges	888.0	768.0	738.4	(149.6)	(29.6)	286.0	1,243.0	1,268.9	982.9	25.9
Debt Service	-	-	-	-	-	133.0	102.0	102.2	(30.8)	0.2
Capital Projects	-	-	-	-	-	-	2.0	2.3	2.3	0.3
Transfers to Other Funds	189.0	281.0	271.6	82.6	(9.4)	1,531.0	1,926.0	1,975.1	444.1	49.1
<b>Total Disbursements and Other Financing Uses</b>	<b>21,182.0</b>	<b>21,172.0</b>	<b>21,099.0</b>	<b>(83.0)</b>	<b>(73.0)</b>	<b>53,360.0</b>	<b>62,129.0</b>	<b>62,438.1</b>	<b>9,078.1</b>	<b>309.1</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(445.0)</b>	<b>(180.0)</b>	<b>(96.1)</b>	<b>348.9</b>	<b>83.9</b>	<b>2,240.0</b>	<b>4,581.0</b>	<b>4,077.9</b>	<b>1,837.9</b>	<b>(503.1)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>5,401.0</b>	<b>5,400.0</b>	<b>5,400.7</b>	<b>(0.3)</b>	<b>0.7</b>	<b>911.0</b>	<b>911.0</b>	<b>911.4</b>	<b>0.4</b>	<b>0.4</b>
<b>Fund Balances (Deficits) at January 31, 2021</b>	<b>\$ 4,956.0</b>	<b>\$ 5,220.0</b>	<b>\$ 5,304.6</b>	<b>\$ 348.6</b>	<b>\$ 84.6</b>	<b>\$ 3,151.0</b>	<b>\$ 5,492.0</b>	<b>\$ 4,989.3</b>	<b>\$ 1,838.3</b>	<b>\$ (502.7)</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2021-22 Executive Budget dated January 19, 2021.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
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 (amounts in millions)

EXHIBIT D

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>					
Taxes:					
Personal Income	\$ 21,276.0	\$ 22,415.0	\$ 23,125.6	\$ 1,849.6	\$ 710.6
Consumption/Use	5,225.0	5,494.0	5,510.1	285.1	16.1
Other	670.0	642.0	675.4	5.4	33.4
Miscellaneous Receipts	317.0	338.0	344.1	27.1	6.1
Federal Receipts	36.0	37.0	36.9	0.9	(0.1)
Transfers from Other Funds	3,228.0	1,926.0	1,968.7	(1,259.3)	42.7
<b>Total Receipts and Other Financing Sources</b>	<b>30,752.0</b>	<b>30,852.0</b>	<b>31,660.8</b>	<b>908.8</b>	<b>808.8</b>
<b>DISBURSEMENTS:</b>					
Departmental Operations	22.0	24.0	24.0	2.0	-
Debt Service	1,609.0	2,590.0	2,589.6	980.6	(0.4)
Transfers to Other Funds	20,380.0	21,402.0	21,901.4	1,521.4	499.4
<b>Total Disbursements and Other Financing Uses</b>	<b>22,011.0</b>	<b>24,016.0</b>	<b>24,515.0</b>	<b>2,504.0</b>	<b>499.0</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>8,741.0</b>	<b>6,836.0</b>	<b>7,145.8</b>	<b>(1,595.2)</b>	<b>309.8</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>63.0</b>	<b>63.0</b>	<b>63.4</b>	<b>0.4</b>	<b>0.4</b>
<b>Fund Balances (Deficits) at January 31, 2021</b>	<b>\$ 8,804.0</b>	<b>\$ 6,899.0</b>	<b>\$ 7,209.2</b>	<b>\$ (1,594.8)</b>	<b>\$ 310.2</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2021-22 Executive Budget dated January 19, 2021.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
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 (amounts in millions)

EXHIBIT D

CAPITAL PROJECTS FUNDS							
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>							
Taxes:							
Consumption/Use	\$ 458.0	\$ 441.0	\$ 438.4	\$ -	\$ 438.4	\$ (19.6)	\$ (2.6)
Business	478.0	461.0	452.8	-	452.8	(25.2)	(8.2)
Other	96.0	95.0	95.3	-	95.3	(0.7)	0.3
Miscellaneous Receipts	6,466.0	5,006.0	4,838.8	-	4,838.8	(1,627.2)	(167.2)
Federal Receipts	1,765.0	1,716.0	1,673.0	-	1,673.0	(92.0)	(43.0)
Bond and Note Proceeds, net	-	-	-	-	-	-	-
Transfers from Other Funds	2,133.0	2,886.0	2,698.8	-	2,698.8	565.8	(187.2)
<b>Total Receipts and Other Financing Sources</b>	<b>11,396.0</b>	<b>10,605.0</b>	<b>10,197.1</b>	<b>-</b>	<b>10,197.1</b>	<b>(1,198.9)</b>	<b>(407.9)</b>
<b>DISBURSEMENTS:</b>							
Local Assistance Grants	3,994.0	4,360.0	4,223.1	-	4,223.1	229.1	(136.9)
Capital Projects	8,067.0	6,270.0	5,838.5	-	5,838.5	(2,228.5)	(431.5)
Transfers to Other Funds	329.0	364.0	364.5	-	364.5	35.5	0.5
<b>Total Disbursements and Other Financing Uses</b>	<b>12,390.0</b>	<b>10,994.0</b>	<b>10,426.1</b>	<b>-</b>	<b>10,426.1</b>	<b>(1,963.9)</b>	<b>(567.9)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(994.0)</b>	<b>(389.0)</b>	<b>(229.0)</b>	<b>-</b>	<b>(229.0)</b>	<b>765.0</b>	<b>160.0</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>(1,035.0)</b>	<b>(1,035.0)</b>	<b>(1,034.9)</b>	<b>-</b>	<b>(1,034.9)</b>	<b>0.1</b>	<b>0.1</b>
<b>Fund Balances (Deficits) at January 31, 2021</b>	<b>\$ (2,029.0)</b>	<b>\$ (1,424.0)</b>	<b>\$ (1,263.9)</b>	<b>\$ -</b>	<b>\$ (1,263.9)</b>	<b>\$ 765.1</b>	<b>\$ 160.1</b>

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.

(\*\*) Source: 2021-22 Executive Budget dated January 19, 2021.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 FISCAL YEAR 2020-2021  
 FOR TEN MONTHS ENDED JANUARY 31, 2021  
 (amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>										
Taxes:										
Consumption/Use	\$ 458.0	\$ 441.0	\$ 438.4	\$ (19.6)	\$ (2.6)	\$ -	\$ -	\$ -	\$ -	\$ -
Business	478.0	461.0	452.8	(25.2)	(8.2)	-	-	-	-	-
Other	96.0	95.0	95.3	(0.7)	0.3	-	-	-	-	-
Miscellaneous Receipts	6,466.0	5,005.0	4,838.0	(1,628.0)	(167.0)	-	1.0	0.8	0.8	(0.2)
Federal Receipts	2.0	2.0	2.1	0.1	0.1	1,763.0	1,714.0	1,670.9	(92.1)	(43.1)
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	2,302.0	2,886.0	2,698.8	396.8	(187.2)	(169.0)	-	-	169.0	-
<b>Total Receipts and Other Financing Sources</b>	<b>9,802.0</b>	<b>8,890.0</b>	<b>8,525.4</b>	<b>(1,276.6)</b>	<b>(364.6)</b>	<b>1,594.0</b>	<b>1,715.0</b>	<b>1,671.7</b>	<b>77.7</b>	<b>(43.3)</b>
<b>DISBURSEMENTS:</b>										
Local Assistance Grants	3,421.0	3,882.0	3,771.9	350.9	(110.1)	573.0	478.0	451.2	(121.8)	(26.8)
Capital Projects	7,075.0	5,302.0	4,841.5	(2,233.5)	(460.5)	992.0	968.0	997.0	5.0	29.0
Transfers to Other Funds	330.0	364.0	364.5	34.5	0.5	(1.0)	-	-	1.0	-
<b>Total Disbursements and Other Financing Uses</b>	<b>10,826.0</b>	<b>9,548.0</b>	<b>8,977.9</b>	<b>(1,848.1)</b>	<b>(570.1)</b>	<b>1,564.0</b>	<b>1,446.0</b>	<b>1,448.2</b>	<b>(115.8)</b>	<b>2.2</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(1,024.0)</b>	<b>(658.0)</b>	<b>(452.5)</b>	<b>571.5</b>	<b>205.5</b>	<b>30.0</b>	<b>269.0</b>	<b>223.5</b>	<b>193.5</b>	<b>(45.5)</b>
Fund Balances (Deficits) at April 1	(471.0)	(471.0)	(472.2)	(1.2)	(1.2)	(564.0)	(564.0)	(562.7)	1.3	1.3
Fund Balances (Deficits) at January 31, 2021	\$ (1,495.0)	\$ (1,129.0)	\$ (924.7)	\$ 570.3	\$ 204.3	\$ (534.0)	\$ (295.0)	\$ (339.2)	\$ 194.8	\$ (44.2)

(\*) Source: 2020-21 Enacted Financial Plan dated April 25, 2020.  
 (\*\*) Source: 2021-22 Executive Budget dated January 19, 2021.

STATE OF NEW YORK  
 GOVERNMENTAL FUNDS  
 COMPARATIVE SCHEDULE OF TAX RECEIPTS  
 (amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	MONTH OF	10 MOS. ENDED	\$ Increase/ (Decrease)	% Increase/ Decrease
	JAN. 2021	JAN. 31, 2021	JAN. 2021	JAN. 31, 2021	JAN. 2021	JAN. 31, 2021	JAN. 2021	JAN. 31, 2021	JAN. 2021	JAN. 31, 2021	JAN. 2020	JAN. 31, 2020		
<b>PERSONAL INCOME TAX</b>														
Withholding	\$ 4,626.5	\$ 33,862.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,626.5	\$ 33,862.3	\$ 5,045.6	\$ 33,862.4	\$ (20.1)	-0.1%
Estimated Payments	4,810.2	16,157.9	-	-	-	-	-	-	4,810.2	16,157.9	3,842.2	16,798.0	(640.1)	-3.8%
Returns	22.5	3,276.7	-	-	-	-	-	-	22.5	3,276.7	25.7	3,224.5	52.2	1.6%
State/City Offsets	(3.5)	(1,027.4)	-	-	-	-	-	-	(3.5)	(1,027.4)	(9.6)	(1,005.2)	22.2	2.2%
Other (Assessments/LLC)	116.1	966.1	-	-	-	-	-	-	116.1	966.1	87.2	1,096.1	(130.0)	-11.9%
<b>Gross Receipts</b>	<b>9,571.8</b>	<b>53,235.6</b>	-	-	-	-	-	-	<b>9,571.8</b>	<b>53,235.6</b>	<b>8,991.1</b>	<b>53,995.8</b>	<b>(760.2)</b>	<b>-1.4%</b>
Transfers to School Tax Relief Fund	(1,972.0)	(2,008.6)	1,972.0	2,008.6	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(4,726.5)	(23,125.6)	-	-	4,726.5	23,125.6	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(118.8)	(6,984.4)	-	-	-	-	-	-	(118.8)	(6,984.4)	(91.9)	(7,779.4)	(795.0)	-10.2%
<b>Total</b>	<b>2,754.5</b>	<b>21,117.0</b>	<b>1,972.0</b>	<b>2,008.6</b>	<b>4,726.5</b>	<b>23,125.6</b>	-	-	<b>9,453.0</b>	<b>46,251.2</b>	<b>8,899.2</b>	<b>46,216.4</b>	<b>34.8</b>	<b>0.1%</b>
<b>CONSUMPTION/USE TAXES</b>														
Sales and Use	598.3	5,514.2	84.6	753.2	597.8	5,510.1	-	-	1,280.7	11,777.5	1,360.9	13,516.5	(1,739.0)	-12.9%
Auto Rental	-	-	-	8.7	-	-	-	41.8	-	50.5	-	83.5	(33.0)	-39.5%
Cigarette/Tobacco Products	27.8	273.1	61.3	617.6	-	-	-	-	89.1	890.7	79.3	898.3	(7.6)	-0.8%
Medical Marihuana	-	-	1.0	7.2	-	-	-	-	1.0	7.2	0.5	4.8	2.4	50.0%
Motor Fuel	-	-	6.5	76.4	-	-	23.5	282.3	30.0	358.7	42.4	437.7	(79.0)	-18.0%
Alcoholic Beverage	29.9	238.4	-	-	-	-	-	-	29.9	238.4	34.6	234.1	4.3	1.8%
Highway Use	-	-	-	0.4	-	-	11.6	114.3	11.6	114.7	12.2	122.4	(7.7)	-6.3%
Vapor Excise	-	-	-	25.5	-	-	-	-	-	25.5	-	-	25.5	100.0%
Opioid Excise	7.1	29.9	-	-	-	-	-	-	7.1	29.9	16.9	16.9	13.0	76.9%
<b>Total</b>	<b>663.1</b>	<b>6,055.6</b>	<b>153.4</b>	<b>1,489.0</b>	<b>597.8</b>	<b>5,510.1</b>	<b>35.1</b>	<b>438.4</b>	<b>1,449.4</b>	<b>13,493.1</b>	<b>1,546.8</b>	<b>15,314.2</b>	<b>(1,821.1)</b>	<b>-11.9%</b>
<b>BUSINESS TAXES</b>														
Corporation Franchise	(21.9)	2,841.6	67.9	759.4	-	-	-	-	46.0	3,601.0	153.8	3,688.6	(87.6)	-2.4%
Corporation and Utilities	4.9	266.0	4.9	89.8	-	-	0.2	8.3	10.0	364.1	(1.3)	413.8	(49.7)	-12.0%
Insurance	(4.4)	1,194.1	(0.5)	136.6	-	-	-	-	(4.9)	1,330.7	1.4	1,528.0	(197.3)	-12.9%
Bank	(8.3)	138.7	(1.8)	16.8	-	-	-	-	(10.1)	155.5	(0.4)	(30.3)	185.8	613.2%
Petroleum Business	-	-	33.7	352.5	-	-	42.7	444.5	76.4	797.0	98.0	995.1	(198.1)	-19.9%
<b>Total</b>	<b>(29.7)</b>	<b>4,440.4</b>	<b>104.2</b>	<b>1,355.1</b>	-	-	<b>42.9</b>	<b>452.8</b>	<b>117.4</b>	<b>6,248.3</b>	<b>251.5</b>	<b>6,595.2</b>	<b>(346.9)</b>	<b>-5.3%</b>
<b>OTHER TAXES</b>														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	293.5	1,250.0	-	-	-	-	-	-	293.5	1,250.0	93.5	907.0	343.0	37.8%
Pari-Mutuel	0.6	8.7	-	-	-	-	-	-	0.6	8.7	0.7	12.9	(4.2)	-32.6%
Real Estate Transfer	-	-	-	-	110.9	674.0	11.9	95.3	122.8	769.3	94.8	952.0	(182.7)	-19.2%
Racing and Exhibitions	-	0.1	-	-	-	-	-	-	-	0.1	(0.1)	1.9	(1.8)	-94.7%
Employer Compensation Expense Tax	0.4	1.4	-	-	0.3	1.4	-	-	0.7	2.8	0.5	1.9	0.9	47.4%
<b>Total</b>	<b>294.5</b>	<b>1,260.2</b>	-	-	<b>111.2</b>	<b>675.4</b>	<b>11.9</b>	<b>95.3</b>	<b>417.6</b>	<b>2,030.9</b>	<b>189.4</b>	<b>1,875.7</b>	<b>155.2</b>	<b>8.3%</b>
<b>Total Tax Receipts</b>	<b>\$ 3,682.4</b>	<b>\$ 32,873.2</b>	<b>\$ 2,229.6</b>	<b>\$ 4,852.7</b>	<b>\$ 5,435.5</b>	<b>\$ 29,311.1</b>	<b>\$ 89.9</b>	<b>\$ 986.5</b>	<b>\$ 11,437.4</b>	<b>\$ 68,023.5</b>	<b>\$ 10,886.9</b>	<b>\$ 70,001.5</b>	<b>\$ (1,978.0)</b>	<b>-2.8%</b>





**STATE OF NEW YORK  
GOVERNMENTAL FUNDS (\*)  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)**

	2020												2021				10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease				
<b>Revenues of State Departments:</b>																				
Administrative Recoveries	25.0	8.8	25.4	9.1	8.9	25.6	24.5	8.8	22.6	8.3			167.0	175.8	(8.8)	-5.0%				
Commissions	0.6	(0.3)	0.2	0.2	-	1.3	0.2	0.9	14.2	1.2			18.5	20.3	(1.8)	-8.9%				
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	68.0	50.0			118.0	468.0	(350.0)	-74.8%				
Gifts, Grants and Donations	0.6	2.2	27.9	0.7	1.9	2.1	5.9	0.7	3.4	0.3			45.7	27.3	18.4	67.4%				
Indirect Cost Recoveries	5.5	5.4	7.4	5.9	6.1	5.7	5.0	5.5	8.7	6.3			61.5	70.5	(9.0)	-12.8%				
Patient/Client Care Reimbursement	526.5	372.8	350.6	227.5	221.8	79.0	291.4	187.1	371.4	208.1			2,836.2	2,120.1	716.1	33.8%				
Rebates	7.8	15.3	13.2	17.7	12.7	12.7	9.8	13.8	12.7	10.9			126.6	141.4	(14.8)	-10.5%				
Restitution and Settlements	7.0	0.6	0.6	0.3	42.7	5.3	3.6	1.3	2.0	22.6			86.0	63.4	22.6	35.6%				
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	6.0	3.6	4.9	2.2			44.3	57.0	(12.7)	-22.3%				
All Other	(19.5)	10.7	30.0	75.6	46.8	65.5	75.7	42.8	41.3	50.6			419.5	563.8	(144.3)	-25.6%				
Sales	0.5	0.6	2.6	3.0	0.6	0.7	1.8	0.8	0.6	1.2			12.4	19.6	(7.2)	-36.7%				
Tuition	(67.5)	33.6	56.9	50.9	113.3	375.7	172.8	49.7	(32.8)	150.4			903.0	1,180.8	(277.8)	-23.5%				
<b>Total Miscellaneous Receipts</b>	<b>2,637.2</b>	<b>2,439.7</b>	<b>5,557.9</b>	<b>1,978.6</b>	<b>1,550.7</b>	<b>2,444.4</b>	<b>2,831.9</b>	<b>1,699.2</b>	<b>2,840.4</b>	<b>1,752.7</b>	<b>-</b>	<b>-</b>	<b>25,732.7</b>	<b>23,490.1</b>	<b>2,242.6</b>	<b>9.5%</b>				
<b>Federal Receipts</b>	<b>10,863.1</b>	<b>4,207.0</b>	<b>7,520.2</b>	<b>5,423.2</b>	<b>4,591.2</b>	<b>10,136.1</b>	<b>7,609.2</b>	<b>4,274.8</b>	<b>7,541.3</b>	<b>5,908.6</b>	<b>-</b>	<b>-</b>	<b>68,074.7</b>	<b>55,464.2</b>	<b>12,610.5</b>	<b>22.7%</b>				
<b>Total Receipts</b>	<b>17,158.3</b>	<b>9,765.3</b>	<b>20,227.3</b>	<b>19,884.2</b>	<b>10,484.2</b>	<b>21,382.8</b>	<b>14,728.2</b>	<b>10,281.7</b>	<b>18,820.2</b>	<b>19,098.7</b>	<b>-</b>	<b>-</b>	<b>161,830.9</b>	<b>148,955.8</b>	<b>12,875.1</b>	<b>8.6%</b>				
<b>DISBURSEMENTS:</b>																				
<b>Local Assistance Grants:</b>																				
Education	1,149.2	4,132.7	3,964.9	799.2	1,070.5	4,157.3	1,350.8	1,804.3	2,665.5	2,974.1			24,068.5	26,308.9	(2,240.4)	-8.5%				
Environment and Recreation	4.5	3.7	14.0	12.9	20.6	15.6	7.7	10.0	20.8	36.6			146.4	385.3	(238.9)	-62.0%				
General Government	48.5	17.7	543.7	67.4	109.0	3,937.2	322.4	159.0	333.9	93.6			5,632.4	1,945.8	3,686.6	189.5%				
<b>Public Health:</b>																				
Medicaid	5,410.3	5,099.6	6,082.5	5,357.6	5,292.8	7,004.6	4,286.1	4,812.6	6,709.3	5,415.5			55,470.9	55,670.9	(200.0)	-0.4%				
Other Public Health	602.5	638.5	980.4	1,028.7	701.7	1,078.5	815.2	774.3	864.5	1,028.5			8,512.8	8,376.6	136.2	1.6%				
Public Safety	95.3	63.6	163.3	285.2	71.2	151.0	634.7	56.8	180.7	95.7			1,777.5	1,455.8	321.7	22.1%				
Public Welfare	211.8	217.7	388.7	850.8	364.1	1,487.5	479.0	394.6	426.5	436.7			5,257.4	5,995.7	(738.3)	-12.3%				
Support and Regulate Business	48.1	15.1	35.9	26.7	84.5	22.3	64.2	54.2	144.9	31.4			527.3	898.9	(371.6)	-41.3%				
Transportation	90.0	90.9	72.6	825.5	509.6	618.1	745.0	956.3	1,009.2	275.1			5,192.3	4,801.6	390.7	8.1%				
<b>Total Local Assistance Grants</b>	<b>7,660.2</b>	<b>10,279.5</b>	<b>12,246.0</b>	<b>9,234.0</b>	<b>8,224.0</b>	<b>18,472.1</b>	<b>8,705.1</b>	<b>9,022.1</b>	<b>12,355.3</b>	<b>10,387.2</b>	<b>-</b>	<b>-</b>	<b>106,585.5</b>	<b>105,639.5</b>	<b>946.0</b>	<b>0.7%</b>				
<b>Departmental Operations:</b>																				
Personal Service	1,569.5	1,135.9	1,116.4	1,278.8	1,053.9	1,527.0	1,119.2	1,090.2	1,298.5	1,078.8			12,268.2	12,501.3	(233.1)	-1.9%				
Non-Personal Service	584.1	417.0	504.4	577.1	605.9	756.7	620.6	492.9	641.0	637.7			5,626.8	5,837.4	(210.6)	-3.7%				
General State Charges	535.2	395.0	2,621.5	471.9	414.0	616.1	511.7	500.1	474.5	567.8			7,107.8	7,680.9	(573.1)	-7.5%				
<b>Debt Service, Including Payments on</b>																				
Financing Agreements	36.5	23.5	28.9	10.7	337.6	841.8	39.8	30.4	1,332.0	10.6			2,691.8	1,556.8	1,135.0	72.9%				
Capital Projects	509.8	406.0	681.8	641.4	667.7	706.7	533.6	609.8	630.5	453.5			5,840.8	5,976.8	(136.0)	-2.3%				
<b>Total Disbursements</b>	<b>10,895.3</b>	<b>12,656.9</b>	<b>17,199.0</b>	<b>12,213.9</b>	<b>11,303.1</b>	<b>22,920.4</b>	<b>11,530.0</b>	<b>11,745.5</b>	<b>16,731.8</b>	<b>13,135.6</b>	<b>-</b>	<b>-</b>	<b>140,331.5</b>	<b>139,182.1</b>	<b>1,149.4</b>	<b>0.8%</b>				
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>6,263.0</b>	<b>(2,891.6)</b>	<b>3,028.3</b>	<b>7,670.3</b>	<b>(818.9)</b>	<b>(1,537.6)</b>	<b>3,198.2</b>	<b>(1,463.8)</b>	<b>2,088.4</b>	<b>5,963.1</b>	<b>-</b>	<b>-</b>	<b>21,499.4</b>	<b>9,773.7</b>	<b>11,725.7</b>	<b>120.0%</b>				
<b>OTHER FINANCING SOURCES (USES):</b>																				
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%				
Transfers from Other Funds	1,063.1	1,858.4	4,185.9	5,857.2	1,882.7	3,319.7	1,882.5	2,244.8	3,331.2	2,997.9			28,623.4	37,629.6	(9,006.2)	-23.9%				
Transfers to Other Funds	(1,066.5)	(1,860.9)	(4,240.9)	(5,863.1)	(1,894.6)	(3,438.8)	(1,889.4)	(2,247.8)	(3,334.5)	(2,999.2)			(28,835.7)	(37,750.3)	(8,914.6)	-23.6%				
<b>Total Other Financing Sources (Uses)</b>	<b>(3.4)</b>	<b>(2.5)</b>	<b>(55.0)</b>	<b>(5.9)</b>	<b>(11.9)</b>	<b>(119.1)</b>	<b>(6.9)</b>	<b>(3.0)</b>	<b>(3.3)</b>	<b>(1.3)</b>	<b>-</b>	<b>-</b>	<b>(212.3)</b>	<b>(120.7)</b>	<b>(91.6)</b>	<b>-75.9%</b>				
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>6,259.6</b>	<b>(2,894.1)</b>	<b>2,973.3</b>	<b>7,664.4</b>	<b>(830.8)</b>	<b>(1,656.7)</b>	<b>3,191.3</b>	<b>(1,466.8)</b>	<b>2,085.1</b>	<b>5,961.8</b>	<b>-</b>	<b>-</b>	<b>21,287.1</b>	<b>9,653.0</b>	<b>11,634.1</b>	<b>120.5%</b>				
<b>Ending Fund Balance</b>	<b>\$ 20,544.4</b>	<b>\$ 17,650.3</b>	<b>\$ 20,623.6</b>	<b>\$ 28,288.0</b>	<b>\$ 27,457.2</b>	<b>\$ 25,900.5</b>	<b>\$ 28,991.8</b>	<b>\$ 27,525.0</b>	<b>\$ 29,610.1</b>	<b>\$ 35,571.9</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 35,571.9</b>	<b>\$ 19,628.0</b>	<b>\$ 15,943.9</b>	<b>81.2%</b>				

(\*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.



**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
STATEMENT OF CASH FLOW - STATE OPERATING (\*)  
FISCAL YEAR 2020-2021  
(amounts in millions)**

	2020												2021			10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease			
Issuance Fees	0.5	1.4	25.5	24.7	-	1.8	30.2	0.5	21.6	4.3			110.5	83.5	27.0	32.3%			
Non Bond Related	8.9	0.3	-	4.2	1.8	-	0.4	-	28.2	2.5			46.3	80.1	(33.8)	-42.2%			
Receipts from Municipalities	9.3	2.5	3.8	3.8	1.7	4.7	3.4	3.5	5.1	4.0			41.8	62.5	(20.7)	-33.1%			
Rentals	(5.1)	(42.8)	(0.3)	2.0	4.0	34.7	61.0	11.6	7.6	29.4			102.1	268.6	(166.5)	-62.0%			
Revenues of State Departments:																			
Administrative Recoveries	25.0	8.8	25.4	9.1	8.9	25.6	24.5	8.8	22.6	8.3			167.0	175.8	(8.8)	-5.0%			
Commissions	0.6	(0.3)	0.2	0.2	-	1.3	0.2	0.9	14.2	1.2			18.5	20.3	(1.8)	-8.9%			
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	68.0	50.0			118.0	468.0	(350.0)	-74.8%			
Gifts, Grants and Donations	0.6	1.5	22.1	0.7	0.6	0.5	5.1	0.3	1.7	0.1			33.2	6.9	26.3	381.2%			
Indirect Cost Recoveries	5.5	5.4	7.4	5.9	6.1	5.7	5.0	5.5	8.7	6.3			61.5	71.4	(9.9)	-13.9%			
Patient/Client Care Reimbursement	526.5	372.8	350.6	227.5	221.8	79.0	291.4	187.1	371.4	208.1			2,836.2	2,120.1	716.1	33.8%			
Rebates	0.1	6.6	5.7	10.2	5.3	5.0	2.3	6.4	4.8	3.7			50.1	58.7	(8.6)	-14.7%			
Restitution and Settlements	3.9	0.4	0.6	0.2	41.8	3.9	0.3	0.9	1.2	21.7			74.9	51.4	23.5	45.7%			
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	6.0	3.6	4.9	2.2			44.3	57.0	(12.7)	-22.3%			
All Other	(20.1)	8.6	18.0	75.3	45.5	51.9	70.9	41.5	39.2	63.1			393.9	504.5	(110.6)	-21.9%			
Sales	0.5	0.6	2.6	3.0	0.5	0.6	1.8	0.8	0.6	1.1			12.1	14.9	(2.8)	-18.8%			
Tuition	(67.5)	33.6	56.9	50.9	113.3	375.7	172.8	49.7	(32.8)	150.4			903.0	1,180.8	(277.8)	-23.5%			
<b>Total Miscellaneous Receipts</b>	<b>1,431.2</b>	<b>2,321.8</b>	<b>5,133.0</b>	<b>1,602.6</b>	<b>1,399.1</b>	<b>1,955.2</b>	<b>1,843.6</b>	<b>1,609.7</b>	<b>1,774.9</b>	<b>1,664.2</b>			<b>20,735.3</b>	<b>18,793.8</b>	<b>1,941.5</b>	<b>10.3%</b>			
Federal Receipts	-	-	4.1	(4.1)	2.5	49.9	12.9	(33.5)	12.5	-			44.3	29.7	14.6	49.2%			
<b>Total Receipts</b>	<b>5,015.1</b>	<b>5,394.1</b>	<b>12,178.4</b>	<b>13,972.7</b>	<b>5,640.0</b>	<b>10,677.1</b>	<b>6,039.0</b>	<b>5,783.2</b>	<b>10,105.3</b>	<b>13,011.7</b>			<b>87,816.6</b>	<b>87,622.2</b>	<b>194.4</b>	<b>0.2%</b>			
<b>DISBURSEMENTS:</b>																			
Local Assistance Grants:																			
Education	754.3	4,065.1	3,409.1	563.6	856.2	3,861.8	1,200.2	1,559.5	2,583.1	2,609.0			21,461.9	23,183.9	(1,722.0)	-7.4%			
Environment and Recreation	0.1	0.3	-	0.2	(0.1)	0.4	0.7	0.2	0.3	0.2			2.3	4.5	(2.2)	-48.9%			
General Government	16.1	4.6	500.0	20.4	33.6	62.5	77.7	18.1	184.1	32.0			949.1	1,137.3	(188.2)	-16.5%			
Public Health:																			
Medicaid	757.7	1,757.8	2,497.4	2,043.9	1,856.5	2,135.5	1,416.5	1,503.7	2,122.7	1,469.1			17,560.8	21,545.3	(3,984.5)	-18.5%			
Other Public Health	93.5	72.8	307.2	486.1	118.0	438.1	234.8	263.3	340.2	340.2			2,603.0	2,636.1	(33.1)	-1.3%			
Public Safety	20.9	11.1	4.5	16.9	29.4	34.6	17.3	(6.7)	66.0	16.9			210.9	255.3	(44.4)	-17.4%			
Public Welfare	77.0	159.2	61.4	57.1	428.8	70.3	222.3	168.2	152.8	197.2			1,972.2	2,011.0	(38.8)	-1.9%			
Support and Regulate Business	4.6	7.1	9.0	5.4	11.0	10.9	9.7	26.2	10.9	10.0			104.8	159.6	(54.8)	-34.3%			
Transportation	61.7	41.7	18.5	726.3	357.9	243.1	256.4	446.1	781.3	70.4			3,003.4	3,344.8	(341.4)	-10.2%			
Total Local Assistance Grants	<b>1,785.9</b>	<b>6,118.7</b>	<b>6,807.1</b>	<b>4,440.9</b>	<b>3,319.6</b>	<b>7,213.7</b>	<b>3,297.8</b>	<b>4,004.2</b>	<b>6,179.9</b>	<b>4,700.6</b>			<b>47,868.4</b>	<b>54,277.8</b>	<b>(6,409.4)</b>	<b>-11.8%</b>			
Departmental Operations:																			
Personal Service	1,494.8	1,084.9	955.5	1,114.0	990.1	1,443.0	987.4	976.5	(98.0)	963.9			9,912.1	11,964.2	(2,052.1)	-17.2%			
Non-Personal Service	543.3	372.9	335.8	(308.2)	384.5	528.7	432.0	417.2	435.2	456.5			3,597.9	4,526.2	(928.3)	-20.5%			
General State Charges	512.5	370.3	2,582.6	388.2	343.3	592.6	434.0	470.8	362.1	(217.5)			5,838.9	7,402.4	(1,563.5)	-21.1%			
Debt Service, Including Payments on Financing Agreements	36.5	23.5	28.9	10.7	337.6	841.8	39.8	30.4	1,229.8	10.6			2,589.6	1,556.8	1,032.8	66.3%			
Capital Projects	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%			
<b>Total Disbursements</b>	<b>4,373.0</b>	<b>7,970.3</b>	<b>10,709.9</b>	<b>5,645.6</b>	<b>5,375.1</b>	<b>10,619.8</b>	<b>5,191.0</b>	<b>5,899.1</b>	<b>8,109.0</b>	<b>5,914.1</b>			<b>69,806.9</b>	<b>79,727.4</b>	<b>(9,920.5)</b>	<b>-12.4%</b>			
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>642.1</b>	<b>(2,576.2)</b>	<b>1,468.5</b>	<b>8,327.1</b>	<b>264.9</b>	<b>57.3</b>	<b>848.0</b>	<b>(115.9)</b>	<b>1,996.3</b>	<b>7,097.6</b>			<b>18,009.7</b>	<b>7,894.8</b>	<b>10,114.9</b>	<b>128.1%</b>			
<b>OTHER FINANCING SOURCES (USES):</b>																			
Transfers from Other Funds (**)	1,939.1	1,674.9	3,796.1	5,367.5	1,326.8	3,217.1	2,037.9	1,382.5	3,217.2	2,534.9			26,494.0	34,398.6	(7,904.6)	-23.0%			
Transfers to Other Funds (**)	(817.9)	(1,727.6)	(4,202.1)	(5,633.9)	(1,841.6)	(3,107.4)	(1,643.7)	(2,151.3)	(3,124.5)	(2,815.5)			(27,065.5)	(35,645.6)	(8,580.1)	-24.1%			
<b>Total Other Financing Sources (Uses)</b>	<b>1,121.2</b>	<b>(52.7)</b>	<b>(406.0)</b>	<b>(266.4)</b>	<b>(514.8)</b>	<b>109.7</b>	<b>394.2</b>	<b>(768.8)</b>	<b>92.7</b>	<b>(280.6)</b>			<b>(571.5)</b>	<b>(1,247.0)</b>	<b>675.5</b>	<b>54.2%</b>			
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>1,763.3</b>	<b>(2,628.9)</b>	<b>1,062.5</b>	<b>8,060.7</b>	<b>(249.9)</b>	<b>167.0</b>	<b>1,242.2</b>	<b>(884.7)</b>	<b>2,089.0</b>	<b>6,817.0</b>			<b>17,438.2</b>	<b>6,647.8</b>	<b>10,790.4</b>	<b>162.3%</b>			
<b>Ending Fund Balance</b>	<b>\$ 16,171.6</b>	<b>\$ 13,542.7</b>	<b>\$ 14,605.2</b>	<b>\$ 22,665.9</b>	<b>\$ 22,416.0</b>	<b>\$ 22,583.0</b>	<b>\$ 23,825.2</b>	<b>\$ 22,940.5</b>	<b>\$ 25,029.5</b>	<b>\$ 31,846.5</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 31,846.5</b>	<b>\$ 19,009.1</b>	<b>\$ 12,837.4</b>	<b>67.5%</b>			

(\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

(\*\*) Eliminations between State and Federal Special Revenue Funds are not included.



STATE OF NEW YORK  
GENERAL FUND  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT F

	10 Months Ended January 31												2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease		
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH						
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	4.8	4.5	4.9	11.9	6.1	3.2	12.7	6.3	12.6	8.1	-	-	75.1	71.8	3.3	4.6%		
Sales	-	-	1.8	2.3	-	-	0.5	-	-	-	-	-	4.6	-	4.6	100.0%		
<b>Total Miscellaneous Receipts</b>	<b>37.3</b>	<b>1,254.0</b>	<b>3,752.2</b>	<b>342.3</b>	<b>166.1</b>	<b>128.3</b>	<b>190.2</b>	<b>448.2</b>	<b>261.1</b>	<b>127.2</b>	-	-	<b>6,706.9</b>	<b>2,593.8</b>	<b>4,113.1</b>	<b>158.6%</b>		
Federal Receipts	-	-	-	-	-	0.1	-	0.1	-	-	-	-	0.2	0.3	(0.1)	-33.3%		
<b>Total Receipts</b>	<b>1,882.6</b>	<b>2,694.5</b>	<b>7,631.3</b>	<b>6,691.8</b>	<b>2,248.7</b>	<b>4,941.6</b>	<b>2,260.8</b>	<b>2,536.5</b>	<b>4,882.9</b>	<b>3,809.6</b>	-	-	<b>39,580.3</b>	<b>35,831.8</b>	<b>3,748.5</b>	<b>10.5%</b>		
<b>DISBURSEMENTS:</b>																		
Local Assistance Grants:																		
Education	754.2	4,030.1	3,112.1	563.3	856.3	1,571.5	1,051.2	1,411.4	2,408.4	480.6	-	-	16,239.1	17,584.9	(1,345.8)	-7.7%		
Environment and Recreation	0.1	-	-	-	(0.1)	-	-	-	0.3	0.2	-	-	0.5	2.5	(2.0)	-80.0%		
General Government	6.8	0.7	493.7	12.4	0.6	51.7	62.4	8.8	168.4	10.4	-	-	815.9	954.0	(138.1)	-14.5%		
Public Health:																		
Medicaid	229.4	1,283.6	2,466.9	1,220.8	1,431.8	1,614.5	999.5	1,041.9	1,632.6	1,007.1	-	-	12,928.1	16,526.9	(3,598.8)	-21.8%		
Other Public Health	63.9	47.3	239.8	433.9	72.7	247.3	193.6	181.5	168.3	225.7	-	-	1,874.0	1,873.8	0.2	0.0%		
Public Safety	2.9	1.4	0.3	2.7	7.9	14.1	7.3	3.7	45.6	7.6	-	-	93.5	143.1	(49.6)	-34.7%		
Public Welfare	76.9	158.0	61.4	577.5	57.1	426.7	70.3	222.3	167.3	154.1	-	-	1,971.6	2,007.3	(35.7)	-1.8%		
Support and Regulate Business	4.6	6.7	4.6	4.8	4.3	5.6	9.0	7.5	10.1	9.0	-	-	66.2	114.3	(48.1)	-42.1%		
Transportation	0.1	-	-	24.5	12.7	2.0	2.9	13.9	24.3	-	-	-	80.4	97.5	(17.1)	-17.5%		
<b>Total Local Assistance Grants</b>	<b>1,138.9</b>	<b>5,527.8</b>	<b>6,378.8</b>	<b>2,839.9</b>	<b>2,443.3</b>	<b>3,933.4</b>	<b>2,396.2</b>	<b>2,891.0</b>	<b>4,625.3</b>	<b>1,894.7</b>	-	-	<b>34,069.3</b>	<b>39,304.3</b>	<b>(5,235.0)</b>	<b>-13.3%</b>		
Departmental Operations:																		
Personal Service	893.7	691.3	564.6	738.8	863.2	835.8	598.0	615.3	(485.5)	594.6	-	-	5,709.8	7,634.1	(1,924.3)	-25.2%		
Non-Personal Service	313.2	195.2	165.0	(506.7)	222.4	260.7	183.6	192.7	241.1	219.1	-	-	1,486.3	2,044.7	(558.4)	-27.3%		
General State Charges	460.2	330.5	2,511.9	335.9	271.1	476.3	387.4	342.1	262.3	(277.2)	-	-	5,100.5	6,566.1	(1,465.6)	-22.3%		
<b>Total Disbursements</b>	<b>2,806.0</b>	<b>6,744.8</b>	<b>9,620.3</b>	<b>3,407.9</b>	<b>3,600.0</b>	<b>5,506.2</b>	<b>3,565.2</b>	<b>4,041.1</b>	<b>4,643.2</b>	<b>2,431.2</b>	-	-	<b>46,365.9</b>	<b>55,549.2</b>	<b>(9,183.3)</b>	<b>-16.5%</b>		
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(923.4)</b>	<b>(4,050.3)</b>	<b>(1,989.0)</b>	<b>3,283.9</b>	<b>(1,351.3)</b>	<b>(564.6)</b>	<b>(1,304.4)</b>	<b>(1,504.6)</b>	<b>239.7</b>	<b>1,378.4</b>	-	-	<b>(6,785.6)</b>	<b>(19,717.4)</b>	<b>12,931.8</b>	<b>65.6%</b>		
<b>OTHER FINANCING SOURCES (USES):</b>																		
Transfers from Revenue Bond Tax Fund	1,032.9	1,098.9	2,178.3	4,323.6	487.1	1,889.6	665.9	518.5	2,027.4	1,373.5	-	-	15,595.7	21,503.6	(5,907.9)	-27.5%		
Transfers from LGAC / STRBTF	284.6	162.2	560.4	420.1	426.7	656.4	440.2	435.4	597.1	488.1	-	-	4,471.2	5,544.4	(1,073.2)	-19.4%		
Transfers from CW/CA Fund	43.8	48.4	37.5	50.5	52.3	66.1	61.8	74.8	91.1	106.9	-	-	633.2	810.3	(177.1)	-21.9%		
Transfers from Other Funds	74.9	193.6	83.5	126.5	195.3	151.5	45.0	133.3	227.6	135.3	-	-	1,366.5	1,541.5	(175.0)	-11.4%		
Transfers to State Capital Projects	800.3	(203.8)	(312.4)	(306.1)	(565.6)	(229.0)	(90.8)	(860.0)	(34.2)	(431.1)	-	-	(2,232.7)	(2,317.1)	(84.4)	-3.6%		
Transfers to All Other Capital Projects	-	-	(30.5)	(204.0)	-	(16.5)	-	(45.8)	(85.5)	(50.0)	-	-	(432.3)	(1,187.5)	(755.2)	-63.6%		
Transfers to General Debt Service	(32.0)	1.7	(3.7)	(82.7)	4.0	21.6	(75.6)	1.4	11.2	(185.1)	-	-	(339.2)	(554.0)	(214.8)	-38.8%		
Transfers to All Other State Funds	(142.6)	(23.0)	(970.7)	(92.4)	(108.8)	(56.0)	(253.1)	(81.1)	(124.7)	(35.7)	-	-	(1,888.3)	(2,011.5)	(123.2)	-6.1%		
<b>Total Other Financing Sources (Uses)</b>	<b>2,061.7</b>	<b>1,278.0</b>	<b>1,542.4</b>	<b>4,235.5</b>	<b>491.0</b>	<b>2,483.7</b>	<b>793.4</b>	<b>176.5</b>	<b>2,710.0</b>	<b>1,401.9</b>	-	-	<b>17,174.1</b>	<b>23,329.7</b>	<b>(6,155.6)</b>	<b>-26.4%</b>		
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>1,138.3</b>	<b>(2,772.3)</b>	<b>(446.6)</b>	<b>7,519.4</b>	<b>(860.3)</b>	<b>1,919.1</b>	<b>(511.0)</b>	<b>(1,328.1)</b>	<b>2,949.7</b>	<b>2,780.3</b>	-	-	<b>10,388.5</b>	<b>3,612.3</b>	<b>6,776.2</b>	<b>187.6%</b>		
<b>Ending Fund Balance</b>	<b>\$ 10,082.5</b>	<b>\$ 7,310.2</b>	<b>\$ 6,863.6</b>	<b>\$ 14,383.0</b>	<b>\$ 13,522.7</b>	<b>\$ 15,441.8</b>	<b>\$ 14,930.8</b>	<b>\$ 13,602.7</b>	<b>\$ 16,552.4</b>	<b>\$ 19,332.7</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 19,332.7</b>	<b>\$ 10,818.0</b>	<b>\$ 8,514.7</b>	<b>78.7%</b>		

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

	Intra-Fund												10 Months Ended January 31				
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	Transfer Eliminations (*)	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 6,312.1	\$ 11,224.0	\$ 11,140.2	\$ 14,472.7	\$ 13,232.7	\$ 12,870.2	9,510.3	\$ 12,183.8	\$ 11,260.9	\$ 10,778.6			\$ -	\$ 6,312.1	\$ 3,842.4	\$ 2,469.7	64.3%
<b>RECEIPTS:</b>																	
<b>Taxes:</b>																	
Personal Income Tax	-	-	-	-	-	0.1	0.1	1.4	35.0	1,972.0			-	2,008.6	2,149.1	(140.5)	-6.5%
Consumption/Use Taxes:																	
Sales and Use	80.3	51.2	65.6	72.7	73.6	83.3	79.2	76.4	86.3	84.6			-	753.2	923.9	(170.7)	-18.5%
Auto Rental	(0.1)	(1.5)	3.4	2.1	2.1	(1.1)	-	-	3.8	-			-	8.7	12.9	(4.2)	-32.6%
Cigarette/Tobacco Products	68.8	51.3	60.2	68.8	60.4	71.0	55.7	59.1	61.0	61.3			-	617.6	626.1	(8.5)	-1.4%
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8	0.7	0.8	1.0			-	7.2	4.8	2.4	50.0%
Motor Fuel	6.5	4.7	6.6	8.5	9.1	8.9	8.3	8.6	8.7	6.5			-	76.4	92.5	(16.1)	-17.4%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Highway Use	-	0.1	-	-	0.1	-	-	0.1	0.1	-			-	0.4	0.4	-	0.0%
Vapor Excise	-	0.1	11.7	(0.4)	(0.1)	7.4	-	-	6.8	-			-	25.5	-	25.5	100.0%
<b>Total Consumption/Use Taxes</b>	<b>156.0</b>	<b>106.5</b>	<b>148.2</b>	<b>152.3</b>	<b>146.0</b>	<b>170.2</b>	<b>144.0</b>	<b>144.9</b>	<b>167.5</b>	<b>153.4</b>				<b>1,489.0</b>	<b>1,660.6</b>	<b>(171.6)</b>	<b>-10.3%</b>
Business Taxes:																	
Corporation Franchise	57.0	(3.2)	110.1	114.0	11.7	165.7	40.7	24.5	171.0	67.9			-	759.4	764.8	(5.4)	-0.7%
Corporation and Utilities	2.2	(6.9)	20.5	17.0	(1.4)	24.4	4.1	0.1	24.9	4.9			-	89.8	109.4	(19.6)	-17.9%
Insurance	7.2	(0.4)	38.6	4.4	0.4	43.3	1.2	0.3	42.1	(0.5)			-	136.6	182.8	(46.2)	-25.3%
Bank	1.3	0.6	12.9	-	6.1	(3.1)	0.5	(0.2)	0.5	(1.8)			-	16.8	(1.9)	18.7	984.2%
Petroleum Business	30.3	17.6	37.7	38.8	38.7	43.2	39.6	36.5	36.4	33.7			-	352.5	436.5	(84.0)	-19.2%
<b>Total Business Taxes</b>	<b>98.0</b>	<b>7.7</b>	<b>219.8</b>	<b>174.2</b>	<b>55.5</b>	<b>273.5</b>	<b>86.1</b>	<b>61.2</b>	<b>274.9</b>	<b>104.2</b>				<b>1,491.1</b>	<b>1,491.6</b>	<b>(136.5)</b>	<b>-9.2%</b>
<b>Total Taxes</b>	<b>254.0</b>	<b>114.2</b>	<b>368.0</b>	<b>326.5</b>	<b>201.5</b>	<b>443.8</b>	<b>230.2</b>	<b>207.5</b>	<b>477.4</b>	<b>2,229.6</b>				<b>4,852.7</b>	<b>5,301.3</b>	<b>(448.6)</b>	<b>-8.5%</b>
<b>Miscellaneous Receipts:</b>																	
Abandoned Property:																	
Abandoned Property	1.2	0.7	0.8	0.9	0.8	1.2	0.8	0.9	1.3	0.9			-	9.5	9.7	(0.2)	-2.1%
Assessments:																	
Business	46.7	62.4	82.6	71.5	41.0	65.6	90.3	11.7	90.9	56.9			-	619.6	684.9	(65.3)	-9.5%
Medical Care	569.3	464.7	504.4	440.8	461.5	517.3	505.7	470.8	507.2	448.3			-	4,890.0	5,369.4	(479.4)	-8.9%
Public Utilities	0.1	-	0.4	4.4	0.4	45.4	(10.1)	(1.6)	0.7	-			-	39.7	44.0	(4.3)	-9.8%
Other	-	-	-	-	-	-	-	-	-	-			-	-	0.4	(0.4)	-100.0%
Fees, Licenses and Permits:																	
Audit Fees	-	-	0.1	0.2	0.7	0.7	0.1	0.3	0.1	-			-	2.2	2.6	(0.4)	-15.4%
Business/Professional	48.2	70.4	86.5	40.8	36.3	94.8	46.1	40.7	73.3	48.0			-	585.1	586.3	(1.2)	-0.2%
Civil	3.2	2.4	2.6	1.4	6.1	4.7	5.1	4.2	4.9	4.8			-	39.4	47.7	(8.3)	-17.4%
Criminal	0.5	0.3	0.1	1.5	-	-	0.4	-	0.1	0.6			-	3.5	6.0	(2.5)	-41.7%
Motor Vehicle	18.4	15.8	17.0	30.6	27.0	28.3	15.8	34.9	15.4	31.5			-	234.7	252.2	(17.5)	-6.9%
Recreational/Consumer	43.0	-	36.0	34.3	31.8	144.3	83.3	59.6	55.8	44.7			-	532.8	691.8	(159.0)	-23.0%
Fines, Penalties and Forfeitures	10.0	5.8	1.6	14.0	0.4	5.9	65.4	3.0	2.8	5.9			-	114.8	255.5	(140.7)	-55.1%
Gaming:																	
Casino	-	-	-	20.8	-	8.0	33.4	10.0	10.2	27.1			-	109.5	246.3	(136.8)	-55.5%
Lottery	157.0	142.1	173.8	202.2	195.9	199.3	168.3	171.0	215.6	256.5			-	1,881.7	2,054.3	(172.6)	-8.4%
Video Lottery	-	0.6	-	(0.4)	-	33.8	59.6	54.2	58.0	58.9			-	264.7	808.7	(544.0)	-67.3%
Interest Earnings	16.5	11.7	7.5	4.3	5.2	4.2	4.1	4.1	4.4	4.7			-	66.7	208.6	(141.9)	-68.0%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-	-	-	-			-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	8.9	-	-	-			-	8.9	20.4	(11.5)	-56.4%
Issuance Fees	0.5	1.4	5.3	-	-	-	-	-	-	-			-	7.2	7.2	-	0.0%
Non Bond Related	8.9	0.3	-	4.2	1.8	-	0.4	-	-	0.3			-	15.9	54.9	(39.0)	-71.0%
Receipts from Municipalities	9.3	2.2	3.7	3.7	1.6	4.7	3.4	1.6	5.1	3.3			-	38.6	43.9	(5.3)	-12.1%
Rentals	(5.3)	(42.9)	(0.4)	1.9	3.8	34.6	61.0	11.3	7.4	29.3			-	100.7	266.8	(166.1)	-62.3%
Revenues of State Departments:																	
Administrative Recoveries	24.6	8.5	8.7	8.7	8.8	10.1	24.2	8.7	8.6	8.1			-	119.0	118.6	0.4	0.3%
Commissions	0.2	0.1	0.1	0.2	0.3	0.8	0.7	0.8	14.2	0.8			-	18.2	19.4	(1.2)	-6.2%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	68.0	50.0			-	118.0	468.0	(350.0)	-74.8%
Gifts, Grants and Donations	0.6	1.5	22.1	0.7	0.6	0.5	5.1	0.3	1.7	0.2			-	33.3	6.9	26.4	382.6%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	0.9	(0.9)	-100.0%
Patient/Client Care Reimbursement	483.0	305.7	305.8	198.8	167.9	150.1	221.2	165.9	314.0	205.9			-	2,518.3	1,824.7	693.6	38.0%
Rebates	7.8	13.6	14.1	17.7	9.5	12.7	10.5	11.2	12.7	10.9			-	120.7	136.8	(16.1)	-11.8%
Restitution and Settlements	3.7	0.4	0.6	0.1	41.8	3.9	0.3	0.7	0.5	21.7			-	73.7	45.4	28.3	62.3%
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	6.0	3.6	4.9	2.2			-	44.3	57.0	(12.7)	-22.3%
All Other	(24.6)	4.4	13.1	63.5	40.0	48.6	58.3	35.2	27.9	55.3			-	321.7	437.5	(115.8)	-26.5%
Sales	0.5	0.6	0.8	0.7	0.5	0.6	1.3	0.8	0.6	1.1			-	7.5	15.0	(7.5)	-50.0%
Tuition	(67.5)	33.6	56.9	113.3	375.7	172.8	172.8	49.7	(32.8)	150.4			-	903.0	1,189.8	(277.8)	-23.5%
<b>Total Miscellaneous Receipts</b>	<b>1,361.9</b>	<b>1,107.7</b>	<b>1,347.4</b>	<b>1,224.8</b>	<b>1,203.8</b>	<b>1,799.5</b>	<b>1,642.4</b>	<b>1,153.6</b>	<b>1,473.5</b>	<b>1,528.3</b>				<b>13,842.9</b>	<b>15,972.6</b>	<b>(2,129.7)</b>	<b>-13.3%</b>
Federal Receipts	10,777.4	4,104.3	7,352.3	5,214.1	4,404.5	9,934.4	7,441.5	4,052.8	7,300.6	5,782.7			-	66,364.6	53,575.0	12,789.6	23.9%
<b>Total Receipts</b>	<b>12,393.3</b>	<b>5,326.2</b>	<b>9,067.7</b>	<b>6,765.4</b>	<b>5,809.8</b>	<b>12,177.7</b>	<b>9,314.1</b>	<b>5,413.9</b>	<b>9,251.5</b>	<b>9,540.6</b>				<b>85,060.2</b>	<b>74,848.9</b>	<b>10,211.3</b>	<b>13.6%</b>

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

	2020												Intra-Fund Transfer Eliminations (*)	10 Months Ended January 31				
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH		2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease	
<b>DISBURSEMENTS:</b>																		
Local Assistance Grants:																		
Education	383.0	102.6	847.9	234.9	213.2	2,584.4	279.3	347.3	252.9	2,492.2	-	-	-	7,737.7	8,558.8	(821.1)	-9.6%	
Environment and Recreation	-	0.5	-	0.3	0.1	0.3	0.8	0.2	0.1	0.1	-	-	-	2.4	2.9	(0.5)	-17.2%	
General Government	11.3	6.1	8.5	19.0	39.4	3,866.8	169.6	94.6	114.3	22.8	-	-	-	4,352.4	230.6	4,121.8	1,787.4%	
Public Health:																		
Medicaid	5,180.9	3,816.0	3,615.6	4,136.8	3,861.0	5,390.1	3,286.6	3,770.7	5,076.7	4,408.4	-	-	-	42,542.8	39,144.0	3,398.8	8.7%	
Other Public Health	509.6	533.0	709.7	556.1	552.9	805.5	570.7	551.3	650.7	761.8	-	-	-	6,201.3	6,056.1	145.2	2.4%	
Public Safety	92.4	62.2	159.2	261.6	62.2	136.2	627.1	19.1	133.8	84.8	-	-	-	1,638.6	1,228.6	410.0	33.4%	
Public Welfare	134.9	25.9	253.6	201.6	253.9	950.4	384.1	164.5	162.9	265.8	-	-	-	2,797.6	3,635.0	(837.4)	-23.0%	
Support and Regulate Business	0.3	0.7	6.5	1.0	8.6	5.3	1.7	18.7	1.0	1.6	-	-	-	45.4	53.1	(7.7)	-14.5%	
Transportation	65.5	44.7	22.6	709.1	350.2	246.8	258.5	438.5	764.5	74.5	-	-	-	2,974.9	3,297.1	(322.2)	-9.8%	
<b>Total Local Assistance Grants</b>	<b>6,377.9</b>	<b>4,591.7</b>	<b>5,623.6</b>	<b>6,120.4</b>	<b>5,341.5</b>	<b>13,985.8</b>	<b>5,576.4</b>	<b>5,404.9</b>	<b>7,156.9</b>	<b>8,112.0</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>68,293.1</b>	<b>62,206.2</b>	<b>6,086.9</b>	<b>9.8%</b>	
Departmental Operations:																		
Personal Service	675.8	444.6	551.8	540.0	390.7	691.2	521.2	474.9	1,784.0	484.2	-	-	-	6,558.4	4,867.2	1,691.2	34.7%	
Non-Personal Service	270.9	220.9	327.3	1,078.0	380.6	488.1	437.0	297.0	408.8	418.5	-	-	-	4,327.1	3,555.1	772.0	21.7%	
General State Charges	75.0	64.5	109.6	136.0	142.9	139.8	124.3	158.0	212.2	845.0	-	-	-	2,007.3	1,114.8	892.5	80.1%	
Debt Service, Including Payments on Financing Agreements	-	-	-	-	-	-	-	-	102.2	-	-	-	-	102.2	-	102.2	100.0%	
Capital Projects	-	-	-	-	2.3	-	-	-	-	-	-	-	-	2.3	-	2.3	100.0%	
<b>Total Disbursements</b>	<b>7,399.6</b>	<b>5,321.7</b>	<b>6,612.3</b>	<b>7,874.4</b>	<b>6,258.0</b>	<b>15,304.9</b>	<b>6,660.9</b>	<b>6,334.8</b>	<b>9,664.1</b>	<b>9,859.7</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>81,290.4</b>	<b>71,743.3</b>	<b>9,547.1</b>	<b>13.3%</b>	
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>4,993.7</b>	<b>4.5</b>	<b>2,455.4</b>	<b>(1,109.0)</b>	<b>(448.2)</b>	<b>(3,127.2)</b>	<b>2,653.2</b>	<b>(920.9)</b>	<b>(412.6)</b>	<b>(319.1)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>3,769.8</b>	<b>3,105.6</b>	<b>664.2</b>	<b>21.4%</b>	
<b>OTHER FINANCING SOURCES (USES):</b>																		
Transfers from Other Funds	222.7	41.5	897.4	135.6	116.0	206.1	505.9	131.0	138.6	63.9	-	-	-	(569.4)	1,889.3	2,064.7	(175.4)	-8.5%
Transfers to Other Funds	(304.5)	(129.8)	(20.3)	(266.6)	(30.3)	(438.8)	(485.6)	(133.0)	(208.3)	(229.5)	-	-	-	569.4	(1,877.3)	(1,837.3)	(160.0)	-8.7%
<b>Total Other Financing Sources (Uses)</b>	<b>(81.8)</b>	<b>(88.3)</b>	<b>877.1</b>	<b>(131.0)</b>	<b>85.7</b>	<b>(232.7)</b>	<b>20.3</b>	<b>(2.0)</b>	<b>(69.7)</b>	<b>(165.6)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>212.0</b>	<b>227.4</b>	<b>(15.4)</b>	<b>-6.8%</b>	
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>4,911.9</b>	<b>(83.8)</b>	<b>3,332.5</b>	<b>(1,240.0)</b>	<b>(362.5)</b>	<b>(3,359.9)</b>	<b>2,673.5</b>	<b>(922.9)</b>	<b>(482.3)</b>	<b>(484.7)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>3,981.8</b>	<b>3,333.0</b>	<b>648.8</b>	<b>19.5%</b>	
<b>Ending Fund Balance</b>	<b>\$ 11,224.0</b>	<b>\$ 11,140.2</b>	<b>\$ 14,472.7</b>	<b>\$ 13,232.7</b>	<b>\$ 12,870.2</b>	<b>\$ 9,510.3</b>	<b>\$ 12,183.8</b>	<b>\$ 11,260.9</b>	<b>\$ 10,778.6</b>	<b>\$ 10,293.9</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 10,293.9</b>	<b>\$ 7,175.4</b>	<b>\$ 3,118.5</b>	<b>43.5%</b>	

(\*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.



STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

	10 Months Ended January 31											2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease	
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY					MARCH
Beginning Fund Balance	\$ 5,400.7	\$ 5,696.2	\$ 5,710.4	\$ 7,246.4	\$ 6,679.9	\$ 6,748.8	\$ 4,803.9	\$ 5,594.1	\$ 5,211.5	\$ 5,003.3	-	-	\$ 5,400.7	\$ 5,090.8	\$ 309.9	6.1%
<b>RECEIPTS:</b>																
<b>Taxes:</b>																
Personal Income Tax	-	-	-	-	-	0.1	0.1	1.4	35.0	1,972.0	-	-	2,008.6	2,149.1	(140.5)	-6.5%
<b>Consumption/Use Taxes:</b>																
Sales and Use	80.3	51.2	65.6	72.7	73.6	83.3	79.2	76.4	86.3	84.6	-	-	753.2	923.9	(170.7)	-18.5%
Auto Rental	(0.1)	(1.5)	3.4	2.1	2.1	(1.1)	-	-	3.8	-	-	-	8.7	12.9	(4.2)	-32.6%
Cigarette/Tobacco Products	68.8	51.3	60.2	68.8	60.4	71.0	55.7	59.1	61.0	61.3	-	-	617.6	626.1	(8.5)	-1.4%
Medical Marijuana	0.5	0.6	0.7	0.6	0.8	0.7	0.8	0.7	0.8	1.0	-	-	7.2	4.8	2.4	50.0%
Motor Fuel	6.5	4.7	6.6	8.5	9.1	8.9	8.3	8.6	8.7	6.5	-	-	76.4	92.5	(16.1)	-17.4%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Highway Use	-	0.1	-	-	0.1	-	-	0.1	0.1	-	-	-	0.4	0.4	-	0.0%
Vapor Excise	-	0.1	11.7	(0.4)	(0.1)	7.4	-	-	6.8	-	-	-	25.5	-	25.5	100.0%
<b>Total Consumption/Use Taxes</b>	<b>156.0</b>	<b>106.5</b>	<b>148.2</b>	<b>152.3</b>	<b>146.0</b>	<b>170.2</b>	<b>144.0</b>	<b>144.9</b>	<b>167.5</b>	<b>153.4</b>	<b>-</b>	<b>-</b>	<b>1,489.0</b>	<b>1,660.6</b>	<b>(171.6)</b>	<b>-10.3%</b>
<b>Business Taxes</b>																
Corporation Franchise	57.0	(3.2)	110.1	114.0	11.7	165.7	40.7	24.5	171.0	67.9	-	-	759.4	764.8	(5.4)	-0.7%
Corporation and Utilities	2.2	(6.9)	20.5	17.0	(1.4)	24.4	4.1	0.1	24.9	4.9	-	-	89.8	109.4	(19.6)	-17.9%
Insurance	7.2	(0.4)	38.6	4.4	0.4	43.3	1.2	0.3	42.1	(0.5)	-	-	136.6	182.8	(46.2)	-25.3%
Bank	1.3	0.6	12.9	-	6.1	(3.1)	0.5	(0.2)	0.5	(1.8)	-	-	16.8	(1.9)	18.7	984.2%
Petroleum Business	30.3	17.6	37.7	38.8	38.7	43.2	39.6	36.5	36.4	33.7	-	-	352.5	436.5	(84.0)	-19.2%
<b>Total Business Taxes</b>	<b>98.0</b>	<b>7.7</b>	<b>219.8</b>	<b>174.2</b>	<b>55.5</b>	<b>273.5</b>	<b>86.1</b>	<b>61.2</b>	<b>274.9</b>	<b>104.2</b>	<b>-</b>	<b>-</b>	<b>1,355.1</b>	<b>1,491.6</b>	<b>(136.5)</b>	<b>-9.2%</b>
<b>Total Taxes</b>	<b>254.0</b>	<b>114.2</b>	<b>368.0</b>	<b>326.5</b>	<b>201.5</b>	<b>443.8</b>	<b>230.2</b>	<b>207.5</b>	<b>477.4</b>	<b>2,229.6</b>	<b>-</b>	<b>-</b>	<b>4,852.7</b>	<b>5,301.3</b>	<b>(448.6)</b>	<b>-8.5%</b>
<b>Miscellaneous Receipts:</b>																
<b>Abandoned Property:</b>																
Abandoned Property	1.2	0.7	0.8	0.9	0.8	1.2	0.8	0.9	1.3	0.9	-	-	9.5	9.7	(0.2)	-2.1%
<b>Assessments:</b>																
Business	42.7	21.8	82.4	69.8	33.4	65.5	88.9	6.0	90.8	55.8	-	-	557.1	616.9	(59.8)	-9.7%
Medical Care	569.3	464.7	504.4	440.8	461.5	517.3	505.7	470.8	507.2	448.3	-	-	4,890.0	5,369.4	(479.4)	-8.9%
Public Utilities	0.1	-	0.4	4.4	0.4	45.4	(10.1)	(1.6)	0.7	-	-	-	39.7	44.0	(4.3)	-9.8%
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	0.4	(0.4)	-100.0%
<b>Fees, Licenses and Permits:</b>																
<b>Audit Fees</b>																
Business/Professional	48.2	70.4	86.5	40.8	36.3	94.8	46.1	40.7	73.3	48.0	-	-	585.1	586.3	(1.2)	-0.2%
Civil	3.2	2.4	2.6	1.4	6.1	4.7	5.1	4.2	4.9	4.8	-	-	39.4	47.7	(8.3)	-17.4%
Criminal	0.5	0.3	0.1	1.5	-	-	-	0.4	-	0.6	-	-	3.5	6.0	(2.5)	-41.7%
Motor Vehicle	18.4	15.8	17.0	30.6	27.0	28.3	15.8	34.9	15.4	31.5	-	-	234.7	252.2	(17.5)	-6.9%
Recreational/Consumer	43.0	-	36.0	34.3	31.8	144.3	83.3	59.6	55.8	44.7	-	-	532.8	691.8	(159.0)	-23.0%
Fines, Penalties and Forfeitures	9.7	5.6	1.4	13.8	0.2	5.6	65.3	2.8	2.5	5.6	-	-	112.5	250.4	(137.9)	-55.1%
<b>Gaming:</b>																
Casino	-	-	-	20.8	-	8.0	33.4	10.0	10.2	27.1	-	-	109.5	246.3	(136.8)	-55.5%
Lottery	157.0	142.1	173.8	202.2	195.9	199.3	168.3	171.0	215.6	256.5	-	-	1,881.7	2,054.3	(172.6)	-8.4%
Video Lottery	-	0.6	-	(0.4)	-	33.8	59.6	54.2	58.0	58.9	-	-	264.7	808.7	(544.0)	-67.3%
Interest Earnings	13.5	7.8	6.1	3.4	4.0	3.4	3.3	3.3	3.7	3.9	-	-	52.4	184.9	(132.5)	-71.7%
<b>Receipts from Public Authorities:</b>																
<b>Bond Proceeds</b>																
Cost Recovery Assessments	-	-	-	-	-	-	-	8.9	-	-	-	-	8.9	20.4	(11.5)	-56.4%
Issuance Fees	0.5	1.4	5.3	-	-	-	-	-	-	-	-	-	7.2	7.2	-	0.0%
Non Bond Related	8.9	0.3	-	4.2	1.8	-	0.4	-	-	0.3	-	-	15.9	54.9	(39.0)	-71.0%
Receipts from Municipalities	9.3	2.2	3.7	3.7	1.6	4.7	3.4	1.6	5.1	3.3	-	-	38.6	43.9	(5.3)	-12.1%
Rentals	(5.3)	(42.9)	(0.4)	1.9	3.8	34.6	61.0	11.3	7.4	29.3	-	-	100.7	266.8	(166.1)	-62.3%
<b>Revenues of State Departments:</b>																
Administrative Recoveries	24.6	8.5	8.7	8.7	8.8	10.1	24.2	8.7	8.6	8.1	-	-	119.0	118.6	0.4	0.3%
Commissions	0.2	0.1	0.1	0.2	0.3	0.8	0.7	0.8	14.2	0.8	-	-	18.2	19.4	(1.2)	-6.2%
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	68.0	50.0	-	-	118.0	468.0	(350.0)	-74.8%
Gifts, Grants and Donations	0.6	1.5	22.1	0.7	0.6	0.5	5.1	0.3	1.7	0.1	-	-	33.2	6.9	26.3	381.2%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	0.9	(0.9)	-100.0%	
Patient/Client Care Reimbursement	483.0	305.7	305.8	198.8	167.9	150.1	221.2	165.9	314.0	205.9	-	-	2,518.3	1,824.7	693.6	38.0%
Rebates	0.1	4.9	6.6	10.2	2.1	5.0	3.0	3.8	4.8	3.7	-	-	44.2	54.3	(10.1)	-18.6%
Restitution and Settlements	3.7	0.4	0.6	0.1	41.8	3.9	0.3	0.7	0.5	21.7	-	-	73.7	45.4	28.3	62.3%
Student Loans	6.1	1.4	3.2	6.4	6.8	3.7	6.0	3.6	4.9	2.2	-	-	44.3	57.0	(12.7)	-22.3%
All Other	(24.9)	4.1	13.1	63.4	39.4	48.7	58.2	35.2	26.6	55.0	-	-	318.8	432.6	(113.8)	-26.3%
Sales	0.5	0.6	0.8	0.7	0.5	0.6	1.3	0.8	0.6	1.1	-	-	7.5	14.9	(7.4)	-49.7%
Tuition	(67.5)	33.6	56.9	50.9	113.3	375.7	172.8	49.7	(32.8)	150.4	-	-	903.0	1,180.8	(277.8)	-23.5%
<b>Total Miscellaneous Receipts</b>	<b>1,346.6</b>	<b>1,054.0</b>	<b>1,338.1</b>	<b>1,214.4</b>	<b>1,186.8</b>	<b>1,790.7</b>	<b>1,632.5</b>	<b>1,139.5</b>	<b>1,463.2</b>	<b>1,518.5</b>	<b>-</b>	<b>-</b>	<b>13,684.3</b>	<b>15,788.3</b>	<b>(2,104.0)</b>	<b>-13.3%</b>
Federal Receipts	-	-	4.1	(4.1)	2.5	25.4	12.9	(33.6)	-	-	-	-	7.2	(9.0)	16.2	180.0%
<b>Total Receipts</b>	<b>1,600.6</b>	<b>1,168.2</b>	<b>1,710.2</b>	<b>1,536.8</b>	<b>1,390.8</b>	<b>2,259.9</b>	<b>1,875.6</b>	<b>1,313.4</b>	<b>1,940.6</b>	<b>3,748.1</b>	<b>-</b>	<b>-</b>	<b>18,544.2</b>	<b>21,080.6</b>	<b>(2,536.4)</b>	<b>-12.0%</b>

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

	10 Months Ended January 31												2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH				
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:																
Education	0.1	35.0	297.0	0.3	(0.1)	2,290.3	149.0	148.1	174.7	2,128.4	-	-	5,222.8	5,599.0	(376.2)	-6.7%
Environment and Recreation	-	0.3	-	0.2	-	0.4	0.7	0.2	-	-	-	-	1.8	2.0	(0.2)	-10.0%
General Government	9.3	3.9	6.3	8.0	33.0	10.8	15.3	9.3	15.7	21.6	-	-	133.2	183.3	(50.1)	-27.3%
Public Health:																
Medicaid	528.3	474.2	30.5	823.1	424.7	521.0	417.0	461.8	490.1	462.0	-	-	4,632.7	5,018.4	(385.7)	-7.7%
Other Public Health	29.6	25.5	67.4	52.2	45.3	190.8	55.4	53.3	95.0	114.5	-	-	729.0	762.3	(33.3)	-4.4%
Public Safety	18.0	9.7	4.2	14.2	21.5	20.5	10.0	(10.4)	20.4	9.3	-	-	117.4	112.2	5.2	4.6%
Public Welfare	0.1	0.2	-	0.6	-	0.1	-	-	0.9	(1.3)	-	-	0.6	3.7	(3.1)	-83.8%
Support and Regulate Business	-	0.4	4.4	0.6	6.7	5.3	0.7	18.7	0.8	1.0	-	-	38.6	45.3	(6.7)	-14.8%
Transportation	61.6	41.7	18.5	701.8	345.2	241.1	253.5	432.2	757.0	70.4	-	-	2,923.0	3,247.3	(324.3)	-10.0%
<b>Total Local Assistance Grants</b>	<b>647.0</b>	<b>590.9</b>	<b>428.3</b>	<b>1,601.0</b>	<b>876.3</b>	<b>3,280.3</b>	<b>901.6</b>	<b>1,113.2</b>	<b>1,554.6</b>	<b>2,805.9</b>	<b>-</b>	<b>-</b>	<b>13,799.1</b>	<b>14,973.5</b>	<b>(1,174.4)</b>	<b>-7.8%</b>
Departmental Operations:																
Personal Service	601.1	393.6	390.9	375.2	326.9	607.2	389.4	361.2	387.5	369.3	-	-	4,202.3	4,330.1	(127.8)	-3.0%
Non-Personal Service	230.1	176.8	158.7	192.7	159.2	260.1	248.4	221.3	203.0	237.3	-	-	2,087.6	2,454.5	(366.9)	-14.9%
General State Charges	52.3	39.8	70.7	52.3	72.2	116.3	46.6	128.7	99.8	59.7	-	-	738.4	836.3	(97.9)	-11.7%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
<b>Total Disbursements</b>	<b>1,530.5</b>	<b>1,201.1</b>	<b>1,048.6</b>	<b>2,221.2</b>	<b>1,434.6</b>	<b>4,263.9</b>	<b>1,586.0</b>	<b>1,824.4</b>	<b>2,244.9</b>	<b>3,472.2</b>	<b>-</b>	<b>-</b>	<b>20,827.4</b>	<b>22,594.4</b>	<b>(1,767.0)</b>	<b>-7.8%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>70.1</b>	<b>(32.9)</b>	<b>661.6</b>	<b>(684.4)</b>	<b>(43.8)</b>	<b>(2,004.0)</b>	<b>289.6</b>	<b>(511.0)</b>	<b>(304.3)</b>	<b>275.9</b>	<b>-</b>	<b>-</b>	<b>(2,283.2)</b>	<b>(1,513.8)</b>	<b>(769.4)</b>	<b>-50.8%</b>
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	222.7	41.5	897.4	135.6	116.0	206.1	505.9	131.0	138.6	63.9	-	-	2,458.7	2,505.5	(46.8)	-1.9%
Transfers to Other Funds	2.7	5.6	(23.0)	(17.7)	(3.3)	(147.0)	(5.3)	(2.6)	(42.5)	(38.5)	-	-	(271.6)	(472.9)	(201.3)	-42.6%
<b>Total Other Financing Sources (Uses)</b>	<b>225.4</b>	<b>47.1</b>	<b>874.4</b>	<b>117.9</b>	<b>112.7</b>	<b>59.1</b>	<b>500.6</b>	<b>128.4</b>	<b>96.1</b>	<b>25.4</b>	<b>-</b>	<b>-</b>	<b>2,187.1</b>	<b>2,032.6</b>	<b>154.5</b>	<b>7.6%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>295.5</b>	<b>14.2</b>	<b>1,536.0</b>	<b>(566.5)</b>	<b>68.9</b>	<b>(1,944.9)</b>	<b>790.2</b>	<b>(382.6)</b>	<b>(208.2)</b>	<b>301.3</b>	<b>-</b>	<b>-</b>	<b>(96.1)</b>	<b>518.8</b>	<b>(614.9)</b>	<b>-118.5%</b>
<b>Ending Fund Balance</b>	<b>\$ 5,696.2</b>	<b>\$ 5,710.4</b>	<b>\$ 7,246.4</b>	<b>\$ 6,679.9</b>	<b>\$ 6,748.8</b>	<b>\$ 4,803.9</b>	<b>\$ 5,594.1</b>	<b>\$ 5,211.5</b>	<b>\$ 5,003.3</b>	<b>\$ 5,304.6</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 5,304.6</b>	<b>\$ 5,609.6</b>	<b>\$ (305.0)</b>	<b>-5.4%</b>

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

	2020										2021										10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease								
Beginning Fund Balance	\$ 911.4	\$ 5,527.8	\$ 5,429.8	\$ 7,226.3	\$ 6,552.8	\$ 6,121.4	\$ 4,706.4	\$ 6,589.7	\$ 6,049.4	\$ 5,775.3			\$ 911.4	\$ (1,248.4)	\$ 2,159.8	173.0%								
<b>RECEIPTS:</b>																								
<b>Miscellaneous Receipts:</b>																								
Abandoned Property:																								
Abandoned Property	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%								
Assessments:																								
Business	4.0	40.6	0.2	1.7	7.6	0.1	1.4	5.7	0.1	1.1			62.5	68.0	(5.5)	-8.1%								
Medical Care	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Public Utilities	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Other	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Fees, Licenses and Permits:																								
Business/Professional	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Criminal	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Motor Vehicle	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Fines, Penalties and Forfeitures	0.3	0.2	0.2	0.2	0.2	0.3	0.1	0.2	0.3	0.3			2.3	5.1	(2.8)	-54.9%								
Interest Earnings	3.0	3.9	1.4	0.9	1.2	0.8	0.8	0.8	0.7	0.8			14.3	23.7	(9.4)	-39.7%								
Receipts from Public Authorities:																								
Bond Proceeds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Cost Recovery Assessments	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Issuance Fees	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Non Bond Related	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Rentals	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Revenues of State Departments:																								
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Commissions	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	0.1			0.1	-	0.1	100.0%								
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Patient/Client Care Reimbursement	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Rebates	7.7	8.7	7.5	7.5	7.4	7.7	7.5	7.4	7.9	7.2			76.5	82.5	(6.0)	-7.3%								
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Student Loans	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
All Other	0.3	0.3	-	0.1	0.6	(0.1)	0.1	-	1.3	0.3			2.9	4.9	(2.0)	-40.8%								
Sales	-	-	-	-	-	-	-	-	-	-			-	0.1	(0.1)	-100.0%								
Tuition	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
<b>Total Miscellaneous Receipts</b>	<b>15.3</b>	<b>53.7</b>	<b>9.3</b>	<b>10.4</b>	<b>17.0</b>	<b>8.8</b>	<b>9.9</b>	<b>14.1</b>	<b>10.3</b>	<b>9.8</b>	<b>-</b>	<b>-</b>	<b>158.6</b>	<b>184.3</b>	<b>(25.7)</b>	<b>-13.9%</b>								
Federal Receipts	10,777.4	4,104.3	7,348.2	5,218.2	4,402.0	9,909.0	7,428.6	4,086.4	7,300.6	5,782.7			66,357.4	53,584.0	12,773.4	23.8%								
<b>Total Receipts</b>	<b>10,792.7</b>	<b>4,158.0</b>	<b>7,357.5</b>	<b>5,228.6</b>	<b>4,419.0</b>	<b>9,917.8</b>	<b>7,438.5</b>	<b>4,100.5</b>	<b>7,310.9</b>	<b>5,792.5</b>	<b>-</b>	<b>-</b>	<b>66,516.0</b>	<b>53,768.3</b>	<b>12,747.7</b>	<b>23.7%</b>								

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT G

	2020												2021				10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease				
<b>DISBURSEMENTS:</b>																				
Local Assistance Grants:																				
Education	382.9	67.6	550.9	234.6	213.3	294.1	130.3	199.2	78.2	363.8			2,514.9	2,959.8	(444.9)	-15.0%				
Environment and Recreation	-	0.2	-	0.1	0.1	(0.1)	0.1	-	0.1	0.1			0.6	0.9	(0.3)	-33.3%				
General Government	2.0	2.2	2.2	11.0	6.4	3,856.0	154.3	85.3	98.6	1.2			4,219.2	47.3	4,171.9	8,820.1%				
Public Health:																				
Medicaid	4,652.6	3,341.8	3,585.1	3,313.7	3,436.3	4,869.1	2,869.6	3,308.9	4,586.6	3,946.4			37,910.1	34,125.6	3,784.5	11.1%				
Other Public Health	480.0	507.5	642.3	503.9	507.6	614.7	515.3	498.0	555.7	647.3			5,472.3	5,293.8	178.5	3.4%				
Public Safety	74.4	52.5	155.0	247.4	40.7	115.7	617.1	29.5	113.4	75.5			1,521.2	1,116.4	404.8	36.3%				
Public Welfare	134.8	25.7	253.6	201.0	253.9	950.3	384.1	164.5	162.0	267.1			2,797.0	3,631.3	(834.3)	-23.0%				
Support and Regulate Business	0.3	0.3	2.1	0.4	1.9	-	1.0	-	0.2	0.6			6.8	7.8	(1.0)	-12.8%				
Transportation	3.9	3.0	4.1	7.3	5.0	5.7	5.0	6.3	7.5	4.1			51.9	49.8	2.1	4.2%				
<b>Total Local Assistance Grants</b>	<b>5,730.9</b>	<b>4,000.8</b>	<b>5,195.3</b>	<b>4,519.4</b>	<b>4,465.2</b>	<b>10,705.5</b>	<b>4,676.8</b>	<b>4,291.7</b>	<b>5,602.3</b>	<b>5,306.1</b>			<b>54,494.0</b>	<b>47,232.7</b>	<b>7,261.3</b>	<b>15.4%</b>				
Departmental Operations:																				
Personal Service	74.7	51.0	160.9	164.8	63.8	84.0	131.8	113.7	1,396.5	114.9			2,356.1	537.1	1,819.0	338.7%				
Non-Personal Service	40.8	44.1	168.6	885.3	221.4	228.0	188.6	75.7	205.8	181.2			2,239.5	1,100.6	1,138.9	103.5%				
General State Charges	22.7	24.7	38.9	83.7	70.7	23.5	77.7	29.3	112.4	785.3			1,268.9	278.5	990.4	355.6%				
Debt Service, Including Payments on Financing Agreements	-	-	-	-	-	-	-	-	102.2	-			102.2	-	102.2	100.0%				
Capital Projects	-	-	-	-	2.3	-	-	-	-	-			2.3	-	2.3	100.0%				
<b>Total Disbursements</b>	<b>5,869.1</b>	<b>4,120.6</b>	<b>5,563.7</b>	<b>5,653.2</b>	<b>4,823.4</b>	<b>11,041.0</b>	<b>5,074.9</b>	<b>4,510.4</b>	<b>7,419.2</b>	<b>6,387.5</b>			<b>60,463.0</b>	<b>49,148.9</b>	<b>11,314.1</b>	<b>23.0%</b>				
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>4,923.6</b>	<b>37.4</b>	<b>1,793.8</b>	<b>(424.6)</b>	<b>(404.4)</b>	<b>(1,123.2)</b>	<b>2,363.6</b>	<b>(409.9)</b>	<b>(108.3)</b>	<b>(595.0)</b>			<b>6,053.0</b>	<b>4,619.4</b>	<b>1,433.6</b>	<b>31.0%</b>				
<b>OTHER FINANCING SOURCES (USES):</b>																				
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%				
Transfers to Other Funds	(307.2)	(135.4)	2.7	(248.9)	(27.0)	(291.8)	(480.3)	(130.4)	(165.8)	(191.0)			(1,975.1)	(1,805.2)	169.9	9.4%				
<b>Total Other Financing Sources (Uses)</b>	<b>(307.2)</b>	<b>(135.4)</b>	<b>2.7</b>	<b>(248.9)</b>	<b>(27.0)</b>	<b>(291.8)</b>	<b>(480.3)</b>	<b>(130.4)</b>	<b>(165.8)</b>	<b>(191.0)</b>			<b>(1,975.1)</b>	<b>(1,805.2)</b>	<b>169.9</b>	<b>9.4%</b>				
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>4,616.4</b>	<b>(98.0)</b>	<b>1,796.5</b>	<b>(673.5)</b>	<b>(431.4)</b>	<b>(1,415.0)</b>	<b>1,883.3</b>	<b>(540.3)</b>	<b>(274.1)</b>	<b>(786.0)</b>			<b>4,077.9</b>	<b>2,814.2</b>	<b>1,263.7</b>	<b>44.9%</b>				
<b>Ending Fund Balance</b>	<b>\$ 5,527.8</b>	<b>\$ 5,429.8</b>	<b>\$ 7,226.3</b>	<b>\$ 6,552.8</b>	<b>\$ 6,121.4</b>	<b>\$ 4,706.4</b>	<b>\$ 6,589.7</b>	<b>\$ 6,049.4</b>	<b>\$ 5,775.3</b>	<b>\$ 4,989.3</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 4,989.3</b>	<b>\$ 1,565.8</b>	<b>\$ 3,423.5</b>	<b>218.6%</b>				

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT H

	2020										2021										10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease								
Beginning Fund Balance	\$ 63.4	\$ 392.9	\$ 522.1	\$ 495.2	\$ 1,603.0	\$ 2,144.5	\$ 2,337.3	\$ 3,300.3	\$ 4,126.3	\$ 3,473.8			\$ 63.4	\$ 64.8	\$ (1.4)	-2.2%								
<b>RECEIPTS:</b>																								
<b>Taxes:</b>																								
Personal Income Tax	1,033.1	1,099.6	2,184.2	5,115.4	1,361.5	2,635.7	1,265.8	1,287.4	2,416.4	4,726.5			23,125.6	23,108.2	17.4	0.1%								
Consumption/Use Taxes:																								
Sales and Use	394.2	369.7	572.0	529.7	536.3	708.9	549.9	544.9	706.7	597.8			5,510.1	6,293.8	(783.7)	-12.5%								
<b>Total Consumption/Use Taxes</b>	<b>394.2</b>	<b>369.7</b>	<b>572.0</b>	<b>529.7</b>	<b>536.3</b>	<b>708.9</b>	<b>549.9</b>	<b>544.9</b>	<b>706.7</b>	<b>597.8</b>			<b>5,510.1</b>	<b>6,293.8</b>	<b>(783.7)</b>	<b>-12.5%</b>								
Other Taxes:																								
Real Estate Transfer	57.2	48.4	37.9	53.0	56.4	70.3	65.8	78.9	95.2	110.9			674.0	856.7	(182.7)	-21.3%								
Employer Compensation Expense Tax	0.1	(0.1)	0.1	0.1	0.1	0.1	0.2	0.1	0.4	0.3			1.4	1.0	0.4	40.0%								
<b>Total Other Taxes</b>	<b>57.3</b>	<b>48.3</b>	<b>38.0</b>	<b>53.1</b>	<b>56.5</b>	<b>70.4</b>	<b>66.0</b>	<b>79.0</b>	<b>95.6</b>	<b>111.2</b>			<b>675.4</b>	<b>857.7</b>	<b>(182.3)</b>	<b>-21.3%</b>								
<b>Total Taxes</b>	<b>1,484.6</b>	<b>1,517.6</b>	<b>2,794.2</b>	<b>5,698.2</b>	<b>1,954.3</b>	<b>3,415.0</b>	<b>1,881.7</b>	<b>1,911.3</b>	<b>3,218.7</b>	<b>5,435.5</b>			<b>29,311.1</b>	<b>30,259.7</b>	<b>(948.6)</b>	<b>-3.1%</b>								
<b>Miscellaneous Receipts:</b>																								
Assessments:																								
Medical Care	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Fees, Licenses and Permits:																								
Alcohol Beverage Control Licensing	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Business/Professional	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Criminal	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Motor Vehicle	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Interest Earnings	0.1	0.1	-	-	-	-	-	0.1	-	-			0.3	1.5	(1.2)	-80.0%								
Receipts from Public Authorities:																								
Bond Proceeds	-	-	-	-	-	-	-	-	0.4	-			0.4	-	0.4	100.0%								
Receipts from Municipalities	-	0.3	0.1	0.1	-	-	-	1.9	-	0.7			3.1	1.8	1.3	72.2%								
Rentals	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
Revenues of State Departments:																								
Patient/Client Care Reimbursement	47.2	13.4	42.6	45.8	46.2	36.2	20.9	20.0	50.2	17.8			340.3	408.3	(68.0)	-16.7%								
All Other	-	-	-	-	-	-	-	-	-	-			-	0.1	(0.1)	-100.0%								
Sales	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%								
<b>Total Miscellaneous Receipts</b>	<b>47.3</b>	<b>13.8</b>	<b>42.7</b>	<b>45.9</b>	<b>46.2</b>	<b>36.2</b>	<b>20.9</b>	<b>22.0</b>	<b>50.6</b>	<b>18.5</b>			<b>344.1</b>	<b>411.7</b>	<b>(67.6)</b>	<b>-16.4%</b>								
Federal Receipts	-	-	-	-	-	24.4	-	-	12.5	-			36.9	38.4	(1.5)	-3.9%								
<b>Total Receipts</b>	<b>1,531.9</b>	<b>1,531.4</b>	<b>2,836.9</b>	<b>5,744.1</b>	<b>2,000.5</b>	<b>3,475.6</b>	<b>1,902.6</b>	<b>1,933.3</b>	<b>3,281.8</b>	<b>5,454.0</b>			<b>29,692.1</b>	<b>30,709.8</b>	<b>(1,017.7)</b>	<b>-3.3%</b>								
<b>DISBURSEMENTS:</b>																								
Departmental Operations:																								
Non-Personal Service	-	0.9	12.1	5.8	2.9	7.9	-	3.2	(8.9)	0.1			24.0	27.0	(3.0)	-11.1%								
Debt Service, Including Payments on Financing Agreements	36.5	23.5	28.9	10.7	337.6	841.8	39.8	30.4	1,229.8	10.6			2,589.6	1,556.8	1,032.8	66.3%								
<b>Total Disbursements</b>	<b>36.5</b>	<b>24.4</b>	<b>41.0</b>	<b>16.5</b>	<b>340.5</b>	<b>849.7</b>	<b>39.8</b>	<b>33.6</b>	<b>1,220.9</b>	<b>10.7</b>			<b>2,613.6</b>	<b>1,583.8</b>	<b>1,029.8</b>	<b>65.0%</b>								
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>1,495.4</b>	<b>1,507.0</b>	<b>2,795.9</b>	<b>5,727.6</b>	<b>1,660.0</b>	<b>2,625.9</b>	<b>1,862.8</b>	<b>1,899.7</b>	<b>2,060.9</b>	<b>5,443.3</b>			<b>27,078.5</b>	<b>29,126.0</b>	<b>(2,047.5)</b>	<b>-7.0%</b>								
<b>OTHER FINANCING SOURCES (USES):</b>																								
Transfers from Other Funds	280.2	130.3	39.0	311.2	49.4	247.4	319.1	89.5	135.4	367.2			1,968.7	2,493.3	(524.6)	-21.0%								
Transfers to Other Funds	(1,446.1)	(1,508.1)	(2,861.8)	(4,931.0)	(1,167.9)	(2,680.5)	(1,218.9)	(1,163.2)	(2,848.8)	(2,075.1)			(21,901.4)	(29,102.6)	(7,201.2)	-24.7%								
<b>Total Other Financing Sources (Uses)</b>	<b>(1,165.9)</b>	<b>(1,377.8)</b>	<b>(2,822.8)</b>	<b>(4,619.8)</b>	<b>(1,118.5)</b>	<b>(2,433.1)</b>	<b>(899.8)</b>	<b>(1,073.7)</b>	<b>(2,713.4)</b>	<b>(1,707.9)</b>			<b>(19,932.7)</b>	<b>(26,609.3)</b>	<b>6,676.6</b>	<b>25.1%</b>								
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>329.5</b>	<b>129.2</b>	<b>(26.9)</b>	<b>1,107.8</b>	<b>541.5</b>	<b>192.8</b>	<b>963.0</b>	<b>826.0</b>	<b>(652.5)</b>	<b>3,735.4</b>			<b>7,145.8</b>	<b>2,516.7</b>	<b>4,629.1</b>	<b>183.9%</b>								
Ending Fund Balance	\$ 392.9	\$ 522.1	\$ 495.2	\$ 1,603.0	\$ 2,144.5	\$ 2,337.3	\$ 3,300.3	\$ 4,126.3	\$ 3,473.8	\$ 7,209.2	\$ -	\$ -	\$ 7,209.2	\$ 2,581.5	\$ 4,627.7	179.3%								

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - COMBINED  
 STATEMENT OF CASH FLOW  
 FISCAL YEAR 2020-2021  
 (amounts in millions)

EXHIBIT I

	2020										2021			10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2021	2020	\$ Increase/Decrease	% Increase/Decrease
Beginning Fund Balance	\$ (1,034.9)	\$ (1,155.0)	\$ (1,322.2)	\$ (1,207.9)	\$ (930.7)	\$ (1,080.2)	\$ (1,488.9)	\$ (1,423.1)	\$ (1,464.9)	\$ (1,194.7)				\$ (1,034.9)	\$ (1,137.9)	\$ 103.0	9.1%
<b>RECEIPTS:</b>																	
<b>Taxes:</b>																	
Consumption/Use Taxes:																	
Auto Rental	0.6	0.1	10.1	-	-	15.6	0.1	-	15.3	-	-	-	-	41.8	70.6	(28.8)	-40.8%
Motor Fuel	23.8	16.7	24.9	31.2	33.4	32.7	31.3	31.1	33.7	23.5	-	-	-	282.3	345.2	(62.9)	-18.2%
Highway Use	11.6	8.8	12.4	12.4	10.2	12.7	10.6	11.4	12.6	11.6	-	-	-	114.3	122.0	(7.7)	-6.3%
<b>Total Consumption/Use Taxes</b>	<b>36.0</b>	<b>25.6</b>	<b>47.4</b>	<b>43.6</b>	<b>43.6</b>	<b>61.0</b>	<b>42.0</b>	<b>42.5</b>	<b>61.6</b>	<b>35.1</b>	-	-	-	<b>438.4</b>	<b>537.8</b>	<b>(99.4)</b>	<b>-18.5%</b>
Business Taxes:																	
Corporation Franchise	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Corporation and Utilities	0.1	(1.5)	0.6	3.6	0.1	2.2	0.7	-	2.3	0.2	-	-	-	8.3	11.1	(2.8)	-25.2%
Petroleum Business	38.0	22.2	48.0	49.1	48.3	55.2	49.9	46.3	44.8	42.7	-	-	-	444.5	558.6	(114.1)	-20.4%
<b>Total Business Taxes</b>	<b>38.1</b>	<b>20.7</b>	<b>48.6</b>	<b>52.7</b>	<b>48.4</b>	<b>57.4</b>	<b>50.6</b>	<b>46.3</b>	<b>47.1</b>	<b>42.9</b>	-	-	-	<b>452.8</b>	<b>569.7</b>	<b>(116.9)</b>	<b>-20.5%</b>
Other Taxes:																	
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9	-	-	-	95.3	95.3	-	0.0%
<b>Total Other Taxes</b>	<b>-</b>	<b>-</b>	<b>11.9</b>	<b>11.9</b>	<b>11.9</b>	<b>11.9</b>	<b>12.0</b>	<b>11.9</b>	<b>11.9</b>	<b>11.9</b>	-	-	-	<b>95.3</b>	<b>95.3</b>	<b>-</b>	<b>0.0%</b>
<b>Total Taxes</b>	<b>74.1</b>	<b>46.3</b>	<b>107.9</b>	<b>108.2</b>	<b>103.9</b>	<b>130.3</b>	<b>104.6</b>	<b>100.7</b>	<b>120.6</b>	<b>89.9</b>	-	-	-	<b>986.5</b>	<b>1,202.8</b>	<b>(216.3)</b>	<b>-18.0%</b>
<b>Miscellaneous Receipts:</b>																	
Abandoned Property:																	
Bottle Bill	-	-	-	23.0	-	-	-	-	-	-	-	-	-	23.0	23.0	-	0.0%
Assessments:																	
Business	7.9	3.6	5.4	7.0	7.6	7.1	7.3	7.3	6.5	6.7	-	-	-	66.4	85.4	(19.0)	-22.2%
Fees, Licenses and Permits:																	
Business/Professional	1.8	2.1	1.6	1.3	9.3	3.1	2.5	2.3	0.6	0.4	-	-	-	25.0	29.0	(4.0)	-13.8%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	52.2	33.2	43.1	60.6	63.4	57.4	60.7	60.3	59.6	59.9	-	-	-	550.4	614.6	(64.2)	-10.4%
Recreational/Consumer	-	-	0.5	-	11.0	7.7	0.1	-	0.8	0.1	-	-	-	20.2	30.8	(10.6)	-34.4%
Fines, Penalties and Forfeitures	2.0	1.9	2.0	1.2	3.2	2.2	1.9	1.4	1.1	2.5	-	-	-	19.4	22.2	(2.8)	-12.6%
Interest Earnings	0.7	0.5	0.1	-	0.1	0.1	-	0.1	0.1	-	-	-	-	1.7	9.7	(8.0)	-82.5%
Receipts from Public Authorities:																	
Bond Proceeds	1,122.1	19.1	342.4	269.9	32.4	384.7	895.8	1.0	981.9	3.9	-	-	-	4,053.2	3,543.1	510.1	14.4%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	0.1	-	0.5	0.1	0.5	0.1	0.4	-	0.4	15.2	-	-	-	17.3	52.3	(35.0)	-66.9%
Receipts from Municipalities	-	-	0.1	-	0.3	-	0.1	-	-	0.1	-	-	-	0.6	3.1	(2.5)	-80.6%
Rentals	0.5	1.1	2.1	2.2	3.8	1.2	0.8	0.9	0.9	1.6	-	-	-	15.1	8.1	7.0	86.4%
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	0.7	5.8	-	1.3	1.6	0.8	0.4	1.7	0.1	-	-	-	12.4	20.4	(8.0)	-39.2%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	(0.9)	0.9	100.0%
Rebates	-	-	-	-	-	-	-	-	-	-	-	-	-	0.2	(0.2)	0.0%	-100.0%
Restitution and Settlements	3.1	0.2	-	0.1	0.9	1.4	3.3	0.4	0.8	0.9	-	-	-	11.1	12.0	(0.9)	-7.5%
All Other	0.3	1.8	12.0	0.2	0.7	13.7	4.7	1.3	0.8	(12.8)	-	-	-	22.7	54.4	(31.7)	-58.3%
Sales	-	-	-	-	0.1	0.1	-	-	-	0.1	-	-	-	0.3	4.6	(4.3)	-93.5%
<b>Total Miscellaneous Receipts</b>	<b>1,190.7</b>	<b>64.2</b>	<b>415.6</b>	<b>365.6</b>	<b>134.6</b>	<b>480.4</b>	<b>978.4</b>	<b>75.4</b>	<b>1,055.2</b>	<b>78.7</b>	-	-	-	<b>4,838.8</b>	<b>4,512.0</b>	<b>326.8</b>	<b>7.2%</b>
Federal Receipts	85.7	102.7	167.9	209.1	186.7	177.2	167.7	221.9	228.2	125.9	-	-	-	1,673.0	1,850.5	(177.5)	-9.6%
<b>Total Receipts</b>	<b>1,350.5</b>	<b>213.2</b>	<b>691.4</b>	<b>682.9</b>	<b>425.2</b>	<b>787.9</b>	<b>1,250.7</b>	<b>398.0</b>	<b>1,404.0</b>	<b>294.5</b>	-	-	-	<b>7,498.3</b>	<b>7,565.3</b>	<b>(67.0)</b>	<b>-0.9%</b>

STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - COMBINED  
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EXHIBIT I

	2020										2021			10 Months Ended January 31		\$ Increase/ (Decrease)	% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020			
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants:																	
Education	12.0	-	4.9	1.0	1.0	1.4	20.3	45.6	4.2	1.3			91.7	165.2	(73.5)	-44.5%	
Environment and Recreation	4.4	3.2	14.0	12.6	20.6	15.3	6.9	9.8	20.4	36.3			143.5	379.9	(236.4)	-62.2%	
General Government	30.4	10.9	41.5	36.0	69.0	18.7	90.4	55.6	51.2	60.4			464.1	761.2	(297.1)	-39.0%	
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%	
Other Public Health	29.0	58.2	30.9	38.7	76.1	25.7	50.9	41.5	45.5	41.0			437.5	446.7	(9.2)	-2.1%	
Public Safety	-	-	3.8	0.9	1.1	0.7	0.3	34.0	1.3	3.3			45.4	84.1	(38.7)	-46.0%	
Public Welfare	-	33.8	73.7	71.7	53.1	110.4	24.6	7.8	96.3	16.8			488.2	353.4	134.8	38.1%	
Support and Regulate Business	43.2	7.7	24.8	20.9	71.6	11.4	53.5	28.0	133.8	20.8			415.7	731.5	(315.8)	-43.2%	
Transportation	24.4	46.2	50.0	91.9	146.7	369.3	483.6	503.9	220.4	200.6			2,137.0	1,407.0	730.0	51.9%	
<b>Total Local Assistance Grants</b>	<b>143.4</b>	<b>160.0</b>	<b>243.6</b>	<b>273.7</b>	<b>439.2</b>	<b>552.9</b>	<b>730.5</b>	<b>726.2</b>	<b>573.1</b>	<b>380.5</b>			<b>4,223.1</b>	<b>4,329.0</b>	<b>(105.9)</b>	<b>-2.4%</b>	
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%	
Non-Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%	
General State Charges	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%	
Capital Projects	509.8	406.0	681.8	641.4	665.4	706.7	533.6	609.8	630.5	453.5			5,838.5	5,976.8	(138.3)	-2.3%	
<b>Total Disbursements</b>	<b>653.2</b>	<b>566.0</b>	<b>925.4</b>	<b>915.1</b>	<b>1,104.6</b>	<b>1,259.6</b>	<b>1,264.1</b>	<b>1,336.0</b>	<b>1,203.6</b>	<b>834.0</b>			<b>10,061.6</b>	<b>10,305.8</b>	<b>(244.2)</b>	<b>-2.4%</b>	
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>697.3</b>	<b>(352.8)</b>	<b>(234.0)</b>	<b>(232.2)</b>	<b>(679.4)</b>	<b>(471.7)</b>	<b>(13.4)</b>	<b>(938.0)</b>	<b>200.4</b>	<b>(539.5)</b>			<b>(2,563.3)</b>	<b>(2,740.5)</b>	<b>177.2</b>	<b>6.5%</b>	
<b>OTHER FINANCING SOURCES (USES):</b>																	
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%	
Transfers from Other Funds	(805.1)	198.0	360.6	523.4	566.3	250.0	91.3	908.3	124.1	481.9			2,698.8	3,671.8	(973.0)	-26.5%	
Transfers to Other Funds	(12.3)	(12.4)	(12.3)	(14.0)	(36.4)	(187.0)	(12.1)	(12.1)	(54.3)	(11.6)			(364.5)	(740.3)	(375.8)	-50.8%	
<b>Total Other Financing Sources (Uses)</b>	<b>(817.4)</b>	<b>185.6</b>	<b>348.3</b>	<b>509.4</b>	<b>529.9</b>	<b>63.0</b>	<b>79.2</b>	<b>896.2</b>	<b>69.8</b>	<b>470.3</b>			<b>2,334.3</b>	<b>2,931.5</b>	<b>(597.2)</b>	<b>-20.4%</b>	
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(120.1)</b>	<b>(167.2)</b>	<b>114.3</b>	<b>277.2</b>	<b>(149.5)</b>	<b>(408.7)</b>	<b>65.8</b>	<b>(41.8)</b>	<b>270.2</b>	<b>(69.2)</b>			<b>(229.0)</b>	<b>191.0</b>	<b>(420.0)</b>	<b>-219.9%</b>	
<b>Ending Fund Balance</b>	<b>\$ (1,155.0)</b>	<b>\$ (1,322.2)</b>	<b>\$ (1,207.9)</b>	<b>\$ (930.7)</b>	<b>\$ (1,080.2)</b>	<b>\$ (1,488.9)</b>	<b>\$ (1,423.1)</b>	<b>\$ (1,464.9)</b>	<b>\$ (1,194.7)</b>	<b>\$ (1,263.9)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (1,263.9)</b>	<b>\$ (946.9)</b>	<b>\$ (317.0)</b>	<b>-33.5%</b>	

(\* Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - STATE  
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EXHIBIT I

													10 Months Ended January 31			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (472.2)	\$ (598.4)	\$ (754.3)	\$ (629.3)	\$ (389.5)	\$ (564.6)	\$ (935.6)	\$ (883.5)	\$ (1,005.9)	\$ (843.0)			\$ (472.2)	\$ (632.2)	\$ 161.0	25.4%
<b>RECEIPTS:</b>																
<b>Taxes:</b>																
Consumption/Use Taxes																
Auto Rental	0.6	0.1	10.1	-	-	15.6	0.1	-	15.3	-			41.8	70.6	(28.8)	-40.8%
Motor Fuel	23.8	16.7	24.9	31.2	33.4	32.7	31.3	31.1	33.7	23.5			282.3	345.2	(62.9)	-18.2%
Highway Use	11.6	8.8	12.4	12.4	10.2	12.7	10.6	11.4	12.6	11.6			114.3	122.0	(7.7)	-6.3%
<b>Total Consumption/Use Taxes</b>	<b>36.0</b>	<b>25.6</b>	<b>47.4</b>	<b>43.6</b>	<b>43.6</b>	<b>61.0</b>	<b>42.0</b>	<b>42.5</b>	<b>61.6</b>	<b>35.1</b>	-	-	<b>438.4</b>	<b>537.8</b>	<b>(99.4)</b>	<b>-18.5%</b>
Business Taxes																
Corporation Franchise	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Corporation and Utilities	0.1	(1.5)	0.6	3.6	0.1	2.2	0.7	-	2.3	0.2			8.3	11.1	(2.8)	-25.2%
Petroleum Business	38.0	22.2	48.0	49.1	48.3	55.2	49.9	46.3	44.8	42.7			444.5	558.6	(114.1)	-20.4%
<b>Total Business Taxes</b>	<b>38.1</b>	<b>20.7</b>	<b>48.6</b>	<b>52.7</b>	<b>48.4</b>	<b>57.4</b>	<b>50.6</b>	<b>46.3</b>	<b>47.1</b>	<b>42.9</b>	-	-	<b>452.8</b>	<b>569.7</b>	<b>(116.9)</b>	<b>-20.5%</b>
Other Taxes																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	12.0	11.9	11.9	11.9			95.3	95.3	-	0.0%
<b>Total Other Taxes</b>	<b>-</b>	<b>-</b>	<b>11.9</b>	<b>11.9</b>	<b>11.9</b>	<b>11.9</b>	<b>12.0</b>	<b>11.9</b>	<b>11.9</b>	<b>11.9</b>	-	-	<b>95.3</b>	<b>95.3</b>	<b>-</b>	<b>0.0%</b>
<b>Total Taxes</b>	<b>74.1</b>	<b>46.3</b>	<b>107.9</b>	<b>108.2</b>	<b>103.9</b>	<b>130.3</b>	<b>104.6</b>	<b>100.7</b>	<b>120.6</b>	<b>89.9</b>	-	-	<b>986.5</b>	<b>1,202.8</b>	<b>(216.3)</b>	<b>-18.0%</b>
<b>Miscellaneous Receipts:</b>																
Abandoned Property:																
Bottle Bill	-	-	-	23.0	-	-	-	-	-	-			23.0	23.0	-	0.0%
Assessments:																
Business	7.9	3.6	5.4	7.0	7.6	7.1	7.3	7.3	6.5	6.7			66.4	85.4	(19.0)	-22.2%
Fees, Licenses and Permits:																
Business/Professional	1.8	2.1	1.6	1.3	9.3	3.1	2.5	2.3	0.6	0.4			25.0	29.0	(4.0)	-13.8%
Civil	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Motor Vehicle	52.2	33.2	43.1	60.6	63.4	57.4	60.7	60.3	59.6	59.9			550.4	614.6	(64.2)	-10.4%
Recreational/Consumer	-	-	0.5	-	11.0	7.7	0.1	-	0.8	0.1			20.2	30.8	(10.6)	-34.4%
Fines, Penalties and Forfeitures	2.0	1.9	2.0	1.2	3.2	2.2	1.9	1.4	1.1	2.5			19.4	22.2	(2.8)	-12.6%
Interest Earnings	0.7	0.5	0.1	-	0.1	0.1	-	0.1	0.1	-			1.7	9.7	(8.0)	-82.5%
Receipts from Public Authorities:																
Bond Proceeds	1,122.1	19.1	342.4	269.9	32.4	384.7	895.8	1.0	981.9	3.9			4,053.2	3,543.1	510.1	14.4%
Issuance Fees	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Non Bond Related	0.1	-	0.5	0.1	0.5	0.1	0.4	-	0.4	15.2			17.3	52.3	(35.0)	-66.9%
Receipts from Municipalities	-	-	0.1	-	0.3	-	0.1	-	-	0.1			0.6	3.1	(2.5)	-80.6%
Rentals	0.4	1.1	2.0	2.2	3.7	1.1	0.7	0.8	0.8	1.6			14.4	7.4	7.0	94.6%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Gifts, Grants and Donations	-	0.7	5.8	-	1.3	1.6	0.8	0.4	1.7	0.1			12.4	20.4	(8.0)	-39.2%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-			-	(0.9)	0.9	100.0%
Rebates	-	-	-	-	-	-	-	-	-	-			-	0.2	(0.2)	-100.0%
Restitution and Settlements	3.1	0.2	-	0.1	0.9	1.4	3.3	0.4	0.8	0.9			11.1	12.0	(0.9)	-7.5%
All Other	0.3	1.8	12.0	0.2	0.7	13.7	4.7	1.3	0.8	(12.8)			22.7	54.4	(31.7)	-58.3%
Sales	-	-	-	-	0.1	-	-	-	-	0.1			0.2	4.6	(4.4)	-95.7%
<b>Total Miscellaneous Receipts</b>	<b>1,190.6</b>	<b>64.2</b>	<b>415.5</b>	<b>365.6</b>	<b>134.5</b>	<b>480.2</b>	<b>978.3</b>	<b>75.3</b>	<b>1,055.1</b>	<b>78.7</b>	-	-	<b>4,838.0</b>	<b>4,511.3</b>	<b>326.7</b>	<b>7.2%</b>
Federal Receipts	-	-	-	-	-	2.1	-	-	-	-			2.1	2.3	(0.2)	-8.7%
<b>Total Receipts</b>	<b>1,264.7</b>	<b>110.5</b>	<b>523.4</b>	<b>473.8</b>	<b>238.4</b>	<b>612.6</b>	<b>1,082.9</b>	<b>176.0</b>	<b>1,175.7</b>	<b>168.6</b>	-	-	<b>5,826.6</b>	<b>5,716.4</b>	<b>110.2</b>	<b>1.9%</b>



STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - STATE  
 STATEMENT OF CASH FLOW  
 FISCAL YEAR 2020-2021  
 (amounts in millions)

EXHIBIT I

													10 Months Ended January 31			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:																
Education	12.0	-	4.9	1.0	1.0	1.4	20.3	45.6	4.2	1.3			91.7	165.2	(73.5)	-44.5%
Environment and Recreation	4.4	3.2	14.0	12.6	20.6	15.3	6.9	9.8	20.4	36.3			143.5	208.9	(65.4)	-31.3%
General Government	30.4	10.9	41.5	36.0	69.0	18.7	90.4	55.6	51.2	60.4			464.1	761.2	(297.1)	-39.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Other Public Health	29.0	58.2	30.9	37.5	76.1	25.1	50.9	41.5	45.2	41.0			435.4	407.6	27.8	6.8%
Public Safety	-	-	0.7	0.9	1.1	0.3	0.3	34.0	0.4	3.3			41.0	55.5	(14.5)	-26.1%
Public Welfare	-	33.8	73.7	71.7	53.1	110.4	24.6	7.8	96.3	16.8			488.2	353.4	134.8	38.1%
Support and Regulate Business	43.2	7.7	24.8	20.9	71.6	11.4	53.5	28.0	133.8	20.8			415.7	731.5	(315.8)	-43.2%
Transportation	2.4	4.7	14.4	50.4	104.0	316.6	432.9	456.5	155.5	154.9			1,692.3	991.2	701.1	70.7%
<b>Total Local Assistance Grants</b>	<b>121.4</b>	<b>118.5</b>	<b>204.9</b>	<b>231.0</b>	<b>396.5</b>	<b>499.2</b>	<b>679.8</b>	<b>678.8</b>	<b>507.0</b>	<b>334.8</b>			<b>3,771.9</b>	<b>3,674.5</b>	<b>97.4</b>	<b>2.7%</b>
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Capital Projects	452.1	333.5	541.8	512.4	546.9	547.4	430.2	515.8	575.6	385.8			4,841.5	4,998.0	(156.5)	-3.1%
<b>Total Disbursements</b>	<b>573.5</b>	<b>452.0</b>	<b>746.7</b>	<b>743.4</b>	<b>943.4</b>	<b>1,046.6</b>	<b>1,110.0</b>	<b>1,194.6</b>	<b>1,082.6</b>	<b>720.6</b>			<b>8,613.4</b>	<b>8,672.5</b>	<b>(59.1)</b>	<b>-0.7%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>691.2</b>	<b>(341.5)</b>	<b>(223.3)</b>	<b>(269.6)</b>	<b>(705.0)</b>	<b>(434.0)</b>	<b>(27.1)</b>	<b>(1,018.6)</b>	<b>93.1</b>	<b>(552.0)</b>			<b>(2,786.8)</b>	<b>(2,956.1)</b>	<b>169.3</b>	<b>5.7%</b>
<b>OTHER FINANCING SOURCES (USES):</b>																
Bond and Note Proceeds (net)	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
Transfers from Other Funds	(805.1)	198.0	360.6	523.4	566.3	250.0	91.3	908.3	124.1	481.9			2,698.8	3,847.7	(1,148.9)	-29.9%
Transfers to Other Funds	(12.3)	(12.4)	(12.3)	(14.0)	(36.4)	(187.0)	(12.1)	(12.1)	(54.3)	(11.6)			(364.5)	(740.1)	(375.6)	-50.7%
<b>Total Other Financing Sources (Uses)</b>	<b>(817.4)</b>	<b>185.6</b>	<b>348.3</b>	<b>509.4</b>	<b>529.9</b>	<b>63.0</b>	<b>79.2</b>	<b>896.2</b>	<b>69.8</b>	<b>470.3</b>			<b>2,334.3</b>	<b>3,107.6</b>	<b>(773.3)</b>	<b>-24.9%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(126.2)</b>	<b>(155.9)</b>	<b>125.0</b>	<b>239.8</b>	<b>(175.1)</b>	<b>(371.0)</b>	<b>52.1</b>	<b>(122.4)</b>	<b>162.9</b>	<b>(81.7)</b>			<b>(452.5)</b>	<b>151.5</b>	<b>(604.0)</b>	<b>-398.7%</b>
<b>Ending Fund Balance</b>	<b>\$ (598.4)</b>	<b>\$ (754.3)</b>	<b>\$ (629.3)</b>	<b>\$ (389.5)</b>	<b>\$ (564.6)</b>	<b>\$ (935.6)</b>	<b>\$ (883.5)</b>	<b>\$ (1,005.9)</b>	<b>\$ (843.0)</b>	<b>\$ (924.7)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (924.7)</b>	<b>\$ (481.7)</b>	<b>\$ (443.0)</b>	<b>-92.0%</b>

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - FEDERAL  
 STATEMENT OF CASH FLOW  
 FISCAL YEAR 2020-2021  
 (amounts in millions)

EXHIBIT I

												10 Months Ended January 31				
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (562.7)	\$ (556.6)	\$ (567.9)	\$ (578.6)	\$ (541.2)	\$ (515.6)	\$ (553.3)	\$ (539.6)	\$ (459.0)	\$ (351.7)			\$ (562.7)	\$ (504.7)	\$ (58.0)	-11.5%
<b>RECEIPTS:</b>																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities																
Rentals	0.1	-	0.1	-	0.1	0.1	0.1	0.1	0.1	-	-	-	0.7	0.7	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	-	-	-	-	-	0.1	-	-	-	-	-	-	0.1	-	0.1	100.0%
<b>Total Miscellaneous Receipts</b>	<b>0.1</b>	<b>-</b>	<b>0.1</b>	<b>-</b>	<b>0.1</b>	<b>0.2</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.8</b>	<b>0.7</b>	<b>0.1</b>	<b>14.3%</b>
Federal Receipts	85.7	102.7	167.9	209.1	186.7	175.1	167.7	221.9	228.2	125.9	-	-	1,670.9	1,848.2	(177.3)	-9.6%
<b>Total Receipts</b>	<b>85.8</b>	<b>102.7</b>	<b>168.0</b>	<b>209.1</b>	<b>186.8</b>	<b>175.3</b>	<b>167.8</b>	<b>222.0</b>	<b>228.3</b>	<b>125.9</b>	<b>-</b>	<b>-</b>	<b>1,671.7</b>	<b>1,848.9</b>	<b>(177.2)</b>	<b>-9.6%</b>
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	-	171.0	(171.0)	-100.0%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	1.2	-	0.6	-	-	0.3	-	-	-	2.1	39.1	(37.0)	-94.6%
Public Safety	-	-	3.1	-	-	0.4	-	-	0.9	-	-	-	4.4	28.6	(24.2)	-84.6%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	22.0	41.5	35.6	41.5	42.7	52.7	50.7	47.4	64.9	45.7	-	-	444.7	415.8	28.9	7.0%
<b>Total Local Assistance Grants</b>	<b>22.0</b>	<b>41.5</b>	<b>38.7</b>	<b>42.7</b>	<b>42.7</b>	<b>53.7</b>	<b>50.7</b>	<b>47.4</b>	<b>66.1</b>	<b>45.7</b>	<b>-</b>	<b>-</b>	<b>451.2</b>	<b>654.5</b>	<b>(203.3)</b>	<b>-31.1%</b>
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	57.7	72.5	140.0	129.0	118.5	159.3	103.4	94.0	54.9	67.7	-	-	997.0	978.8	18.2	1.9%
<b>Total Disbursements</b>	<b>79.7</b>	<b>114.0</b>	<b>178.7</b>	<b>171.7</b>	<b>161.2</b>	<b>213.0</b>	<b>154.1</b>	<b>141.4</b>	<b>121.0</b>	<b>113.4</b>	<b>-</b>	<b>-</b>	<b>1,448.2</b>	<b>1,633.3</b>	<b>(185.1)</b>	<b>-11.3%</b>
Excess (Deficiency) of Receipts over Disbursements	6.1	(11.3)	(10.7)	37.4	25.6	(37.7)	13.7	80.6	107.3	12.5	-	-	223.5	215.6	7.9	3.7%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	(176.1)	(176.1)	-100.0%
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(176.1)</b>	<b>(176.1)</b>	<b>-100.0%</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6.1	(11.3)	(10.7)	37.4	25.6	(37.7)	13.7	80.6	107.3	12.5	-	-	223.5	39.5	184.0	465.8%
Ending Fund Balance	\$ (556.6)	\$ (567.9)	\$ (578.6)	\$ (541.2)	\$ (515.6)	\$ (553.3)	\$ (539.6)	\$ (459.0)	\$ (351.7)	\$ (339.2)	\$ -	\$ -	\$ (339.2)	\$ (465.2)	\$ 126.0	27.1%

STATE OF NEW YORK  
ENTERPRISE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT J

												10 Months Ended January 31				
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 29.7	\$ 45.9	\$ 35.9	\$ 35.3	\$ 40.4	\$ 42.2	\$ 42.1	\$ 41.3	\$ 41.0	\$ 38.4			\$ 29.7	\$ 26.6	\$ 3.1	11.7%
<b>RECEIPTS:</b>																
Miscellaneous Receipts	4.2	4.8	6.4	6.9	7.7	7.0	4.4	3.9	3.1	9.6			58.0	71.6	(13.6)	-19.0%
Federal Receipts	2,584.0	5,993.3	10,834.5	8,949.5	3,020.9	2,491.7	2,489.5	2,484.9	2,184.6	4,910.9			45,943.8	14.7	45,929.1	312,442.9%
Unemployment Taxes	1,823.9	2,261.7	2,631.7	1,980.9	1,831.3	1,396.4	613.4	544.2	561.3	499.8			14,144.6	1,704.7	12,439.9	729.7%
<b>Total Receipts</b>	<b>4,412.1</b>	<b>8,259.8</b>	<b>13,472.6</b>	<b>10,937.3</b>	<b>4,859.9</b>	<b>3,895.1</b>	<b>3,107.3</b>	<b>3,033.0</b>	<b>2,749.0</b>	<b>5,420.3</b>	<b>-</b>	<b>-</b>	<b>60,146.4</b>	<b>1,791.0</b>	<b>58,355.4</b>	<b>3,258.3%</b>
<b>DISBURSEMENTS:</b>																
Departmental Operations:																
Personal Service	1.4	0.9	1.1	1.1	1.3	1.7	1.1	0.9	0.9	0.7			11.1	15.3	(4.2)	-27.5%
Non-Personal Service	3.6	4.9	5.4	4.9	4.6	4.4	4.1	3.9	4.6	3.6			44.0	50.0	(6.0)	-12.0%
General State Charges	0.2	0.1	0.2	0.1	0.1	0.2	0.1	0.2	0.2	-			1.4	1.4	-	0.0%
Unemployment Benefits	4,390.7	8,263.9	13,469.5	10,926.1	4,852.1	3,888.9	3,102.8	3,028.3	2,745.9	5,411.4			60,079.6	1,720.5	58,359.1	3,392.0%
<b>Total Disbursements</b>	<b>4,395.9</b>	<b>8,269.8</b>	<b>13,476.2</b>	<b>10,932.2</b>	<b>4,858.1</b>	<b>3,895.2</b>	<b>3,108.1</b>	<b>3,033.3</b>	<b>2,751.6</b>	<b>5,415.7</b>	<b>-</b>	<b>-</b>	<b>60,136.1</b>	<b>1,787.2</b>	<b>58,348.9</b>	<b>3,264.8%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>16.2</b>	<b>(10.0)</b>	<b>(3.6)</b>	<b>5.1</b>	<b>1.8</b>	<b>(0.1)</b>	<b>(0.8)</b>	<b>(0.3)</b>	<b>(2.6)</b>	<b>4.6</b>	<b>-</b>	<b>-</b>	<b>10.3</b>	<b>3.8</b>	<b>6.5</b>	<b>171.1%</b>
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	-	-	3.0	-	-	-	-	-	-	-			3.0	-	3.0	100.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>-</b>	<b>3.0</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>3.0</b>	<b>-</b>	<b>3.0</b>	<b>100.0%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<b>16.2</b>	<b>(10.0)</b>	<b>(0.6)</b>	<b>5.1</b>	<b>1.8</b>	<b>(0.1)</b>	<b>(0.8)</b>	<b>(0.3)</b>	<b>(2.6)</b>	<b>4.6</b>	<b>-</b>	<b>-</b>	<b>13.3</b>	<b>3.8</b>	<b>9.5</b>	<b>250.0%</b>
Ending Fund Balance	\$ 45.9	\$ 35.9	\$ 35.3	\$ 40.4	\$ 42.2	\$ 42.1	\$ 41.3	\$ 41.0	\$ 38.4	\$ 43.0	\$ -	\$ -	\$ 43.0	\$ 30.4	\$ 12.6	41.4%

STATE OF NEW YORK  
INTERNAL SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT K

													10 Months Ended January 31			
	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (297.5)	\$ (281.0)	\$ (299.2)	\$ (315.2)	\$ (342.3)	\$ (355.2)	\$ (372.7)	\$ (389.1)	\$ (416.4)	\$ (427.5)			\$ (297.5)	\$ (302.7)	\$ 5.2	1.7%
<b>RECEIPTS:</b>																
Miscellaneous Receipts	25.2	22.3	34.9	19.8	32.4	34.1	53.0	29.0	46.0	33.0			329.7	468.8	(139.1)	-29.7%
<b>Total Receipts</b>	<b>25.2</b>	<b>22.3</b>	<b>34.9</b>	<b>19.8</b>	<b>32.4</b>	<b>34.1</b>	<b>53.0</b>	<b>29.0</b>	<b>46.0</b>	<b>33.0</b>	<b>-</b>	<b>-</b>	<b>329.7</b>	<b>468.8</b>	<b>(139.1)</b>	<b>-29.7%</b>
<b>DISBURSEMENTS:</b>																
Departmental Operations:																
Personal Service	14.5	11.1	11.0	12.3	9.9	14.6	10.2	9.9	10.7	9.4			113.6	106.3	7.3	6.9%
Non-Personal Service	(9.3)	26.6	83.3	31.7	41.6	35.2	61.3	44.8	45.0	6.5			366.7	339.5	27.2	8.0%
General State Charges	4.8	5.3	8.6	4.6	5.7	4.8	4.8	4.6	4.3	4.5			52.0	48.1	3.9	8.1%
<b>Total Disbursements</b>	<b>10.0</b>	<b>43.0</b>	<b>102.9</b>	<b>48.6</b>	<b>57.2</b>	<b>54.6</b>	<b>76.3</b>	<b>59.3</b>	<b>60.0</b>	<b>20.4</b>	<b>-</b>	<b>-</b>	<b>532.3</b>	<b>493.9</b>	<b>38.4</b>	<b>7.8%</b>
Excess (Deficiency) of Receipts over Disbursements	15.2	(20.7)	(68.0)	(28.8)	(24.8)	(20.5)	(23.3)	(30.3)	(14.0)	12.6	-	-	(202.6)	(25.1)	(177.5)	-707.2%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	1.3	2.5	52.0	1.7	12.1	3.1	6.9	3.1	5.8	1.4			89.9	67.7	22.2	32.8%
Transfers to Other Funds	-	-	-	-	(0.2)	(0.1)	-	(0.1)	(2.9)	-			(3.3)	(4.3)	(1.0)	-23.3%
<b>Total Other Financing Sources (Uses)</b>	<b>1.3</b>	<b>2.5</b>	<b>52.0</b>	<b>1.7</b>	<b>11.9</b>	<b>3.0</b>	<b>6.9</b>	<b>3.0</b>	<b>2.9</b>	<b>1.4</b>	<b>-</b>	<b>-</b>	<b>86.6</b>	<b>63.4</b>	<b>23.2</b>	<b>36.6%</b>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	16.5	(18.2)	(16.0)	(27.1)	(12.9)	(17.5)	(16.4)	(27.3)	(11.1)	14.0	-	-	(116.0)	38.3	(154.3)	-402.9%
Ending Fund Balance	\$ (281.0)	\$ (299.2)	\$ (315.2)	\$ (342.3)	\$ (355.2)	\$ (372.7)	\$ (389.1)	\$ (416.4)	\$ (427.5)	\$ (413.5)	\$ -	\$ -	\$ (413.5)	\$ (264.4)	\$ (149.1)	-56.4%

STATE OF NEW YORK  
PENSION TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT L

	2020										2021			10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase Decrease	
Beginning Fund Balance	\$ (1.1)	\$ (5.3)	\$ (9.3)	\$ (15.3)	\$ (1.7)	\$ (5.9)	\$ (4.2)	\$ (2.9)	\$ (3.6)	\$ (1.3)			\$ (1.1)	\$ (3.0)	\$ 1.9	63.3%	
<b>RECEIPTS:</b>																	
Miscellaneous Receipts	8.3	5.5	5.4	23.5	5.6	14.3	11.0	5.6	15.8	5.6			100.6	118.1	(17.5)	-14.8%	
<b>Total Receipts</b>	<b>8.3</b>	<b>5.5</b>	<b>5.4</b>	<b>23.5</b>	<b>5.6</b>	<b>14.3</b>	<b>11.0</b>	<b>5.6</b>	<b>15.8</b>	<b>5.6</b>	-	-	<b>100.6</b>	<b>118.1</b>	<b>(17.5)</b>	<b>-14.8%</b>	
<b>DISBURSEMENTS:</b>																	
Departmental Operations:																	
Personal Service	8.3	5.5	5.6	5.5	5.5	8.3	5.6	5.5	5.6	5.5			60.9	57.2	3.7	6.5%	
Non-Personal Service	0.7	0.6	0.6	1.0	0.9	0.8	0.7	0.7	2.7	2.2			10.9	30.7	(19.8)	-64.5%	
General State Charges	3.5	3.4	5.2	3.4	3.4	3.5	3.4	0.1	5.2	3.4			34.5	33.3	1.2	3.6%	
<b>Total Disbursements</b>	<b>12.5</b>	<b>9.5</b>	<b>11.4</b>	<b>9.9</b>	<b>9.8</b>	<b>12.6</b>	<b>9.7</b>	<b>6.3</b>	<b>13.5</b>	<b>11.1</b>	-	-	<b>106.3</b>	<b>121.2</b>	<b>(14.9)</b>	<b>-12.3%</b>	
Excess (Deficiency) of Receipts over Disbursements	(4.2)	(4.0)	(6.0)	13.6	(4.2)	1.7	1.3	(0.7)	2.3	(5.5)	-	-	(5.7)	(3.1)	(2.6)	-83.9%	
<b>OTHER FINANCING SOURCES (USES):</b>																	
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%	
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%	
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	-	-	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.0%</b>	
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(4.2)	(4.0)	(6.0)	13.6	(4.2)	1.7	1.3	(0.7)	2.3	(5.5)	-	-	(5.7)	(3.1)	(2.6)	-83.9%	
Ending Fund Balance	\$ (5.3)	\$ (9.3)	\$ (15.3)	\$ (1.7)	\$ (5.9)	\$ (4.2)	\$ (2.9)	\$ (3.6)	\$ (1.3)	\$ (6.8)	\$ -	\$ -	\$ (6.8)	\$ (6.1)	\$ (0.7)	-11.5%	

STATE OF NEW YORK  
PRIVATE PURPOSE TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2020-2021  
(amounts in millions)

EXHIBIT M

	2020												2021				10 Months Ended January 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2021	2020	\$ Increase/ (Decrease)	% Increase/ Decrease				
Beginning Fund Balance	\$ 14.3	\$ 14.4	\$ 14.5	\$ 14.6	\$ 14.7	\$ 14.1	\$ 14.1	\$ 14.2	\$ 14.2	\$ 14.2			\$ 14.3	\$ 13.2	\$ 1.1	8.3%				
<b>RECEIPTS:</b>																				
Miscellaneous Receipts	0.2	0.1	0.1	0.2	(0.6)	-	0.2	-	-	0.1			0.3	1.2	(0.9)	-75.0%				
<b>Total Receipts</b>	<b>0.2</b>	<b>0.1</b>	<b>0.1</b>	<b>0.2</b>	<b>(0.6)</b>	<b>-</b>	<b>0.2</b>	<b>-</b>	<b>-</b>	<b>0.1</b>	<b>-</b>	<b>-</b>	<b>0.3</b>	<b>1.2</b>	<b>(0.9)</b>	<b>-75.0%</b>				
<b>DISBURSEMENTS:</b>																				
Departmental Operations:																				
Personal Service	-	-	-	0.1	-	-	0.1	-	-	-			0.2	0.2	-	0.0%				
Non-Personal Service	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%				
General State Charges	0.1	-	-	-	-	-	-	-	-	-			0.1	0.1	-	0.0%				
<b>Total Disbursements</b>	<b>0.1</b>	<b>-</b>	<b>-</b>	<b>0.1</b>	<b>-</b>	<b>-</b>	<b>0.1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.3</b>	<b>0.3</b>	<b>-</b>	<b>0.0%</b>				
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>(0.6)</b>	<b>-</b>	<b>0.1</b>	<b>-</b>	<b>-</b>	<b>0.1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.9</b>	<b>(0.9)</b>	<b>-100.0%</b>				
<b>OTHER FINANCING SOURCES (USES):</b>																				
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%				
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-			-	-	-	0.0%				
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.0%</b>				
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>(0.6)</b>	<b>-</b>	<b>0.1</b>	<b>-</b>	<b>-</b>	<b>0.1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.9</b>	<b>(0.9)</b>	<b>-100.0%</b>				
Ending Fund Balance	\$ 14.4	\$ 14.5	\$ 14.6	\$ 14.7	\$ 14.1	\$ 14.1	\$ 14.2	\$ 14.2	\$ 14.2	\$ 14.3	\$ -	\$ -	\$ 14.3	\$ 14.1	\$ 0.2	1.4%				

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FISCAL YEAR 2020-2021  
FOR THE MONTH OF JANUARY 2021  
(amounts in millions)**

**SCHEDULE 1**

	<b>BALANCE JANUARY 1, 2021</b>	<b>RECEIPTS</b>	<b>DISBURSEMENTS</b>	<b>OTHER FINANCING SOURCES (USES)</b>	<b>BALANCE JANUARY 31, 2021</b>
<b>GENERAL FUND</b>					
10000-10049-Local Assistance Account	\$ -	\$ 0.061	\$ 1,894.707	\$ 1,894.646	\$ -
10050-10099-State Operations Account	16,522.622	3,809.483	536.541	(492.653)	19,302.911
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	29.774	-	0.014	-	29.760
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
<b>TOTAL GENERAL FUND</b>	<b>16,552.396</b>	<b>3,809.544</b>	<b>2,431.262</b>	<b>1,401.993</b>	<b>19,332.671</b>
<b>SPECIAL REVENUE FUNDS-STATE</b>					
20000-20099-Mental Health Gifts and Donations	0.831	-	0.011	-	0.820
20100-20299-Combined Expendable Trust	69.851	0.211	0.268	-	69.794
20300-20349-New York Interest on Lawyer Account	109.087	2.223	2.698	-	108.612
20350-20399-NYS Archives Partnership Trust	0.030	-	0.032	-	(0.002)
20400-20449-Child Performer's Protection	0.344	-	0.039	-	0.305
20450-20499-Tuition Reimbursement	8.546	0.067	0.216	-	8.397
20500-20549-New York State Local Government Records Management Improvement	5.985	0.940	0.264	-	6.661
20550-20599-School Tax Relief	12.573	1,972.000	1,981.693	-	2.880
20600-20649-Charter Schools Stimulus	0.578	-	-	-	0.578
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	204.855	444.110	505.138	(0.680)	143.147
20850-20899-Dedicated Mass Transportation Trust	84.169	42.881	58.900	-	68.150
20900-20949-State Lottery	(1,141.056)	315.332	149.004	-	(974.728)
20950-20999-Combined Student Loan	32.369	1.788	0.865	-	33.292
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.913)	-	0.052	-	(3.965)
21050-21149-Encon Special Revenue	5.560	6.208	8.209	-	3.559
21150-21199-Conservation	104.758	1.076	3.046	-	102.788
21200-21249-Environmental Protection and Oil Spill Compensation	15.468	1.848	1.546	(1.937)	13.833
21250-21299-Training and Education Program on OSHA	3.212	7.001	1.759	-	8.454
21300-21349-Lawyers' Fund for Client Protection	10.128	0.663	2.072	-	8.719
21350-21399-Equipment Loan for the Disabled	0.535	0.002	-	-	0.537
21400-21449-Mass Transportation Operating Assistance	27.432	164.193	1.831	(0.107)	189.687
21450-21499-Clean Air	(32.524)	3.264	2.501	-	(31.761)
21500-21549-New York State Infrastructure Trust	0.071	-	-	-	0.071
21550-21599-Legislative Computer Services	12.274	0.205	0.139	-	12.340
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.469	-	-	-	0.469
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	-	-	-	-	-
21850-21899-Arts Capital Grants	0.836	0.001	-	-	0.837
21900-22499-Miscellaneous State Special Revenue	1,743.461	222.361	212.087	22.608	1,776.343
22500-22549-Court Facilities Incentive Aid	31.338	0.002	15.291	-	16.049

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FISCAL YEAR 2020-2021  
FOR THE MONTH OF JANUARY 2021  
(amounts in millions)

SCHEDULE 1

	BALANCE JANUARY 1, 2021	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE JANUARY 31, 2021
<b>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</b>					
22550-22599-Employment Training	0.053	-	-	-	0.053
22650-22699-State University Income	1,933.607	434.949	493.585	39.910	1,914.881
22700-22749-Chemical Dependence Service	11.176	0.204	0.708	-	10.672
22750-22799-Lake George Park Trust	0.058	-	0.081	-	(0.023)
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	93.664	15.425	0.480	(37.473)	71.136
22850-22899-New York Great Lakes Protection	0.447	-	0.011	-	0.436
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	9.899	0.002	(1.378)	-	11.279
23000-23049-NYS/DOT Highway Safety Program	(16.693)	0.109	0.256	-	(16.840)
23050-23099-Vocational Rehabilitation	0.071	-	-	-	0.071
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(50.731)	-	2.361	-	(53.092)
23200-23249-Judiciary Data Processing Offset	-	4.655	2.722	-	61.228
23250-23449-IFR/CUTRA	166.906	1.662	5.000	-	163.568
23500-23549-USOC Lake Placid Training	0.236	0.001	-	-	0.237
23550-23599-Indigent Legal Services	524.737	20.951	2.505	1.190	544.373
23600-23649-Unemployment Insurance Interest and Penalty	22.247	0.937	0.090	-	23.094
23650-23699-MTA Financial Assistance Fund	186.602	0.035	10.000	12.500	189.137
23700-23749-New York State Commercial Gaming Fund	43.151	11.007	7.644	-	46.514
23750-23799-Medical Marihuana Trust Fund	12.934	0.974	0.400	-	13.508
23800-23899-Dedicated Miscellaneous State Special Revenue	4.266	0.150	0.032	-	4.384
24850-24899-Health Care Transformation	397.852	50.037	-	-	447.889
24900-24949-Charitable Gifts Trust Fund	95.930	0.011	-	-	95.941
24950-24999-Interactive Fantasy Sports	22.998	0.738	-	-	23.736
40350-40399-State University Dormitory Income	182.637	19.925	-	(10.659)	191.903
<b>TOTAL SPECIAL REVENUE FUNDS-STATE</b>	<b>5,003.282</b>	<b>3,748.148</b>	<b>3,472.158</b>	<b>25.352</b>	<b>5,304.624</b>
<b>SPECIAL REVENUE FUNDS-FEDERAL</b>					
25000-25099-Federal USDA/Food and Consumer Services	(9.723)	422.166	402.514	(0.998)	8.931
25100-25199-Federal Health and Human Services	3,832.617	4,300.921	4,742.992	(189.701)	3,200.845
25200-25249-Federal Education	(11.860)	149.589	164.294	(0.324)	(26.889)
25300-25899-Federal Miscellaneous Operating Grants	1,966.695	906.085	973.240	-	1,899.540
25900-25949-Unemployment Insurance Administration	2.194	5.399	87.347	-	(79.754)
25950-25999-Unemployment Insurance Occupational Training	(0.523)	0.117	0.058	-	(0.464)
26000-26049-Federal Employment and Training Grants	(4.109)	8.191	17.004	-	(12.922)
<b>TOTAL SPECIAL REVENUE FUNDS-FEDERAL</b>	<b>5,775.291</b>	<b>5,792.468</b>	<b>6,387.449</b>	<b>(191.023)</b>	<b>4,989.287</b>
<b>TOTAL SPECIAL REVENUE FUNDS</b>	<b>10,778.573</b>	<b>9,540.616</b>	<b>9,859.607</b>	<b>(165.671)</b>	<b>10,293.911</b>
<b>DEBT SERVICE FUNDS</b>					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	280.375	20.580	-	73.695	374.650
40150-40199-General Debt Service	3,144.695	5,025.745	10.660	(1,367.017)	6,792.763
40250-40299-State Housing Debt Service	-	0.631	-	(0.631)	-
40300-40349-Department of Health Income	31.643	(2.743)	-	(8.270)	20.630
40400-40449-Clean Water/Clean Air	17.127	110.922	-	(106.927)	21.122
40450-40499-Local Government Assistance Tax	-	298.870	-	(298.870)	-
<b>TOTAL DEBT SERVICE FUNDS</b>	<b>3,473.840</b>	<b>5,454.005</b>	<b>10.660</b>	<b>(1,708.020)</b>	<b>7,209.165</b>



**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FISCAL YEAR 2020-2021  
FOR THE MONTH OF JANUARY 2021  
(amounts in millions)**

**SCHEDULE 1**

	<b>BALANCE JANUARY 1, 2021</b>	<b>RECEIPTS</b>	<b>DISBURSEMENTS</b>	<b>OTHER FINANCING SOURCES (USES)</b>	<b>BALANCE JANUARY 31, 2021</b>
<b>CAPITAL PROJECTS FUNDS</b>					
30000-30049-State Capital Projects	-	5,615	436,696	431,081	-
30050-30099-Dedicated Highway and Bridge Trust	(308,838)	146,749	140,782	(10,649)	(313,520)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	108,923	0,012	0,527	-	108,408
30300-30349-New York State Canal System Development	14,100	0,001	-	-	14,101
30350-30399-Parks Infrastructure	(55,768)	1,959	(0,679)	-	(53,130)
30400-30449-Passenger Facility Charge	0,015	-	-	-	0,015
30450-30499-Environmental Protection	102,300	12,277	27,249	-	87,328
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0,164	-	-	-	0,164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0,668	-	-	-	0,668
30630-30639-Transportation Capital Facilities Bond	3,328	-	-	-	3,328
30640-30649-Environmental Quality Protection Bond	1,419	-	-	-	1,419
30650-30659-Rebuild and Renew New York Transportation Bond	17,200	-	-	-	17,200
30660-30669-Transportation Infrastructure Renewal Bond	4,255	-	-	-	4,255
30670-30679-1986 Environmental Quality Bond Act	5,551	-	-	-	5,551
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2,778	-	-	-	2,778
30690-30699-Clean Water/Clean Air Bond	1,428	-	-	-	1,428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(351,726)	125,928	113,410	-	(339,208)
31450-31499-Forest Preserve Expansion	1,082	-	-	-	1,082
31500-31549-Hazardous Waste Remedial	(66,088)	(6,420)	8,808	(0,368)	(81,684)
31650-31699-Suburban Transportation	0,540	-	-	-	0,540
31700-31749-Division for Youth Facilities Improvement	(12,388)	0,517	1,140	-	(13,011)
31800-31849-Housing Assistance	(12,942)	-	-	-	(12,942)
31850-31899-Housing Program	(254,604)	-	15,512	-	(270,116)
31900-31949-Natural Resource Damage	16,874	1,428	0,085	-	18,217
31950-31999-DOT Engineering Services	(11,956)	-	-	-	(11,956)
32200-32249-Miscellaneous Capital Projects	101,420	2,391	8,484	1,391	96,718
32250-32299-CUNY Capital Projects	0,037	-	-	-	0,037
32300-32349-Mental Hygiene Facilities Capital Improvement	(457,701)	3,948	16,328	-	(470,081)
32350-32399-Correction Facilities Capital Improvement	(194,382)	0,003	20,609	-	(214,988)
32400-32999-State University Capital Projects	140,119	0,163	5,601	(1,144)	133,537
33000-33049-NYS Storm Recovery Fund	(55,576)	-	0,224	-	(55,800)
33050-33099-Dedicated Infrastructure Investment Fund	65,037	-	39,273	50,000	75,764
<b>TOTAL CAPITAL PROJECTS FUNDS</b>	<b>(1,194,731)</b>	<b>294,571</b>	<b>834,049</b>	<b>470,311</b>	<b>(1,263,898)</b>
<b>TOTAL GOVERNMENTAL FUNDS</b>	<b>\$ 29,610,078</b>	<b>\$ 19,098,736</b>	<b>\$ 13,135,578</b>	<b>\$ (1,387)</b>	<b>\$ 35,571,849</b>

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
 CHANGES IN FUND BALANCES  
 FISCAL YEAR 2020-2021  
 FOR THE MONTH OF JANUARY 2021  
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>BALANCE JANUARY 1, 2021</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE JANUARY 31, 2021</u>
<b><u>ENTERPRISE FUNDS</u></b>					
50000-50049-Youth Commissary	\$ 0.119	\$ 0.002	\$ -	\$ -	\$ 0.121
50050-50099-State Exposition Special	1.104	0.058	0.396	-	0.766
50100-50299-Correctional Services Commissary	3.103	3.715	2.985	-	3.833
50300-50399-Agencies Enterprise	7.807	5.716	0.881	-	12.642
50400-50449-Sheltered Workshop	2.252	0.016	0.017	-	2.251
50450-50499-Patient Workshop	2.132	-	0.008	-	2.124
50500-50599-Mental Hygiene Community Stores	4.883	0.072	0.087	-	4.868
50650-50699-Unemployment Insurance	16.993	5,410.704	5,411.349	-	16.348
<b>TOTAL ENTERPRISE FUNDS</b>	<b>38.393</b>	<b>5,420.283</b>	<b>5,415.723</b>	<b>-</b>	<b>42.953</b>
<b><u>INTERNAL SERVICE FUNDS</u></b>					
55000-55049-Centralized Services	(133.669)	18.371	32.423	0.751	(146.970)
55050-55099-Agency Internal Service	(180.275)	7.383	(21.048)	0.669	(151.175)
55100-55149-Mental Hygiene Revolving	(0.190)	0.025	0.054	-	(0.219)
55150-55199-Youth Vocational Education	0.081	-	-	-	0.081
55200-55249-Joint Labor and Management Administration	1.019	-	0.060	-	0.959
55250-55299-Audit and Control Revolving	(55.699)	3.007	3.873	(0.033)	(56.598)
55300-55349-Health Insurance Revolving	(7.992)	0.003	0.886	-	(8.875)
55350-55399-Correctional Industries Revolving	(50.777)	4.138	4.104	-	(50.743)
<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>(427.502)</b>	<b>32.927</b>	<b>20.352</b>	<b>1.387</b>	<b>(413.540)</b>
<b>TOTAL PROPRIETARY FUNDS</b>	<b>\$ (389.109)</b>	<b>\$ 5,453.210</b>	<b>\$ 5,436.075</b>	<b>\$ 1.387</b>	<b>\$ (370.587)</b>

STATE OF NEW YORK  
 FIDUCIARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FISCAL YEAR 2020-2021  
 FOR THE MONTH OF JANUARY 2021  
 (amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>BALANCE JANUARY 1, 2021</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE JANUARY 31, 2021</u>
<b><u>PENSION TRUST FUNDS</u></b>					
65000-65049-Common Retirement Administration	\$ (1.319)	\$ 5.640	\$ 11.139	\$ -	\$ (6.818)
<b>TOTAL PENSION TRUST FUNDS</b>	<b>(1.319)</b>	<b>5.640</b>	<b>11.139</b>	<b>-</b>	<b>(6.818)</b>
<b><u>PRIVATE PURPOSE TRUST FUNDS</u></b>					
66000-66049-Agriculture Producers' Security	3.006	0.001	0.017	-	2.990
66050-66099-Milk Producers' Security	11.229	0.074	0.020	-	11.283
<b>TOTAL PRIVATE PURPOSE TRUST FUNDS</b>	<b>14.235</b>	<b>0.075</b>	<b>0.037</b>	<b>-</b>	<b>14.273</b>
<b><u>AGENCY FUNDS</u></b>					
60050-60149-School Capital Facilities Financing Reserve	17.973	(0.158)	-	-	17.815
60150-60199-Child Performer's Holding	0.538	-	-	-	0.538
60200-60249-Employees Health Insurance	1,145.641	841.648	904.920	-	1,082.369
60250-60299-Social Security Contribution	14.998	97.182	96.878	-	15.302
60300-60399-Employee Payroll Withholding	36.944	354.534	351.414	-	40.064
60400-60449-Employees Dental Insurance	16.921	5.049	5.717	-	16.253
60450-60499-Management Confidential Group Insurance	0.679	0.767	0.766	-	0.680
60500-60549-Lottery Prize	695.723	121.942	101.797	-	715.868
60550-60599-Health Insurance Reserve Receipts	0.146	-	-	-	0.146
60600-60799-Miscellaneous New York State Agency	869.140	740.076	467.254	-	1,141.962
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	28.398	7.401	7.455	-	28.344
60850-60899-CUNY Senior College Operating	58.588	180.489	190.413	-	48.664
60900-60949-Medicaid Management Information System (MMIS) Escrow	445.767	6,156.507	6,354.848	-	247.426
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collector	116.658	213.850	-	-	330.508
61100-61999-State University Federal Direct Lending Program	(2.469)	143.730	263.908	-	(122.647)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
<b>TOTAL AGENCY FUNDS</b>	<b>3,445.645</b>	<b>8,863.017</b>	<b>8,745.370</b>	<b>-</b>	<b>3,563.292</b>
<b>TOTAL FIDUCIARY FUNDS</b>	<b>\$ 3,458.561</b>	<b>\$ 8,868.732</b>	<b>\$ 8,756.546</b>	<b>\$ -</b>	<b>\$ 3,570.747</b>

STATE OF NEW YORK  
SOLE CUSTODY AND INVESTMENT ACCOUNTS  
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2020-2021  
FOR THE MONTH OF JANUARY 2021  
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BALANCE JANUARY 1, 2021</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE JANUARY 31, 2021</u>
<b>ACCOUNTS</b>				
70000-70049-Tobacco Settlement	\$ 2.888	\$ 0.001	\$ -	\$ 2.889
70093, 70095, 70300-70301-MTA State Assistance	86.193	260.535	72.606	274.122
70050-70149-Sole Custody Investment (*)	2,036.872	1,651.276	1,438.290	2,249.858
70200-Comptroller's Refund Account	-	283.213	283.213	-
<b>TOTAL ACCOUNTS</b>	<b>\$ 2,125.953</b>	<b>\$ 2,195.025</b>	<b>\$ 1,794.109</b>	<b>\$ 2,526.869</b>

**(\*) Includes Public Asset Fund resources:**

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of January 31, 2021, \$9,544,127.83 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF DIRECT STATE DEBT ACTIVITY  
FISCAL YEAR 2020-2021

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2020	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING JANUARY 31, 2021	INTEREST DISBURSED	
		MONTH OF JANUARY	10 MONTHS ENDED JANUARY 31, 2021	MONTH OF JANUARY	10 MONTHS ENDED JANUARY 31, 2021		MONTH OF JANUARY	10 MONTHS ENDED JANUARY 31, 2021
<b>GENERAL OBLIGATION BONDED DEBT:</b>								
Accelerated Capacity and Transportation Improvements	\$ 11,445,463	\$ -	\$ -	\$ -	\$ 753,209	\$ 10,692,254	\$ -	\$ 266,791
Clean Water/Clean Air:								
Air Quality	1,795,354	-	-	-	33,020	1,762,334	-	26,899
Safe Drinking Water	-	-	-	-	-	-	-	-
Clean Water	298,595,491	-	-	-	10,401,850	288,193,641	-	6,665,835
Solid Waste	16,287,590	-	-	-	1,660,096	14,627,494	-	356,253
Environmental Restoration	40,070,447	-	-	-	1,272,879	38,797,568	-	934,506
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	1,198,754	-	-	-	176,298	1,022,456	-	48,908
Environmental Quality (1972):								
Air	3,184	-	-	-	-	3,184	-	64
Land and Wetlands	4,939,861	-	-	-	58,254	4,881,607	-	123,345
Water	6,370,803	-	-	-	715,000	5,655,803	-	168,874
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	5,309,545	-	-	-	489,935	4,819,610	-	127,464
Solid Waste Management	91,992,747	-	-	-	7,648,053	84,344,694	-	2,522,780
Housing:								
Low Income	5,840,000	-	-	-	1,060,000	4,780,000	-	87,600
Middle Income	4,035,000	-	-	-	2,240,000	1,795,000	-	80,718
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-
Pure Waters	15,498,329	-	-	-	1,892,879	13,605,450	-	422,592
Rail Preservation Development	-	-	-	-	-	-	-	-
Rebuild and Renew New York Transportation:								
Highway Facilities	600,658,226	-	-	-	6,160,202	594,498,024	-	11,688,489
Canals and Waterways	9,419,680	-	-	-	507,158	8,912,522	-	215,317
Aviation	41,089,448	-	-	-	-	41,089,448	-	643,951
Rail and Port	92,824,245	-	-	-	-	92,824,245	-	1,413,133
Mass Transit - Dept. of Transportation	12,168,734	-	-	-	-	12,168,734	-	286,617
Mass Transit - Metropolitan Transportation Authority	705,163,311	-	-	-	6,598,903	698,564,408	-	15,230,696
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	553,992	-	-	-	16,615	537,377	-	50,864
Rapid Transit, Rail and Aviation	2,042,563	-	-	-	479,171	1,563,392	-	49,370
Smart Schools Bond Act	161,307,133	-	-	-	-	161,307,133	-	4,031,427
Transportation Capital Facilities:								
Aviation	2,090,099	-	-	-	441,478	1,648,621	-	90,412
Mass Transportation	-	-	-	-	-	-	-	-
<b>Total General Obligation Bonded Debt</b>	<b>\$ 2,130,699,999</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 42,605,000</b>	<b>\$ 2,088,094,999</b>	<b>\$ -</b>	<b>\$ 45,532,905</b>

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
FINANCING AGREEMENTS  
FOR THE TEN MONTHS ENDED JANUARY 31, 2021

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	SALES TAX	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
	REDUCTION	DEBT	OF HEALTH	GOVERNMENT	HEALTH	BOND	REVENUE BOND	10 MONTHS ENDED JANUARY 31		
	RESERVE	SERVICE	INCOME	ASSISTANCE	SERVICES	TAX <sup>(*)</sup>	TAX	2021	2020	
(40000-40049)	(40151)	(40300-40349)	(40450-40499)	(40100-40149)	(40152)	(40154)	(40154)			
<b>Payments to Public Authorities:</b>										
City University Construction	\$ -	\$ 7,115,904	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,115,904	\$ 71,889,337	\$ (64,773,433)
Dormitory Authority:										
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	54,551,063	(54,551,063)
DASNY Revenue Bond	-	-	-	-	-	1,737,463,049	223,441,555	1,960,904,604	542,837,785	1,418,066,819
Department of Health Facilities	-	-	25,467,940	-	-	-	-	25,467,940	26,157,902	(689,962)
Mental Health Facilities	-	-	-	-	8,334,210	-	-	8,334,210	7,095,959	1,238,251
Secured Hospital Program	-	2,495,165	-	-	-	-	-	2,495,165	3,829,093	(1,333,928)
SUNY Community Colleges	-	8,347,200	-	-	-	-	-	8,347,200	5,928,700	2,418,500
SUNY Educational Facilities	-	25,819,812	-	-	-	-	-	25,819,812	18,022,938	7,796,874
Environmental Facilities Corporation	-	-	-	-	-	17,266,263	-	17,266,263	17,269,722	(3,459)
Housing Finance Agency	-	15,828,552	-	-	-	-	-	15,828,552	17,642,733	(1,814,181)
Local Government Assistance Corporation	-	-	-	-	-	-	-	-	21,302,971	(21,302,971)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	-	-
Thruway Authority:										
Dedicated Highway and Bridge	-	102,143,862	-	-	-	-	-	102,143,862	442,238,607	(340,094,745)
Local Highway and Bridge	-	-	-	-	-	-	-	-	21,772,000	(21,772,000)
Transportation	-	-	-	-	-	17,821,175	-	17,821,175	27,320,113	(9,498,938)
Urban Development Corporation:										
Clarkson University	-	-	-	-	-	-	-	-	448,350	(448,350)
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	23,405,116	-	-	-	-	-	23,405,116	78,840,807	(55,435,691)
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	555,750	(555,750)
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	286,525,473	-	286,525,473	92,438,900	194,086,573
University Facilities Grant 95 Refunding	-	11,603	-	-	-	-	-	11,603	1,770,144	(1,758,541)
<b>Total Disbursements for Special Contractual Financing Obligations</b>	<b>\$ -</b>	<b>\$ 185,167,214</b>	<b>\$ 25,467,940</b>	<b>\$ -</b>	<b>\$ 8,334,210</b>	<b>\$ 2,059,075,960</b>	<b>\$ 223,441,555</b>	<b>\$ 2,501,486,879</b>	<b>\$ 1,451,912,874</b>	<b>\$ 1,049,574,005</b>

(\*) Debt Service does not include interest paid on Revenue Anticipation Notes (General Purpose), Series 2020A and 2020B that were reimbursed from the Coronavirus Relief Fund within the Special Revenue Federal Funds.

STATE OF NEW YORK  
SUMMARY OF THE OPERATING FUND INVESTMENTS  
FOR THE MONTH OF JANUARY 2021  
AS REQUIRED OF THE STATE COMPTROLLER  
(amounts in millions)

SCHEDULE 6

	MONTH OF JANUARY 2021	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE
<b>SHORT TERM INVESTMENT POOL (*)</b>			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 37,077.0	\$ 29,554.3	\$ 19,276.8
AVERAGE YIELD (**)	0.126%	0.201%	2.146%
TOTAL INVESTMENT EARNINGS	\$ 3.887	\$ 51.808	\$ 352.795

<b>Month-End Portfolio Balances</b>		
DESCRIPTION	JANUARY 2021 PAR AMOUNT	JANUARY 2020 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	\$ 18,898.5	\$ 6,624.5
REPURCHASE AGREEMENTS	119.3	307.4
GOVT. SPONSORED AGENCIES	700.0	-
COMMERCIAL PAPER	19,113.6	16,197.5
CERTIFICATES OF DEPOSIT/SAVINGS	1,991.1	2,939.7
0% COMPENSATING BALANCE CDs	723.0	78.0
	<b>\$ 41,545.5</b>	<b>\$ 26,147.1</b>

(\*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period not to exceed the end of the fiscal year. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(\*\*) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT  
 FISCAL YEAR 2020-2021

APPENDIX A

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31, 2021
<b>OPENING CASH BALANCE</b>	<b>\$ 15,704,540</b>	<b>\$ 95,764,658</b>	<b>\$ 80,082,746</b>	<b>\$ 490,512,199</b>	<b>\$ 186,132,186</b>	<b>\$ 217,721,195</b>	<b>\$ 104,334,501</b>	<b>\$ 181,581,146</b>	<b>\$ 200,742,306</b>	<b>\$ 204,855,179</b>			<b>\$ 15,704,540</b>
<b>RECEIPTS:</b>													
Cigarette Tax	68,786,104	51,352,065	60,187,824	68,798,997	60,405,723	70,952,125	55,756,250	59,065,187	60,999,901	61,273,605			617,577,781
State Share of NYC Cigarette Tax	2,160,000	1,133,000	1,346,000	1,945,000	1,308,000	2,075,000	1,665,000	1,555,000	1,749,000	1,868,000			16,804,000
Vapor Excise Tax	25,877	69,976	11,670,725	(348,272)	(123,922)	7,357,137	6,765	27,573	6,801,844	9,407			25,497,110
STIP Interest	382,848	258,771	58,513	43,854	53,717	52,869	41,598	38,448	48,760	55,912			1,035,290
Public Asset Transfers	-	-	-	-	-	-	-	-	-	-			-
Assessments	478,443,458	390,720,867	437,012,587	376,078,217	389,691,001	454,153,792	435,070,365	401,657,632	444,521,644	376,057,991			4,183,407,554
Fees	327,000	46,000	561,000	930,000	776,000	1,404,000	199,000	390,000	2,067,000	1,103,000			7,803,000
Rebates	12,000	4,545,140	5,975,618	9,916,208	2,000,366	4,881,029	2,789,602	3,632,553	4,734,992	3,713,400			42,200,908
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-			-
Miscellaneous	-	-	297,248	-	1,148	-	483	-	22,682	28,874			350,435
<b>Total Receipts</b>	<b>550,137,287</b>	<b>448,125,819</b>	<b>517,109,515</b>	<b>457,364,004</b>	<b>454,112,033</b>	<b>540,875,952</b>	<b>495,529,063</b>	<b>466,366,393</b>	<b>520,945,823</b>	<b>444,110,189</b>			<b>4,894,676,078</b>
<b>DISBURSEMENTS:</b>													
Grants	466,021,724	462,778,011	97,733,246	756,144,082	414,416,738	642,214,484	404,418,743	442,607,097	510,453,711	501,400,673			4,698,188,509
Interest - Late Payments	36	22	26,224	11,452	(5,834)	(3,803)	610	203	(642)	84			28,352
Personal Service	1,509,162	534,992	1,290,941	270,686	1,523,044	750,054	1,609,108	983,288	345,679	1,628,961			10,445,915
Non-Personal Service	55,956	(291,867)	5,284,609	4,077,923	3,349,084	7,839,793	3,878,285	2,812,150	5,182,277	1,084,498			33,272,708
Employee Benefits/Indirect Costs	612,447	299,051	1,164,130	638,546	568,694	194,836	891,073	593,884	511,315	1,024,088			6,498,064
<b>Total Disbursements</b>	<b>468,199,325</b>	<b>463,320,209</b>	<b>105,499,150</b>	<b>761,142,689</b>	<b>419,851,726</b>	<b>650,995,364</b>	<b>410,797,819</b>	<b>446,996,622</b>	<b>516,492,340</b>	<b>505,138,304</b>			<b>4,748,433,548</b>
<b>OPERATING TRANSFERS:</b>													
Transfers to Capital Projects Fund	-	-	-	-	-	-	-	-	-	-			-
Transfers to General Fund	-	-	297,248	-	1,147	-	484	-	132,000	1,607			432,486
Transfers to Revenue Bond Tax Fund	-	-	-	-	2,276,000	2,650,324	-	-	-	-			4,926,324
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	989,254	-	-	-	-	222,807	(1)	1	-	-			1,212,061
Empire State Stem Cell Trust Account	-	-	-	-	-	-	7,100,000	-	-	-			7,100,000
Transfers to SUNY Income Fund	888,590	487,522	883,664	601,328	394,151	394,151	384,116	208,610	208,610	678,612			5,129,354
<b>Total Operating Transfers</b>	<b>1,877,844</b>	<b>487,522</b>	<b>1,180,912</b>	<b>601,328</b>	<b>2,671,298</b>	<b>3,267,282</b>	<b>7,484,599</b>	<b>208,611</b>	<b>340,610</b>	<b>680,219</b>			<b>18,800,225</b>
<b>Total Disbursements and Transfers</b>	<b>470,077,169</b>	<b>463,807,731</b>	<b>106,680,062</b>	<b>761,744,017</b>	<b>422,523,024</b>	<b>654,262,646</b>	<b>418,282,418</b>	<b>447,205,233</b>	<b>516,832,950</b>	<b>505,818,523</b>			<b>4,767,233,773</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 95,764,658</b>	<b>\$ 80,082,746</b>	<b>\$ 490,512,199</b>	<b>\$ 186,132,186</b>	<b>\$ 217,721,195</b>	<b>\$ 104,334,501</b>	<b>\$ 181,581,146</b>	<b>\$ 200,742,306</b>	<b>\$ 204,855,179</b>	<b>\$ 143,146,845</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 143,146,845</b>



STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2020-21

APPENDIX B

Program/Purpose	Appropriation Amount (*)	January	10 Months Ended January 31, 2021 (**)
<b>CENTER FOR COMMUNITY HEALTH PROGRAM</b>	<b>8,752,000.00</b>	<b>207,592.67</b>	<b>2,212,758.09</b>
CENTER FOR COMMUNITY HLTH	8,752,000.00	207,592.67	2,212,758.09
<b>CHILD HEALTH INSURANCE PROGRAM</b>	<b>2,134,768,000.00</b>	<b>58,543,431.60</b>	<b>456,311,646.07</b>
CHILD HEALTH INSURANCE	2,134,768,000.00	58,543,431.60	456,311,646.07
<b>COMMUNITY SUPPORT PROGRAM</b>	<b>120,000.00</b>	-	-
COMMUNITY SUPPORT	120,000.00	-	-
<b>ELDERLY PHARMACEUTICAL INS COVERAGE PRG</b>	<b>384,850,000.00</b>	<b>9,354,126.71</b>	<b>87,168,145.38</b>
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	384,850,000.00	9,354,126.71	87,168,145.38
<b>HEALTH CARE REFORM ACT PROGRAM</b>	<b>1,490,325,059.03</b>	<b>45,962,295.94</b>	<b>188,543,520.23</b>
AIDS DRUG ASSISTANCE	123,150,000.00	20,000,000.00	20,000,000.00
AMBULATORY CARE TRAINING	3,537,000.00	-	448,825.77
AREA HEALTH EDUCATION CENTER	3,387,000.00	-	583,185.44
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	-	(0.01)
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	163,200,000.00	-	-
DIVERSITY IN MEDICINE	4,732,000.00	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	10,335,000.00	-	2,400,000.00
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	343,000.00	1,986,213.15
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	15,680,000.00	15,680,000.00
HEALTH WORKFORCE RETRAINING	18,320,000.00	2,419.75	3,967,272.23
INFERTILITY SERVICES GRANTS	5,733,000.00	67,350.31	370,720.57
MEDICAL INDEMNITY FUND	52,000,000.00	-	-
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE	359,900,000.00	-	102,100,000.00
PHYSICIAN LOAN REPAYMENT	27,195,000.00	1,426,891.73	2,943,655.98
PHYSICIAN WORKFORCE STUDIES	974,000.00	-	240,000.00
POISON CONTROL CENTERS	6,320,000.00	(163,279.33)	1,756,720.67
POOL ADMINISTRATION	5,300,000.00	-	966,827.13
ROSWELL PARK CANCER INSTITUTE	89,266,000.00	7,592,600.00	30,370,400.00
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
RURAL HEALTH CARE ACCESS	17,050,000.00	-	397,985.62
RURAL HEALTH CARE ACCESS & NETWORK DEVELOPMENT	9,410,000.00	1,013,313.48	3,051,858.08
RURAL HEALTH CARE GRANTS	1,100,000.00	-	-
RURAL HEALTH NETWORK	11,610,000.00	-	1,279,855.60
SCHOOL BASED HEALTH CENTERS	4,230,000.00	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMN	8,460,000.00	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
<b>MEDICAL ASSISTANCE PROGRAM</b>	<b>28,631,301,000.00</b>	<b>387,900,449.84</b>	<b>3,986,039,712.47</b>
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
MEDICAID INDIGENT CARE	4,999,000,000.00	37,900,449.84	621,039,712.47
MEDICAL ASSISTANCE	22,349,101,000.00	350,000,000.00	3,365,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	916,000,000.00	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	-
<b>NEW YORK STATE OF HEALTH</b>	<b>102,431,000.00</b>	<b>1,714,827.30</b>	<b>22,578,266.09</b>
NEW YORK STATE OF HEALTH ADMINISTRATION	102,431,000.00	1,714,827.30	22,578,266.09
<b>OFFICE OF HEALTH INSURANCE PROGRAM</b>	<b>1,834,000.00</b>	-	-
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
<b>OFFICE OF HEALTH SYSTEMS MANAGEMENT</b>	<b>68,317,000.00</b>	<b>1,131,253.45</b>	<b>7,031,853.46</b>
OFFICE HEALTH SYSTEMS MANAGEMENT	68,317,000.00	1,131,253.45	7,031,853.46
<b>OFFICE OF LONG TERM CARE</b>	<b>2,477,800.00</b>	-	-
ADULT HOME INITIATIVE	2,477,800.00	-	-
<b>REVENUE, PROCESSING &amp; RECONCILIATION</b>	<b>8,190,000.00</b>	<b>1,002,707.48</b>	<b>3,677,000.55</b>
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	1,002,707.48	3,677,000.55
<b>TOTAL</b>	<b>32,833,365,859.03</b>	<b>505,816,684.99</b>	<b>4,753,562,902.34</b>
Reclass of SUNY Hospital Disprop Share to Transfer		240,488.95	(4,210,253.80)
Reclass of SUNY Hospital Poison Control Centers to Transfer		(919,100.00)	(919,100.00)
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer		-	-
Reconciling Adjustment (P-Card and T-Card)		230.84	-
<b>TOTAL REPORTED AMOUNT</b>	<b>\$ 32,833,365,859.03 \$</b>	<b>505,138,304.78 \$</b>	<b>4,748,433,548.54</b>

(\*) Includes amounts appropriated in SFY 2020-21, as well as prior year appropriations that were reappropriated.

(\*\*) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(\*\*\*) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(\*\*\*\*) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK  
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL  
FISCAL YEAR 2020-21

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	3rd Quarter OCTOBER - DECEMBER	2021 JANUARY	2020-21
<b>OPENING CASH BALANCE</b>	<b>\$ 350,947,309.06</b>	<b>\$ 126,897,506.61</b>	<b>\$ 318,804,091.76</b>	<b>\$ 299,674,693.96</b>	<b>\$ 350,947,309.06</b>
<b>RECEIPTS:</b>					
Patient Services	722,415,689.44	975,374,899.18	859,864,830.97	175,709,345.39	2,733,364,764.98
Covered Lives	224,564,997.99	294,913,084.03	252,919,950.64	43,950,805.01	816,348,837.67
Provider Assessments	19,621,242.87	22,021,897.80	24,084,713.16	4,939,880.39	70,667,734.22
1% Assessments	103,739,180.00	107,280,064.00	105,841,411.19	29,576,999.00	346,437,654.19
DASNY- MOE/Recast receivables	-	-	-	-	-
Interest Income	13,893.95	16,145.63	8,568.77	3,593.43	42,201.78
Unassigned	(1,563,049.32)	(978,886.85)	6,783,770.57	(5,637,858.63)	(1,396,024.23)
<b>Total Receipts</b>	<b>1,068,791,954.93</b>	<b>1,398,627,203.79</b>	<b>1,249,503,245.30</b>	<b>248,542,764.59</b>	<b>3,965,465,168.61</b>
<b>PROGRAM DISBURSEMENTS:</b>					
Poison Control Centers	-	-	(1,920,000.00)	(480,000.00)	(2,400,000.00)
School Based Health Center Grants	-	-	-	-	-
ECRIP Distributions	-	-	(2,400,000.00)	-	(2,400,000.00)
<b>Total Program Disbursements</b>	<b>-</b>	<b>-</b>	<b>(4,320,000.00)</b>	<b>(480,000.00)</b>	<b>(4,800,000.00)</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>1,068,791,954.93</b>	<b>1,398,627,203.79</b>	<b>1,245,183,245.30</b>	<b>248,062,764.59</b>	<b>3,960,665,168.61</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
<b>Transfers From Other Pools:</b>					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	13,334,232.00	13,201,960.00	12,615,514.00	4,274,213.00	43,425,919.00
<b>Transfers From State Funds:</b>					
HCRA Resources Fund	-	-	4,320,000.00	480,000.00	4,800,000.00
<b>Total Other Financing Sources</b>	<b>13,334,232.00</b>	<b>13,201,960.00</b>	<b>16,935,514.00</b>	<b>4,754,213.00</b>	<b>48,225,919.00</b>
<b>Transfers To Other Pools:</b>					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
<b>Transfers To State Funds:</b>					
HCRA Resources Fund	(1,306,175,989.38)	(1,219,922,578.64)	(1,281,248,157.10)	(376,478,714.83)	(4,183,825,439.95)
Indigent Care Fund - Matched	-	-	-	-	-
Indigent Care Fund - Unmatched	-	-	-	-	-
<b>Total Other Financing Uses</b>	<b>(1,306,175,989.38)</b>	<b>(1,219,922,578.64)</b>	<b>(1,281,248,157.10)</b>	<b>(376,478,714.83)</b>	<b>(4,183,825,439.95)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(224,049,802.45)</b>	<b>191,906,585.15</b>	<b>(19,129,397.80)</b>	<b>(123,661,737.24)</b>	<b>(174,934,352.34)</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 126,897,506.61</b>	<b>\$ 318,804,091.76</b>	<b>\$ 299,674,693.96</b>	<b>\$ 176,012,956.72</b>	<b>\$ 176,012,956.72</b>

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK  
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE  
FISCAL YEAR 2020-21

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	3rd Quarter OCTOBER - DECEMBER	2021 JANUARY	2020-21
<b>OPENING CASH BALANCE</b>	\$ 668.63	\$ 169.31	\$ 333,127.45	\$ 6,834,706.32	\$ 668.63
<b>RECEIPTS:</b>					
Interest Income	422.98	1,460.78	420.06	155.33	2,459.15
<b>Total Receipts</b>	<b>422.98</b>	<b>1,460.78</b>	<b>420.06</b>	<b>155.33</b>	<b>2,459.15</b>
<b>PROGRAM DISBURSEMENTS:</b>					
Indigent Care	(188,629,665.12)	(150,799,778.96)	(183,599,073.14)	(44,735,209.09)	(567,763,726.31)
High Need Indigent Care	-	-	-	-	-
Other	506,867.55	(90,700,038.60)	3,733,616.64	-	(86,459,554.41)
<b>Total Program Disbursements</b>	<b>(188,122,797.57)</b>	<b>(241,498,817.56)</b>	<b>(179,865,456.50)</b>	<b>(44,735,209.09)</b>	<b>(654,223,280.72)</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(188,122,374.59)</b>	<b>(241,498,356.78)</b>	<b>(179,865,036.44)</b>	<b>(44,735,053.76)</b>	<b>(654,220,821.57)</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
<b>Transfers From Other Pools:</b>					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
<b>Transfers From State Funds:</b>					
HCRA Resources Indigent Care - Matched	94,314,832.56	113,950,116.17	80,001,388.03	19,594,021.59	307,860,358.35
HCRA Resources Indigent Care - Unmatched	(506,129.55)	16,528,236.56	3,716,890.61	(3,252,508.75)	16,486,488.87
HCRA Resources Indigent Care - ATB	-	-	-	-	-
Federal DHHS Fund	94,314,832.56	113,950,116.15	102,650,182.79	25,141,187.50	336,056,319.00
Other	-	-	-	-	-
<b>Total Other Financing Sources</b>	<b>188,123,535.57</b>	<b>244,428,468.88</b>	<b>186,368,461.43</b>	<b>41,482,700.34</b>	<b>660,403,166.22</b>
<b>Transfers To Other Pools:</b>					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
<b>Transfers To State Funds:</b>					
HCRA Resources Fund Indigent Care Acct	(922.30)	(431.55)	(1,484.03)	(134.57)	(2,972.45)
CSRA Inc (eMedNY) General Fund	(738.00)	(2,596,722.41)	(362.09)	-	(2,597,822.50)
<b>Total Other Financing Uses</b>	<b>(1,660.30)</b>	<b>(2,597,153.96)</b>	<b>(1,846.12)</b>	<b>(134.57)</b>	<b>(2,600,794.95)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(499.32)</b>	<b>332,958.14</b>	<b>6,501,578.87</b>	<b>(3,252,487.99)</b>	<b>3,581,549.70</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 169.31</b>	<b>\$ 333,127.45</b>	<b>\$ 6,834,706.32</b>	<b>\$ 3,582,218.33</b>	<b>\$ 3,582,218.33</b>

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK  
SUMMARY OF OFF-BUDGET SPENDING REPORT  
FISCAL YEAR 2020-2021  
(amounts in thousands)

APPENDIX E

	2020 APRIL	2020 MAY	2020 JUNE	2020 JULY	2020 AUGUST	2020 SEPTEMBER	2020 OCTOBER	2020 NOVEMBER	2020 DECEMBER	2021 JANUARY	2021 FEBRUARY	2021 MARCH	2020-2021 TOTAL
<b>DORMITORY AUTHORITY:</b>													
Education - All Other	\$ -	\$ -	\$ -	\$ -	\$ 15	\$ -	\$ -	\$ -	\$ -	\$ -			\$ 15
Education - EXCEL	427	2,157	1,567	17	-	649	-	829	90	161			5,897
Department of Health - All Other	(1)	-	59	-	-	-	-	-	-	-			58
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-			-
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	525	-	454	444	323	148	14	383	94	125			2,510
Multi-modal	-	-	24	-	-	20	-	-	-	-			44
GenNysis	-	-	-	-	-	-	-	-	-	-			-
CUNY Senior Colleges	24,128	11,443	24,631	18,581	19,061	18,031	10,339	32,044	15,125	25,329			198,712
CUNY Community Colleges	4,766	1,358	5,403	2,217	1,052	2,094	83	4,094	2,211	690			23,968
Brooklyn Court Officer Training Academy	26	-	-	1,153	-	-	-	-	-	-			1,179
<b>TOTAL DORMITORY AUTHORITY</b>	<b>29,871</b>	<b>14,958</b>	<b>32,138</b>	<b>22,412</b>	<b>20,451</b>	<b>20,942</b>	<b>10,436</b>	<b>37,350</b>	<b>17,520</b>	<b>26,305</b>	<b>-</b>	<b>-</b>	<b>232,383</b>
<b>EMPIRE STATE DEVELOPMENT CORP:</b>													
Regional Development:													
Centers of Excellence	-	-	-	-	-	-	-	-	-	-			-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-	-	-	-	-			-
Empire Opportunity	-	-	-	-	-	-	-	-	-	-			-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-			-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-			-
<b>TOTAL EMPIRE STATE DEVELOPMENT CORP</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>TOTAL OFF-BUDGET</b>	<b>\$ 29,871</b>	<b>\$ 14,958</b>	<b>\$ 32,138</b>	<b>\$ 22,412</b>	<b>\$ 20,451</b>	<b>\$ 20,942</b>	<b>\$ 10,436</b>	<b>\$ 37,350</b>	<b>\$ 17,520</b>	<b>\$ 26,305</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 232,383</b>

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)

SFS Fund	ACCOUNT TITLE	October 31, 2020	November 30, 2020	December 31, 2020	Change	January 31, 2021
	<b>GENERAL FUND</b>					
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	\$ -	\$ -	\$ -	\$ -	\$ - (***)
	<b>TOTAL GENERAL FUND</b>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
	<b>CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS</b>					
30051	HIGHWAY AND BRIDGE CAPITAL	229,640,531.67	251,120,260.33	348,649,284.21	(19,783,687.03)	328,865,597.18
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE -SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D29RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	801,040.11	839,392.34	953,069.38	182,135.45	1,135,204.83
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	34,853,969.61	48,651,826.34	55,768,416.64	(2,638,133.82)	53,130,282.82
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	90,789,823.06	99,450,386.33	106,962,837.42	13,261,925.37	120,224,762.79
31701	YOUTH FACILITIES IMPROVEMENT	17,191,103.28	17,665,916.53	12,387,821.98	623,536.42	13,011,358.40
31801	HOUSING ASSISTANCE	12,941,967.06	12,941,967.06	12,941,967.06	-	12,941,967.06
31851	HOUSING PROG FD-HSG TR FD CORP	299,615,002.56	302,876,002.56	116,954,523.32	12,312,413.13	129,266,936.45
31852	HOUSING PROG FD AFFORD HSG CORP	44,736,658.81	44,736,658.81	38,695,121.72	-	38,695,121.72
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	104,339,684.37	108,739,684.37	99,246,517.14	3,200,000.00	102,446,517.14
31854	HOUSING PROG FD-HFA	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	11,956,479.77	11,956,479.77	11,956,479.77	-	11,956,479.77

STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)

SFS Fund	ACCOUNT TITLE	October 31, 2020	November 30, 2020	December 31, 2020	Change	January 31, 2021
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	3,394,250.29	3,825,768.96	4,765,153.90	460,950.11	5,226,104.01
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-	-	180.00	(180.00)	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	70,034,608.01	70,371,273.02	71,862,321.37	409,060.39	72,271,381.76
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	181,628,539.69	182,617,289.69	185,432,642.59	(1,027,075.62)	184,405,566.97
32306	DASNY - OMH ADMIN	-	-	-	-	-
32307	DASNY - OPWDD ADMIN	7,828,273.39	7,828,273.39	11,650,968.39	-	11,650,968.39
32308	DASNY - OASAS ADMIN	2,581,221.20	2,581,221.20	2,581,221.20	-	2,581,221.20
32309	OMH - STATE FACILITIES	125,053,038.62	155,278,393.23	165,417,218.76	12,923,540.51	178,340,759.27
32310	OPWDD - STATE FACILITIES	19,371,024.28	29,377,388.35	31,697,903.16	-	31,697,903.16
32311	OASAS - STATE FACILITIES	2,484,056.85	3,400,362.44	3,203,257.72	78,766.53	3,282,024.25
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	232,977,446.82	251,945,041.28	194,435,239.85	20,552,418.56	214,987,658.41
32353	CORR. FACILITIES CAPITAL CLOSURE	0.55	-	-	-	-
33001	STORM RECOVERY ACCOUNT	53,597,768.11	54,495,130.81	55,576,380.02	224,188.95	55,800,568.97
	<b>TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS</b>	<b>1,545,970,238.11</b>	<b>1,660,850,466.81</b>	<b>1,531,292,275.60</b>	<b>40,779,858.95</b>	<b>1,572,072,134.55</b>
	<b>STATE SPECIAL REVENUE FUNDS</b>					
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	-	23,122,890.06	-	43,991,008.24	43,991,008.24
20818	EPIC PREMIUM ACCOUNT	10,282,047.95	15,622,758.03	-	-	-
20901	LOTTERY-EDUCATION	1,412,369,107.33	1,260,608,694.01	1,068,708,130.42	(215,146,242.73)	853,561,887.69
20904	VLT EDUCATION	156,105,093.55	252,710,310.93	345,538,604.22	91,869,997.97	437,408,602.19
21001	ENVIR FAG CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,799,431.43	3,851,203.21	3,912,591.70	51,771.78	3,964,363.48
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	1,672,099.99	1,672,099.99	1,672,099.99	1,652,100.00	3,324,199.99
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	1,669,475.98	2,337,334.86	762,637.76	659,336.17	1,421,973.93
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	3,943,450.99	4,118,797.02	3,945,486.63	170,762.55	4,116,249.18
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	60,296,707.17	59,172,374.86	60,694,009.62	(10,788.88)	60,683,220.74
21082	NATURAL RESOURCES ACCOUNT	14,265,932.36	14,337,266.95	14,690,320.20	(208,307.74)	14,482,012.46
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	-	-	11,957.03	(11,931.63)	25.40
21202	HEALTH DEPT OIL SPILL	-	-	2,318.43	(2,318.43)	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	-	-	270,435.85	(183,143.97)	87,291.88
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	99,446,088.44	(99,446,088.44)	-
21451	OPERATING PERMIT PROGRAM	31,987,548.53	32,206,812.95	32,937,539.50	448,757.34	33,386,296.84
21452	MOBILE SOURCE	-	-	-	-	-
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	6,628,040.93	4,385,613.50	2,912,861.50	4,136,198.61	7,049,060.11
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	227,316.04	392,548.02	579,539.40	(378,553.27)	200,986.13
21912	RACING REGULATION ACCOUNT	2,327,842.19	2,676,642.20	3,294,263.41	18,733.93	3,312,997.34
21937	SU DORM INCOME REIMBURSE	841,883.38	176,978.44	119,644.65	256,303.08	375,947.73
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21961	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	587,324.51	628,138.35	657,890.69	(109,761.93)	548,128.76
21962	CLINICAL LAB FEE	12,262,552.03	11,089,563.46	11,548,187.44	(2,254,463.30)	9,293,724.14
21978	INDIRECT COST RECOVERY	-	-	-	-	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	-	-
22008	COURTS SPECIAL GRANTS	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	38,751.38	22,724.52	24,304.44	7,770.14	32,074.58
22017	CAMP SMITH BILLETING ACCOUNT	-	-	-	-	-
22032	BATAVIA SCHOOL FOR THE BLIND	8,568,731.83	9,514,632.17	10,602,800.05	717,707.76	11,320,507.81
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-

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SFS Fund	ACCOUNT TITLE	October 31, 2020	November 30, 2020	December 31, 2020	Change	January 31, 2021
22039	FINANCIAL OVERSIGHT	259,686.11	517,309.49	827,618.02	(552,612.95)	275,005.07
22046	REGULATION INDIAN GAMING	94,761,447.78	95,821,480.92	95,993,465.56	979,765.51	96,973,231.07
22053	ROME SCHOOL FOR THE DEAF	3,717,957.37	4,429,589.30	5,586,537.02	528,284.95	6,114,821.97
22054	DSP-SEIZED ASSETS	902,838.61	859,008.57	808,753.32	(149,620.40)	859,132.92
22055	ADMINISTRATIVE ADJUDICATION	31,016,774.62	34,239,897.64	37,896,896.77	1,514,596.67	39,411,493.44
22056	FEDERAL SALARY SHARING	1,120,954.74	1,343,372.58	1,484,621.43	113,981.03	1,598,602.46
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	5,490,643.04	5,432,522.42	4,786,470.03	(1,395,247.24)	3,391,222.79
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-
22085	DHCR MORTGAGE SERVICES	1,581,265.97	1,722,996.43	1,929,393.99	201,909.20	2,131,303.19
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	10,474,355.28	11,155,914.06	11,818,808.10	559,780.71	12,378,588.81
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	264,654.69	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	176,103.57	56,057.78	119,092.21	51,953.40	171,045.61
22156	RENT REVENUE OTHER - NYC	4,253,279.07	7,970,306.51	12,415,682.77	3,879,758.13	16,295,440.90
22158	RENT REVENUE	-	-	-	-	-
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22240	NYS MEDICAL INDEMNITY FUND ACCOUNT	1,269,835.22	1,371,046.36	1,502,286.60	103,897.06	1,606,183.66
22654	S.U. NON-RESIDENT REV. OFFSET	20,667,047.29	20,669,171.39	20,671,321.96	2,288.51	20,673,610.47
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	23,185.21	23,185.21
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	16,041,242.69	16,319,265.62	16,693,271.87	146,725.11	16,839,996.98
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCCC OPERATING OFFSET	46,094,230.01	48,390,644.22	50,730,785.31	2,360,973.22	53,091,758.53
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	20,509,123.97	20,807,346.97	21,181,241.86	314,170.48	21,495,412.34
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NYS SECURE CHOICE ADMIN	-	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	60,094.79	4,356.79	37,509.74	-	37,509.74
	<b>TOTAL STATE SPECIAL REVENUE FUNDS</b>	<b>1,991,885,822.09</b>	<b>1,975,108,620.28</b>	<b>1,952,166,417.63</b>	<b>(165,087,364.15)</b>	<b>1,787,079,053.48</b>
	<b>FEDERAL FUNDS</b>					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	4,118,961.38	35,147,356.38	14,138,263.58	(8,217,052.00)	5,921,211.58
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	231,401,978.90	380,493,084.52	244,159,117.03	219,816,731.16	463,975,848.19
25200-25249	FEDERAL EDUCATION GRANTS FUND	35,344,470.68	43,743,707.94	14,673,179.58	15,089,509.13	29,762,688.71
25250-25299	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-
25300-25899	FEDERAL OPERATING GRANTS FUND	552,029,917.32	473,639,410.90	476,592,572.12	(5,342,971.96)	471,249,600.16
31351	MILITARY AND NAVAL AFFAIRS	8,753,932.66	8,753,932.66	8,753,932.66	-	8,753,932.66
31354	DEPARTMENT OF TRANSPORTATION	432,424,080.01	369,051,296.52	342,775,368.18	(48,490,473.39)	294,284,894.79
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	127,990,063.01	110,758,696.75	112,446,385.15	(894,313.21)	111,552,071.94
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	76,343,537.67	95,365,817.35	164,587,973.83	82,408,122.10	246,996,095.93
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	377,092.00	389,236.50	482,839.00	(20,275.50)	462,563.50
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	6,283,786.45	1,052,527.92	4,109,419.63	8,813,001.27	12,922,420.90
	<b>TOTAL FEDERAL FUNDS</b>	<b>1,475,067,820.08</b>	<b>1,518,395,067.44</b>	<b>1,382,719,050.76</b>	<b>263,162,277.60</b>	<b>1,645,881,328.36</b>
	<b>AGENCY FUNDS</b>					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	<b>TOTAL AGENCY FUNDS</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
	<b>ENTERPRISE FUND</b>					
50318	OGS CONVENTION CENTER ACCOUNT	754,811.15	796,325.29	858,561.11	51,387.83	909,948.94
50327	EMPIRE PLAZA GIFT SHOP	256,470.11	271,994.00	277,839.74	801.98	278,641.72
	<b>TOTAL ENTERPRISE FUND</b>	<b>1,011,281.26</b>	<b>1,068,319.29</b>	<b>1,136,400.85</b>	<b>52,189.81</b>	<b>1,188,590.66</b>
	<b>INTERNAL SERVICE FUNDS</b>					
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	1,310,719.52	1,345,908.54	1,422,908.23	(7,351.76)	1,415,556.47
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	134,821.27	134,821.27
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	14,991.22	9,484.22	24,475.44
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	2,426,477.80	2,456,121.37	2,452,775.52	225,824.65	2,678,600.17
55008	CENTRALIZED SERVICES-PASNY	15,954,413.12	18,472,982.82	20,803,539.76	248,366.06	21,051,905.82
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	16,660,902.08	18,577,496.64	18,107,950.66	(28,949.43)	18,079,001.23
55011	CENTRALIZED SERVICES-INSURANCE	6,350,431.78	-	1,164,558.81	4,672,547.67	5,837,106.48
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	168,839.30	163,954.30	158,832.30	7,332.00	166,164.30
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-

(\*\*)

STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)

SFS Fund	ACCOUNT TITLE	October 31, 2020	November 30, 2020	December 31, 2020	Change	January 31, 2021
55016	CENTRALIZED SERVICES-IMMICS	1,511,168.30	1,447,566.51	1,840,583.09	79,381.22	1,919,964.31
55017	DOWNSTATE WAREHOUSE	483,425.53	356,668.03	149,898.27	(111,014.16)	38,884.11
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	82,067,941.28	87,825,851.34	88,195,248.20	3,469,691.09	91,664,939.29
55021	NYS MEDIA CENTER	10,348,439.37	10,652,731.63	11,155,297.64	402,993.00	11,558,290.64
55022	BUSINESS SERVICES CENTER	20,014,612.54	22,199,281.87	24,238,440.67	1,992,396.54	26,230,837.21
55052	ARCHIVES RECORD MGMT I.S.	-	37,676.44	-	65,304.11	65,304.11
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	-	-	-
55057	BANKING SERVICES ACCOUNT	133,693.35	108,593.51	30,802.29	7,503.16	38,305.45
55058	CULTURAL RESOURCE SURVEY	2,612,513.96	2,932,271.19	2,725,647.37	237,511.94	2,963,159.31
55059	NEIGHBOR WORK PROJECT	11,074,396.76	11,602,383.89	11,585,502.98	2,498.35	11,588,001.33
55060	AUTOMATIC/PRINT CHARGBACKS	4,535,498.04	4,453,300.14	2,734,309.87	988,005.84	3,722,315.71
55061	OFT NYT ACCT	1,246,980.34	-	-	-	-
55062	DATA CENTER ACCOUNT	48,830,817.34	48,830,817.34	52,824,404.62	(2,765,711.67)	50,058,692.95
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	207,012.97	200,856.41	225,548.29	24,238.74	249,787.03
55069	CENTRALIZED TECHNOLOGY SERVICES	89,155,482.60	99,463,734.84	103,800,648.53	(27,236,317.03)	76,564,331.50
55071	LABOR CONTACT CENTER ACCT	5,701,252.80	6,466,266.70	4,203,491.07	73,836.16	4,277,327.23
55072	HUMAN SERVICES CONTACT CNTR ACCT	2,651,094.45	3,393,732.41	3,170,869.49	951,715.35	4,122,584.84
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	1,937,226.53	2,838,791.78	4,035,844.07	(1,367,562.62)	2,668,281.45
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	10,531,322.97	10,766,740.89	11,038,001.16	230,753.53	11,268,754.69
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	50,042,467.44	52,214,425.66	44,661,267.15	668,339.07	45,329,606.22
55300	HEALTH INSURANCE INTERNAL SERVICE	-	-	2,988,283.21	809,548.74	3,797,831.95
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	4,818,733.51	4,916,106.36	5,003,507.81	72,995.44	5,076,503.25
55350	CORR INDUSTRIES INTERNAL SERVICE	46,624,140.17	48,225,119.94	50,777,391.54	(34,368.82)	50,743,022.72
	<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>438,661,588.12</b>	<b>461,210,964.82</b>	<b>470,772,128.09</b>	<b>(16,176,187.34)</b>	<b>454,595,940.75</b>
	<b>GRAND TOTAL - TEMPORARY LOANS OUTSTANDING</b>	<b>\$ 5,452,596,749.66</b>	<b>\$ 5,616,633,438.64</b>	<b>\$ 5,338,086,272.93</b>	<b>\$ 122,730,774.87</b>	<b>\$ 5,460,817,047.80</b>

(\*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 56, Part JJ, Section 1, of the Laws of 2020-21. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(\*\*) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(\*\*\*) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).



STATE OF NEW YORK  
DEDICATED INFRASTRUCTURE INVESTMENT FUND(\*)  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2020-2021

APPENDIX G

	2020 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2021 JANUARY	FEBRUARY	MARCH	10 Months Ended January 31, 2021
<b>OPENING CASH BALANCE</b>	<b>\$ 86,513,214</b>	<b>\$ 49,126,483</b>	<b>\$ 42,662,065</b>	<b>\$ 7,636,110</b>	<b>\$ 165,822,096</b>	<b>\$ 101,117,004</b>	<b>\$ 90,519,037</b>	<b>\$ 33,132,009</b>	<b>\$ 34,311,372</b>	<b>\$ 65,037,383</b>			<b>\$ 86,513,214</b>
<b>RECEIPTS:</b>													
Transfers from General Fund (**)	-	-	-	204,000,000	-	-	-	40,000,000	68,967,000	50,000,000			362,967,000
Other	-	-	-	-	-	-	-	-	-	-			-
<b>Total Receipts</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>204,000,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>40,000,000</b>	<b>68,967,000</b>	<b>50,000,000</b>	<b>-</b>	<b>-</b>	<b>362,967,000</b>
<b>DISBURSEMENTS:</b>													
Affordable and Homeless Housing	-	-	9,481	533,024	-	415,671	516,927	83,421	-	1,251,346			2,809,870
Broadband Initiative	1,735,855	1,420,080	-	6,989,621	-	47,334	7,596,310	707,298	5,896,536	-			24,393,033
Downtown Revitalization	-	-	-	-	-	250,000	455,489	415,518	239,112	-			1,360,118
Empire State Poverty Reduction Initiatives	2,457,343	88,175	-	1,071,138	565,275	2,517,997	1,963,105	329,081	444,698	947,002			10,383,813
Health Care / Hospital Initiatives	2,586,638	3,634,367	1,781,021	298,010	2,275,903	643,795	468,438	105,191	736,003	-			12,529,366
Information Technology/Infrastructure for Behavioral Sciences	-	-	-	-	-	-	-	-	-	-			-
Infrastructure Improvements	-	-	5,540,794	1,292,017	2,270,353	144,374	4,447,962	792,375	6,319,850	469			20,808,195
Jacob Javits Center Expansion	-	-	-	-	55,700,000	-	22,620,551	28,198,264	-	32,443,444			138,962,259
Life Sciences Initiative	2,500,000	1,500,000	-	-	-	-	5,811,363	-	-	-			9,811,363
Municipal Restructuring / Consolidation Competition	3,054,840	(2,778,292)	-	562,372	-	830,000	2,063,090	1,237,295	503,423	701,627			6,174,356
Penn Station Access	-	-	-	-	-	-	-	-	-	-			-
Resiliency, Mitigation, Security and Emergency Response	-	-	-	-	(6,035)	-	(10,425)	-	-	414,460			398,001
Southern Tier / Hudson Valley Farm Initiative	-	-	-	30,000	55,274	(14,282)	20,642	24,656	(4,552)	-			111,738
Thruway Stabilization Program	-	-	22,587,449	24,055,020	2,944,322	4,284,912	-	-	-	-			53,871,704
Transformative Economic Development Projects	10,440,876	79,325	4,746,161	282,274	-	1,478,166	5,814,301	4,625,918	11,485,752	70,474			39,023,246
Transportation Capital Plan	-	-	-	-	-	-	-	-	-	-			-
Upstate Revitalization Program	14,611,179	2,520,763	361,049	10,700,538	900,000	-	5,619,275	2,301,620	12,620,169	3,444,591			53,079,183
<b>Total Disbursements</b>	<b>37,386,731</b>	<b>6,464,418</b>	<b>35,025,955</b>	<b>45,814,014</b>	<b>64,705,092</b>	<b>10,597,967</b>	<b>57,387,028</b>	<b>38,820,637</b>	<b>38,240,989</b>	<b>39,273,414</b>	<b>-</b>	<b>-</b>	<b>373,716,245</b>
<b>OPERATING TRANSFERS:</b>													
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-			-
<b>Total Operating Transfers</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total Disbursements and Transfers</b>	<b>37,386,731</b>	<b>6,464,418</b>	<b>35,025,955</b>	<b>45,814,014</b>	<b>64,705,092</b>	<b>10,597,967</b>	<b>57,387,028</b>	<b>38,820,637</b>	<b>38,240,989</b>	<b>39,273,414</b>	<b>-</b>	<b>-</b>	<b>373,716,245</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 49,126,483</b>	<b>\$ 42,662,065</b>	<b>\$ 7,636,110</b>	<b>\$ 165,822,096</b>	<b>\$ 101,117,004</b>	<b>\$ 90,519,037</b>	<b>\$ 33,132,009</b>	<b>\$ 34,311,372</b>	<b>\$ 65,037,383</b>	<b>\$ 75,763,969</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 75,763,969</b>

(\*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(\*\*) Pursuant to Section 93(b) of the State Finance Law

## STATE OF NEW YORK

## APPENDIX H

MEDICAL ASSISTANCE DISBURSEMENTS - STATE FUNDS<sup>(1)</sup>

## FISCAL YEAR 2020-2021

	JANUARY 2021			10 MONTHS ENDED JANUARY 31		
	Department of Health	Other State Agencies	January	Department of Health	Other State Agencies	Year to Date
Adult State Share Medicaid	\$ -	\$ -	\$ -	\$ -	\$ 168,444,308.00	\$ 168,444,308.00
State Share Medicaid	22,178,781.00	(4,453,701.82)	17,725,079.18	93,727,744.00	19,425,061.55	113,152,805.55
Medical Assistance (OPWDD)	-	287,779.97	287,779.97	-	546,073,761.97	546,073,761.97
Medical Assistance Administration	4,226,095.42	135,062,010.00	139,288,105.42	42,850,270.17	280,124,874.00	322,975,144.17
Population Health Improvement	-	-	-	2,134,333.54	-	2,134,333.54
Traumatic Brain Injury Services	1,828,050.33	-	1,828,050.33	10,223,915.93	-	10,223,915.93
Nursing Home Transition & Diversion	968,126.08	-	968,126.08	968,126.08	-	968,126.08
Reducing Maternal Mortality	-	-	-	657,322.04	-	657,322.04
New York Connects	-	2,232,355.05	2,232,355.05	-	11,012,946.31	11,012,946.31
Facilitated Enrollment	117,604.78	-	117,604.78	2,501,443.01	-	2,501,443.01
Emergency Medical Transportation	-	-	-	750,000.00	-	750,000.00
Managed Long-Term Care Ombudsman	389,963.86	-	389,963.86	4,282,451.81	-	4,282,451.81
Major Academic Pool	-	-	-	-	-	-
Women's Health & Multiple Births	-	-	-	-	-	-
Vital Access Program (OASAS)	-	-	-	-	-	-
Vital Access Program (OMH)	-	-	-	-	-	-
Vital Access Provider Services	1,600,000.00	-	1,600,000.00	1,600,000.00	-	1,600,000.00
General Hospitals Safety-Net Providers	-	-	-	35,239,490.00	-	35,239,490.00
Rural Transportation	-	-	-	-	-	-
AIDS Epidemic	741,563.41	-	741,563.41	7,801,218.36	-	7,801,218.36
Fluoridation Systems	-	-	-	750,709.02	-	750,709.02
Expanding Caregiver Support Services	1,863,977.60	-	1,863,977.60	20,806,869.24	-	20,806,869.24
Provide Affordable Housing	875,744.96	6,907,071.00	7,782,815.96	19,011,122.69	14,230,665.38	33,241,788.07
Health Homes Establishment	-	-	-	376,446.03	-	376,446.03
Community Provider Network	2,143,687.50	-	2,143,687.50	18,531,501.45	-	18,531,501.45
Inpatient Services	14,853,755.33	-	14,853,755.33	299,128,986.71	-	299,128,986.71
Patient Centered Medical Homes	-	-	-	46,974,452.85	-	46,974,452.85
Outpatient & Emergency Room Services	9,574,386.71	-	9,574,386.71	161,624,965.41	-	161,624,965.41
Clinic Services	12,194,988.94	-	12,194,988.94	145,412,531.59	-	145,412,531.59
Nursing Home Services	146,095,173.92	-	146,095,173.92	878,382,976.91	-	878,382,976.91
Other Long Term Care Services	491,451,544.24	-	491,451,544.24	5,356,169,355.06	-	5,356,169,355.06
Managed Care Services	164,018,545.58	-	164,018,545.58	4,228,398,745.22	-	4,228,398,745.22
Pharmacy Services	10,817,946.66	-	10,817,946.66	128,976,065.15	-	128,976,065.15
Transportation Services	6,289,535.85	-	6,289,535.85	87,356,562.29	-	87,356,562.29
Dental Services	221,518.40	-	221,518.40	2,565,950.41	-	2,565,950.41
Non-Institutional & Other	(11,611,751.48)	(37,201.00)	(11,648,952.48)	430,374,926.64	11,571,643.00	441,946,569.64
Medical Services State Facilities	183,101,467.20	-	183,101,467.20	1,206,937,116.69	-	1,206,937,116.69
CSEA Family Health Plus Buy In	-	-	-	1,437,931.96	-	1,437,931.96
DC37 & Teamster Local 858	-	-	-	-	-	-
Medical Assistance (HCRA)	350,000,000.00	-	350,000,000.00	3,365,000,000.00	-	3,365,000,000.00
Indigent Care	37,900,449.84	-	37,900,449.84	621,039,712.47	-	621,039,712.47
Provider Assessments	73,845,000.00	-	73,845,000.00	650,852,000.00	-	650,852,000.00
NYC Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-	-
Personal Care Workforce Recruitment and Retention Rates (HCRA)	-	-	-	-	-	-
Home Health Rate Increase (HCRA)	-	-	-	-	-	-
Additional DSH Payments SUNY	-	-	-	169,029,951.20	-	169,029,951.20
<b>TOTAL<sup>(2)</sup></b>	<b>1,525,686,156.13</b>	<b>139,998,313.20</b>	<b>1,665,684,469.33</b>	<b>18,041,875,193.93</b>	<b>1,050,883,260.21</b>	<b>19,092,758,454.14</b>
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers.	(196,493,564.94)	-	(196,493,564.94)	(\$1,531,918,178.35)	-	(1,531,918,178.35)
<b>TOTAL REPORTED MEDICAID</b>	<b>\$ 1,329,192,591.19</b>	<b>\$ 139,998,313.20</b>	<b>\$ 1,469,190,904.39</b>	<b>\$ 16,509,957,015.58</b>	<b>\$ 1,050,883,260.21</b>	<b>\$ 17,560,840,275.79</b>

<sup>(1)</sup> General Fund and State Special Revenue Funds only.

These amounts do not include Medical Assistance spending for State Operations.

These amounts are not comparable to Medicaid Global Cap spending.

Department of Health regularly reclassifies spending between programs.

and therefore amounts for any individual program may be restated by DOH.

<sup>(2)</sup>Source: Statewide Financial System

## STATE OF NEW YORK

## APPENDIX I

MEDICAL ASSISTANCE DISBURSEMENTS - FEDERAL FUNDS<sup>(\*)</sup>

## FISCAL YEAR 2020-2021

	JANUARY 2021			10 MONTHS ENDED JANUARY 31		
	Department of Health	Other State Agencies	January	Department of Health	Other State Agencies	Year to Date
Medical Assistance & Survey Certification Program	\$ 8,018,030.46	\$ -	\$ 8,018,030.46	\$ 101,033,831.67	\$ -	\$ 101,033,831.67
Medical Assistance Administration	3,127,639.40	116,001,903.00	119,129,542.40	81,717,999.52	262,473,510.00	344,191,509.52
Partnership Plan	-	-	-	746,230,284.57	-	746,230,284.57
Inpatient Services	457,732,325.69	-	457,732,325.69	3,689,886,227.27	-	3,689,886,227.27
Outpatient & Emergency Room Services	25,399,098.55	-	25,399,098.55	495,006,225.31	-	495,006,225.31
Clinic Services	46,319,447.65	-	46,319,447.65	529,035,104.33	-	529,035,104.33
Nursing Home Services	220,549,433.22	-	220,549,433.22	1,301,404,517.31	-	1,301,404,517.31
Other Long Term Care Services	1,359,628,618.26	-	1,359,628,618.26	12,197,879,405.36	-	12,197,879,405.36
Managed Care Services	1,746,272,018.58	-	1,746,272,018.58	17,405,712,803.42	-	17,405,712,803.42
Pharmacy Services	29,568,084.48	-	29,568,084.48	340,816,204.89	-	340,816,204.89
Transportation Services	34,406,967.57	-	34,406,967.57	397,259,909.62	-	397,259,909.62
Dental Services	749,517.55	-	749,517.55	8,695,169.06	-	8,695,169.06
Non-Institutional & Other	7,469,001.99	24,685,707.00	32,154,708.99	21,178,557.34	24,103,605.00	45,282,162.34
Medical Services State Facilities	56,110,138.01	-	56,110,138.01	1,073,973,623.08	-	1,073,973,623.08
Additional DSH Payments SUNY	-	-	-	221,268,617.80	-	221,268,617.80
<b>TOTAL<sup>(**)</sup></b>	<b>3,995,350,321.41</b>	<b>140,687,610.00</b>	<b>4,136,037,931.41</b>	<b>38,611,098,480.55</b>	<b>286,577,115.00</b>	<b>38,897,675,595.55</b>
Reclassification of Medical Assistance payments for care and treatment of patients at State-operated health, mental hygiene and State University facilities to Transfers and adjustments for timing of payments at month end.	(189,668,398.52)	-	(189,668,398.52)	(987,595,200.70)	-	(987,595,200.70)
<b>TOTAL REPORTED MEDICAID<sup>(***)</sup></b>	<b>\$ 3,805,681,922.89</b>	<b>\$ 140,687,610.00</b>	<b>\$ 3,946,369,532.89</b>	<b>\$ 37,623,503,279.85</b>	<b>\$ 286,577,115.00</b>	<b>\$ 37,910,080,394.85</b>

<sup>(\*)</sup> Special Revenue Federal Funds only.

These amounts do not include Medical Assistance spending for State Operations.

These amounts are not comparable to Medicaid Global Cap spending.

<sup>(\*\*)</sup> Source: Statewide Financial System

<sup>(\*\*\*)</sup> Reported Medicaid spending does not include the Basic Health Plan.