



Office of the NEW YORK STATE

COMPTROLLER

# Comptroller's Annual Report to the Legislature on State Funds Cash Basis of Accounting

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FISCAL YEAR ENDED MARCH 31, 2020

Office of Operations

Division of Payroll, Accounting and Revenue Services

NYS Comptroller

THOMAS P. DINAPOLI

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**STATE OF NEW YORK  
OFFICE OF OPERATIONS  
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES  
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION**

**COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING  
FISCAL YEAR ENDED MARCH 31, 2020**

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BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION**

<b>COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING FISCAL YEAR ENDED MARCH 31, 2020</b>
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**GLOSSARY OF ABBREVIATIONS**

ACTION	Accelerated Capacity and Transportation Improvements of the Nineties
ARRA	American Recovery and Reinvestment Act of 2009
CIO	Chief Information Officer
COPs	Certificates of Participation
CUNY	City University of New York
CUTRA	City University Tuition Reimbursement Account
CW/CA	Clean Water / Clean Air
DASNY	Dormitory Authority of the State of New York
DDSO	Developmental Disabilities Services Office
DEC	Department of Environmental Conservation
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health
DOL	Department of Labor
DOT	Department of Transportation
DSAS	Division of Substance Abuse Services
ECET	Employer Compensation Expense Tax
EFC	Environmental Facilities Corporation
EHS	Employee Health Services
ENCON	Department of Environmental Conservation
EPIC	Elderly Pharmaceutical Insurance Coverage
ERDA	Energy Research and Development Authority
FBI	Federal Bureau of Investigation
HAF	Housing Assistance Fund
HCR	Housing and Community Renewal
HCRA	Health Care Reform Act
HESC	Higher Education Services Corporation
HFA	Housing Finance Agency
HHC	Health and Hospitals Corporation
HOOCs	Highway Oversize Overweight Credentialing System
HSC	Health Science Center
IOLA	Interest on Lawyer Account
IT	Information Technology
LGAC	New York Local Government Assistance Corporation
LGATF	Local Government Assistance Tax Fund
LLC	Limited Liability Company
MAC	Municipal Assistance Corporation
MBBA	Municipal Bond Bank Agency
MCTD	Metropolitan Commuter Transportation District
MMIS	Medicaid Management Information System

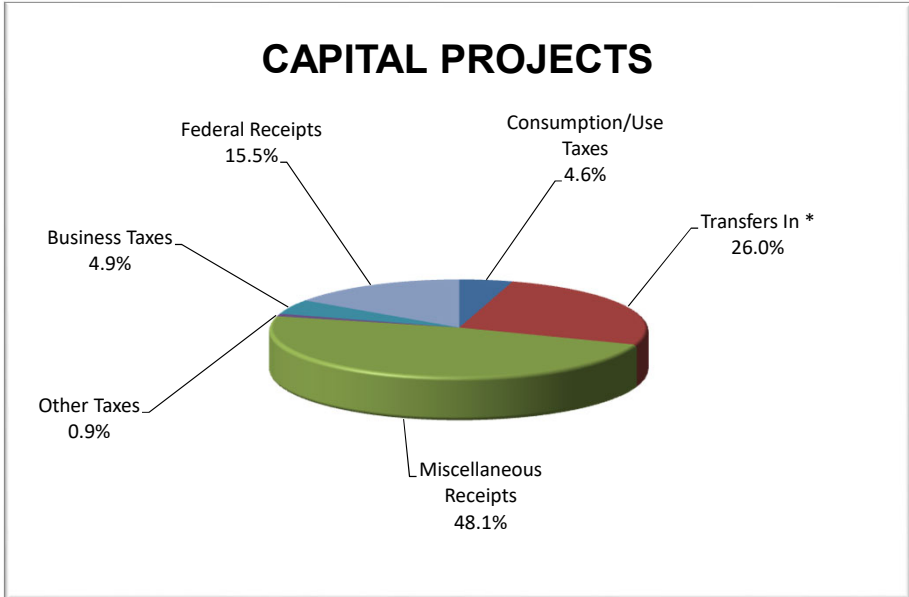
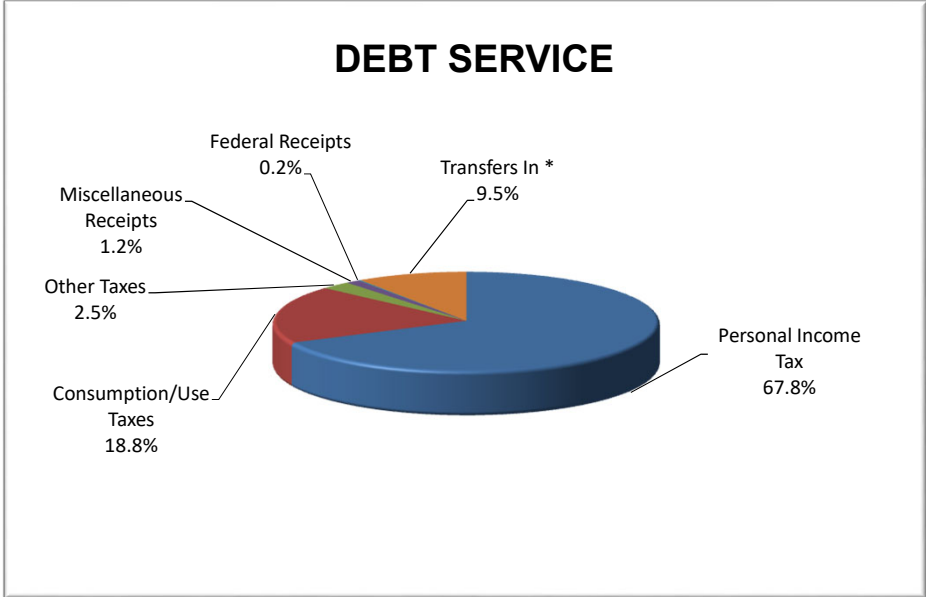
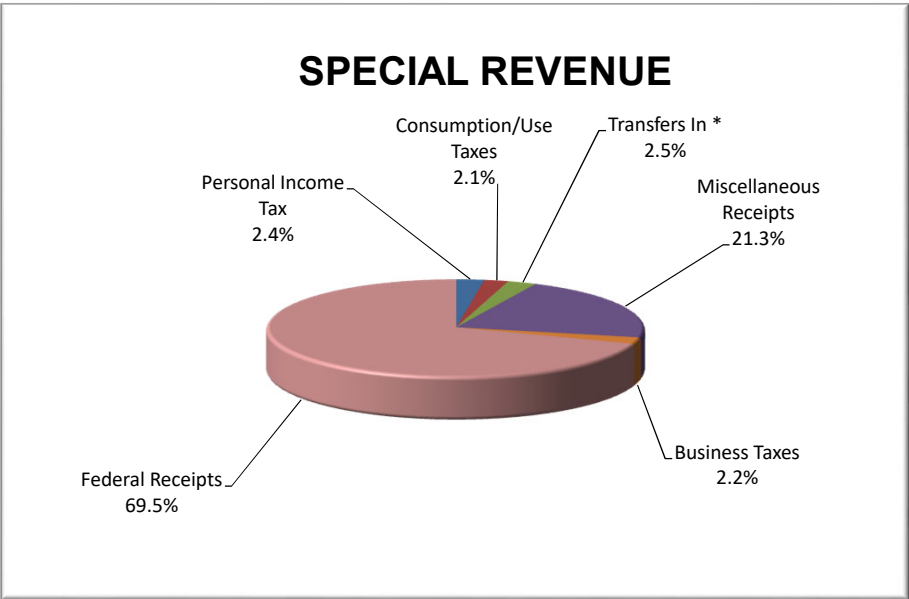
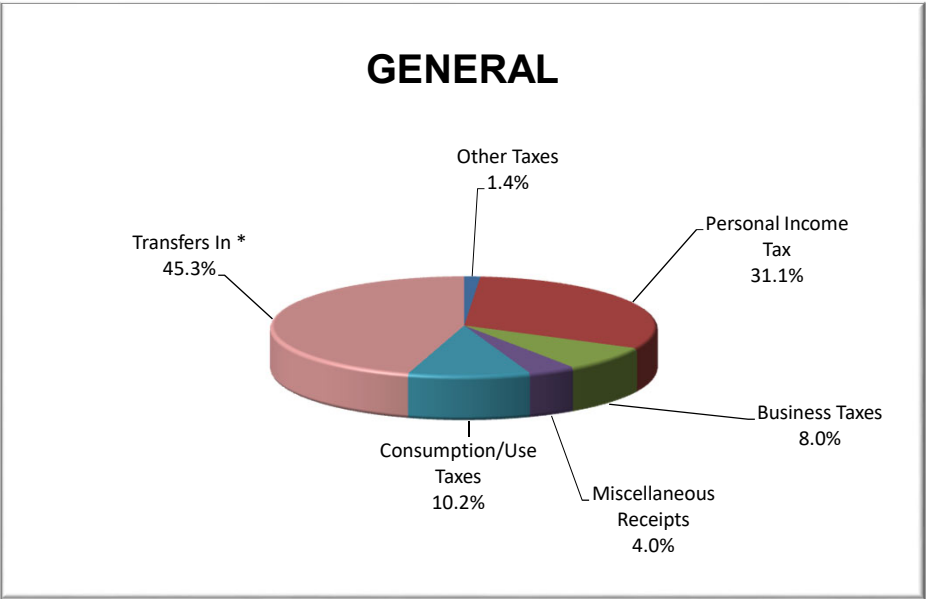
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OFFICE OF OPERATIONS  
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BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION**

<b>COMPTROLLER'S ANNUAL REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING FISCAL YEAR ENDED MARCH 31, 2020</b>
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**GLOSSARY OF ABBREVIATIONS**

MSA	Master Settlement Agreement
MTA	Metropolitan Transportation Authority
NYC	New York City
NYCCC	New York City County Clerk
NYT	New York Network
OASAS	Office of Addiction Services and Supports
OCT	Office of Counter Terrorism
OGS	Office of General Services
OMH	Office of Mental Health
OPWDD	Office for People with Developmental Disabilities
OSC	Office of the State Comptroller
OSHA	Occupational Safety and Health Administration
PASNY	Power Authority of the State of New York
PIT	Personal Income Tax
RBTF	Revenue Bond Tax Fund
SPARCS	Statewide Planning and Research Cooperative System
SSI	Supplemental Security Income
SSP	New York State Supplement Program
STAR	School Tax Relief
STARC	Sales Tax Asset Receivable Corporation
STIP	Short-Term Investment Pool
STRBTF	Sales Tax Revenue Bond Tax Fund
SUNY	State University of New York
TFA	Transitional Finance Authority
UDC	Urban Development Corporation
UI	Unemployment Insurance
USDA	United States Department of Agriculture
VLТ	Video Lottery Terminal
WIC	Women, Infants and Children Program

**GOVERNMENTAL FUNDS - RECEIPTS  
FISCAL YEAR ENDED MARCH 31, 2020**

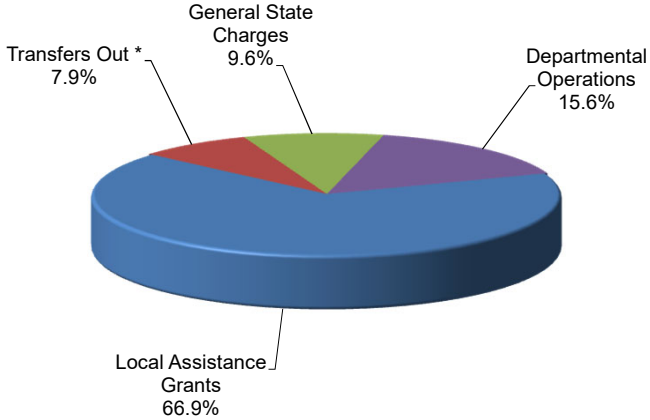


\*Transfers from Other Funds

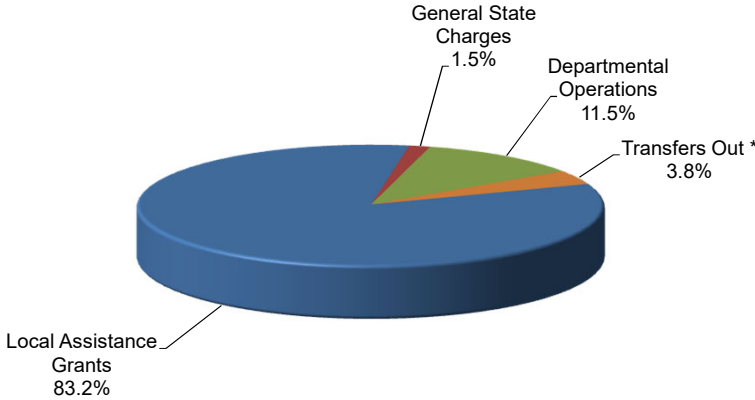


**GOVERNMENTAL FUNDS - DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020**

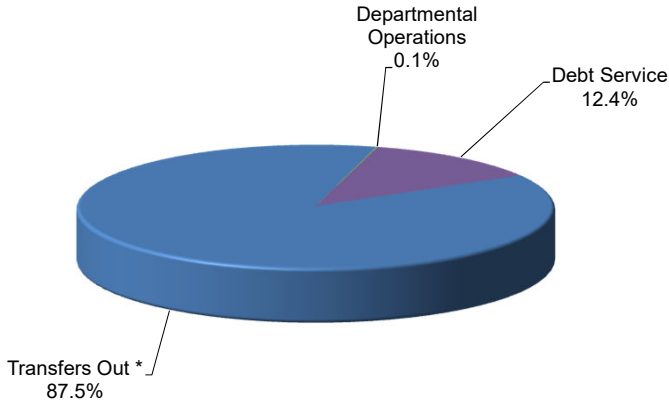
**GENERAL**



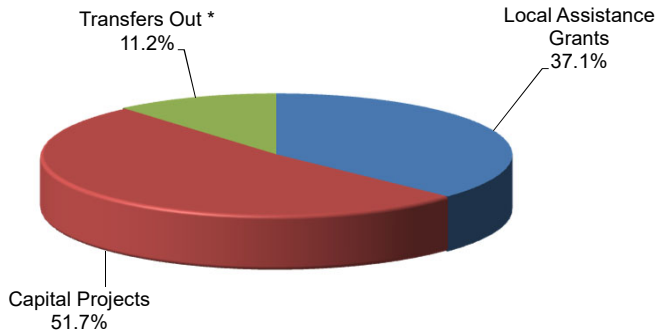
**SPECIAL REVENUE**



**DEBT SERVICE**



**CAPITAL PROJECTS**



\*Transfers to Other Funds

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)**

**EXHIBIT A**

	GENERAL	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	TOTAL GOVERNMENTAL FUNDS	
					2019-20	2018-19
<b>RECEIPTS:</b>						
Personal Income Tax.....	\$ 24,646,013	\$ 2,183,688	\$ 26,829,701	\$ -	\$ 53,659,402	\$ 48,087,389
Consumption/Use Taxes .....	8,037,776	1,915,707	7,436,516	631,986	18,021,985	17,356,314
Business Taxes.....	6,369,844	1,959,609	-	666,364	8,995,817	7,912,088
Other Taxes.....	1,087,102	-	1,005,663	119,100	2,211,865	2,221,531
Miscellaneous Receipts.....	3,159,259	19,279,357	476,948	6,550,808	29,466,372	31,184,690
Federal Receipts.....	285	62,896,868	73,769	2,109,103	65,080,025	61,344,353
<b>Total Receipts.....</b>	<b>43,300,279</b>	<b>88,235,229</b>	<b>35,822,597</b>	<b>10,077,361</b>	<b>177,435,466</b>	<b>168,106,365</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants:						
Education.....	28,101,508	9,569,236	-	175,690	37,846,434	37,838,681
Environment and Recreation.....	3,182	5,790	-	417,060	426,032	437,205
General Government.....	1,048,889	273,030	-	850,047	2,171,966	2,256,612
Public Health:						
Medicaid.....	17,566,451	45,924,012	-	-	63,490,463	59,753,089
Other Public Health .....	2,366,621	7,526,751	-	555,414	10,448,786	10,375,088
Public Safety .....	176,821	1,580,165	-	96,784	1,853,770	1,593,457
Public Welfare .....	2,318,853	4,861,594	-	413,057	7,593,504	8,076,392
Support and Regulate Business .....	170,772	64,451	-	879,044	1,114,267	1,328,131
Transportation.....	110,219	3,437,053	-	1,625,915	5,173,187	5,773,123
<b>Total Local Assistance Grants.....</b>	<b>51,863,316</b>	<b>73,242,082</b>	<b>-</b>	<b>5,013,011</b>	<b>130,118,409</b>	<b>127,431,778</b>
Departmental Operations:						
Personal Service.....	8,939,781	5,786,723	-	-	14,726,504	14,324,727
Non-Personal Service.....	3,113,937	4,326,921	36,272	-	7,477,130	6,764,020
General State Charges.....	7,453,706	1,303,087	-	-	8,756,793	8,624,718
Debt Service, Including Payments on Financing Agreements.....	-	-	4,916,091	-	4,916,091	6,698,565
Capital Projects.....	-	-	-	6,985,439	6,985,439	7,031,248
<b>Total Disbursements.....</b>	<b>71,370,740</b>	<b>84,658,813</b>	<b>4,952,363</b>	<b>11,998,450</b>	<b>172,980,366</b>	<b>170,875,056</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(28,070,461)</b>	<b>3,576,416</b>	<b>30,870,234</b>	<b>(1,921,089)</b>	<b>4,455,100</b>	<b>(2,768,691)</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Bond and Note Proceeds, net.....	-	-	-	-	-	132,900
Transfers from Other Funds.....	35,906,769	2,269,197	3,742,214	3,546,520	45,464,700	38,730,322
Transfers to Other Funds.....	(6,097,816)	(3,375,962)	(34,613,840)	(1,522,454)	(45,610,072)	(38,868,505)
<b>Total Other Financing Sources (Uses).....</b>	<b>29,808,953</b>	<b>(1,106,765)</b>	<b>(30,871,626)</b>	<b>2,024,066</b>	<b>(145,372)</b>	<b>(5,283)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>1,738,492</b>	<b>2,469,651</b>	<b>(1,392)</b>	<b>102,977</b>	<b>4,309,728</b>	<b>(2,773,974)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>7,205,733</b>	<b>3,842,405</b>	<b>64,780</b>	<b>(1,137,904)</b>	<b>9,975,014</b>	<b>12,748,988</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 8,944,225</b>	<b>\$ 6,312,056</b>	<b>\$ 63,388</b>	<b>\$ (1,034,927)</b>	<b>\$ 14,284,742</b>	<b>\$ 9,975,014</b>

See Accompanying Notes

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS - STATE OPERATING  
COMBINED STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)**

**EXHIBIT A  
SUPPLEMENTAL**

	STATE			TOTAL STATE OPERATING FUNDS	
	GENERAL	SPECIAL REVENUE	DEBT SERVICE	2019-20	2018-19
<b>RECEIPTS:</b>					
Personal Income Tax.....	\$ 24,646,013	\$ 2,183,688	\$ 26,829,701	\$ 53,659,402	\$ 48,087,389
Consumption/Use Taxes.....	8,037,776	1,915,707	7,436,516	17,389,999	16,711,610
Business Taxes.....	6,369,844	1,959,609	-	8,329,453	7,242,419
Other Taxes.....	1,087,102	-	1,005,663	2,092,765	2,102,431
Miscellaneous Receipts.....	3,159,259	19,064,356	476,948	22,700,563	23,485,540
Federal Receipts.....	285	(12,890)	73,769	61,164	72,646
<b>Total Receipts.....</b>	<b>43,300,279</b>	<b>25,110,470</b>	<b>35,822,597</b>	<b>104,233,346</b>	<b>97,702,035</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants:					
Education.....	28,101,508	6,040,755	-	34,142,263	33,845,340
Environment and Recreation.....	3,182	4,629	-	7,811	7,913
General Government.....	1,048,889	219,040	-	1,267,929	1,180,807
Public Health:					
Medicaid.....	17,566,451	5,674,673	-	23,241,124	20,371,950
Other Public Health .....	2,366,621	1,224,402	-	3,591,023	3,436,703
Public Safety .....	176,821	186,836	-	363,657	379,250
Public Welfare .....	2,318,853	4,078	-	2,322,931	2,783,464
Support and Regulate Business .....	170,772	56,487	-	227,259	233,146
Transportation.....	110,219	3,378,077	-	3,488,296	3,938,202
<b>Total Local Assistance Grants.....</b>	<b>51,863,316</b>	<b>16,788,977</b>	<b>-</b>	<b>68,652,293</b>	<b>66,176,775</b>
Departmental Operations:					
Personal Service.....	8,939,781	5,149,895	-	14,089,676	13,687,315
Non-Personal Service.....	3,113,937	2,928,017	36,272	6,078,226	5,370,250
General State Charges.....	7,453,706	969,492	-	8,423,198	8,203,826
Debt Service, Including Payments on Financing Agreements...	-	-	4,916,091	4,916,091	6,698,565
<b>Total Disbursements.....</b>	<b>71,370,740</b>	<b>25,836,381</b>	<b>4,952,363</b>	<b>102,159,484</b>	<b>100,136,731</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(28,070,461)</b>	<b>(725,911)</b>	<b>30,870,234</b>	<b>2,073,862</b>	<b>(2,434,696)</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Transfers from Other Funds.....	35,906,769	2,779,512	3,742,214	42,428,495	37,127,642
Transfers to Other Funds.....	(6,097,816)	(1,743,666)	(34,613,840)	(42,455,322)	(35,938,285)
<b>Total Other Financing Sources (Uses).....</b>	<b>29,808,953</b>	<b>1,035,846</b>	<b>(30,871,626)</b>	<b>(26,827)</b>	<b>1,189,357</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>1,738,492</b>	<b>309,935</b>	<b>(1,392)</b>	<b>2,047,035</b>	<b>(1,245,339)</b>
<b>Fund Balances at April 1 .....</b>	<b>7,205,733</b>	<b>5,090,754</b>	<b>64,780</b>	<b>12,361,267</b>	<b>13,606,606</b>
<b>Fund Balances at March 31.....</b>	<b>\$ 8,944,225</b>	<b>\$ 5,400,689</b>	<b>\$ 63,388</b>	<b>\$ 14,408,302</b>	<b>\$ 12,361,267</b>

See Accompanying Notes

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 COMBINED STATEMENT OF CASH RECEIPTS,  
 DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FISCAL YEAR ENDED MARCH 31, 2020  
 (amounts in thousands)

EXHIBIT B

	ENTERPRISE	INTERNAL SERVICE	TOTAL PROPRIETARY FUNDS	
			2019-20	2018-19
<b>RECEIPTS:</b>				
Miscellaneous Receipts.....	\$ 80,756	\$ 589,252	\$ 670,008	\$ 592,722
Federal Receipts.....	16,826	-	16,826	15,146
Unemployment Taxes.....	2,284,671	-	2,284,671	2,013,564
<b>Total Receipts.....</b>	<b>2,382,253</b>	<b>589,252</b>	<b>2,971,505</b>	<b>2,621,432</b>
<b>DISBURSEMENTS:</b>				
Departmental Operations:				
Personal Service.....	17,144	126,191	143,335	115,691
Non-Personal Service.....	57,502	489,238	546,740	522,055
General State Charges.....	1,645	56,268	57,913	74,844
Unemployment Benefits.....	2,303,371	-	2,303,371	2,027,828
<b>Total Disbursements.....</b>	<b>2,379,662</b>	<b>671,697</b>	<b>3,051,359</b>	<b>2,740,418</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>2,591</b>	<b>(82,445)</b>	<b>(79,854)</b>	<b>(118,986)</b>
<b>OTHER FINANCING SOURCES (USES):</b>				
Transfers from Other Funds.....	500	109,639	110,139	115,957
Transfers to Other Funds.....	-	(22,045)	(22,045)	(28,458)
<b>Total Other Financing Sources (Uses).....</b>	<b>500</b>	<b>87,594</b>	<b>88,094</b>	<b>87,499</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>3,091</b>	<b>5,149</b>	<b>8,240</b>	<b>(31,487)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>26,586</b>	<b>(302,672)</b>	<b>(276,086)</b>	<b>(244,599)</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 29,677</b>	<b>\$ (297,523)</b>	<b>\$ (267,846)</b>	<b>\$ (276,086)</b>

See Accompanying Notes

STATE OF NEW YORK  
TRUST FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT C

	PENSION TRUST	PRIVATE PURPOSE TRUST	TOTAL TRUST FUNDS	
			2019-20	2018-19
<b>RECEIPTS:</b>				
Miscellaneous Receipts.....	\$ 145,216	\$ 1,487	\$ 146,703	\$ 145,522
<b>Total Receipts.....</b>	<b>145,216</b>	<b>1,487</b>	<b>146,703</b>	<b>145,522</b>
<b>DISBURSEMENTS:</b>				
Departmental Operations:				
Personal Service.....	70,425	220	70,645	69,421
Non-Personal Service.....	34,420	9	34,429	31,285
General State Charges.....	38,545	141	38,686	44,487
<b>Total Disbursements.....</b>	<b>143,390</b>	<b>370</b>	<b>143,760</b>	<b>145,193</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>1,826</b>	<b>1,117</b>	<b>2,943</b>	<b>329</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>(2,971)</b>	<b>13,176</b>	<b>10,205</b>	<b>9,876</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ (1,145)</b>	<b>\$ 14,293</b>	<b>\$ 13,148</b>	<b>\$ 10,205</b>

See Accompanying Notes

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - GENERAL FUND  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS  
AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)**

**EXHIBIT D**

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
<b>RECEIPTS:</b>					
Personal Income Tax.....	\$ 23,899,000	\$ 23,899,000	\$ 24,333,000	\$ 24,646,000	\$ 313,000
Consumption/Use Taxes.....	8,209,000	8,209,000	8,123,000	8,037,800	(85,200)
Business Taxes.....	6,104,000	6,077,000	6,400,000	6,369,800	(30,200)
Other Taxes.....	1,113,000	1,113,000	1,112,000	1,087,100	(24,900)
Miscellaneous Receipts.....	2,857,000	2,904,000	2,979,000	3,159,300	180,300
Federal Receipts.....	-	-	-	300	300
Transfers from Other Funds:					
PIT / ECET in excess of Revenue Bond Debt Service.....	24,636,000	24,654,000	24,918,000	25,862,400	944,400
Sales Tax in excess of LGAC/STRBTF Debt Service.....	6,426,000	6,426,000	6,435,000	6,178,500	(256,500)
Real Estate Taxes in excess of CW/CA Debt Service.....	973,000	973,000	952,000	951,100	(900)
All Other.....	2,900,000	3,028,000	3,080,000	2,914,800	(165,200)
<b>Total Receipts.....</b>	<b>77,117,000</b>	<b>77,283,000</b>	<b>78,332,000</b>	<b>79,207,100</b>	<b>875,100</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants.....	52,100,000	54,028,000	53,573,000	51,863,300	(1,709,700)
Departmental Operations.....	11,911,000	11,913,000	11,738,000	12,053,800	315,800
General State Charges.....	7,716,000	7,667,000	7,626,000	7,453,700	(172,300)
Transfers to Other Funds:					
Debt Service.....	550,000	546,000	517,000	735,800	218,800
Capital Projects.....	3,191,000	3,019,000	3,182,000	3,128,100	(53,900)
State Share of Medicaid.....	-	-	-	316,000	316,000
SUNY Operations.....	1,185,000	1,185,000	1,185,000	1,179,400	(5,600)
Other Purposes.....	1,204,000	1,186,000	1,190,000	738,500	(451,500)
<b>Total Disbursements.....</b>	<b>77,857,000</b>	<b>79,544,000</b>	<b>79,011,000</b>	<b>77,468,600</b>	<b>(1,542,400)</b>
<b>Savings Plan.....</b>	<b>-</b>	<b>(1,782,000)</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(740,000)</b>	<b>(479,000)</b>	<b>(679,000)</b>	<b>1,738,500</b>	<b>2,417,500</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>7,206,000</b>	<b>7,206,000</b>	<b>7,206,000</b>	<b>7,205,700</b>	<b>(300)</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 6,466,000</b>	<b>\$ 6,727,000</b>	<b>\$ 6,527,000</b>	<b>\$ 8,944,200</b>	<b>\$ 2,417,200</b>

See Accompanying Notes

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - SPECIAL REVENUE  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS  
AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT D  
(continued)

	Financial Plan Amounts			Actual	Eliminations Actual	Total Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final				
<b>RECEIPTS:</b>							
Personal Income Tax.....	\$ 2,176,000	\$ 2,176,000	\$ 2,176,000	\$ 2,183,700	\$ -	\$ 2,183,700	\$ 7,700
Consumption/Use Taxes.....	1,895,000	1,895,000	1,877,000	1,915,700	-	1,915,700	38,700
Business Taxes.....	1,816,000	1,811,000	1,908,000	1,959,600	-	1,959,600	51,600
Other Taxes.....	-	-	-	-	-	-	-
Miscellaneous Receipts.....	17,909,000	18,391,000	18,601,000	19,279,400	-	19,279,400	678,400
Federal Receipts.....	62,491,000	60,013,000	63,859,000	62,896,900	-	62,896,900	(962,100)
Transfers from Other Funds.....	2,385,000	2,385,000	2,387,000	2,779,500	(510,300)	2,269,200	(117,800)
<b>Total Receipts.....</b>	<b>88,672,000</b>	<b>86,671,000</b>	<b>90,808,000</b>	<b>91,014,800</b>	<b>(510,300)</b>	<b>90,504,500</b>	<b>(303,500)</b>
<b>DISBURSEMENTS:</b>							
Local Assistance Grants.....	75,053,000	72,412,000	72,947,000	73,242,100	-	73,242,100	295,100
Departmental Operations.....	9,828,000	9,883,000	10,012,000	10,113,600	-	10,113,600	101,600
General State Charges.....	1,417,000	1,383,000	1,381,000	1,303,100	-	1,303,100	(77,900)
Capital Projects.....	-	-	-	-	-	-	-
Transfers to Other Funds.....	3,351,000	3,503,000	3,546,000	3,886,300	(510,300)	3,376,000	(170,000)
<b>Total Disbursements.....</b>	<b>89,649,000</b>	<b>87,181,000</b>	<b>87,886,000</b>	<b>88,545,100</b>	<b>(510,300)</b>	<b>88,034,800</b>	<b>148,800</b>
Savings Plan.....	-	(327,000)	-	-	-	-	-
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(977,000)</b>	<b>(183,000)</b>	<b>2,922,000</b>	<b>2,469,700</b>	<b>-</b>	<b>2,469,700</b>	<b>(452,300)</b>
Fund Balances (Deficits) at April 1.....	3,842,000	3,842,000	3,842,000	3,842,400	-	3,842,400	400
Fund Balances (Deficits) at March 31.....	\$ 2,865,000	\$ 3,659,000	\$ 6,764,000	\$ 6,312,100	\$ -	\$ 6,312,100	\$ (451,900)

See Accompanying Notes

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - SPECIAL REVENUE - STATE  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS  
AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT D  
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
<b>RECEIPTS:</b>					
Personal Income Tax.....	\$ 2,176,000	\$ 2,176,000	\$ 2,176,000	\$ 2,183,700	\$ 7,700
Consumption/Use Taxes.....	1,895,000	1,895,000	1,877,000	1,915,700	38,700
Business Taxes.....	1,816,000	1,811,000	1,908,000	1,959,600	51,600
Other Taxes.....	-	-	-	-	-
Miscellaneous Receipts.....	17,707,000	18,189,000	18,377,000	19,064,400	687,400
Federal Receipts.....	1,000	1,000	1,000	(12,900)	(13,900)
Transfers from Other Funds.....	2,373,000	2,373,000	2,375,000	2,779,500	404,500
<b>Total Receipts.....</b>	<b>25,968,000</b>	<b>26,445,000</b>	<b>26,714,000</b>	<b>27,890,000</b>	<b>1,176,000</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants.....	16,371,000	16,598,000	16,751,000	16,789,000	38,000
Departmental Operations.....	7,727,000	7,806,000	7,935,000	8,077,900	142,900
General State Charges.....	1,080,000	1,058,000	1,055,000	969,500	(85,500)
Capital Projects.....	-	-	-	-	-
Transfers to Other Funds.....	1,360,000	1,309,000	1,322,000	1,743,700	421,700
<b>Total Disbursements.....</b>	<b>26,538,000</b>	<b>26,771,000</b>	<b>27,063,000</b>	<b>27,580,100</b>	<b>517,100</b>
Savings Plan.....	-	(327,000)	-	-	-
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(570,000)</b>	<b>1,000</b>	<b>(349,000)</b>	<b>309,900</b>	<b>658,900</b>
Fund Balances (Deficits) at April 1.....	5,091,000	5,091,000	5,091,000	5,090,800	(200)
Fund Balances (Deficits) at March 31.....	\$ 4,521,000	\$ 5,092,000	\$ 4,742,000	\$ 5,400,700	\$ 658,700



STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - SPECIAL REVENUE - FEDERAL  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS  
AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT D  
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
<b>RECEIPTS:</b>					
Miscellaneous Receipts.....	\$ 202,000	\$ 202,000	\$ 224,000	\$ 215,000	\$ (9,000)
Federal Receipts.....	62,490,000	60,012,000	63,858,000	62,909,800	(948,200)
Transfers from Other Funds.....	12,000	12,000	12,000	-	(12,000)
<b>Total Receipts.....</b>	<b>62,704,000</b>	<b>60,226,000</b>	<b>64,094,000</b>	<b>63,124,800</b>	<b>(969,200)</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants.....	58,682,000	55,814,000	56,196,000	56,453,100	257,100
Departmental Operations.....	2,101,000	2,077,000	2,077,000	2,035,700	(41,300)
General State Charges.....	337,000	325,000	326,000	333,600	7,600
Capital Projects.....	-	-	-	-	-
Transfers to Other Funds.....	1,991,000	2,194,000	2,224,000	2,142,600	(81,400)
<b>Total Disbursements.....</b>	<b>63,111,000</b>	<b>60,410,000</b>	<b>60,823,000</b>	<b>60,965,000</b>	<b>142,000</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(407,000)</b>	<b>(184,000)</b>	<b>3,271,000</b>	<b>2,159,800</b>	<b>(1,111,200)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>(1,249,000)</b>	<b>(1,249,000)</b>	<b>(1,249,000)</b>	<b>(1,248,400)</b>	<b>600</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ (1,656,000)</b>	<b>\$ (1,433,000)</b>	<b>\$ 2,022,000</b>	<b>\$ 911,400</b>	<b>\$ (1,110,600)</b>

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - DEBT SERVICE  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS  
AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT D  
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
<b>RECEIPTS:</b>					
Personal Income Tax.....	\$ 26,075,000	\$ 26,075,000	\$ 26,507,000	\$ 26,829,700	\$ 322,700
Consumption/Use Taxes.....	7,568,000	7,568,000	7,505,000	7,436,500	(68,500)
Other Taxes.....	1,030,000	1,030,000	1,009,000	1,005,700	(3,300)
Miscellaneous Receipts.....	394,000	374,000	404,000	476,900	72,900
Federal Receipts.....	74,000	74,000	74,000	73,800	(200)
Transfers from Other Funds.....	3,483,000	3,683,000	3,639,000	3,742,200	103,200
<b>Total Receipts.....</b>	<b>38,624,000</b>	<b>38,804,000</b>	<b>39,138,000</b>	<b>39,564,800</b>	<b>426,800</b>
<b>DISBURSEMENTS:</b>					
Departmental Operations.....	46,000	46,000	38,000	36,200	(1,800)
Debt Service.....	5,166,000	5,146,000	5,166,000	4,916,100	(249,900)
Transfers to Other Funds.....	33,430,000	33,619,000	33,908,000	34,613,900	705,900
<b>Total Disbursements.....</b>	<b>38,642,000</b>	<b>38,811,000</b>	<b>39,112,000</b>	<b>39,566,200</b>	<b>454,200</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(18,000)</b>	<b>(7,000)</b>	<b>26,000</b>	<b>(1,400)</b>	<b>(27,400)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>65,000</b>	<b>65,000</b>	<b>65,000</b>	<b>64,800</b>	<b>(200)</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 47,000</b>	<b>\$ 58,000</b>	<b>\$ 91,000</b>	<b>\$ 63,400</b>	<b>\$ (27,600)</b>

See Accompanying Notes

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - CAPITAL PROJECTS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS  
AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT D  
(continued)

	Financial Plan Amounts			Actual	Eliminations Actual	Total Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final				
<b>RECEIPTS:</b>							
Consumption/Use Taxes.....	\$ 636,000	\$ 636,000	\$ 643,000	\$ 632,000	\$ -	\$ 632,000	\$ (11,000)
Business Taxes.....	665,000	665,000	678,000	666,400	-	666,400	(11,600)
Other Taxes.....	119,000	119,000	119,000	119,100	-	119,100	100
Miscellaneous Receipts.....	7,853,000	8,124,000	7,717,000	6,550,800	-	6,550,800	(1,166,200)
Federal Receipts.....	2,229,000	2,229,000	2,229,000	2,109,000	-	2,109,000	(120,000)
Transfers from Other Funds.....	3,532,000	3,360,000	3,524,000	3,845,500	(299,000)	3,546,500	22,500
<b>Total Receipts.....</b>	<b>15,034,000</b>	<b>15,133,000</b>	<b>14,910,000</b>	<b>13,922,800</b>	<b>(299,000)</b>	<b>13,623,800</b>	<b>(1,286,200)</b>
<b>DISBURSEMENTS:</b>							
Local Assistance Grants.....	5,377,000	5,267,000	4,921,000	5,013,000	-	5,013,000	92,000
Capital Projects.....	8,413,000	8,545,000	8,507,000	6,985,400	-	6,985,400	(1,521,600)
Transfers to Other Funds.....	1,586,000	1,597,000	1,552,000	1,821,400	(299,000)	1,522,400	(29,600)
<b>Total Disbursements.....</b>	<b>15,376,000</b>	<b>15,409,000</b>	<b>14,980,000</b>	<b>13,819,800</b>	<b>(299,000)</b>	<b>13,520,800</b>	<b>(1,459,200)</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(342,000)</b>	<b>(276,000)</b>	<b>(70,000)</b>	<b>103,000</b>	<b>-</b>	<b>103,000</b>	<b>173,000</b>
<b>OTHER FINANCING SOURCES (USES):</b>							
Bond and Note Proceeds, net.....	444,000	389,000	389,000	-	-	-	(389,000)
<b>Total Other Financing Sources (Uses).....</b>	<b>444,000</b>	<b>389,000</b>	<b>389,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(389,000)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>102,000</b>	<b>113,000</b>	<b>319,000</b>	<b>103,000</b>	<b>-</b>	<b>103,000</b>	<b>(216,000)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>(1,138,000)</b>	<b>(1,138,000)</b>	<b>(1,138,000)</b>	<b>(1,137,900)</b>	<b>-</b>	<b>(1,137,900)</b>	<b>100</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ (1,036,000)</b>	<b>\$ (1,025,000)</b>	<b>\$ (819,000)</b>	<b>\$ (1,034,900)</b>	<b>\$ -</b>	<b>\$ (1,034,900)</b>	<b>\$ (215,900)</b>

See Accompanying Notes

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - CAPITAL PROJECTS - STATE  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS  
AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT D  
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
<b>RECEIPTS:</b>					
Consumption/Use Taxes.....	\$ 636,000	\$ 636,000	\$ 643,000	\$ 632,000	\$ (11,000)
Business Taxes.....	665,000	665,000	678,000	666,400	(11,600)
Other Taxes.....	119,000	119,000	119,000	119,100	100
Miscellaneous Receipts.....	7,853,000	8,124,000	7,717,000	6,549,700	(1,167,300)
Federal Receipts.....	5,000	5,000	5,000	4,600	(400)
Transfers from Other Funds.....	3,927,000	3,755,000	3,919,000	3,845,500	(73,500)
<b>Total Receipts.....</b>	<b>13,205,000</b>	<b>13,304,000</b>	<b>13,081,000</b>	<b>11,817,300</b>	<b>(1,263,700)</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants.....	4,671,000	4,561,000	4,215,000	4,218,300	3,300
Capital Projects.....	7,318,000	7,450,000	7,412,000	5,915,700	(1,496,300)
Transfers to Other Funds.....	1,574,000	1,586,000	1,541,000	1,522,300	(18,700)
<b>Total Disbursements.....</b>	<b>13,563,000</b>	<b>13,597,000</b>	<b>13,168,000</b>	<b>11,656,300</b>	<b>(1,511,700)</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(358,000)</b>	<b>(293,000)</b>	<b>(87,000)</b>	<b>161,000</b>	<b>248,000</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Bond and Note Proceeds, net.....	444,000	389,000	389,000	-	(389,000)
<b>Total Other Financing Sources (Uses).....</b>	<b>444,000</b>	<b>389,000</b>	<b>389,000</b>	<b>-</b>	<b>(389,000)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>86,000</b>	<b>96,000</b>	<b>302,000</b>	<b>161,000</b>	<b>(141,000)</b>
Fund Balances (Deficits) at April 1.....	(633,000)	(633,000)	(633,000)	(633,200)	(200)
Fund Balances (Deficits) at March 31.....	\$ (547,000)	\$ (537,000)	\$ (331,000)	\$ (472,200)	\$ (141,200)

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
BUDGETARY BASIS REPORT - FINANCIAL PLAN AND ACTUAL - CAPITAL PROJECTS - FEDERAL  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS  
AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT D  
(continued)

	Financial Plan Amounts			Actual	Final to Actual Over/ (Under) Variance
	Original	Mid-Year	Final		
<b>RECEIPTS:</b>					
Miscellaneous Receipts.....	\$ -	\$ -	\$ -	\$ 1,100	\$ 1,100
Federal Receipts.....	2,224,000	2,224,000	2,224,000	2,104,400	(119,600)
Transfers from Other Funds.....	(395,000)	(395,000)	(395,000)	-	395,000
<b>Total Receipts.....</b>	<b>1,829,000</b>	<b>1,829,000</b>	<b>1,829,000</b>	<b>2,105,500</b>	<b>276,500</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants.....	706,000	706,000	706,000	794,700	88,700
Capital Projects.....	1,095,000	1,095,000	1,095,000	1,069,700	(25,300)
Transfers to Other Funds.....	12,000	11,000	11,000	299,100	288,100
<b>Total Disbursements.....</b>	<b>1,813,000</b>	<b>1,812,000</b>	<b>1,812,000</b>	<b>2,163,500</b>	<b>351,500</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>16,000</b>	<b>17,000</b>	<b>17,000</b>	<b>(58,000)</b>	<b>(75,000)</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Bond and Note Proceeds, net.....	-	-	-	-	-
<b>Total Other Financing Sources (Uses).....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>16,000</b>	<b>17,000</b>	<b>17,000</b>	<b>(58,000)</b>	<b>(75,000)</b>
Fund Balances (Deficits) at April 1.....	(505,000)	(505,000)	(505,000)	(504,700)	300
Fund Balances (Deficits) at March 31.....	<u>\$ (489,000)</u>	<u>\$ (488,000)</u>	<u>\$ (488,000)</u>	<u>\$ (562,700)</u>	<u>\$ (74,700)</u>

# NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING

## NOTE 1 - BASIS OF PRESENTATION

As set forth in State Finance Law, this report is prepared on a cash basis of accounting. Accounting and reporting of financial activity on a cash basis results in the recording of receipts at the time money or checks are deposited in the State Treasury and the recording of disbursements at the time a check is drawn, regardless of the fiscal period to which the receipts or disbursements relate. The State Financial Plan sets forth projections of receipts and disbursements in the governmental fund types based initially upon the recommendations in the Executive Budget. After the budget is enacted, the State Financial Plan is adjusted to reflect revenue measures, appropriation bills, and certain related bills enacted by the Legislature. The Financial Plan is updated quarterly, or more frequently when necessary, by the Division of the Budget.

## NOTE 2 - FUND TYPES

The State records its transactions in the following fund types:

### Governmental Funds:

General - the major operating fund of the State. It accounts for all receipts that are not required by law to be deposited into another fund. The General Fund's income finances disbursements from the Local Assistance Account, the State Operations Account, the Contingency Reserve Fund, the Universal Pre-Kindergarten Reserve Fund, the Refund Reserve Account, the Fringe Benefit Escrow Account, and the Tobacco Revenue Guarantee Fund. Receipts in excess of General Fund requirements in the Local Assistance Account and State Operations Account are transferred to the Tax Stabilization Reserve Fund at year-end (see Schedule 27). Receipts retained in the Rainy Day Reserve Fund may only be used in an economic downturn or catastrophic event as defined in State Finance Law Section 92-cc.

Special Revenue - to account for State receipts of specific revenue sources (other than debt service or major capital projects) such as Federal grants, that are legally restricted to disbursements for specified purposes. These restrictions may be imposed by the State or Federal government.

Debt Service - to account for the accumulation of resources for, and the payment of principal and interest on general long-term debt and State debt under lease/purchase and contractual obligation financing agreements. Debt service in relation to general obligation debt and certain lease/purchase and contractual obligation payments that are paid from the General Debt Service Fund are funded by transfers from the General Fund. Also disbursed from Debt Service Funds are debt service related activities for the Health and Mental Hygiene facilities, for highway construction, reconstruction, reconditioning and preservation, and for certain local assistance payments made under contractual agreements with public authorities. Such activities are primarily funded by dedicated tax receipts and patient income.

Capital Projects - to account for resources used for the acquisition or construction of capital facilities. Capital assistance grants to local governments and advances for capital construction costs reimbursable by public authorities of the State, Federal or local governments are also accounted for in these funds. Financial resources are generated primarily from bond issuances, dedicated taxes and other revenues, reimbursement of advances, Federal grants and transfers from other State Funds.

### State Operating Funds:

State Operating Funds - comprise the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

### Proprietary Funds:

Enterprise - to account for activities for which a fee is charged to users for goods or services. Enterprise Funds include services provided where either the costs are intended to be recovered primarily through charges to users outside of the State entity, or where the potential exists for significant financing through user charges, even if the legislative intent is not to self-finance the service and instead subsidize it from general governmental resources.

Internal Service - to account for any activity that provides goods or services to other funds, other State departments or agencies of the primary government on a cost-reimbursement basis. Internal Service Funds should be used only if the reporting government is the predominant participant in the activity.

### Fiduciary Funds:

Private Purpose Trust - to account for all other trust arrangements where the principal and income benefits individuals, private organizations or other governments.

Pension Trust - to account for the cash basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this fund. In addition, pension contributions and payments to retirees are excluded, since these payments are not required to be appropriated.

Agency - to account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations or other governmental units.

**NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING**

**NOTE 3 - DISBURSEMENT DESCRIPTIONS**

The State's Cash report includes payments made pursuant to an appropriation, as well as non-appropriated payments from funds held in a fiduciary capacity.

Local Assistance Grants - this category includes payments to counties, cities, towns, villages, school districts, private schools and other local entities as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Schedule 7 contains further information relating to local assistance disbursements by program.

Departmental Operations - this category includes the payment of salaries and compensation for State employees, miscellaneous contractual payments, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other miscellaneous operating costs of State departments and agencies.

General State Charges - this category includes costs mandated either by statute, collective bargaining agreements or court order. Charges in this category include contributions to pension systems, the employer's share of social security contributions, employer contributions toward the cost of medical and dental insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services. Also included are fixed costs for State payments in lieu of taxes, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defense(s) by private counsel or alternately, payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - this category includes debt service on long-term debt and payments on certain lease/purchase or contractual obligation contracts accounted for in Debt Service Funds (see Schedules 14 and 18). Under lease/purchase or contractual obligation financing arrangements, public authorities and certain municipalities have issued debt to finance the acquisition, construction or rehabilitation of State facilities or equipment and expect to receive rental or contractual payments from the State in an amount equal to the debt issued by the authority or municipality.

Capital Projects - this category includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highways, parkways, rail preservation, outdoor recreation, and environmental conservation projects, as well as payments to local government units and public authorities to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and State mental hygiene buildings, outdoor recreational parks, correctional and State-assisted housing and environmental quality projects. Advances are made for capital construction costs reimbursable by public benefit corporations, the Federal or local governments, or from the proceeds of State bonds and note sales.

**NOTE 4 - OTHER FINANCING SOURCES (USES)**

Bond Proceeds - this category includes proceeds from the sale of general obligation bonds. Schedule 14 provides an analysis of State debt activity during the fiscal year.

Operating Transfers - constitutes legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made.

**The more significant General Fund transfers include transfers to the following Funds/Accounts (amounts in millions):**

Banking Services	\$ 39.8
Batavia School for the Blind	0.9
Building Administration	9.5
Business Service Center	8.1
Centralized Technology Services	11.5
Certificates of Participation	9.6
Charter School Stimulus	4.8
Combined Expendable Trust	1.4
Correctional Facilities Capital Improvement	105.9
Correctional Industries Revolving	20.8
Court Facilities Incentive Aid	113.7
Dedicated Highway and Bridge Trust	397.5
Dedicated Infrastructure Investment	1,211.0
Dedicated Mass Transportation - (Non-MTA)	5.4
Dedicated Mass Transportation - Railroad	9.4
Dedicated Mass Transportation - Transit Authority	52.3
Environmental Protection	28.0
Federal Salary Sharing	2.0
General Debt Service	735.8
Hazardous Waste Oversight and Assistance	1.8
Health Insurance Revolving	7.9
Indigent Legal Services	22.1
Mass Transportation Financial Assistance	244.3
Mass Transportation Operating Assistance	39.8
Medical Marijuana Health Operating and Oversight	4.6
Neighborhood Works Project	1.0
New York City Central Business District Trust	112.5
New York City County Clerks' Operations Offset	3.2
Recruitment Incentive	2.1
Rome School for the Deaf	1.0
Spinal Cord Injury	8.5
State Capital Projects	1,384.0
State Housing Debt	1.5
State University Income	1,179.4
<b>Total</b>	<b>\$ 5,781.1</b>

**NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING**

In addition to the amounts listed in the prior table, reported General Fund Transfers to Other Funds include transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to the Health Income Fund (\$10.3m) and the State University Income Fund (\$305.7m).

The Special Revenue Funds, Transfer to Other Funds include transfers to Debt Service Funds representing the federal share of Medicaid payments for patients residing in State-operated Health, SUNY, Education and Mental Hygiene facilities (\$1,453.8m), as well as transfers to the Revenue Bond Tax Fund (\$88.2m) and Medicaid Management Information System Escrow Fund (\$57.3m).

**Additionally, Special Revenue Funds include Transfers to the General Fund from the following Funds/Accounts (amounts in millions):**

Business and Licensing Services	\$	3.8
Chemical Dependence Service		101.3
Criminal Justice Improvement		22.1
ENCON Special Revenue		6.4
Federal Education		1.6
Federal Employment & Training Grants		2.0
Federal Health and Human Services		96.4
Federal Operating Grants		3.5
Federal USDA/Food and Nutrition Services		42.4
Fingerprint Identification Technology		15.6
Health Care Transformation		710.3
HESC Insurance Premium		11.4
Legal Services Assistance		17.1
Miscellaneous State Special Revenue		6.2
Motor Vehicle Theft and Insurance Fraud		1.4
MTA Operating Assistance		1.5
New York City Assessment Account		22.9
Parking Account		2.1
Professional Education Services		2.8
Public Safety Communication		28.3
Public Service		5.6
State Central Register		3.5
State Lottery		5.5
State Police Motor Vehicle Law Enforcement		112.4
State University Income		54.1
Surplus Property		3.0

System and Technology		5.3
Training and Education Program on OSHA		2.3
Transportation Surplus Property		1.8
Unemployment Insurance Administration		31.0
Unemployment Insurance Interest and Penalty		11.6
Vital Records Management		2.7
Workers' Compensation Board		12.3
<b>Total</b>	<b>\$</b>	<b>1,350.2</b>

Also included in Special Revenue Funds transfers are transfers to finance capital projects in the State Capital Projects Fund (\$205.6m), the State University Capital Projects Fund (\$79.9m), the Hazardous Waste Remedial Fund (\$11.9m), the SUNY Residence Halls Rehabilitation and Repair Fund (\$59.5m), and the Miscellaneous Capital Projects Fund (\$61.2m).

**Debt Service Funds, Transfers to Other Funds include transfers to the General Fund from the following funds (amounts in millions):**

Clean Water/Clean Air	\$	951.1
Local Government Assistance Tax		3,416.6
Mental Health Services		1,466.4
Revenue Bond Tax		25,862.4
Sales Tax Revenue Bond Tax		2,761.9
<b>Total</b>	<b>\$</b>	<b>34,458.4</b>

Also included in the Debt Service Funds transfers are transfers to Special Revenue Funds representing receipts in excess of lease/purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$155.4m).

**Capital Projects Funds, Transfers to Other Funds include transfers to the General Fund from the following funds (amounts in millions):**

Dedicated Highway and Bridge Trust	\$	63.8
Federal Capital Projects		0.1
Hazardous Waste Remedial		22.5
<b>Total</b>	<b>\$</b>	<b>86.4</b>

Also included in Capital Projects Funds transfers are transfers to the General Debt Service Fund - Lease Purchase (\$471.8m) and the Revenue Bond Tax Fund (\$964.3m).



**NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING**

**Transfer Eliminations:**

The Special Revenue Funds and Capital Project Funds include transfers of resources between Federal and State accounts within each fund group. To avoid recording spending twice, initially as a transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds.

**NOTE 5 - FUTURE DEBT SERVICE REQUIREMENTS**

Future debt service payments reflect gross principal and interest due to bondholders as of March 31, 2020. Actual amounts paid by the State may vary from these estimates, due to offsetting interest earnings, actual variable rate results, related expenses and future bond sales conducted between the fiscal year end date and the date the Annual Report was released.

Schedules 17 and 19 provide a complete detail of future debt service requirements.

**NOTE 6 - CAPITAL PROJECTS REIMBURSED DISBURSEMENTS**

Disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds from State bonds and notes, and reimbursements received from public authorities and the Federal Government. The following is a list of capital projects spending by agency and sources of financing (amounts in millions):

Source of Financing	2019-20	Percentage of Spending Supported By:
Dedicated Taxes	\$ 119.1	0.99%
Federal Funds	2,109.1	17.58%
Non-Public Authority Receipts	863.7	7.20%
Public Authority Financing	5,463.0	45.53%
Short-Term Investment Pool Loans	(103.0)	-0.85%
General Obligation Debt	-	0.00%
Tax Transfers from General Fund	1,384.0	11.53%
Transfers from Other Funds	2,162.5	18.02%
<b>Total</b>	<b>\$ 11,998.4</b>	<b>100.00%</b>

Capital Projects Spending	2019-20
Addiction Services and Supports	\$ 31.9
Agriculture and Markets	68.7
Children and Family Services	22.7
City University of New York	36.9
Corrections and Community Supervision	412.4
Dormitory Authority	188.5
Education	176.5
Energy Research and Development Authority	24.0
Environmental Conservation and Parks	1,006.1
Health	624.9
Homeland Security and Emergency Services	88.3
Housing and Community Renewal	360.1
Mental Hygiene	386.3
Metropolitan Transportation Authority	606.5
Military and Naval Affairs	125.4
Motor Vehicle (Operating Expense)	262.8
New York State Thruway Authority	328.5
Office of General Services	176.9
Other	328.5
State	32.2
State Police	49.3
State University	1,004.0
Temporary and Disability Assistance	74.6
Transportation	4,397.1
UDC (Empire State Development Corp)	1,185.3
<b>Total</b>	<b>\$ 11,998.4</b>

**NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING**

The Comptroller certifies monthly, to the Division of the Budget, the Assembly Ways and Means Committee and the State Finance Committee, capital disbursements that are eligible to be reimbursed by Public Authority financing or State-issued General Obligation Debt.

The unreimbursed capital disbursements are financed in the first instance with General Fund resources or loans from the State's Short-Term Investment Pool. As reimbursements are received, the transfers and loans are repaid.

The amounts shown below represent disbursements as of March 31, 2020 and March 31, 2019, respectively, which are eligible to be reimbursed in the following fiscal year from the funding sources listed below (amounts in millions):

	<u>Unreimbursed Balances</u>	
	2019-20	2018-19
Dormitory Authority (Mental Hygiene)	\$ 355.8	\$ 470.1
Dormitory Authority and State University Income Fund	758.1	130.3
Federal Capital Projects	562.7	504.7
Housing Finance Agency (HFA)	216.3	177.1
Housing Assistance Fund (HAF)	12.9	12.9
State Bond and Note Proceeds	150.6	20.8
Urban Development Corporation (Correctional Facilities)	316.0	193.0
Urban Development Corporation (Youth Facilities)	21.2	21.3
<b>Total</b>	<b>\$ 2,393.6</b>	<b>\$ 1,530.2</b>

**NOTE 7 - SCHOOL TAX RELIEF**

A portion of Personal Income Tax receipts is transferred to the State Special Revenue - School Tax Relief (STAR) Fund and used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate program. School Tax Relief payments totaled \$2,183.7m for fiscal year 2019-20.

Schedule 2 provides additional detail regarding Personal Income Tax receipts.

**NOTE 8 - EXTRAORDINARY MONETARY SETTLEMENTS**

Since fiscal year 2015, the State has received a significant amount of Extraordinary Monetary Settlements related to violations of State laws by major financial institutions and other entities. The Refund Reserve Account balance includes Extraordinary Monetary Settlements the State has received. The Refund Reserve Account is reported in Exhibit A-1.

**NOTE 9 - TOBACCO MASTER SETTLEMENT AGREEMENT PROCEEDS**

In fiscal year 2018, bonds secured by annual payments from tobacco manufacturers under the Master Settlement Agreement (MSA) were retired, with no remaining debt service requirements to be paid on these bonds. Legislation (Chapter 59, Laws of 2017) included in the fiscal year 2018 Enacted Budget directed these payments in certain instances be used to help defray costs of the State's takeover of certain Medicaid costs from counties and New York City. In fiscal year 2020, payments of \$321.4m received under the Master Settlement Agreement were deposited to the Medicaid Management Information System Escrow Fund and used to offset, without appropriation, the non-Federal share of Medicaid pursuant to the 2018 Enacted Budget.

**NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING**

**NOTE 10 - NEW YORK LOCAL GOVERNMENT ASSISTANCE TAX FUND**

An amount equal to 25 percent of the State's sales tax, less refunds to taxpayers, is to be deposited in the Local Government Assistance Tax Fund (LGATF). The monies of such Fund are reserved for payment to the New York Local Government Assistance Corporation to enable it to meet principal and interest on its bonds.

Pursuant to Section 92-r(5) of the State Finance Law, monies in the LGATF in excess of debt service requirements and administrative expenses of the New York Local Government Assistance Corporation are required to be transferred to the General Fund.

Following is a summary of the transactions in the LGATF during Fiscal Years 2019-20 and 2018-19 (amounts in thousands):

<b>FY 2019-20</b>													
	<b>2019</b>					<b>2020</b>					<b>FISCAL YEAR</b>		
	<b>April</b>	<b>May</b>	<b>June</b>	<b>July</b>	<b>August</b>	<b>September</b>	<b>October</b>	<b>November</b>	<b>December</b>	<b>January</b>	<b>February</b>	<b>March</b>	<b>TOTALS</b>
<b>Beginning Balance</b>	\$ -	\$ 8,203	\$ 170,539	\$ -	\$ -	\$ 13,439	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 280,021	\$ -
Sales Tax Receipts	269,122	275,498	371,542	289,696	290,722	380,775	289,158	291,973	370,910	317,525	261,063	310,274	3,718,258
Interest Income	2	14	319	-	-	28	-	-	1	-	-	375	739
<b>Total Receipts</b>	<b>269,124</b>	<b>275,512</b>	<b>371,861</b>	<b>289,696</b>	<b>290,722</b>	<b>380,803</b>	<b>289,158</b>	<b>291,973</b>	<b>370,911</b>	<b>317,525</b>	<b>261,063</b>	<b>310,649</b>	<b>3,718,997</b>
Contractual Services	-	-	538	-	-	539	-	-	539	-	-	-	1,616
Debt Service	199	8,203	-	-	-	12,901	-	-	-	-	-	279,482	300,785
Transfer to General Fund for STARC/NYC Payment	-	-	170,000	-	-	-	-	-	-	-	-	-	170,000
Transfer to General Fund	260,722	104,973	371,862	289,696	277,283	380,802	289,158	291,973	370,372	317,525	(18,958)	311,188	3,246,596
<b>Total Disbursements</b>	<b>260,921</b>	<b>113,176</b>	<b>542,400</b>	<b>289,696</b>	<b>277,283</b>	<b>394,242</b>	<b>289,158</b>	<b>291,973</b>	<b>370,911</b>	<b>317,525</b>	<b>(18,958)</b>	<b>590,670</b>	<b>3,718,997</b>
<b>Ending Balance</b>	<b>\$ 8,203</b>	<b>\$ 170,539</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 13,439</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 280,021</b>	<b>\$ -</b>	<b>\$ -</b>
<b>FY 2018-19</b>													
	<b>2018</b>					<b>2019</b>					<b>FISCAL YEAR</b>		
	<b>April</b>	<b>May</b>	<b>June</b>	<b>July</b>	<b>August</b>	<b>September</b>	<b>October</b>	<b>November</b>	<b>December</b>	<b>January</b>	<b>February</b>	<b>March</b>	<b>TOTALS</b>
<b>Beginning Balance</b>	\$ -	\$ 1,870	\$ 46,154	\$ 1,810	\$ 2,051	\$ 25,886	\$ 1,870	\$ 1,931	\$ 2,404	\$ 1,810	\$ 1,689	\$ 398,346	\$ -
Sales Tax Receipts	251,395	263,259	361,961	275,043	273,944	354,854	269,206	274,884	360,473	286,804	242,725	322,242	3,536,790
Interest Income	1	2	25	3	2	47	3	2	3	4	3	665	760
<b>Total Receipts</b>	<b>251,396</b>	<b>263,261</b>	<b>361,986</b>	<b>275,046</b>	<b>273,946</b>	<b>354,901</b>	<b>269,209</b>	<b>274,886</b>	<b>360,476</b>	<b>286,808</b>	<b>242,728</b>	<b>322,907</b>	<b>3,537,550</b>
Contractual Services	-	-	714	-	-	594	-	-	-	-	-	-	1,308
Debt Service	353	722	451	275	163	23,271	367	613	545	369	-	396,419	423,548
Transfer to General Fund for STARC/NYC Payment	-	-	170,000	-	-	-	-	-	-	-	-	-	170,000
Transfer to General Fund	249,173	218,255	235,165	274,530	249,948	355,052	268,781	273,800	360,525	286,560	(153,929)	324,834	2,942,694
<b>Total Disbursements</b>	<b>249,526</b>	<b>218,977</b>	<b>406,330</b>	<b>274,805</b>	<b>250,111</b>	<b>378,917</b>	<b>269,148</b>	<b>274,413</b>	<b>361,070</b>	<b>286,929</b>	<b>(153,929)</b>	<b>721,253</b>	<b>3,537,550</b>
<b>Ending Balance</b>	<b>\$ 1,870</b>	<b>\$ 46,154</b>	<b>\$ 1,810</b>	<b>\$ 2,051</b>	<b>\$ 25,886</b>	<b>\$ 1,870</b>	<b>\$ 1,931</b>	<b>\$ 2,404</b>	<b>\$ 1,810</b>	<b>\$ 1,689</b>	<b>\$ 398,346</b>	<b>\$ -</b>	<b>\$ -</b>

**NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING**

**NOTE 11 - NEW YORK REVENUE BOND TAX FUND**

An amount equal to 50 percent of the State's Personal Income Tax (PIT) receipts and Employer Compensation Expense Tax (ECET) receipts, less refunds to taxpayers, is to be deposited in the Revenue Bond Tax Fund (RBTF). The monies of such Fund are reserved for payment of debt service on Personal Income Tax Revenue Bonds.

Pursuant to Section 92-z(5) of the State Finance Law, monies in the RBTF in excess of debt service requirements are required to be transferred to the General Fund.

Following is a summary of the transactions in the RBTF during Fiscal Years 2019-20 and 2018-19 (amounts in thousands):

FY 2019-20																
	2019			2019			2019			2019			2020		FISCAL YEAR	
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS			
<b>Opening Balance</b>	\$ -	\$ 402	\$ 116,578	\$ 197	\$ 9,424	\$ 128,160	\$ 197	\$ 63	\$ 274,601	\$ 197	\$ 1,501,347	\$ 2,024,796	\$ -	-		
PIT Receipts	4,607,696	1,242,402	2,604,821	1,665,837	1,454,283	2,415,699	1,289,123	1,234,769	2,143,926	4,449,674	1,848,397	1,873,074	26,829,701	-		
Employer Compensation Expense Tax	-	(14)	145	73	82	57	106	50	247	162	35	54	997	-		
Federal Subsidies (*)	-	-	-	1,606	33,539	-	-	-	-	1,611	33,647	-	70,403	-		
Transfer from - Health Care Reform Act (HCRA) Resources Fund (**)	-	-	-	-	3,027	3,755	-	-	-	-	33,458	47,960	88,200	-		
Transfer from - Dedicated Highway and Bridge Trust Fund (**)	-	-	-	-	22,517	190,898	-	-	-	-	58,995	691,897	964,307	-		
Transfer from - Mental Health Services Fund (**)	-	-	-	-	88,181	-	-	-	-	-	191,311	-	279,492	-		
Transfer from - Centralized Services Fund (**)	-	-	-	-	-	404	-	-	-	-	-	12,614	13,018	-		
<b>Total Receipts</b>	<b>4,607,696</b>	<b>1,242,388</b>	<b>2,604,966</b>	<b>1,667,516</b>	<b>1,601,629</b>	<b>2,610,813</b>	<b>1,289,229</b>	<b>1,234,819</b>	<b>2,144,173</b>	<b>4,451,447</b>	<b>2,165,843</b>	<b>2,625,599</b>	<b>28,246,118</b>	<b>-</b>		
Non-Personal Service	-	-	4	-	6,599	517	591	-	95	-	1,299	6,577	15,682	-		
Debt Service	-	-	115,301	-	-	55,445	-	-	271,192	-	592,514	1,333,548	2,368,000	-		
Transfer to General Fund	4,607,294	1,126,212	2,606,042	1,658,289	1,476,294	2,682,814	1,288,772	960,281	2,147,290	2,950,297	1,048,581	3,310,270	25,862,436	-		
<b>Total Disbursements</b>	<b>4,607,294</b>	<b>1,126,212</b>	<b>2,721,347</b>	<b>1,658,289</b>	<b>1,482,893</b>	<b>2,738,776</b>	<b>1,289,363</b>	<b>960,281</b>	<b>2,418,577</b>	<b>2,950,297</b>	<b>1,642,394</b>	<b>4,650,395</b>	<b>28,246,118</b>	<b>-</b>		
<b>Ending Balance</b>	<b>\$ 402</b>	<b>\$ 116,578</b>	<b>\$ 197</b>	<b>\$ 9,424</b>	<b>\$ 128,160</b>	<b>\$ 197</b>	<b>\$ 63</b>	<b>\$ 274,601</b>	<b>\$ 197</b>	<b>\$ 1,501,347</b>	<b>\$ 2,024,796</b>	<b>\$ -</b>	<b>\$ -</b>	<b>-</b>		

FY 2018-19														
	2018			2018			2018			2019			FISCAL YEAR	
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS	
<b>Opening Balance</b>	\$ -	\$ 332	\$ 20,947	\$ 165	\$ 13,514	\$ 570,944	\$ 165	\$ 165	\$ 168,329	\$ 165	\$ 1,547,032	\$ 2,193,284	\$ -	-
PIT Receipts	2,928,118	1,091,488	2,475,887	1,449,889	1,487,081	2,398,372	1,287,386	1,094,222	2,052,564	4,306,244	1,692,888	1,779,529	24,043,668	-
Federal Subsidies (*)	-	-	-	1,599	33,397	-	-	-	-	1,606	33,539	-	70,141	-
Transfer from - Health Care Reform Act (HCRA) Resources Fund (**)	-	-	-	-	3,582	4,850	-	-	-	-	26,167	49,520	84,119	-
Transfer from - Dedicated Highway and Bridge Trust Fund (**)	-	-	-	-	22,956	193,864	-	-	-	-	26,374	751,489	994,683	-
Transfer from - Mental Health Services Fund (**)	-	-	-	-	78,424	-	-	-	-	-	212,063	-	290,487	-
Transfer from - Centralized Services Fund (**)	-	-	-	-	-	6,890	-	-	-	-	-	12,924	19,814	-
<b>Total Receipts</b>	<b>2,928,118</b>	<b>1,091,488</b>	<b>2,475,887</b>	<b>1,451,488</b>	<b>1,625,440</b>	<b>2,603,976</b>	<b>1,287,386</b>	<b>1,094,222</b>	<b>2,052,564</b>	<b>4,307,850</b>	<b>1,991,031</b>	<b>2,593,462</b>	<b>25,502,912</b>	<b>-</b>
Non-Personal Service	-	101	20	-	11,750	1,358	-	-	238	-	1,305	7,475	22,247	-
Debt Service	-	438	9,982	-	-	525,879	-	-	167,186	-	538,677	2,892,712	4,134,874	-
Transfer to General Fund	2,927,786	1,070,334	2,486,667	1,438,139	1,056,260	2,647,518	1,287,386	926,058	2,053,304	2,760,983	804,797	1,886,559	21,345,791	-
<b>Total Disbursements</b>	<b>2,927,786</b>	<b>1,070,873</b>	<b>2,496,669</b>	<b>1,438,139</b>	<b>1,068,010</b>	<b>3,174,755</b>	<b>1,287,386</b>	<b>926,058</b>	<b>2,220,728</b>	<b>2,760,983</b>	<b>1,344,779</b>	<b>4,786,746</b>	<b>25,502,912</b>	<b>-</b>
<b>Ending Balance</b>	<b>\$ 332</b>	<b>\$ 20,947</b>	<b>\$ 165</b>	<b>\$ 13,514</b>	<b>\$ 570,944</b>	<b>\$ 165</b>	<b>\$ 165</b>	<b>\$ 168,329</b>	<b>\$ 165</b>	<b>\$ 1,547,032</b>	<b>\$ 2,193,284</b>	<b>\$ -</b>	<b>\$ -</b>	<b>-</b>

(\*) Pursuant to Section 73 of the State Finance Law, as added by Chapter 56 of the Laws of 2010 and amended by Chapter 59 of the Laws of 2012, the Federal Subsidies are Federal Interest Subsidy receipts solely used for debt service on Build America Bonds and Qualified School Construction Bonds, as authorized pursuant to ARRA, under Section 54AA and 54F of the Internal Revenue Code.

(\*\*) Transfers represent reimbursements for debt service paid from the Revenue Bond Tax Fund. These reimbursements are made pursuant to State Finance Law Sections 92-dd, 89-b, 97-f, and 97-g.

**NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING**

**NOTE 12 - NEW YORK SALES TAX REVENUE BOND FUND**

An amount equal to 25 percent of the State's sales tax, less refunds to taxpayers, is to be deposited in the Sales Tax Revenue Bond Tax Fund (STRBTF). The monies of such Fund are reserved for payment of debt service on Sales Tax Revenue Bonds.

Pursuant to Section 92-h(5) of the State Finance Law, monies in the STRBTF in excess of debt service requirements are required to be transferred to the General Fund.

Following is a summary of the transactions in the STRBTF during Fiscal Years 2019-20 and 2018-19 (amounts in thousands):

<b>FY 2019-20</b>													
	<b>2019</b>					<b>2020</b>					<b>FISCAL YEAR</b>		
	<b>April</b>	<b>May</b>	<b>June</b>	<b>July</b>	<b>August</b>	<b>September</b>	<b>October</b>	<b>November</b>	<b>December</b>	<b>January</b>	<b>February</b>	<b>March</b>	<b>TOTALS</b>
<b>Beginning Balance</b>	\$ -	\$ 77,822	\$ 155,644	\$ 233,466	\$ 311,288	\$ 389,110	\$ 163,053	\$ 247,489	\$ 337,283	\$ 424,398	\$ 487,125	\$ 565,857	\$ -
Sales Tax Receipts	<u>269,127</u>	<u>275,493</u>	<u>371,543</u>	<u>289,695</u>	<u>290,722</u>	<u>380,775</u>	<u>289,158</u>	<u>291,973</u>	<u>370,910</u>	<u>317,525</u>	<u>261,063</u>	<u>310,274</u>	<u>3,718,258</u>
<b>Total Receipts</b>	<u>269,127</u>	<u>275,493</u>	<u>371,543</u>	<u>289,695</u>	<u>290,722</u>	<u>380,775</u>	<u>289,158</u>	<u>291,973</u>	<u>370,910</u>	<u>317,525</u>	<u>261,063</u>	<u>310,274</u>	<u>3,718,258</u>
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	5	5
Debt Service	-	-	-	-	-	239,836	-	-	-	-	-	716,508	956,344
Transfer to General Fund	<u>191,305</u>	<u>197,671</u>	<u>293,721</u>	<u>211,873</u>	<u>212,900</u>	<u>366,996</u>	<u>204,722</u>	<u>202,179</u>	<u>283,795</u>	<u>254,798</u>	<u>182,331</u>	<u>159,618</u>	<u>2,761,909</u>
<b>Total Disbursements</b>	<u>191,305</u>	<u>197,671</u>	<u>293,721</u>	<u>211,873</u>	<u>212,900</u>	<u>606,832</u>	<u>204,722</u>	<u>202,179</u>	<u>283,795</u>	<u>254,798</u>	<u>182,331</u>	<u>876,131</u>	<u>3,718,258</u>
<b>Ending Balance</b>	<u>\$ 77,822</u>	<u>\$ 155,644</u>	<u>\$ 233,466</u>	<u>\$ 311,288</u>	<u>\$ 389,110</u>	<u>\$ 163,053</u>	<u>\$ 247,489</u>	<u>\$ 337,283</u>	<u>\$ 424,398</u>	<u>\$ 487,125</u>	<u>\$ 565,857</u>	<u>\$ -</u>	<u>\$ -</u>
<b>FY 2018-19</b>													
	<b>2018</b>					<b>2019</b>					<b>FISCAL YEAR</b>		
	<b>April</b>	<b>May</b>	<b>June</b>	<b>July</b>	<b>August</b>	<b>September</b>	<b>October</b>	<b>November</b>	<b>December</b>	<b>January</b>	<b>February</b>	<b>March</b>	<b>TOTALS</b>
<b>Beginning Balance</b>	\$ -	\$ 64,629	\$ 141,227	\$ 211,840	\$ 282,453	\$ 361,052	\$ 205,279	\$ 303,413	\$ 401,546	\$ 499,680	\$ 597,814	\$ 685,648	\$ -
Sales Tax Receipts	<u>251,395</u>	<u>263,259</u>	<u>361,961</u>	<u>275,044</u>	<u>273,944</u>	<u>354,854</u>	<u>269,205</u>	<u>274,884</u>	<u>360,473</u>	<u>286,804</u>	<u>242,725</u>	<u>322,242</u>	<u>3,536,790</u>
<b>Total Receipts</b>	<u>251,395</u>	<u>263,259</u>	<u>361,961</u>	<u>275,044</u>	<u>273,944</u>	<u>354,854</u>	<u>269,205</u>	<u>274,884</u>	<u>360,473</u>	<u>286,804</u>	<u>242,725</u>	<u>322,242</u>	<u>3,536,790</u>
Non-Personal Service	-	-	-	-	-	99	-	-	-	-	-	9	108
Debt Service	-	-	-	-	-	190,077	9,051	-	-	-	-	684,661	883,789
Transfer to General Fund	<u>186,766</u>	<u>186,661</u>	<u>291,348</u>	<u>204,431</u>	<u>195,345</u>	<u>320,451</u>	<u>162,020</u>	<u>176,751</u>	<u>262,339</u>	<u>188,670</u>	<u>154,891</u>	<u>323,220</u>	<u>2,652,893</u>
<b>Total Disbursements</b>	<u>186,766</u>	<u>186,661</u>	<u>291,348</u>	<u>204,431</u>	<u>195,345</u>	<u>510,627</u>	<u>171,071</u>	<u>176,751</u>	<u>262,339</u>	<u>188,670</u>	<u>154,891</u>	<u>1,007,890</u>	<u>3,536,790</u>
<b>Ending Balance</b>	<u>\$ 64,629</u>	<u>\$ 141,227</u>	<u>\$ 211,840</u>	<u>\$ 282,453</u>	<u>\$ 361,052</u>	<u>\$ 205,279</u>	<u>\$ 303,413</u>	<u>\$ 401,546</u>	<u>\$ 499,680</u>	<u>\$ 597,814</u>	<u>\$ 685,648</u>	<u>\$ -</u>	<u>\$ -</u>

**NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING**

**NOTE 13 - MOBILITY TAX TRUST ACCOUNT**

Section 11 of Part UU of Chapter 59 of the Laws of 2018 amended Section 805(b) of the Tax Law, whereby the receipts from the Metropolitan Commuter Transportation Mobility Tax will be paid into the Metropolitan Transportation Authority Finance Fund pursuant to statute but without appropriation. The result is that neither the mobility receipts nor the related grant disbursements to the MTA are recorded in the State Funds. This activity is reported in the MTA State Assistance fund group.

Following is a summary of the transactions in the Mobility Tax Trust Account during Fiscal Years 2019-20 and 2018-19 (amounts in thousands):

FY 2019-20													
	2019								2020				FISCAL YEAR TOTALS
	April	May	June	July	August	September	October	November	December	January	February	March	
<b>Beginning Balance</b>	\$ 124,970	\$ 165,812	\$ 117,476	\$ 109,766	\$ 126,087	\$ 104,633	\$ 112,719	\$ 130,989	\$ 98,282	\$ 32,836	\$ 211,153	\$ 158,705	\$ 124,970
MCTD Mobility Tax Receipts	165,812	117,474	109,765	126,077	104,621	112,709	130,961	98,279	128,176	211,151	158,704	140,544	1,604,273
Interest Income	268	204	241	281	195	285	192	128	170	111	130	199	2,404
<b>Total Receipts</b>	<b>166,080</b>	<b>117,678</b>	<b>110,006</b>	<b>126,358</b>	<b>104,816</b>	<b>112,994</b>	<b>131,153</b>	<b>98,407</b>	<b>128,346</b>	<b>211,262</b>	<b>158,834</b>	<b>140,743</b>	<b>1,606,677</b>
Payments to MTA	125,238	166,014	117,716	110,037	126,270	104,908	112,883	131,114	193,792	32,945	211,282	158,903	1,591,102
<b>Total Disbursements</b>	<b>125,238</b>	<b>166,014</b>	<b>117,716</b>	<b>110,037</b>	<b>126,270</b>	<b>104,908</b>	<b>112,883</b>	<b>131,114</b>	<b>193,792</b>	<b>32,945</b>	<b>211,282</b>	<b>158,903</b>	<b>1,591,102</b>
<b>Ending Balance</b>	<b>\$ 165,812</b>	<b>\$ 117,476</b>	<b>\$ 109,766</b>	<b>\$ 126,087</b>	<b>\$ 104,633</b>	<b>\$ 112,719</b>	<b>\$ 130,989</b>	<b>\$ 98,282</b>	<b>\$ 32,836</b>	<b>\$ 211,153</b>	<b>\$ 158,705</b>	<b>\$ 140,545</b>	<b>\$ 140,545</b>
FY 2018-19													
	2018								2019				FISCAL YEAR TOTALS
	April	May	June	July	August	September	October	November	December	January	February	March	
<b>Beginning Balance</b>	\$ -	\$ 135,506	\$ 111,201	\$ 98,412	\$ 111,595	\$ 111,919	\$ 105,254	\$ 124,646	\$ 91,601	\$ 18,186	\$ 196,213	\$ 157,525	\$ -
MCTD Mobility Tax Receipts	135,506	111,201	98,412	111,566	111,765	105,067	124,511	91,472	110,561	196,213	157,525	124,970	1,478,769
Interest Income	-	-	-	29	125	187	135	129	179	154	171	276	1,385
<b>Total Receipts</b>	<b>135,506</b>	<b>111,201</b>	<b>98,412</b>	<b>111,595</b>	<b>111,890</b>	<b>105,254</b>	<b>124,646</b>	<b>91,601</b>	<b>110,740</b>	<b>196,367</b>	<b>157,696</b>	<b>125,246</b>	<b>1,480,154</b>
Payments to MTA	-	135,506	111,201	98,412	111,566	111,919	105,254	124,646	184,155	18,340	196,384	157,801	1,355,184
<b>Total Disbursements</b>	<b>-</b>	<b>135,506</b>	<b>111,201</b>	<b>98,412</b>	<b>111,566</b>	<b>111,919</b>	<b>105,254</b>	<b>124,646</b>	<b>184,155</b>	<b>18,340</b>	<b>196,384</b>	<b>157,801</b>	<b>1,355,184</b>
<b>Ending Balance</b>	<b>\$ 135,506</b>	<b>\$ 111,201</b>	<b>\$ 98,412</b>	<b>\$ 111,595</b>	<b>\$ 111,919</b>	<b>\$ 105,254</b>	<b>\$ 124,646</b>	<b>\$ 91,601</b>	<b>\$ 18,186</b>	<b>\$ 196,213</b>	<b>\$ 157,525</b>	<b>\$ 124,970</b>	<b>\$ 124,970</b>

**NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING**

**NOTE 14 - CONGESTION SURCHARGE**

Section 2 of Part NNN of Chapter 59 of the Laws of 2018 added Article 29-c of the Tax Law, whereby the receipts from the Congestion Surcharge will be paid to the MTA for deposit into the NYC Transportation Assistance Fund pursuant to statute but without appropriation. The result is that neither the surcharge receipts nor the related disbursements to the MTA are recorded in the State Funds. This activity is reported in the MTA State Assistance fund group.

Following is a summary of the transactions in the Congestion Surcharge Account during Fiscal Years 2019-20 and 2018-19 (amounts in thousands):

FY 2019-20													
	2019								2020				
	April	May	June	July	August	September	October	November	December	January	February	March	FISCAL YEAR TOTALS
<b>Beginning Balance</b>	\$ 34,422	\$ 76,188	\$ 39,406	\$ 40,697	\$ 38,150	\$ 36,613	\$ 35,242	\$ 37,638	\$ 41,438	\$ 39,761	\$ 40,104	\$ 38,716	\$ 34,422
Business / Professional Fees	41,754	38,671	38,683	37,773	35,242	33,849	36,384	40,312	38,498	37,952	35,643	32,982	447,743
Interest Income	12	89	109	78	75	71	61	60	57	56	61	50	779
<b>Total Receipts</b>	<b>41,766</b>	<b>38,760</b>	<b>38,792</b>	<b>37,851</b>	<b>35,317</b>	<b>33,920</b>	<b>36,445</b>	<b>40,372</b>	<b>38,555</b>	<b>38,008</b>	<b>35,704</b>	<b>33,032</b>	<b>448,522</b>
Grants	-	-	-	-	-	-	-	-	-	-	-	3,086	3,086
Payments to MTA	-	75,542	37,501	40,398	36,854	35,291	34,049	36,572	40,232	37,665	37,092	35,703	446,899
<b>Total Disbursements</b>	<b>-</b>	<b>75,542</b>	<b>37,501</b>	<b>40,398</b>	<b>36,854</b>	<b>35,291</b>	<b>34,049</b>	<b>36,572</b>	<b>40,232</b>	<b>37,665</b>	<b>37,092</b>	<b>38,789</b>	<b>449,985</b>
<b>Ending Balance</b>	<b>\$ 76,188</b>	<b>\$ 39,406</b>	<b>\$ 40,697</b>	<b>\$ 38,150</b>	<b>\$ 36,613</b>	<b>\$ 35,242</b>	<b>\$ 37,638</b>	<b>\$ 41,438</b>	<b>\$ 39,761</b>	<b>\$ 40,104</b>	<b>\$ 38,716</b>	<b>\$ 32,959</b>	<b>\$ 32,959</b>
FY 2018-19													
	2018								2019				
	April	May	June	July	August	September	October	November	December	January	February	March	FISCAL YEAR TOTALS
<b>Beginning Balance</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business / Professional Fees	-	-	-	-	-	-	-	-	-	-	-	34,422	34,422
Interest Income	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Receipts</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>34,422</b>	<b>34,422</b>
Payments to MTA	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Disbursements</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Ending Balance</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 34,422</b>	<b>\$ 34,422</b>

**NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING**

**NOTE 15 - MTA AID TRUST FUND REFORMS**

Part FF of Chapter 58 of the Laws of 2019 amended paragraphs (b-1) and (c-3) of subdivision two of Section 503 of the Vehicle and Traffic Law, Article 29-a of the Tax Law, article 17-c of the Vehicle and Traffic Law and Section 1166-a of the Tax Law, whereby the receipts from the various taxes and fees will be paid into the Metropolitan Transportation Authority Special Assistance Fund pursuant to statute but without appropriation. The result is that neither the revenue nor the related disbursements to the MTA are recorded in the State Funds. This activity is reported in the MTA State Assistance fund group.

Following is a summary of the transactions in the MTA Aid Trust Fund Reforms Account during Fiscal Year 2019-20 (amounts in thousands):

FY 2019-20													
	2019			2020			2020			2020			FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS
<b>Beginning Balance</b>	\$ -	\$ 31,034	\$ 49,893	\$ 2,584	\$ 28,955	\$ 47,000	\$ 10,456	\$ 37,285	\$ 54,409	\$ 15,597	\$ 39,524	\$ 56,034	\$ -
MCTD Taxicab Trip Tax Receipts	10,704	249	219	9,958	518	564	10,446	1,726	701	9,924	617	343	45,969
MTA Passenger Car Rentals Tax	1,609	76	11,575	19	36	19,103	(3)	4	14,897	12	5	8,300	55,633
Motor Vehicle Fees	18,721	18,534	18,285	16,394	17,491	16,521	16,386	15,361	14,130	13,933	15,850	15,912	197,518
Interest Income	-	-	-	-	-	-	-	33	42	58	38	64	235
<b>Total Receipts</b>	<b>31,034</b>	<b>18,859</b>	<b>30,079</b>	<b>26,371</b>	<b>18,045</b>	<b>36,188</b>	<b>26,829</b>	<b>17,124</b>	<b>29,770</b>	<b>23,927</b>	<b>16,510</b>	<b>24,619</b>	<b>299,355</b>
Payments to MTA	-	-	77,388	-	-	72,732	-	-	68,582	-	-	72,000	290,702
<b>Total Disbursements</b>	<b>-</b>	<b>-</b>	<b>77,388</b>	<b>-</b>	<b>-</b>	<b>72,732</b>	<b>-</b>	<b>-</b>	<b>68,582</b>	<b>-</b>	<b>-</b>	<b>72,000</b>	<b>290,702</b>
<b>Ending Balance</b>	<b>\$ 31,034</b>	<b>\$ 49,893</b>	<b>\$ 2,584</b>	<b>\$ 28,955</b>	<b>\$ 47,000</b>	<b>\$ 10,456</b>	<b>\$ 37,285</b>	<b>\$ 54,409</b>	<b>\$ 15,597</b>	<b>\$ 39,524</b>	<b>\$ 56,034</b>	<b>\$ 8,653</b>	<b>\$ 8,653</b>



**NOTES TO THE COMPTROLLER'S 2020 ANNUAL REPORT TO THE LEGISLATURE ON THE STATE FUNDS - CASH BASIS OF ACCOUNTING**

**NOTE 16 - ADDITIONAL REAL ESTATE TRANSFER TAX**

Part 000 of Chapter 59 of the Laws of 2019 added to and amended Tax Law Article 31. Section 1402-b added an additional real estate transfer tax to residential property over \$2 million, in cities with a population of over 1 million. Section 1421(b) of the Tax Law was amended directing these taxes be remitted to the MTA for deposit into the Central Business District Tolling Capital Lockbox Fund, pursuant to statute but without appropriation. The result is that neither this real estate transfer tax nor the related disbursements to the MTA are recorded in the State Funds. This activity is reported in the MTA State Assistance fund group.

Following is a summary of the transactions in the Additional Real Estate Tax Account during Fiscal Year 2019-20 (amounts in thousands):

	FY 2019-20												
	2019			2020									FISCAL YEAR
	April	May	June	July	August	September	October	November	December	January	February	March	TOTALS
<b>Beginning Balance</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,132	\$ 20,054	\$ 35,498	\$ 58,013	\$ 15,739	\$ 25,092	\$ 17,521	\$ -
Real Estate Transfer Tax Receipts	-	-	-	-	6,132	13,922	15,437	22,493	15,739	25,091	17,521	25,855	142,190
Interest Income	-	-	-	-	-	-	7	22	40	43	3	2	117
<b>Total Receipts</b>	-	-	-	-	<b>6,132</b>	<b>13,922</b>	<b>15,444</b>	<b>22,515</b>	<b>15,779</b>	<b>25,134</b>	<b>17,524</b>	<b>25,857</b>	<b>142,307</b>
Payments to MTA	-	-	-	-	-	-	-	-	58,053	15,781	25,095	17,523	116,452
<b>Total Disbursements</b>	-	-	-	-	-	-	-	-	<b>58,053</b>	<b>15,781</b>	<b>25,095</b>	<b>17,523</b>	<b>116,452</b>
<b>Ending Balance</b>	\$ -	\$ -	\$ -	\$ -	\$ 6,132	\$ 20,054	\$ 35,498	\$ 58,013	\$ 15,739	\$ 25,092	\$ 17,521	\$ 25,855	\$ 25,855

STATE OF NEW YORK  
GENERAL FUND  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020

EXHIBIT A-1

(amounts in thousands)

	LOCAL ASSISTANCE ACCOUNT (10000-10049)	STATE OPERATIONS ACCOUNT (10050-10099)	TAX STABILIZATION RESERVE (10100-10149)	CONTINGENCY RESERVE (10150-10199)	UNIVERSAL PRE-KINDERGARTEN RESERVE (10200-10249)	COMMUNITY PROJECTS (10250-10299)
<b>RECEIPTS:</b>						
Personal Income Tax (*).....	\$ -	\$ 24,646,013	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes (*).....	-	8,037,776	-	-	-	-
Business Taxes.....	-	6,369,844	-	-	-	-
Other Taxes.....	-	1,087,102	-	-	-	-
Miscellaneous Receipts.....	636	3,158,623	-	-	-	-
Federal Receipts.....	-	285	-	-	-	-
<b>Total Receipts.....</b>	<b>636</b>	<b>43,299,643</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education.....	28,101,508	-	-	-	-	-
Environment and Recreation.....	3,182	-	-	-	-	-
General Government.....	1,043,742	-	-	-	-	5,147
Public Health:						
Medicaid.....	17,566,451	-	-	-	-	-
Other Public Health .....	2,366,621	-	-	-	-	-
Public Safety .....	176,821	-	-	-	-	-
Public Welfare .....	2,318,853	-	-	-	-	-
Support and Regulate Business .....	170,772	-	-	-	-	-
Transportation.....	110,219	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>51,858,169</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>5,147</b>
Departmental Operations:						
Personal Service .....	-	8,939,781	-	-	-	-
Non-Personal Service .....	-	3,113,937	-	-	-	-
General State Charges.....	-	7,453,706	-	-	-	-
<b>Total Disbursements.....</b>	<b>51,858,169</b>	<b>19,507,424</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>5,147</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(51,857,533)</b>	<b>23,792,219</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(5,147)</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Transfers from Other Funds (*).....	53,800,751	42,302,372	-	-	-	-
Transfers to Other Funds (*).....	(1,943,218)	(66,094,591)	-	-	-	-
<b>Total Other Financing Sources (Uses).....</b>	<b>51,857,533</b>	<b>(23,792,219)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Excess of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(5,147)</b>
<b>Fund Balances at April 1.....</b>	<b>-</b>	<b>-</b>	<b>1,257,763</b>	<b>20,624</b>	<b>-</b>	<b>35,802</b>
<b>Fund Balances at March 31.....</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 1,257,763</b>	<b>\$ 20,624</b>	<b>\$ -</b>	<b>\$ 30,655</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
GENERAL FUND  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-1  
(continued)

	RAINY DAY RESERVE (10300-10349)	REFUND RESERVE ACCOUNT (*) (10400-10449)	FRINGE BENEFIT ESCROW ACCOUNT (10500-10549)	TOBACCO REVENUE GUARANTEE (10550-10599)	ELIMINATIONS	COMBINED TOTALS	
						2019-20	2018-19
<b>RECEIPTS:</b>							
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 24,646,013	\$ 21,620,610
Consumption/Use Taxes (*).....	-	-	-	-	-	8,037,776	7,680,725
Business Taxes.....	-	-	-	-	-	6,369,844	5,501,326
Other Taxes.....	-	-	-	-	-	1,087,102	1,086,260
Miscellaneous Receipts.....	-	-	-	-	-	3,159,259	3,586,046
Federal Receipts.....	-	-	-	-	-	285	149
<b>Total Receipts.....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>43,300,279</b>	<b>39,475,116</b>
<b>DISBURSEMENTS:</b>							
Local Assistance Grants (*):							
Education.....	-	-	-	-	-	28,101,508	28,090,487
Environment and Recreation.....	-	-	-	-	-	3,182	3,599
General Government.....	-	-	-	-	-	1,048,889	1,010,800
Public Health:							
Medicaid.....	-	-	-	-	-	17,566,451	14,689,743
Other Public Health .....	-	-	-	-	-	2,366,621	2,516,107
Public Safety .....	-	-	-	-	-	176,821	183,029
Public Welfare .....	-	-	-	-	-	2,318,853	2,778,976
Support and Regulate Business .....	-	-	-	-	-	170,772	168,750
Transportation.....	-	-	-	-	-	110,219	303,836
<b>Total Local Assistance Grants.....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>51,863,316</b>	<b>49,745,327</b>
Departmental Operations:							
Personal Service .....	-	-	-	-	-	8,939,781	8,719,311
Non-Personal Service .....	-	-	-	-	-	3,113,937	2,622,064
General State Charges.....	-	-	-	-	-	7,453,706	7,138,640
<b>Total Disbursements.....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>71,370,740</b>	<b>68,225,342</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(28,070,461)</b>	<b>(28,750,226)</b>
<b>OTHER FINANCING SOURCES (USES):</b>							
Transfers from Other Funds (*).....	428,000	6,417,639	-	-	(67,041,993)	35,906,769	31,069,281
Transfers to Other Funds (*).....	-	(5,102,000)	-	-	67,041,993	(6,097,816)	(4,558,333)
<b>Total Other Financing Sources (Uses).....</b>	<b>428,000</b>	<b>1,315,639</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>29,808,953</b>	<b>26,510,948</b>
<b>Excess of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>428,000</b>	<b>1,315,639</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,738,492</b>	<b>(2,239,278)</b>
<b>Fund Balances at April 1.....</b>	<b>789,544</b>	<b>5,102,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>7,205,733</b>	<b>9,445,011</b>
<b>Fund Balances at March 31.....</b>	<b>\$ 1,217,544</b>	<b>\$ 6,417,639</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 8,944,225</b>	<b>\$ 7,205,733</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-2

	ARTS CAPITAL GRANTS (21850-21899)	BIODIVERSITY STEWARDSHIP AND RESEARCH (21600-21649)	CHARITABLE GIFTS TRUST (24900-24949)	CHARTER SCHOOL STIMULUS (20600-20649)	CHEMICAL DEPENDENCE SERVICE (22700-22749)	CHILD PERFORMER'S PROTECTION (20400-20449)
<b>RECEIPTS:</b>						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes .....	-	-	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	35	-	2,046	76	107,881	114
Federal Receipts.....	-	-	-	-	-	-
<b>Total Receipts.....</b>	<b>35</b>	<b>-</b>	<b>2,046</b>	<b>76</b>	<b>107,881</b>	<b>114</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education.....	-	-	-	1,894	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health .....	-	-	-	-	-	-
Public Safety .....	-	-	-	-	-	-
Public Welfare .....	-	-	-	-	-	-
Support and Regulate Business .....	-	-	-	-	-	-
Transportation .....	-	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,894</b>	<b>-</b>	<b>-</b>
Departmental Operations:						
Personal Service .....	-	-	-	-	-	290
Non-Personal Service .....	-	-	-	3,433	6,443	77
General State Charges.....	-	-	-	-	-	185
Capital Projects.....	-	-	-	-	-	-
<b>Total Disbursements.....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>5,327</b>	<b>6,443</b>	<b>552</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>35</b>	<b>-</b>	<b>2,046</b>	<b>(5,251)</b>	<b>101,438</b>	<b>(438)</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Transfers from Other Funds.....	-	-	-	4,837	-	500
Transfers to Other Funds (*).....	-	-	-	-	(101,295)	(21)
<b>Total Other Financing Sources (Uses).....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>4,837</b>	<b>(101,295)</b>	<b>479</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>35</b>	<b>-</b>	<b>2,046</b>	<b>(414)</b>	<b>143</b>	<b>41</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>947</b>	<b>-</b>	<b>93,599</b>	<b>6,501</b>	<b>9,995</b>	<b>9</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 982</b>	<b>\$ -</b>	<b>\$ 95,645</b>	<b>\$ 6,087</b>	<b>\$ 10,138</b>	<b>\$ 50</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-2  
(continued)

	CITY UNIVERSITY TUITION REIMBURSEMENT (23250-23449)	CLEAN AIR (21450-21499)	COMBINED EXPENDABLE TRUST (20100-20299)	COMBINED NON- EXPENDABLE TRUST (21650-21699)	COMBINED STUDENT LOAN (20950-20999)	COMMERCIAL GAMING REVENUE (23700-23749)
<b>RECEIPTS:</b>						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes .....	-	-	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	115,682	31,717	8,787	8	26,468	191,377
Federal Receipts.....	-	-	-	-	(27,546)	-
<b>Total Receipts.....</b>	<b>115,682</b>	<b>31,717</b>	<b>8,787</b>	<b>8</b>	<b>(1,078)</b>	<b>191,377</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education.....	-	-	90	-	-	161,600
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	37,853
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health .....	-	-	2,345	-	-	3,388
Public Safety .....	-	-	2,818	-	-	-
Public Welfare .....	-	-	-	-	-	-
Support and Regulate Business .....	-	-	-	-	-	-
Transportation .....	-	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>-</b>	<b>-</b>	<b>5,253</b>	<b>-</b>	<b>-</b>	<b>202,841</b>
Departmental Operations:						
Personal Service .....	48,676	19,645	428	-	-	2,485
Non-Personal Service .....	53,020	5,269	2,112	7	9,237	160
General State Charges.....	204	12,438	295	-	-	1,579
Capital Projects.....	-	-	-	-	-	-
<b>Total Disbursements.....</b>	<b>101,900</b>	<b>37,352</b>	<b>8,088</b>	<b>7</b>	<b>9,237</b>	<b>207,065</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>13,782</b>	<b>(5,635)</b>	<b>699</b>	<b>1</b>	<b>(10,315)</b>	<b>(15,688)</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Transfers from Other Funds.....	-	-	1,372	-	-	-
Transfers to Other Funds (*).....	-	-	(550)	-	-	-
<b>Total Other Financing Sources (Uses).....</b>	<b>-</b>	<b>-</b>	<b>822</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>13,782</b>	<b>(5,635)</b>	<b>1,521</b>	<b>1</b>	<b>(10,315)</b>	<b>(15,688)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>190,533</b>	<b>(27,665)</b>	<b>68,508</b>	<b>466</b>	<b>28,748</b>	<b>31,687</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 204,315</b>	<b>\$ (33,300)</b>	<b>\$ 70,029</b>	<b>\$ 467</b>	<b>\$ 18,433</b>	<b>\$ 15,999</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-2  
(continued)

	CONSERVATION (21150-21199)	COURT FACILITIES INCENTIVE AID (22500-22549)	DEDICATED MASS TRANSPORTATION TRUST (20850-20899)	DEDICATED MISCELLANEOUS STATE SPECIAL REVENUE (23800-23899)	DRINKING WATER PROGRAM MANAGEMENT AND ADMINISTRATION (23100-23149)	EMPLOYMENT TRAINING (22550-22599)
<b>RECEIPTS:</b>						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes .....	-	-	108,213	523	-	-
Business Taxes.....	-	-	374,224	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	46,709	820	144,737	1,662	-	1
Federal Receipts.....	-	-	-	-	-	-
<b>Total Receipts.....</b>	<b>46,709</b>	<b>820</b>	<b>627,174</b>	<b>2,185</b>	<b>-</b>	<b>1</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	118,800	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health .....	-	-	-	-	-	-
Public Safety .....	-	-	-	-	-	-
Public Welfare .....	-	-	-	-	-	-
Support and Regulate Business .....	-	-	-	-	-	-
Transportation .....	-	-	697,119	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>-</b>	<b>118,800</b>	<b>697,119</b>	<b>-</b>	<b>-</b>	<b>-</b>
Departmental Operations:						
Personal Service .....	18,696	1,972	-	619	-	-
Non-Personal Service .....	5,708	12	-	86	-	-
General State Charges.....	11,876	869	-	394	-	-
Capital Projects.....	-	-	-	-	-	-
<b>Total Disbursements.....</b>	<b>36,280</b>	<b>121,653</b>	<b>697,119</b>	<b>1,099</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>10,429</b>	<b>(120,833)</b>	<b>(69,945)</b>	<b>1,086</b>	<b>-</b>	<b>1</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Transfers from Other Funds.....	609	113,672	67,001	-	-	-
Transfers to Other Funds (*).....	(1,832)	(3,517)	-	(40)	-	-
<b>Total Other Financing Sources (Uses).....</b>	<b>(1,223)</b>	<b>110,155</b>	<b>67,001</b>	<b>(40)</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>9,206</b>	<b>(10,678)</b>	<b>(2,944)</b>	<b>1,046</b>	<b>-</b>	<b>1</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>74,061</b>	<b>19,201</b>	<b>87,772</b>	<b>1,810</b>	<b>(5,351)</b>	<b>51</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 83,267</b>	<b>\$ 8,523</b>	<b>\$ 84,828</b>	<b>\$ 2,856</b>	<b>\$ (5,351)</b>	<b>\$ 52</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-2  
(continued)

	ENCON SPECIAL REVENUE (21050-21149)	ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (21200-21249)	EQUIPMENT LOAN FUND FOR THE DISABLED (21350-21399)	FEDERAL REVENUE MAXIMIZATION CONTRACT (22900-22949)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)	HEALTH CARE TRANSFORMATION (24850-24899)
<b>RECEIPTS:</b>						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes .....	-	-	-	-	732,643	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	89,387	49,285	31	-	5,528,983	501,010
Federal Receipts.....	4	-	-	-	-	-
<b>Total Receipts.....</b>	<b>89,391</b>	<b>49,285</b>	<b>31</b>	<b>-</b>	<b>6,261,626</b>	<b>501,010</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	4,743,673	-
Other Public Health .....	-	-	-	-	1,200,652	-
Public Safety .....	-	-	-	-	-	-
Public Welfare .....	-	-	-	-	-	-
Support and Regulate Business .....	-	-	-	-	-	-
Transportation .....	-	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>5,944,325</b>	<b>-</b>
Departmental Operations:						
Personal Service .....	50,279	11,746	-	-	13,518	-
Non-Personal Service .....	13,065	1,948	25	-	62,276	-
General State Charges.....	26,002	7,475	-	-	8,582	-
Capital Projects.....	-	-	-	-	-	-
<b>Total Disbursements.....</b>	<b>89,346</b>	<b>21,169</b>	<b>25</b>	<b>-</b>	<b>6,028,701</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>45</b>	<b>28,116</b>	<b>6</b>	<b>-</b>	<b>232,925</b>	<b>501,010</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Transfers from Other Funds.....	15,182	-	-	-	-	-
Transfers to Other Funds (*).....	(7,860)	(35,543)	-	-	(217,220)	(710,332)
<b>Total Other Financing Sources (Uses).....</b>	<b>7,322</b>	<b>(35,543)</b>	<b>-</b>	<b>-</b>	<b>(217,220)</b>	<b>(710,332)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>7,367</b>	<b>(7,427)</b>	<b>6</b>	<b>-</b>	<b>15,705</b>	<b>(209,322)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>(1,674)</b>	<b>33,983</b>	<b>537</b>	<b>24</b>	<b>-</b>	<b>524,619</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 5,693</b>	<b>\$ 26,556</b>	<b>\$ 543</b>	<b>\$ 24</b>	<b>\$ 15,705</b>	<b>\$ 315,297</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
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(amounts in thousands)

EXHIBIT A-2  
(continued)

	HOUSING DEVELOPMENT (22950-22999)	INDIGENT LEGAL SERVICES (23550-23599)	INTERACTIVE FANTASY SPORTS (24950-24999)	JUDICIARY DATA PROCESSING OFFSET (23200-23249)	LAKE GEORGE PARK TRUST (22750-22799)	LAWYERS' FUND FOR CLIENT PROTECTION (21300-21349)
<b>RECEIPTS:</b>						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes .....	-	-	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	749	236,924	6,262	54,695	1,381	13,135
Federal Receipts.....	-	-	-	-	-	-
<b>Total Receipts.....</b>	<b>749</b>	<b>236,924</b>	<b>6,262</b>	<b>54,695</b>	<b>1,381</b>	<b>13,135</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health .....	-	-	-	-	-	-
Public Safety .....	-	91,193	-	-	-	-
Public Welfare .....	408	-	-	-	-	-
Support and Regulate Business .....	-	-	-	-	-	-
Transportation .....	-	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>408</b>	<b>91,193</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
Departmental Operations:						
Personal Service .....	-	2,620	57	25,429	728	581
Non-Personal Service .....	-	25,497	13	3,578	167	10,643
General State Charges.....	-	1,645	35	10,017	386	226
Capital Projects.....	-	-	-	-	-	-
<b>Total Disbursements.....</b>	<b>408</b>	<b>120,955</b>	<b>105</b>	<b>39,024</b>	<b>1,281</b>	<b>11,450</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>341</b>	<b>115,969</b>	<b>6,157</b>	<b>15,671</b>	<b>100</b>	<b>1,685</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Transfers from Other Funds.....	-	22,123	-	-	-	-
Transfers to Other Funds (*).....	-	-	-	-	-	-
<b>Total Other Financing Sources (Uses).....</b>	<b>-</b>	<b>22,123</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>341</b>	<b>138,092</b>	<b>6,157</b>	<b>15,671</b>	<b>100</b>	<b>1,685</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>10,277</b>	<b>313,697</b>	<b>13,433</b>	<b>37,796</b>	<b>70</b>	<b>9,747</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 10,618</b>	<b>\$ 451,789</b>	<b>\$ 19,590</b>	<b>\$ 53,467</b>	<b>\$ 170</b>	<b>\$ 11,432</b>

(\*) See Accompanying Notes



STATE OF NEW YORK  
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COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-2  
(continued)

	LEGISLATIVE COMPUTER SERVICES (21550-21599)	LOCAL GOVERNMENT RECORDS MANAGEMENT IMPROVEMENT (20500-20549)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	MEDICAL MARIJUANA TRUST (23750-23799)	MENTAL HEALTH GIFTS AND DONATIONS (20000-20099)	MISCELLANEOUS STATE SPECIAL REVENUE (21900-22499)
<b>RECEIPTS:</b>						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes .....	-	-	1,068,630	5,698	-	-
Business Taxes.....	-	-	1,585,385	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	1,519	8,702	19,285	1,892	47	3,008,784
Federal Receipts.....	-	-	-	-	-	14,652
<b>Total Receipts.....</b>	<b>1,519</b>	<b>8,702</b>	<b>2,673,300</b>	<b>7,590</b>	<b>47</b>	<b>3,023,436</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education.....	-	5,246	-	-	-	4,043
Environment and Recreation.....	-	-	-	-	-	4,629
General Government.....	-	-	-	2,417	-	59,970
Public Health:						
Medicaid.....	-	-	-	-	-	931,000
Other Public Health .....	-	-	-	-	-	18,017
Public Safety .....	-	-	-	-	-	69,893
Public Welfare .....	-	-	-	-	-	3,670
Support and Regulate Business .....	-	-	-	-	-	56,487
Transportation .....	-	-	2,404,389	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>-</b>	<b>5,246</b>	<b>2,404,389</b>	<b>2,417</b>	<b>-</b>	<b>1,147,709</b>
Departmental Operations:						
Personal Service .....	-	1,743	2,880	1,746	-	921,115
Non-Personal Service .....	1,179	276	212	2,410	32	576,691
General State Charges.....	-	1,113	1,836	1,108	-	402,408
Capital Projects.....	-	-	-	-	-	-
<b>Total Disbursements.....</b>	<b>1,179</b>	<b>8,378</b>	<b>2,409,317</b>	<b>7,681</b>	<b>32</b>	<b>3,047,923</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>340</b>	<b>324</b>	<b>263,983</b>	<b>(91)</b>	<b>15</b>	<b>(24,487)</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Transfers from Other Funds.....	-	-	39,822	4,626	-	486,183
Transfers to Other Funds (*).....	-	(1,432)	(4,632)	(752)	-	(223,528)
<b>Total Other Financing Sources (Uses).....</b>	<b>-</b>	<b>(1,432)</b>	<b>35,190</b>	<b>3,874</b>	<b>-</b>	<b>262,655</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>340</b>	<b>(1,108)</b>	<b>299,173</b>	<b>3,783</b>	<b>15</b>	<b>238,168</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>11,894</b>	<b>4,571</b>	<b>115,463</b>	<b>8,152</b>	<b>808</b>	<b>1,139,528</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 12,234</b>	<b>\$ 3,463</b>	<b>\$ 414,636</b>	<b>\$ 11,935</b>	<b>\$ 823</b>	<b>\$ 1,377,696</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
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COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-2  
(continued)

	MTA FINANCIAL ASSISTANCE (23650-23699)	MUSICAL INSTRUMENT REVOLVING (21750-21799)	NEW YORK CITY COUNTY CLERKS' OPERATIONS OFFSET (23150-23199)	NEW YORK GREAT LAKES PROTECTION (22850-22899)	NEW YORK INTEREST ON LAWYER ACCOUNT (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399)
<b>RECEIPTS:</b>						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes .....	-	-	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	1,298	(1)	32,467	178	65,453	63
Federal Receipts.....	-	-	-	-	-	-
<b>Total Receipts.....</b>	<b>1,298</b>	<b>(1)</b>	<b>32,467</b>	<b>178</b>	<b>65,453</b>	<b>63</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health .....	-	-	-	-	-	-
Public Safety .....	-	-	-	-	19,639	-
Public Welfare .....	-	-	-	-	-	-
Support and Regulate Business .....	-	-	-	-	-	-
Transportation .....	276,569	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>276,569</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>19,639</b>	<b>-</b>
Departmental Operations:						
Personal Service .....	-	-	21,210	87	831	238
Non-Personal Service .....	-	-	2,758	8	508	19
General State Charges.....	-	-	11,411	56	529	152
Capital Projects.....	-	-	-	-	-	-
<b>Total Disbursements.....</b>	<b>276,569</b>	<b>-</b>	<b>35,379</b>	<b>151</b>	<b>21,507</b>	<b>409</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(275,271)</b>	<b>(1)</b>	<b>(2,912)</b>	<b>27</b>	<b>43,946</b>	<b>(346)</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Transfers from Other Funds.....	356,750	-	3,214	-	-	300
Transfers to Other Funds (*).....	-	-	-	-	-	(36)
<b>Total Other Financing Sources (Uses).....</b>	<b>356,750</b>	<b>-</b>	<b>3,214</b>	<b>-</b>	<b>-</b>	<b>264</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>81,479</b>	<b>(1)</b>	<b>302</b>	<b>27</b>	<b>43,946</b>	<b>(82)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>33,323</b>	<b>1</b>	<b>(28,280)</b>	<b>355</b>	<b>58,589</b>	<b>86</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 114,802</b>	<b>\$ -</b>	<b>\$ (27,978)</b>	<b>\$ 382</b>	<b>\$ 102,535</b>	<b>\$ 4</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
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(amounts in thousands)

EXHIBIT A-2  
(continued)

	NYS DOT HIGHWAY SAFETY PROGRAM (23000-23049)	NEW YORK STATE INFRASTRUCTURE TRUST (21500-21549)	NOT-FOR-PROFIT SHORT-TERM REVOLVING LOAN (20650-20699)	SCHOOL TAX RELIEF (20550-20599)	SEWAGE TREATMENT PROGRAM MANAGEMENT AND ADMINISTRATION (21000-21049)	STATE LOTTERY (20900-20949)
<b>RECEIPTS:</b>						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ 2,183,688	\$ -	\$ -
Consumption/Use Taxes .....	-	-	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	1,299	1	-	-	1,740	3,483,497
Federal Receipts.....	-	-	-	-	-	-
<b>Total Receipts.....</b>	<b>1,299</b>	<b>1</b>	<b>-</b>	<b>2,183,688</b>	<b>1,740</b>	<b>3,483,497</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education.....	-	-	-	2,183,688	-	3,684,194
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health .....	-	-	-	-	-	-
Public Safety .....	-	-	-	-	-	-
Public Welfare .....	-	-	-	-	-	-
Support and Regulate Business .....	-	-	-	-	-	-
Transportation .....	-	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>2,183,688</b>	<b>-</b>	<b>3,684,194</b>
Departmental Operations:						
Personal Service .....	2,944	-	-	-	508	17,189
Non-Personal Service .....	2	-	-	-	9	9,073
General State Charges.....	-	-	-	-	310	10,880
Capital Projects.....	-	-	-	-	-	-
<b>Total Disbursements.....</b>	<b>2,946</b>	<b>-</b>	<b>-</b>	<b>2,183,688</b>	<b>827</b>	<b>3,721,336</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(1,647)</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>913</b>	<b>(237,839)</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Transfers from Other Funds.....	-	-	-	-	-	-
Transfers to Other Funds (*).....	-	-	-	-	-	(5,521)
<b>Total Other Financing Sources (Uses).....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(5,521)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>(1,647)</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>913</b>	<b>(243,360)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>(12,683)</b>	<b>70</b>	<b>-</b>	<b>-</b>	<b>(4,221)</b>	<b>360,880</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ (14,330)</b>	<b>\$ 71</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (3,308)</b>	<b>\$ 117,520</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
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COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-2  
(continued)

	STATE POLICE MOTOR VEHICLE LAW ENFORCEMENT AND MOTOR VEHICLE THEFT AND INSURANCE FRAUD PREVENTION (22800-22849)	STATE UNIVERSITY DORMITORY INCOME (40350-40399)	STATE UNIVERSITY INCOME (22650-22699)	TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY AND HEALTH (21250-21299)	TUITION REIMBURSEMENT (20450-20499)	UNEMPLOYMENT INSURANCE INTEREST AND PENALTY (23600-23649)
<b>RECEIPTS:</b>						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes .....	-	-	-	-	-	-
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	122,191	329,827	4,763,335	47,166	3,585	11,880
Federal Receipts.....	-	-	-	-	-	-
<b>Total Receipts.....</b>	<b>122,191</b>	<b>329,827</b>	<b>4,763,335</b>	<b>47,166</b>	<b>3,585</b>	<b>11,880</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health .....	-	-	-	-	-	-
Public Safety .....	3,293	-	-	-	-	-
Public Welfare .....	-	-	-	-	-	-
Support and Regulate Business .....	-	-	-	-	-	-
Transportation .....	-	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>3,293</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
Departmental Operations:						
Personal Service .....	4,117	-	3,952,865	21,398	1,561	1,694
Non-Personal Service .....	5,066	-	2,113,872	12,129	613	342
General State Charges.....	-	-	441,536	13,569	1,300	1,076
Capital Projects.....	-	-	-	-	-	-
<b>Total Disbursements.....</b>	<b>12,476</b>	<b>-</b>	<b>6,508,273</b>	<b>47,096</b>	<b>3,474</b>	<b>3,112</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>109,715</b>	<b>329,827</b>	<b>(1,744,938)</b>	<b>70</b>	<b>111</b>	<b>8,768</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Transfers from Other Funds.....	-	-	1,953,063	-	-	-
Transfers to Other Funds (*).....	(113,820)	(328,857)	(262,439)	(2,268)	(281)	(11,632)
<b>Total Other Financing Sources (Uses).....</b>	<b>(113,820)</b>	<b>(328,857)</b>	<b>1,690,624</b>	<b>(2,268)</b>	<b>(281)</b>	<b>(11,632)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>(4,105)</b>	<b>970</b>	<b>(54,314)</b>	<b>(2,198)</b>	<b>(170)</b>	<b>(2,864)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>24,915</b>	<b>263,829</b>	<b>1,543,169</b>	<b>3,828</b>	<b>8,066</b>	<b>34,816</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 20,810</b>	<b>\$ 264,799</b>	<b>\$ 1,488,855</b>	<b>\$ 1,630</b>	<b>\$ 7,896</b>	<b>\$ 31,952</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
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(amounts in thousands)

EXHIBIT A-2  
(continued)

	US OLYMPIC COMMITTEE/ LAKE PLACID OLYMPIC TRAINING (23500-23549)	VOCATIONAL REHABILITATION (23050-23099)	WINTER SPORTS EDUCATION TRUST (21700-21749)	ELIMINATIONS (*)	STATE COMBINED TOTALS	
					2019-20	2018-19
<b>RECEIPTS:</b>						
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ -	\$ 2,183,688	\$ 2,423,111
Consumption/Use Taxes .....	-	-	-	-	1,915,707	1,957,305
Business Taxes.....	-	-	-	-	1,959,609	1,741,093
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	116	70	-	-	19,064,356	19,466,092
Federal Receipts.....	-	-	-	-	(12,890)	(1,136)
<b>Total Receipts.....</b>	<b>116</b>	<b>70</b>	<b>-</b>	<b>-</b>	<b>25,110,470</b>	<b>25,586,465</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education.....	-	-	-	-	6,040,755	5,754,853
Environment and Recreation.....	-	-	-	-	4,629	4,314
General Government.....	-	-	-	-	219,040	170,007
Public Health:						
Medicaid.....	-	-	-	-	5,674,673	5,682,207
Other Public Health .....	-	-	-	-	1,224,402	920,596
Public Safety .....	-	-	-	-	186,836	196,221
Public Welfare .....	-	-	-	-	4,078	4,488
Support and Regulate Business .....	-	-	-	-	56,487	64,396
Transportation .....	-	-	-	-	3,378,077	3,634,366
<b>Total Local Assistance Grants.....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>16,788,977</b>	<b>16,431,448</b>
Departmental Operations:						
Personal Service .....	-	-	-	-	5,149,895	4,968,004
Non-Personal Service .....	-	42	-	-	2,928,017	2,710,490
General State Charges.....	-	-	-	-	969,492	1,065,186
Capital Projects.....	-	-	-	-	-	-
<b>Total Disbursements.....</b>	<b>-</b>	<b>42</b>	<b>-</b>	<b>-</b>	<b>25,836,381</b>	<b>25,175,128</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>116</b>	<b>28</b>	<b>-</b>	<b>-</b>	<b>(725,911)</b>	<b>411,337</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Transfers from Other Funds.....	-	-	-	(289,742)	2,779,512	2,521,651
Transfers to Other Funds (*).....	-	-	-	289,742	(1,743,666)	(1,850,720)
<b>Total Other Financing Sources (Uses).....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,035,846</b>	<b>670,931</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>116</b>	<b>28</b>	<b>-</b>	<b>-</b>	<b>309,935</b>	<b>1,082,268</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>183</b>	<b>31</b>	<b>-</b>	<b>-</b>	<b>5,090,754</b>	<b>4,008,486</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 299</b>	<b>\$ 59</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 5,400,689</b>	<b>\$ 5,090,754</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-2  
(continued)

	FEDERAL EDUCATION (25200-25249)	FEDERAL EMPLOYMENT AND TRAINING GRANTS (26000-26049)	FEDERAL HEALTH AND HUMAN SERVICES (25100-25199)	FEDERAL MISCELLANEOUS OPERATING GRANTS (25300-25899)
<b>RECEIPTS:</b>				
Personal Income Tax.....	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes.....	-	-	-	-
Business Taxes.....	-	-	-	-
Miscellaneous Receipts.....	1	100	25,729	1,371
Federal Receipts.....	3,060,154	167,381	55,286,951	1,782,823
<b>Total Receipts.....</b>	<b>3,060,155</b>	<b>167,481</b>	<b>55,312,680</b>	<b>1,784,194</b>
<b>DISBURSEMENTS:</b>				
Local Assistance Grants (*):				
Education.....	2,312,126	-	646	3,186
Environment and Recreation.....	-	-	-	1,161
General Government.....	-	-	53,802	188
Public Health:				
Medicaid.....	-	-	40,249,339	-
Other Public Health.....	4,832	-	5,580,582	4,133
Public Safety.....	-	-	-	1,393,329
Public Welfare.....	-	129,363	4,274,349	30,160
Support and Regulate Business.....	-	-	-	7,964
Transportation.....	-	-	-	58,976
<b>Total Local Assistance Grants.....</b>	<b>2,316,958</b>	<b>129,363</b>	<b>50,158,718</b>	<b>1,499,097</b>
Departmental Operations:				
Personal Service.....	101,438	14,499	219,274	107,760
Non-Personal Service.....	455,644	13,222	698,736	108,954
General State Charges.....	52,062	8,784	111,007	44,272
<b>Total Disbursements.....</b>	<b>2,926,102</b>	<b>165,868</b>	<b>51,187,735</b>	<b>1,760,083</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>134,053</b>	<b>1,613</b>	<b>4,124,945</b>	<b>24,111</b>
<b>OTHER FINANCING SOURCES (USES):</b>				
Transfers from Other Funds.....	-	-	-	-
Transfers to Other Funds (*):.....	(12,324)	(2,006)	(2,039,976)	(13,395)
<b>Total Other Financing Sources (Uses).....</b>	<b>(12,324)</b>	<b>(2,006)</b>	<b>(2,039,976)</b>	<b>(13,395)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>121,729</b>	<b>(393)</b>	<b>2,084,969</b>	<b>10,716</b>
Fund Balances (Deficits) at April 1.....	(136,957)	(2,015)	(971,747)	(263,585)
Fund Balances (Deficits) at March 31.....	\$ (15,228)	\$ (2,408)	\$ 1,113,222	\$ (252,869)

(\* ) See Accompanying Notes

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-2  
(continued)

	FEDERAL USDA/FOOD AND NUTRITION SERVICES (25000-25099)	UNEMPLOYMENT INSURANCE ADMINISTRATION (25900-25949)	UNEMPLOYMENT INSURANCE OCCUPATIONAL TRAINING (25950-25999)	FEDERAL COMBINED TOTALS	
				2019-20	2018-19
<b>RECEIPTS:</b>					
Personal Income Tax.....	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use Taxes.....	-	-	-	-	-
Business Taxes.....	-	-	-	-	-
Miscellaneous Receipts.....	100,287	87,513	-	215,001	202,118
Federal Receipts.....	2,351,850	256,333	4,266	62,909,758	58,921,694
<b>Total Receipts.....</b>	<b>2,452,137</b>	<b>343,846</b>	<b>4,266</b>	<b>63,124,759</b>	<b>59,123,812</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants (*):					
Education.....	1,212,523	-	-	3,528,481	3,840,418
Environment and Recreation.....	-	-	-	1,161	2,765
General Government.....	-	-	-	53,990	61,088
Public Health:					
Medicaid.....	-	-	-	40,249,339	39,381,139
Other Public Health.....	712,802	-	-	6,302,349	6,587,308
Public Safety.....	-	-	-	1,393,329	1,138,354
Public Welfare.....	416,659	2,729	4,256	4,857,516	4,948,655
Support and Regulate Business.....	-	-	-	7,964	7,948
Transportation.....	-	-	-	58,976	53,608
<b>Total Local Assistance Grants.....</b>	<b>2,341,984</b>	<b>2,729</b>	<b>4,256</b>	<b>56,453,105</b>	<b>56,021,283</b>
Departmental Operations:					
Personal Service.....	33,183	160,674	-	636,828	637,412
Non-Personal Service.....	55,219	67,129	-	1,398,904	1,393,770
General State Charges.....	20,185	97,285	-	333,595	420,892
<b>Total Disbursements.....</b>	<b>2,450,571</b>	<b>327,817</b>	<b>4,256</b>	<b>58,822,432</b>	<b>58,473,357</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>1,566</b>	<b>16,029</b>	<b>10</b>	<b>4,302,327</b>	<b>650,455</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Transfers from Other Funds.....	-	-	-	-	-
Transfers to Other Funds (*).....	(43,872)	(31,038)	-	(2,142,611)	(2,192,403)
<b>Total Other Financing Sources (Uses).....</b>	<b>(43,872)</b>	<b>(31,038)</b>	<b>-</b>	<b>(2,142,611)</b>	<b>(2,192,403)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>(42,306)</b>	<b>(15,009)</b>	<b>10</b>	<b>2,159,716</b>	<b>(1,541,948)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>(15,504)</b>	<b>141,983</b>	<b>(524)</b>	<b>(1,248,349)</b>	<b>293,599</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ (57,810)</b>	<b>\$ 126,974</b>	<b>\$ (514)</b>	<b>\$ 911,367</b>	<b>\$ (1,248,349)</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE AND FEDERAL  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-2  
(continued)

	STATE SPECIAL REVENUE	FEDERAL SPECIAL REVENUE	ELIMINATIONS (*)	COMBINED TOTALS	
				2019-20	2018-19
<b>RECEIPTS:</b>					
Personal Income Tax (*).....	\$ 2,183,688	\$ -	\$ -	\$ 2,183,688	\$ 2,423,111
Consumption/Use Taxes.....	1,915,707	-	-	1,915,707	1,957,305
Business Taxes.....	1,959,609	-	-	1,959,609	1,741,093
Other Taxes.....	-	-	-	-	-
Miscellaneous Receipts.....	19,064,356	215,001	-	19,279,357	19,668,210
Federal Receipts.....	(12,890)	62,909,758	-	62,896,868	58,920,558
<b>Total Receipts.....</b>	<b>25,110,470</b>	<b>63,124,759</b>	<b>-</b>	<b>88,235,229</b>	<b>84,710,277</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants (*):					
Education.....	6,040,755	3,528,481	-	9,569,236	9,595,271
Environment and Recreation.....	4,629	1,161	-	5,790	7,079
General Government.....	219,040	53,990	-	273,030	231,095
Public Health:					
Medicaid.....	5,674,673	40,249,339	-	45,924,012	45,063,346
Other Public Health.....	1,224,402	6,302,349	-	7,526,751	7,507,904
Public Safety.....	186,836	1,393,329	-	1,580,165	1,334,575
Public Welfare.....	4,078	4,857,516	-	4,861,594	4,953,143
Support and Regulate Business.....	56,487	7,964	-	64,451	72,344
Transportation.....	3,378,077	58,976	-	3,437,053	3,687,974
<b>Total Local Assistance Grants.....</b>	<b>16,788,977</b>	<b>56,453,105</b>	<b>-</b>	<b>73,242,082</b>	<b>72,452,731</b>
Departmental Operations:					
Personal Service.....	5,149,895	636,828	-	5,786,723	5,605,416
Non-Personal Service.....	2,928,017	1,398,904	-	4,326,921	4,104,260
General State Charges.....	969,492	333,595	-	1,303,087	1,486,078
Capital Projects.....	-	-	-	-	-
<b>Total Disbursements.....</b>	<b>25,836,381</b>	<b>58,822,432</b>	<b>-</b>	<b>84,658,813</b>	<b>83,648,485</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(725,911)</b>	<b>4,302,327</b>	<b>-</b>	<b>3,576,416</b>	<b>1,061,792</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Transfers from Other Funds.....	2,779,512	-	(510,315)	2,269,197	1,905,571
Transfers to Other Funds (*).....	(1,743,666)	(2,142,611)	510,315	(3,375,962)	(3,427,043)
<b>Total Other Financing Sources (Uses).....</b>	<b>1,035,846</b>	<b>(2,142,611)</b>	<b>-</b>	<b>(1,106,765)</b>	<b>(1,521,472)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>309,935</b>	<b>2,159,716</b>	<b>-</b>	<b>2,469,651</b>	<b>(459,680)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>5,090,754</b>	<b>(1,248,349)</b>	<b>-</b>	<b>3,842,405</b>	<b>4,302,085</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 5,400,689</b>	<b>\$ 911,367</b>	<b>\$ -</b>	<b>\$ 6,312,056</b>	<b>\$ 3,842,405</b>

(\*) See Accompanying Notes



STATE OF NEW YORK  
DEBT SERVICE FUNDS  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-3

	CLEAN WATER/ CLEAN AIR (40400-40449)	DEBT REDUCTION RESERVE (40000-40049)	DEPARTMENT OF HEALTH INCOME (40300-40349)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)
<b>RECEIPTS:</b>					
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ 26,829,701	\$ -
Consumption/Use Taxes (*).....	-	-	-	3,718,258	-
Other Taxes.....	1,004,666	-	-	997	-
Miscellaneous Receipts.....	-	-	152,327	-	3,855
Federal Receipts.....	-	-	-	73,769	-
<b>Total Receipts.....</b>	<b>1,004,666</b>	<b>-</b>	<b>152,327</b>	<b>30,622,725</b>	<b>3,855</b>
<b>DISBURSEMENTS:</b>					
Departmental Operations:					
Non-Personal Service.....	-	-	1,949	31,430	-
Debt Service, Including Payments on Financing Agreements.....	-	-	26,158	4,576,659	5,393
<b>Total Disbursements.....</b>	<b>-</b>	<b>-</b>	<b>28,107</b>	<b>4,608,089</b>	<b>5,393</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>1,004,666</b>	<b>-</b>	<b>124,220</b>	<b>26,014,636</b>	<b>(1,538)</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Transfers from Other Funds.....	-	-	27,168	2,609,709	1,538
Transfers to Other Funds (*).....	(1,004,666)	-	(155,434)	(28,624,345)	-
<b>Total Other Financing Sources (Uses).....</b>	<b>(1,004,666)</b>	<b>-</b>	<b>(128,266)</b>	<b>(26,014,636)</b>	<b>1,538</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>-</b>	<b>-</b>	<b>(4,046)</b>	<b>-</b>	<b>-</b>
<b>Fund Balances at April 1 .....</b>	<b>-</b>	<b>-</b>	<b>36,349</b>	<b>-</b>	<b>-</b>
<b>Fund Balances at March 31.....</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 32,303</b>	<b>\$ -</b>	<b>\$ -</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-3  
(continued)

	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	ELIMINATIONS	COMBINED TOTALS	
				2019-20	2018-19
<b>RECEIPTS:</b>					
Personal Income Tax (*).....	\$ -	\$ -	\$ -	\$ 26,829,701	\$ 24,043,668
Consumption/Use Taxes (*).....	3,718,258	-	-	7,436,516	7,073,580
Other Taxes.....	-	-	-	1,005,663	1,016,171
Miscellaneous Receipts.....	739	320,027	-	476,948	433,402
Federal Receipts.....	-	-	-	73,769	73,633
<b>Total Receipts.....</b>	<b>3,718,997</b>	<b>320,027</b>	<b>-</b>	<b>35,822,597</b>	<b>32,640,454</b>
<b>DISBURSEMENTS:</b>					
Departmental Operations:					
Non-Personal Service.....	1,616	1,277	-	36,272	37,696
Debt Service, Including Payments on Financing Agreements.....	300,785	7,096	-	4,916,091	6,698,565
<b>Total Disbursements.....</b>	<b>302,401</b>	<b>8,373</b>	<b>-</b>	<b>4,952,363</b>	<b>6,736,261</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>3,416,596</b>	<b>311,654</b>	<b>-</b>	<b>30,870,234</b>	<b>25,904,193</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Transfers from Other Funds.....	-	1,436,884	(333,085)	3,742,214	3,536,710
Transfers to Other Funds (*).....	(3,416,596)	(1,745,884)	333,085	(34,613,840)	(29,529,232)
<b>Total Other Financing Sources (Uses).....</b>	<b>(3,416,596)</b>	<b>(309,000)</b>	<b>-</b>	<b>(30,871,626)</b>	<b>(25,992,522)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>-</b>	<b>2,654</b>	<b>-</b>	<b>(1,392)</b>	<b>(88,329)</b>
<b>Fund Balances at April 1 .....</b>	<b>-</b>	<b>28,431</b>	<b>-</b>	<b>64,780</b>	<b>153,109</b>
<b>Fund Balances at March 31.....</b>	<b>\$ -</b>	<b>\$ 31,085</b>	<b>\$ -</b>	<b>\$ 63,388</b>	<b>\$ 64,780</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - STATE  
 COMBINING STATEMENT OF CASH RECEIPTS,  
 DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FISCAL YEAR ENDED MARCH 31, 2020  
 (amounts in thousands)

EXHIBIT A-4

	STATE CAPITAL PROJECTS (30000-30049)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32250-32299)	CLEAN WATER/ CLEAN AIR BOND (30690-30699)	CLEAN WATER/ CLEAN AIR IMPLEMENTATION (30500-30549)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32350-32399)
<b>RECEIPTS:</b>						
Consumption/Use Taxes .....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	4,032,443	-	35	-	-	183,606
Federal Receipts.....	-	-	-	-	-	-
<b>Total Receipts.....</b>	<b>4,032,443</b>	<b>-</b>	<b>35</b>	<b>-</b>	<b>-</b>	<b>183,606</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education.....	175,690	-	-	-	-	-
Environment and Recreation.....	112,625	-	-	-	-	-
General Government.....	387,945	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health .....	460,965	-	-	-	-	-
Public Safety .....	69,074	-	-	-	-	-
Public Welfare .....	-	-	-	-	-	-
Support and Regulate Business .....	693,223	-	-	-	-	-
Transportation .....	1,074,565	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>2,974,087</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
Capital Projects.....	2,643,609	-	-	-	-	412,425
<b>Total Disbursements.....</b>	<b>5,617,696</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>412,425</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(1,585,253)</b>	<b>-</b>	<b>35</b>	<b>-</b>	<b>-</b>	<b>(228,819)</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Bond and Note Proceeds, net.....	-	-	-	-	-	-
Transfers from Other Funds.....	1,591,541	-	-	-	-	105,863
Transfers to Other Funds (*).....	(6,288)	-	-	-	-	-
<b>Total Other Financing Sources (Uses).....</b>	<b>1,585,253</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>105,863</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>-</b>	<b>-</b>	<b>35</b>	<b>-</b>	<b>-</b>	<b>(122,956)</b>
Fund Balances (Deficits) at April 1.....	-	2,778	(18)	1,428	-	(193,029)
Fund Balances (Deficits) at March 31.....	\$ -	\$ 2,778	\$ 17	\$ 1,428	\$ -	\$ (315,985)

(\*) See Accompanying Notes

STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - STATE  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT A-4  
(continued)

	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	DEDICATED INFRASTRUCTURE INVESTMENT FUND (33050-33099)	DEPARTMENT OF TRANSPORTATION ENGINEERING SERVICES (31950-31999)	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31749)	ENERGY CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30609)	ENVIRONMENTAL PROTECTION (30450-30499)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679)
<b>RECEIPTS:</b>							
Consumption/Use Taxes .....	\$ 631,986	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	666,364	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	119,100	-
Miscellaneous Receipts.....	1,416,932	373	-	15,722	-	55,215	-
Federal Receipts.....	4,635	-	-	-	-	-	-
<b>Total Receipts.....</b>	<b>2,719,917</b>	<b>373</b>	<b>-</b>	<b>15,722</b>	<b>-</b>	<b>174,315</b>	<b>-</b>
<b>DISBURSEMENTS:</b>							
Local Assistance Grants (*):							
Education.....	-	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	126,441	-
General Government.....	-	460,982	-	-	-	-	-
Public Health:							
Medicaid.....	-	-	-	-	-	-	-
Other Public Health .....	-	-	-	-	-	-	-
Public Safety .....	-	-	-	-	-	-	-
Public Welfare .....	-	165,682	-	-	-	-	-
Support and Regulate Business .....	-	185,821	-	-	-	-	-
Transportation .....	8,860	-	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>8,860</b>	<b>812,485</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>126,441</b>	<b>-</b>
Capital Projects.....	1,851,406	328,631	(377)	15,609	-	123,174	-
<b>Total Disbursements.....</b>	<b>1,860,266</b>	<b>1,141,116</b>	<b>(377)</b>	<b>15,609</b>	<b>-</b>	<b>249,615</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>859,651</b>	<b>(1,140,743)</b>	<b>377</b>	<b>113</b>	<b>-</b>	<b>(75,300)</b>	<b>-</b>
<b>OTHER FINANCING SOURCES (USES):</b>							
Bond and Note Proceeds, net.....	-	-	-	-	-	-	-
Transfers from Other Funds.....	696,503	1,210,957	-	-	-	28,000	-
Transfers to Other Funds (*).....	(1,499,825)	-	-	-	-	-	-
<b>Total Other Financing Sources (Uses).....</b>	<b>(803,322)</b>	<b>1,210,957</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>28,000</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>56,329</b>	<b>70,214</b>	<b>377</b>	<b>113</b>	<b>-</b>	<b>(47,300)</b>	<b>-</b>
Fund Balances (Deficits) at April 1.....	(89,804)	16,299	(12,346)	(21,348)	164	119,984	5,551
Fund Balances (Deficits) at March 31.....	\$ (33,475)	\$ 86,513	\$ (11,969)	\$ (21,235)	\$ 164	\$ 72,684	\$ 5,551

(\*) See Accompanying Notes

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - STATE  
 COMBINING STATEMENT OF CASH RECEIPTS,  
 DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FISCAL YEAR ENDED MARCH 31, 2020  
 (amounts in thousands)

EXHIBIT A-4  
 (continued)

	ENVIRONMENTAL QUALITY PROTECTION BOND ACT (1972) (30640-30649)	FOREST PRESERVE EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	HOUSING ASSISTANCE (31800-31849)	HOUSING PROGRAM (31850-31899)	MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32300-32349)
<b>RECEIPTS:</b>						
Consumption/Use Taxes .....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	-	23	113,266	-	203,864	330,494
Federal Receipts.....	-	-	-	-	-	-
<b>Total Receipts.....</b>	<b>-</b>	<b>23</b>	<b>113,266</b>	<b>-</b>	<b>203,864</b>	<b>330,494</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	2,584	-	-	-
General Government.....	-	-	-	-	-	1,120
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health .....	-	-	-	-	-	51,576
Public Safety .....	-	-	-	-	-	-
Public Welfare .....	-	-	-	-	247,375	-
Support and Regulate Business .....	-	-	-	-	-	-
Transportation .....	-	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>-</b>	<b>-</b>	<b>2,584</b>	<b>-</b>	<b>247,375</b>	<b>52,696</b>
Capital Projects.....	-	-	85,662	-	-	165,462
<b>Total Disbursements.....</b>	<b>-</b>	<b>-</b>	<b>88,246</b>	<b>-</b>	<b>247,375</b>	<b>218,158</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>-</b>	<b>23</b>	<b>25,020</b>	<b>-</b>	<b>(43,511)</b>	<b>112,336</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Bond and Note Proceeds, net.....	-	-	-	-	-	-
Transfers from Other Funds.....	-	-	13,653	-	4,329	1,960
Transfers to Other Funds (*).....	-	-	(22,495)	-	-	-
<b>Total Other Financing Sources (Uses).....</b>	<b>-</b>	<b>-</b>	<b>(8,842)</b>	<b>-</b>	<b>4,329</b>	<b>1,960</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>-</b>	<b>23</b>	<b>16,178</b>	<b>-</b>	<b>(39,182)</b>	<b>114,296</b>
Fund Balances (Deficits) at April 1.....	1,419	1,056	(96,650)	(12,942)	(177,077)	(470,121)
Fund Balances (Deficits) at March 31.....	\$ 1,419	\$ 1,079	\$ (80,472)	\$ (12,942)	\$ (216,259)	\$ (355,825)

(\*) See Accompanying Notes

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - STATE  
 COMBINING STATEMENT OF CASH RECEIPTS,  
 DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FISCAL YEAR ENDED MARCH 31, 2020  
 (amounts in thousands)

EXHIBIT A-4  
 (continued)

	MISCELLANEOUS CAPITAL PROJECTS (32200-32249)	NATURAL RESOURCE DAMAGES (31900-31949)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349)	NEW YORK STATE STORM RECOVERY (33000-33049)	OUTDOOR RECREATION DEVELOPMENT BOND (30750-30799)	PARK AND RECREATION LAND ACQUISITION BOND (30610-30619)
<b>RECEIPTS:</b>						
Consumption/Use Taxes .....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	36,812	979	2,467	24,330	-	-
Federal Receipts.....	-	-	-	-	-	-
<b>Total Receipts.....</b>	<b>36,812</b>	<b>979</b>	<b>2,467</b>	<b>24,330</b>	<b>-</b>	<b>-</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	2	33	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health .....	-	-	-	-	-	-
Public Safety .....	-	-	-	(6,291)	-	-
Public Welfare .....	-	-	-	-	-	-
Support and Regulate Business .....	-	-	-	-	-	-
Transportation .....	-	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>2</b>	<b>33</b>	<b>-</b>	<b>(6,291)</b>	<b>-</b>	<b>-</b>
Capital Projects.....	66,704	886	-	(8,381)	-	-
<b>Total Disbursements.....</b>	<b>66,706</b>	<b>919</b>	<b>-</b>	<b>(14,672)</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(29,894)</b>	<b>60</b>	<b>2,467</b>	<b>39,002</b>	<b>-</b>	<b>-</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Bond and Note Proceeds, net.....	-	-	-	-	-	-
Transfers from Other Funds.....	61,191	-	-	-	-	-
Transfers to Other Funds (*).....	-	-	-	-	-	-
<b>Total Other Financing Sources (Uses).....</b>	<b>61,191</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>31,297</b>	<b>60</b>	<b>2,467</b>	<b>39,002</b>	<b>-</b>	<b>-</b>
Fund Balances (Deficits) at April 1.....	83,477	17,054	11,592	(88,664)	-	-
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ 114,774</b>	<b>\$ 17,114</b>	<b>\$ 14,059</b>	<b>\$ (49,662)</b>	<b>\$ -</b>	<b>\$ -</b>

(\*) See Accompanying Notes

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - STATE  
 COMBINING STATEMENT OF CASH RECEIPTS,  
 DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FISCAL YEAR ENDED MARCH 31, 2020  
 (amounts in thousands)

EXHIBIT A-4  
 (continued)

	PASSENGER FACILITY CHARGE (30400-30449)	PURE WATERS BOND (30620-30629)	RAIL PRESERVATION AND DEVELOPMENT BOND (30900-30949)	REBUILD AND RENEW NEW YORK TRANSPORTATION BOND (30650-30659)	SMART SCHOOLS BOND (30710-30719)	STATE HOUSING BOND (30700-30709)
<b>RECEIPTS:</b>						
Consumption/Use Taxes .....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-
Miscellaneous Receipts.....	-	-	-	-	-	-
Federal Receipts.....	-	-	-	-	-	-
<b>Total Receipts.....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants (*):						
Education.....	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-
Public Health:						
Medicaid.....	-	-	-	-	-	-
Other Public Health .....	-	-	-	-	-	-
Public Safety .....	-	-	-	-	-	-
Public Welfare .....	-	-	-	-	-	-
Support and Regulate Business .....	-	-	-	-	-	-
Transportation .....	-	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
Capital Projects.....	-	-	-	-	-	-
<b>Total Disbursements.....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
Bond and Note Proceeds, net.....	-	-	-	-	-	-
Transfers from Other Funds.....	-	-	-	-	-	-
Transfers to Other Funds (*).....	-	-	-	(1,547)	-	-
<b>Total Other Financing Sources (Uses).....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(1,547)</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(1,547)</b>	<b>-</b>	<b>-</b>
Fund Balances (Deficits) at April 1.....	15	668	-	18,876	-	-
Fund Balances (Deficits) at March 31.....	\$ 15	\$ 668	\$ -	\$ 17,329	\$ -	\$ -

(\*) See Accompanying Notes

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - STATE  
 COMBINING STATEMENT OF CASH RECEIPTS,  
 DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FISCAL YEAR ENDED MARCH 31, 2020  
 (amounts in thousands)

EXHIBIT A-4  
 (continued)

	STATE PARK INFRASTRUCTURE (30350-30399)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32999)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	SUBURBAN TRANSPORTATION (31650-31699)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)	TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (30660-30669)	ELIMINATIONS (*)
<b>RECEIPTS:</b>							
Consumption/Use Taxes .....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Business Taxes.....	-	-	-	-	-	-	-
Other Taxes.....	-	-	-	-	-	-	-
Miscellaneous Receipts.....	109,590	20,448	3,086	11	-	-	-
Federal Receipts.....	-	-	-	-	-	-	-
<b>Total Receipts.....</b>	<b>109,590</b>	<b>20,448</b>	<b>3,086</b>	<b>11</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>DISBURSEMENTS:</b>							
Local Assistance Grants (*):							
Education.....	-	-	-	-	-	-	-
Environment and Recreation.....	-	-	-	-	-	-	-
General Government.....	-	-	-	-	-	-	-
Public Health:							
Medicaid.....	-	-	-	-	-	-	-
Other Public Health .....	-	-	-	-	-	-	-
Public Safety .....	-	-	-	-	-	-	-
Public Welfare .....	-	-	-	-	-	-	-
Support and Regulate Business .....	-	-	-	-	-	-	-
Transportation .....	-	-	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
Capital Projects.....	128,239	43,312	59,365	-	-	-	-
<b>Total Disbursements.....</b>	<b>128,239</b>	<b>43,312</b>	<b>59,365</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(18,649)</b>	<b>(22,864)</b>	<b>(56,279)</b>	<b>11</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>OTHER FINANCING SOURCES (USES):</b>							
Bond and Note Proceeds, net.....	-	-	-	-	-	-	-
Transfers from Other Funds.....	-	79,849	59,508	-	-	-	(7,823)
Transfers to Other Funds (*).....	-	-	13	-	-	-	7,823
<b>Total Other Financing Sources (Uses).....</b>	<b>-</b>	<b>79,849</b>	<b>59,521</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>(18,649)</b>	<b>56,985</b>	<b>3,242</b>	<b>11</b>	<b>-</b>	<b>-</b>	<b>-</b>
Fund Balances (Deficits) at April 1.....	(25,302)	120,004	145,596	527	3,328	4,255	-
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ (43,951)</b>	<b>\$ 176,989</b>	<b>\$ 148,838</b>	<b>\$ 538</b>	<b>\$ 3,328</b>	<b>\$ 4,255</b>	<b>\$ -</b>

(\*) See Accompanying Notes



STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - STATE AND FEDERAL  
 COMBINING STATEMENT OF CASH RECEIPTS,  
 DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FISCAL YEAR ENDED MARCH 31, 2020  
 (amounts in thousands)

EXHIBIT A-4  
 (continued)

	TOTAL STATE CAPITAL PROJECTS	FEDERAL CAPITAL PROJECTS (31350-31449)	ELIMINATIONS (*)	COMBINED TOTALS	
				2019-20	2018-19
<b>RECEIPTS:</b>					
Consumption/Use Taxes .....	\$ 631,986	\$ -	\$ -	\$ 631,986	\$ 644,704
Business Taxes.....	666,364	-	-	666,364	669,669
Other Taxes.....	119,100	-	-	119,100	119,100
Miscellaneous Receipts.....	6,549,696	1,112	-	6,550,808	7,497,032
Federal Receipts.....	4,635	2,104,468	-	2,109,103	2,350,013
<b>Total Receipts.....</b>	<b>7,971,781</b>	<b>2,105,580</b>	<b>-</b>	<b>10,077,361</b>	<b>11,280,518</b>
<b>DISBURSEMENTS:</b>					
Local Assistance Grants (*):					
Education.....	175,690	-	-	175,690	152,923
Environment and Recreation.....	241,685	175,375	-	417,060	426,527
General Government.....	850,047	-	-	850,047	1,014,717
Public Health:					
Medicaid.....	-	-	-	-	-
Other Public Health .....	512,541	42,873	-	555,414	351,077
Public Safety .....	62,783	34,001	-	96,784	75,853
Public Welfare .....	413,057	-	-	413,057	344,273
Support and Regulate Business .....	879,044	-	-	879,044	1,087,037
Transportation .....	1,083,425	542,490	-	1,625,915	1,781,313
<b>Total Local Assistance Grants.....</b>	<b>4,218,272</b>	<b>794,739</b>	<b>-</b>	<b>5,013,011</b>	<b>5,233,720</b>
Capital Projects.....	5,915,726	1,069,713	-	6,985,439	7,031,248
<b>Total Disbursements.....</b>	<b>10,133,998</b>	<b>1,864,452</b>	<b>-</b>	<b>11,998,450</b>	<b>12,264,968</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(2,162,217)</b>	<b>241,128</b>	<b>-</b>	<b>(1,921,089)</b>	<b>(984,450)</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
Bond and Note Proceeds, net.....	-	-	-	-	132,900
Transfers from Other Funds.....	3,845,531	-	(299,011)	3,546,520	2,218,760
Transfers to Other Funds (*).....	(1,522,319)	(299,146)	299,011	(1,522,454)	(1,353,897)
<b>Total Other Financing Sources (Uses).....</b>	<b>2,323,212</b>	<b>(299,146)</b>	<b>-</b>	<b>2,024,066</b>	<b>997,763</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>160,995</b>	<b>(58,018)</b>	<b>-</b>	<b>102,977</b>	<b>13,313</b>
Fund Balances (Deficits) at April 1.....	(633,230)	(504,674)	-	(1,137,904)	(1,151,217)
Fund Balances (Deficits) at March 31.....	\$ (472,235)	\$ (562,692)	\$ -	\$ (1,034,927)	\$ (1,137,904)

(\*) See Accompanying Notes

STATE OF NEW YORK  
ENTERPRISE FUNDS  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT B-1

	AGENCY ENTERPRISE (50300-50399)	CORRECTIONAL SERVICES COMMISSARY (50100-50299)	MENTAL HYGIENE COMMUNITY STORES (50500-50599)	SHELTERED AND PATIENT WORKSHOP (50400-50499)	STATE EXPOSITION SPECIAL (50050-50099)	UNEMPLOYMENT INSURANCE (50650-50699)	YOUTH COMMISSARY (50000-50049)	COMBINED TOTALS	
								2019-20	2018-19
<b>RECEIPTS:</b>									
Miscellaneous Receipts.....	\$ 21,900	\$ 41,883	\$ 1,524	\$ 1,567	\$ 13,635	\$ 222	\$ 25	\$ 80,756	\$ 61,609
Federal Receipts.....	-	-	-	-	-	16,826	-	16,826	15,146
Unemployment Taxes.....	-	-	-	-	-	2,284,671	-	2,284,671	2,013,564
<b>Total Receipts.....</b>	<b>21,900</b>	<b>41,883</b>	<b>1,524</b>	<b>1,567</b>	<b>13,635</b>	<b>2,301,719</b>	<b>25</b>	<b>2,382,253</b>	<b>2,090,319</b>
<b>DISBURSEMENTS:</b>									
Departmental Operations:									
Personal Service.....	11,166	-	36	-	5,942	-	-	17,144	5,983
Non-Personal Service.....	4,859	42,203	1,238	1,318	7,866	-	18	57,502	53,473
General State Charges.....	1,623	-	22	-	-	-	-	1,645	1,016
Unemployment Benefits.....	-	-	-	-	-	2,303,371	-	2,303,371	2,027,828
<b>Total Disbursements.....</b>	<b>17,648</b>	<b>42,203</b>	<b>1,296</b>	<b>1,318</b>	<b>13,808</b>	<b>2,303,371</b>	<b>18</b>	<b>2,379,662</b>	<b>2,088,300</b>
Excess (Deficiency) of Receipts over Disbursements.....	4,252	(320)	228	249	(173)	(1,652)	7	2,591	2,019
<b>OTHER FINANCING SOURCES (USES):</b>									
Transfers from Other Funds.....	-	-	-	-	500	-	-	500	-
Transfers to Other Funds.....	-	-	-	-	-	-	-	-	-
<b>Total Other Financing Sources (Uses).....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>500</b>	<b>-</b>	<b>-</b>	<b>500</b>	<b>-</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	4,252	(320)	228	249	327	(1,652)	7	3,091	2,019
Fund Balances at April 1.....	3,397	4,077	4,719	3,893	1,259	9,144	97	26,586	24,567
Fund Balances at March 31.....	\$ 7,649	\$ 3,757	\$ 4,947	\$ 4,142	\$ 1,586	\$ 7,492	\$ 104	\$ 29,677	\$ 26,586

**STATE OF NEW YORK  
INTERNAL SERVICE FUNDS  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)**

EXHIBIT B-2

	AGENCY INTERNAL SERVICE (55050-55099)	AUDIT AND CONTROL REVOLVING (55250-55299)	CENTRALIZED SERVICES (55000-55049)	CORRECTIONAL INDUSTRIES REVOLVING (55350-55399)	HEALTH INSURANCE REVOLVING (55300-55349)	JOINT LABOR AND MANAGEMENT ADMINISTRATION (55200-55249)	MENTAL HYGIENE REVOLVING (55100-55149)	YOUTH VOCATIONAL EDUCATION (55150-55199)	ELIMINATIONS	COMBINED TOTALS	
										2019-20	2018-19
<b>RECEIPTS:</b>											
Miscellaneous Receipts.....	\$ 146,251	\$ 32,953	\$ 350,559	\$ 45,315	\$ 12,221	\$ 1,179	\$ 740	\$ 34	\$ -	\$ 589,252	\$ 531,113
<b>Total Receipts.....</b>	<b>146,251</b>	<b>32,953</b>	<b>350,559</b>	<b>45,315</b>	<b>12,221</b>	<b>1,179</b>	<b>740</b>	<b>34</b>	<b>-</b>	<b>589,252</b>	<b>531,113</b>
<b>DISBURSEMENTS:</b>											
Departmental Operations:											
Personal Service.....	28,600	12,111	59,235	17,183	8,023	588	451	-	-	126,191	109,708
Non-Personal Service.....	113,073	22,199	313,952	38,344	1,482	93	74	21	-	489,238	468,582
General State Charges.....	17,153	4,773	21,373	7,505	4,800	375	289	-	-	56,268	73,828
<b>Total Disbursements.....</b>	<b>158,826</b>	<b>39,083</b>	<b>394,560</b>	<b>63,032</b>	<b>14,305</b>	<b>1,056</b>	<b>814</b>	<b>21</b>	<b>-</b>	<b>671,697</b>	<b>652,118</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(12,575)</b>	<b>(6,130)</b>	<b>(44,001)</b>	<b>(17,717)</b>	<b>(2,084)</b>	<b>123</b>	<b>(74)</b>	<b>13</b>	<b>-</b>	<b>(82,445)</b>	<b>(121,005)</b>
<b>OTHER FINANCING SOURCES (USES):</b>											
Transfers from Other Funds.....	54,871	-	38,506	20,773	7,853	-	-	-	(12,364)	109,639	115,957
Transfers to Other Funds.....	(13,565)	(332)	(15,016)	(237)	(5,253)	(6)	-	-	12,364	(22,045)	(28,458)
<b>Total Other Financing Sources (Uses).....</b>	<b>41,306</b>	<b>(332)</b>	<b>23,490</b>	<b>20,536</b>	<b>2,600</b>	<b>(6)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>87,594</b>	<b>87,499</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>28,731</b>	<b>(6,462)</b>	<b>(20,511)</b>	<b>2,819</b>	<b>516</b>	<b>117</b>	<b>(74)</b>	<b>13</b>	<b>-</b>	<b>5,149</b>	<b>(33,506)</b>
<b>Fund Balances (Deficits) at April 1.....</b>	<b>(140,719)</b>	<b>(31,075)</b>	<b>(91,361)</b>	<b>(25,361)</b>	<b>(14,780)</b>	<b>481</b>	<b>72</b>	<b>71</b>	<b>-</b>	<b>(302,672)</b>	<b>(269,166)</b>
<b>Fund Balances (Deficits) at March 31.....</b>	<b>\$ (111,988)</b>	<b>\$ (37,537)</b>	<b>\$ (111,872)</b>	<b>\$ (22,542)</b>	<b>\$ (14,264)</b>	<b>\$ 598</b>	<b>\$ (2)</b>	<b>\$ 84</b>	<b>\$ -</b>	<b>\$ (297,523)</b>	<b>\$ (302,672)</b>

STATE OF NEW YORK  
PENSION TRUST FUND  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCE  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT C-1

	COMMON RETIREMENT ADMINISTRATION (65000-65049)	
	2019-20	2018-19
<b>RECEIPTS:</b>		
Miscellaneous Receipts.....	\$ 145,216	\$ 143,992
<b>Total Receipts.....</b>	<b>145,216</b>	<b>143,992</b>
<b>DISBURSEMENTS:</b>		
Departmental Operations:		
Personal Service.....	70,425	69,282
Non-Personal Service.....	34,420	31,279
General State Charges.....	38,545	44,420
<b>Total Disbursements.....</b>	<b>143,390</b>	<b>144,981</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>1,826</b>	<b>(989)</b>
<b>Fund Balance (Deficit) at April 1.....</b>	<b>(2,971)</b>	<b>(1,982)</b>
<b>Fund Balance (Deficit) at March 31.....</b>	<b>\$ (1,145)</b>	<b>\$ (2,971)</b>

STATE OF NEW YORK  
PRIVATE PURPOSE TRUST FUNDS  
COMBINING STATEMENT OF CASH RECEIPTS,  
DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

EXHIBIT C-2

	AGRICULTURE PRODUCERS' SECURITY (66000-66049)	MILK PRODUCERS' SECURITY (66050-66099)	COMBINED TOTALS	
			2019-20	2018-19
<b>RECEIPTS:</b>				
Miscellaneous Receipts.....	\$ 268	\$ 1,219	\$ 1,487	\$ 1,530
<b>Total Receipts.....</b>	<b>268</b>	<b>1,219</b>	<b>1,487</b>	<b>1,530</b>
<b>DISBURSEMENTS:</b>				
Departmental Operations:				
Personal Service.....	119	101	220	139
Non-Personal Service.....	5	4	9	6
General State Charges.....	76	65	141	67
<b>Total Disbursements.....</b>	<b>200</b>	<b>170</b>	<b>370</b>	<b>212</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>68</b>	<b>1,049</b>	<b>1,117</b>	<b>1,318</b>
<b>Fund Balances at April 1.....</b>	<b>2,906</b>	<b>10,270</b>	<b>13,176</b>	<b>11,858</b>
<b>Fund Balances at March 31.....</b>	<b>\$ 2,974</b>	<b>\$ 11,319</b>	<b>\$ 14,293</b>	<b>\$ 13,176</b>

STATE OF NEW YORK  
 AGENCY FUNDS (\*)  
 COMBINING STATEMENT OF CASH RECEIPTS,  
 DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FISCAL YEAR ENDED MARCH 31, 2020  
 (amounts in thousands)

EXHIBIT C-3

	Cash Balance April 1, 2019	RECEIPTS			DISBURSEMENTS			Cash Balance March 31, 2020
		Miscellaneous	Federal (**)	Transfers From Other Funds	Department Operations	Refunds	Dedicated Payments	
Child Performer's Holding (60150-60199).....	\$ 515	\$ 36	\$ -	\$ -	\$ -	\$ (20)	\$ -	\$ 531
CUNY Senior College Operating (60850-60899).....	13,413	2,622,366	-	-	(2,603,017)	-	-	32,762
Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow (60800-60849)...	28,086	74,133	-	-	-	-	(71,317)	30,902
Employee Payroll Withholding (60300-60399).....	1,863	4,726,137	-	-	-	-	(4,729,010)	(1,010)
Employees Dental Insurance (60400-60449).....	20,015	71,032	-	-	-	-	(72,505)	18,542
Employees Health Insurance (60200-60249).....	1,024,786	11,015,937	145	-	-	-	(11,069,911)	970,957
Health Insurance Reserve Receipts (60550-60599).....	142	3	-	-	-	-	-	145
Lottery Prize (60500-60549) .....	627,403	1,201,751	-	-	-	-	(1,331,479)	497,675
Management Confidential Group Insurance (60450-60499).....	518	8,778	-	-	-	-	(8,918)	378
Medicaid Management Information System (MMIS) Escrow (60900-60949)...	1,950,898	76,841,501	-	57,277	-	-	(77,393,270)	1,456,406
Miscellaneous New York State Agency (60600-60799).....	954,701	6,178,441	-	-	-	-	(6,207,373)	925,769
School Capital Facilities Financing Reserve (60050-60149).....	23,523	4,495	-	-	-	-	(3,263)	24,755
Social Security Contribution (60250-60299).....	15,090	1,278,253	-	-	-	-	(1,278,282)	15,061
Special Education (60950-60999).....	-	-	-	-	-	-	-	-
SSI SSP Payment (62000-62049).....	-	-	-	-	-	-	-	-
State University Federal Direct Lending Program (61100-61999).....	(662)	1,127,627	-	-	(21)	-	(1,127,656)	(712)
State University of New York Revenue Collection (61000-61099).....	97,316	(42,184)	-	-	-	-	-	55,132
<b>Total Agency Funds.....</b>	<b>\$ 4,757,607</b>	<b>\$ 105,108,306</b>	<b>\$ 145</b>	<b>\$ 57,277</b>	<b>\$ (2,603,038)</b>	<b>\$ (20)</b>	<b>\$ (103,292,984)</b>	<b>\$ 4,027,293</b>

(\*) Agency funds are used to account for funds held by the State in a purely custodial capacity or to hold monies where the disposition of the receipt is not known at the time the revenue is received. Cash is held until the proper disposition is determined (e.g., transferred to a State fund) or until payments are made to individuals, private organizations or other governmental units (e.g., payment of withholding taxes).

Agency funds are also used for temporary investment purposes where a State fund payment has been issued but the checks have not cleared the bank account from which the checks were issued (e.g., Medicaid, EPIC).

(\*\*) Federal Receipts represent participating employers' share of the Medicare Part D subsidy. Participating employers include local governments, public authorities, public benefit corporations and municipal corporations.

STATE OF NEW YORK  
SOLE CUSTODY FUNDS AND ACCOUNTS  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020

EXHIBIT C-4

<u>GENERAL FUND</u>	<u>CASH AND INVESTMENT BALANCES APRIL 1, 2019</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>CASH AND INVESTMENT BALANCES MARCH 31, 2020</u>
Adirondack Park Agency:				
Revenue Collection.....	\$ 2	\$ 671	\$ 671	\$ 2
Assembly:				
Revenue Collection.....	-	1,181	1,181	-
Department of Agriculture and Markets:				
Revenue Collection.....	177,987	8,996,769	9,140,918	33,838
Department of Corrections and Community Supervision:				
Revenue Collection.....	1,560,640	12,200,311	13,111,882	649,069
Department of Financial Services:				
Revenue Collection.....	584,746	1,411,058,608	1,411,165,000	478,354
Department of Health:				
Medicaid Recoveries Account.....	805,682	273,155,949	246,287,579	27,674,052
Revenue Collection.....	64,023,202	3,509,994,888	3,478,989,175	95,028,915
Department of Motor Vehicles:				
Revenue Collection.....	35,234,569	2,057,080,479	2,077,952,980	14,362,068
Department of Taxation and Finance:				
Revenue Collection.....	365,804	3,878	369,682	-
Stock Transfer Incentive.....	1,072,355	319,435	296,447	1,095,343
Higher Education Services Corporation:				
Tuition Assistance Program Recoveries.....	5,261,274	4,765,527	5,268,301	4,758,500
Joint Commission on Public Ethics:				
Revenue Collection.....	58,140	998,685	1,040,600	16,225
NYS Gaming Commission:				
Revenue Collection.....	1,097,177	25,447,972	25,782,669	762,480
Office for People with Developmental Disabilities:				
Electronic Benefits Account.....	1,334,115	13,490,041	12,377,758	2,446,398
Facility Revenue Collection.....	824,515	2,199,655	2,317,510	706,660
Revenue Collection.....	5,805,766	2,096,445,031	2,097,826,265	4,424,532
Office of Addiction Services and Supports:				
Revenue Collection.....	34,189	527,640	557,429	4,400
Office of Children and Family Services:				
Revenue Collection.....	4,924	14,911	19,630	205
Office of General Services:				
Escrow Fund.....	27,271,005	26,250,233	41,269,012	12,252,226
Executive Mansion Expenses.....	5,973	144,596	114,833	35,736
Office of Mental Health:				
Medication Grant Program.....	1,069	2,769,018	2,762,058	8,029
Revenue Collection (*).....	1,067,535	2,603,411	2,712,781	958,165

(\*) At the time of publishing, some accounts remain unfiled.

STATE OF NEW YORK  
SOLE CUSTODY FUNDS AND ACCOUNTS  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020

EXHIBIT C-4  
(continued)

	CASH AND INVESTMENT BALANCES APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2020
<b><u>GENERAL FUND (continued)</u></b>				
Office of the State Comptroller:				
Revenue Collection.....	291,974,622	113,912,452,872	113,829,190,898	375,236,596
Tobacco Settlement.....	2,819,155	60,708	-	2,879,863
<b>Total General Fund.....</b>	<b>441,384,446</b>	<b>123,360,982,469</b>	<b>123,258,555,259</b>	<b>543,811,656</b>
<b><u>SPECIAL REVENUE FUNDS</u></b>				
Department of Agriculture and Markets:				
Marketing and Publicity Account.....	155,296	3,057,400	3,079,795	132,901
Department of Civil Service:				
Affirmative Action Advisory Council.....	5,142	-	-	5,142
Exam Application Fees.....	23,387	1,632,258	1,640,150	15,495
Department of Education:				
Archives Partnership Trust Endowment.....	4,626,819	687,713	934,258	4,380,274
Revenue Collection.....	291,504	105,021,230	105,035,000	277,734
Revenue Collection - Batavia School for the Blind.....	110,807	519,183	628,383	1,607
Revenue Collection - Rome School for the Deaf.....	4,294	44,473	44,834	3,933
Department of Environmental Conservation:				
Revenue Collection.....	6,795,746	212,681,712	214,654,364	4,823,094
Department of Financial Services:				
Excess Liability Pool (**).....	2,890,025	105,251,127	105,112,330	3,028,822
Liquidation Bureau - Property and Liability Insurance Security.....	219,298,713	179,218,035	68,615,063	329,901,685
Liquidation Bureau - Public Motor Vehicle Liability Security.....	152,393,998	25,728,588	46,392,370	131,730,216
Medical Indemnity Fund.....	240,017,794	57,682,368	51,980,113	245,720,049
Rate Stabilization Pool (**)......	86,940,041	17,815,035	24,761,444	79,993,632
Workers' Compensation Security Account.....	95,735,032	183,803,600	90,771,821	188,766,811
Workers' Compensation Security Fund Payment Account.....	100,259	180,000,251	180,000,498	100,012
Department of Health:				
Clinical Lab Fee.....	156,791	13,632,569	13,658,826	130,534
Elderly Pharmaceutical Insurance Coverage (EPIC) Program (**)......	1,852,131	179,386,647	180,323,487	915,291
Health Facilities Assessments (**)......	21,769,118	984,077,014	980,973,849	24,872,283
Hospital Fees.....	65,492	3,986,396	3,982,952	68,936
Medicaid Disproportionate Share (Indigent Care Pools) (**)......	2,224	912,425,855	912,427,410	669
Medical Marijuana.....	1,004	-	1,004	-
Public Goods Pool (**)......	284,899,773	5,537,172,452	5,471,124,916	350,947,309
Statewide Planning and Research Cooperative System (SPARCS).....	55,778	685,479	642,000	99,257
Women, Infants and Child (WIC) Program.....	1,730,498	338,352,427	340,071,985	10,940

(\*\*) These accounts are administered by a fiscal agent on behalf of New York State.



STATE OF NEW YORK  
SOLE CUSTODY FUNDS AND ACCOUNTS  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020

EXHIBIT C-4  
(continued)

	CASH AND INVESTMENT BALANCES APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2020
<b><u>SPECIAL REVENUE FUNDS (continued)</u></b>				
Department of Labor:				
Revenue Collection.....	1,009,885	25,008,943	24,630,279	1,388,549
Department of Law:				
Shared Forfeiture.....	2,327,231	42,358	741,134	1,628,455
Department of Public Service:				
Revenue Collection.....	494,787	98,716,708	99,103,000	108,495
Department of State:				
Revenue Collection.....	1,694,907	84,385,149	84,672,157	1,407,899
Department of Taxation and Finance:				
Revenue Collection.....	-	31,625,267	29,675,879	1,949,388
World Trade Center Memorial Foundation.....	51,905	82,748	26,881	107,772
Division of Homeland Security and Emergency Services:				
Fire Academy Account.....	40,475	660,276	688,831	11,920
Division of Housing and Community Renewal:				
Maximum Base Rent.....	45,065	216,960	251,090	10,935
Revenue Collection.....	133,268	11,893,024	11,810,503	215,789
Division of Military and Naval Affairs:				
Revenue Collection.....	13,561	165,556	169,800	9,317
Lake George Park Commission:				
Revenue Collection.....	675,297	1,744,168	1,502,000	917,465
NYS Gaming Commission:				
Revenue Collection.....	13,542,844	1,375,104,457	1,387,232,067	1,415,234
Office for People with Developmental Disabilities:				
Nonexpendable Trust.....	25,011	1	-	25,012
Office of Children and Family Services:				
Care and Maintenance Reimbursement Account.....	-	-	-	-
Medicaid Reimbursement Account.....	260,750	161,119	343,814	78,055
Revenue Collection.....	101,769	4,363,109	4,318,534	146,344
Office of Parks, Recreation and Historic Preservation:				
Revenue Collection.....	2,599,468	128,946,993	130,179,895	1,366,566
Office of the State Comptroller:				
Tax Collection.....	1,225,700	1,234,678,504	1,222,711,882	13,192,322
State Board of Elections:				
Revenue Collection.....	24,386	628,010	633,590	18,806
State University:				
Revenue Collection.....	35,474,385	5,410,088,669	5,433,494,285	12,068,769
Student Loan Repayment.....	(1,679,179)	216,312,303	213,981,891	651,233

STATE OF NEW YORK  
SOLE CUSTODY FUNDS AND ACCOUNTS  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020

EXHIBIT C-4  
(continued)

	CASH AND INVESTMENT BALANCES APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2020
<b><u>SPECIAL REVENUE FUNDS (continued)</u></b>				
Unified Court System:				
Revenue Collection (*).....	20,953,721	671,371,688	681,078,774	11,246,635
Workers' Compensation Board:				
Revenue Collection.....	2,529,356,700	1,338,899,344	1,083,579,523	2,784,676,521
<b>Total Special Revenue Funds.....</b>	<b><u>3,728,293,602</u></b>	<b><u>19,677,957,166</u></b>	<b><u>19,207,682,661</u></b>	<b><u>4,198,568,107</u></b>
<b><u>DEBT SERVICE FUNDS</u></b>				
Department of Health:				
Revenue Collection.....	5,708,568	166,706,321	169,233,713	3,181,176
Department of Taxation and Finance:				
DASNY Community College Tuition and Instructional Income.....	4,514,935	7,135,818	6,726,500	4,924,253
DASNY Mental Hygiene Facilities Improvements.....	-	71,424,977	71,424,977	-
SUNY Construction Fund Debt Service.....	29,743	114,066,400	114,064,375	31,768
SUNY Dormitory Facilities Revenue - Repair and Rehabilitation.....	144,327,710	759,513,041	756,967,926	146,872,825
Office of Addiction Services and Supports:				
Revenue Collection.....	373,946	25,410,994	24,986,378	798,562
Office of Mental Health:				
Revenue Collection.....	22,949,611	1,145,107,513	1,130,369,212	37,687,912
Office of the State Comptroller:				
Tax Collection.....	384,217	1,250,552,417	1,249,459,099	1,477,535
<b>Total Debt Service Funds.....</b>	<b><u>178,288,730</u></b>	<b><u>3,539,917,481</u></b>	<b><u>3,523,232,180</u></b>	<b><u>194,974,031</u></b>
<b><u>CAPITAL PROJECTS FUNDS</u></b>				
Department of Taxation and Finance:				
DASNY State Advances Repayment Account.....	-	232,387,824	232,387,824	-
SUNY Construction Fund Capital Projects.....	30,718,607	18,712,827	17,914,642	31,516,792
SUNY Dormitory Facilities Revenue - Repair and Rehabilitation.....	58,511,851	40,690,054	24,569,344	74,632,561
Department of Transportation:				
Revenue Collection.....	3,379,171	45,342,099	48,365,510	355,760
Division of State Police:				
Revenue Collection.....	48,287	8,170,139	8,169,578	48,848
Office of the State Comptroller:				
Hazardous Waste Remediation Collection.....	1,365	1,575,968	1,537,000	40,333
Tax Collection - Highways and Bridges.....	1,186,855	1,898,621,283	1,897,103,900	2,704,238
<b>Total Capital Projects Funds.....</b>	<b><u>93,846,136</u></b>	<b><u>2,245,500,194</u></b>	<b><u>2,230,047,798</u></b>	<b><u>109,298,532</u></b>

(\*) At the time of publishing, some accounts remain unfiled.

STATE OF NEW YORK  
SOLE CUSTODY FUNDS AND ACCOUNTS  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020

EXHIBIT C-4  
(continued)

	CASH AND INVESTMENT BALANCES APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2020
<b><u>ENTERPRISE FUNDS</u></b>				
Department of Agriculture and Markets: New York State Fair Revenue Collection.....	19,351	13,704,618	13,611,028	112,941
Department of Labor: Unemployment Insurance Fund Clearing Account.....	1,716,449	2,379,804,458	2,379,306,366	2,214,541
<b>Total Enterprise Funds.....</b>	<b><u>1,735,800</u></b>	<b><u>2,393,509,076</u></b>	<b><u>2,392,917,394</u></b>	<b><u>2,327,482</u></b>
<b><u>INTERNAL SERVICE FUNDS</u></b>				
Corcraft: Revenue Collection.....	728,920	45,520,414	45,529,000	720,334
Office of General Services: Revenue Collection - State Office Buildings.....	10,501	47,088	57,052	537
<b>Total Internal Service Funds.....</b>	<b><u>739,421</u></b>	<b><u>45,567,502</u></b>	<b><u>45,586,052</u></b>	<b><u>720,871</u></b>
<b><u>PRIVATE PURPOSE TRUST</u></b>				
Department of Agriculture and Markets: Producer Security.....	75,680	1,178,931	1,207,172	47,439
Office of the State Comptroller: New York State College Choice Tuition Savings.....	28,108,520,752	9,112,726,598	2,540,087,393	34,681,159,957
<b>Total Private Purpose Trust Funds.....</b>	<b><u>28,108,596,432</u></b>	<b><u>9,113,905,529</u></b>	<b><u>2,541,294,565</u></b>	<b><u>34,681,207,396</u></b>
<b><u>AGENCY FUNDS</u></b>				
Council on the Arts: Theater Development .....	-	951,617	27,083	924,534
Department of Agriculture and Markets: Farmers Market Nutrition Program.....	919,633	5,405,965	5,892,822	432,776
Marketing and Publicity Account.....	10,402	157,835	153,843	14,394
Department of Civil Service: Employees' Health Insurance Premiums Collection.....	44,211,550	6,314,323,604	6,352,564,963	5,970,191
Department of Corrections and Community Supervision: Employee Benefit Account.....	625,114	877,381	831,282	671,213
Inmates' Account.....	18,077,298	89,102,230	89,346,203	17,833,325
Occupational Therapy.....	1,513,055	3,391,021	3,444,083	1,459,993
Department of Education: State Schools Student Activity Accounts.....	24,113	24,705	23,266	25,552

STATE OF NEW YORK  
SOLE CUSTODY FUNDS AND ACCOUNTS  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020

EXHIBIT C-4  
(continued)

AGENCY FUNDS (continued)	CASH AND INVESTMENT BALANCES APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2020
Department of Environmental Conservation:				
Coastal Erosion Projects Escrow.....	3,870,554	19,308	1,897,485	1,992,377
Department of Financial Services:				
Family Leave Benefits Account.....	-	48,926,860	48,926,860	-
Foreign Fire Insurance Tax.....	62,178,186	62,165,889	62,195,451	62,148,624
Department of Health:				
American Indian Health Program (**).....	733,168	19,794,867	20,520,747	7,288
Early Intervention Program.....	59,034	736,839,124	734,253,462	2,644,696
Medicaid.....	324	2,147,647,174	2,118,656,496	28,991,002
Miscellaneous Agency Account.....	6,043	1,837	1,650	6,230
Patients' Account.....	1,727,659	6,874,422	6,878,637	1,723,444
Department of Labor:				
Minimum and Prevailing Wage and Wage Claim Payment Account.....	(185,403)	19,034,801	19,406,519	(557,121)
Department of Law:				
Civil Recoveries.....	19,793,828	279,019,625	287,390,367	11,423,086
Medicaid Fraud Control Escrow.....	18,093,163	242,866,354	245,417,536	15,541,981
Restitution Account.....	126,834,808	29,191,489	17,029,627	138,996,670
Department of Motor Vehicles:				
Revenue Collection.....	128,595	189,102,662	189,104,160	127,097
Division of State Police:				
Seized Asset.....	5,037,203	2,570,570	6,113,301	1,494,472
Department of Taxation and Finance:				
DASNY NYC HHC Litigation Holding Account.....	17,632,910	476,122	20,000	18,089,032
Exchange Account.....	124,794	73,155,189	64,846,284	8,433,699
Linked Deposit Program.....	-	22,144,165	22,144,165	-
Special Investigation Program.....	-	426,943	54,959	371,984
State University Construction Fund Payroll Deductions.....	277,219	4,768,347	4,732,399	313,167
Department of Transportation:				
Contractors Bid Deposit and Guarantee Escrow.....	(53,099)	17,607,815	17,641,490	(86,774)
Employee Benefit Account.....	53	902	150	805
Highway Oversize/Overweight Credentialing System (HOOCs) Partners.....	2,964,868	8,239,183	7,145,950	4,058,101
Division of Criminal Justice Services:				
Fingerprint Fees.....	1,060,007	58,412,358	58,381,545	1,090,820
Higher Education Services Corporation:				
Guaranteed Student Loans.....	78,414,813	806,306,086	790,039,622	94,681,277
NYS Gaming Commission:				
Lottery Prizes.....	1,306,586,979	1,334,732,692	1,223,549,072	1,417,770,599
Office for People with Developmental Disabilities:				
Patients' Account.....	32,196,628	115,417,584	115,936,808	31,677,404

(\*\*) These accounts are administered by a fiscal agent on behalf of New York State.

STATE OF NEW YORK  
SOLE CUSTODY FUNDS AND ACCOUNTS  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020

EXHIBIT C-4  
(continued)

	CASH AND INVESTMENT BALANCES APRIL 1, 2019	RECEIPTS	DISBURSEMENTS	CASH AND INVESTMENT BALANCES MARCH 31, 2020
<b>AGENCY FUNDS (continued)</b>				
Office of Children and Family Services:				
Youth Residents.....	35,667	121,191	111,845	45,013
Office of Employee Relations:				
Arbitrator Panel Administration.....	3,830	-	-	3,830
NYS Flex Spending Account.....	13,508,764	39,856,160	40,380,283	12,984,641
Office of Mental Health:				
Employee Benefits and Escrow.....	55,731	5,438	9,337	51,832
Patients' Account (*).....	9,718,109	19,416,890	21,166,351	7,968,648
Office of Parks, Recreation and Historic Preservation:				
Miscellaneous Agency Fund.....	415,034	79,950	115,405	379,579
Office of Temporary and Disability Assistance:				
Exchange Account.....	-	1,115,006	1,115,006	-
Title IV-D Child Support.....	16,373,724	74,091,476	80,290,313	10,174,887
Office of the State Comptroller:				
Abandoned Property.....	261,061,345	839,533,007	812,479,392	288,114,960
City of Troy Debt Service Fund.....	6,649,706	43,988,890	43,567,763	7,070,833
City of Troy Municipal Assistance State Aid.....	-	29,595,078	29,595,078	-
Comptroller's Refund.....	125,006	3,072,225,678	2,817,120,460	255,230,224
Hudson River - Black River.....	632,562	9,130,165	9,148,519	614,208
Income Tax Refund.....	7,679	11,546,799,742	11,309,169,920	237,637,501
Justice Court.....	38,521,472	334,111,330	294,079,843	78,552,959
MTA State Assistance.....	161,172,394	2,501,665,824	2,448,241,476	214,596,742
New York City General Debt Service Account.....	3,890,501	25,718,101,446	25,710,321,595	11,670,352
Ogdensburg Bridge and Port.....	(3,043,881)	4,497,206	1,368,883	84,442
Port of Oswego.....	(247,387)	3,162,770	2,375,305	540,078
Public Asset Account.....	9,314,503	200,580	-	9,515,083
Sales Tax Holding - Localities Share.....	1,507,876,271	17,305,902,959	17,405,803,428	1,407,975,802
Office of Victim Services:				
Crime Victims Restitution Escrow.....	390	-	-	390
State University:				
Miscellaneous Agency Account.....	2,010,748	207,593,164	201,584,846	8,019,066
Unified Court System:				
Judiciary Trust.....	6,874,303	28,552,558	32,046,457	3,380,404
Workers' Compensation Board:				
Rate Stabilization.....	45,214,438	792,443	11,000,000	35,006,881
Safe Depository.....	3,277,225,568	386,102,577	39,710,615	3,623,617,530
Self-Insured Account.....	-	-	-	-
<b>Total Agency Funds.....</b>	<b>7,100,259,976</b>	<b>74,806,618,254</b>	<b>73,825,370,407</b>	<b>8,081,507,823</b>
<b>TOTAL SOLE CUSTODY FUNDS and ACCOUNTS.....</b>	<b>\$ 39,653,144,543</b>	<b>\$ 235,183,957,671</b>	<b>\$ 227,024,686,316</b>	<b>\$ 47,812,415,898</b>

(\*) At the time of publishing, some accounts remain unfiled.

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SCHEDULE OF NET TAX RECEIPTS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 1

	GROSS RECEIPTS	REFUNDS	NET TAX RECEIPTS	
			2019-20	2018-19
<b>PERSONAL INCOME TAX.....</b>	<b>\$ 63,865,459</b>	<b>\$ 10,206,057</b>	<b>\$ 53,659,402</b>	<b>\$ 48,087,389</b>
<b>CONSUMPTION/USE TAXES:</b>				
Sales and Use.....	16,137,929	205,869	15,932,060	15,127,442
Auto Rental.....	107,060	27	107,033	130,033
Cigarette and Tobacco Products.....	1,040,830	5,607	1,035,223	1,107,756
Medical Marijuana.....	5,698	-	5,698	3,867
Motor Fuel.....	539,751	27,906	511,845	528,121
Alcoholic Beverage.....	259,385	405	258,980	262,385
Highway Use.....	142,465	1,080	141,385	145,007
Vapor Excise.....	10,405	-	10,405	-
Opioid Excise.....	19,356	-	19,356	-
MCTD Taxicab Trip.....	12	12	-	51,703
<b>Total Consumption/Use Taxes.....</b>	<b>18,262,891</b>	<b>240,906</b>	<b>18,021,985</b>	<b>17,356,314</b>
<b>BUSINESS TAXES:</b>				
Corporation Franchise.....	6,180,704	1,356,415	4,824,289	4,296,595
Corporation and Utilities.....	721,580	16,858	704,722	672,479
Insurance.....	2,387,480	81,518	2,305,962	1,836,785
Bank.....	58,390	58,321	69	(58,989)
Petroleum Business.....	1,218,591	57,816	1,160,775	1,165,218
<b>Total Business Taxes.....</b>	<b>10,566,745</b>	<b>1,570,928</b>	<b>8,995,817</b>	<b>7,912,088</b>
<b>OTHER TAXES:</b>				
Real Property Gains.....	-	-	-	-
Estate and Gift.....	1,144,848	74,682	1,070,166	1,068,327
Pari-Mutuel.....	13,917	-	13,917	15,368
Real Estate Transfer.....	1,124,218	452	1,123,766	1,135,271
Racing and Exhibitions.....	2,123	101	2,022	2,565
Employer Compensation Expense Tax.....	2,020	26	1,994	-
<b>Total Other Taxes.....</b>	<b>2,287,126</b>	<b>75,261</b>	<b>2,211,865</b>	<b>2,221,531</b>
<b>TOTAL TAX RECEIPTS.....</b>	<b>\$ 94,982,221</b>	<b>\$ 12,093,152</b>	<b>\$ 82,889,069</b>	<b>\$ 75,577,322</b>

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMBINED STATEMENT OF RECEIPTS AND OTHER FINANCING SOURCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)**

**SCHEDULE 2**

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS	
	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019
<b>TAXES:</b>										
<b>PERSONAL INCOME TAX:</b>										
Withholding.....	\$ 43,118,278	\$ 41,084,099	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 43,118,278	\$ 41,084,099
Estimated Payments.....	17,025,034	14,009,873	-	-	-	-	-	-	17,025,034	14,009,873
Returns.....	3,482,091	2,746,012	-	-	-	-	-	-	3,482,091	2,746,012
State/City Offsets.....	(1,117,314)	(1,135,335)	-	-	-	-	-	-	(1,117,314)	(1,135,335)
Other (Assessments/LLC).....	1,357,370	1,332,835	-	-	-	-	-	-	1,357,370	1,332,835
Employer Compensation Expense Tax.....	-	53	-	-	-	-	-	-	-	53
Gross Receipts.....	63,865,459	58,037,537	-	-	-	-	-	-	63,865,459	58,037,537
Transfers to School Tax Relief Fund.....	(2,183,688)	(2,423,111)	2,183,688	2,423,111	-	-	-	-	-	-
Transfers to Revenue Bond Tax Fund.....	(26,829,701)	(24,043,668)	-	-	26,829,701	24,043,668	-	-	-	-
Less: Refunds Issued.....	(10,206,057)	(9,950,148)	-	-	-	-	-	-	(10,206,057)	(9,950,148)
<b>Total Personal Income Tax.....</b>	<b>24,646,013</b>	<b>21,620,610</b>	<b>2,183,688</b>	<b>2,423,111</b>	<b>26,829,701</b>	<b>24,043,668</b>	-	-	<b>53,659,402</b>	<b>48,087,389</b>
<b>CONSUMPTION/USE TAXES:</b>										
Sales and Use.....	7,446,455	7,090,793	1,049,089	963,069	7,436,516	7,073,580	-	-	15,932,060	15,127,442
Auto Rental.....	-	-	19,541	49,026	-	-	87,492	81,007	107,033	130,033
Cigarette and Tobacco Products.....	312,985	327,547	722,238	780,209	-	-	-	-	1,035,223	1,107,756
Medical Marijuana.....	-	-	5,698	3,867	-	-	-	-	5,698	3,867
Motor Fuel.....	-	-	108,213	110,983	-	-	403,632	417,138	511,845	528,121
Alcoholic Beverage.....	258,980	262,385	-	-	-	-	-	-	258,980	262,385
Highway Use.....	-	-	523	(1,552)	-	-	140,862	146,559	141,385	145,007
Vapor Excise.....	-	-	10,405	-	-	-	-	-	10,405	-
Opioid Excise.....	19,356	-	-	-	-	-	-	-	19,356	-
MCTD Taxicab Trip.....	-	-	-	51,703	-	-	-	-	-	51,703
<b>Total Consumption/Use Taxes.....</b>	<b>8,037,776</b>	<b>7,680,725</b>	<b>1,915,707</b>	<b>1,957,305</b>	<b>7,436,516</b>	<b>7,073,580</b>	<b>631,986</b>	<b>644,704</b>	<b>18,021,985</b>	<b>17,356,314</b>
<b>BUSINESS TAXES:</b>										
Corporation Franchise.....	3,791,131	3,409,712	1,033,158	886,883	-	-	-	-	4,824,289	4,296,595
Corporation and Utilities.....	518,174	495,306	171,985	161,881	-	-	14,563	15,292	704,722	672,479
Insurance.....	2,052,623	1,637,689	253,339	199,096	-	-	-	-	2,305,962	1,836,785
Bank.....	7,916	(41,381)	(7,847)	(17,608)	-	-	-	-	69	(58,989)
Petroleum Business.....	-	-	508,974	510,841	-	-	651,801	654,377	1,160,775	1,165,218
<b>Total Business Taxes.....</b>	<b>6,369,844</b>	<b>5,501,326</b>	<b>1,959,609</b>	<b>1,741,093</b>	-	-	<b>666,364</b>	<b>669,669</b>	<b>8,995,817</b>	<b>7,912,088</b>
<b>OTHER TAXES:</b>										
Real Property Gains.....	-	-	-	-	-	-	-	-	-	-
Estate and Gift.....	1,070,166	1,068,327	-	-	-	-	-	-	1,070,166	1,068,327
Pari-Mutuel.....	13,917	15,368	-	-	-	-	-	-	13,917	15,368
Real Estate Transfer.....	-	-	-	-	1,004,666	1,016,171	119,100	119,100	1,123,766	1,135,271
Racing and Exhibitions.....	2,022	2,565	-	-	-	-	-	-	2,022	2,565
Employer Compensation Expense Tax.....	997	-	-	-	997	-	-	-	1,994	-
<b>Total Other Taxes.....</b>	<b>1,087,102</b>	<b>1,086,260</b>	-	-	<b>1,005,663</b>	<b>1,016,171</b>	<b>119,100</b>	<b>119,100</b>	<b>2,211,865</b>	<b>2,221,531</b>
<b>TOTAL TAX RECEIPTS.....</b>	<b>40,140,735</b>	<b>35,888,921</b>	<b>6,059,004</b>	<b>6,121,509</b>	<b>35,271,880</b>	<b>32,133,419</b>	<b>1,417,450</b>	<b>1,433,473</b>	<b>82,889,069</b>	<b>75,577,322</b>
<b>MISCELLANEOUS RECEIPTS:</b>										
<b>Abandoned Property:</b>										
Abandoned Property.....	449,728	493,585	12,579	11,422	-	-	-	-	462,307	505,007
Bottle Bill.....	94,372	97,854	-	-	-	-	23,000	23,000	117,372	120,854
<b>Total Abandoned Property.....</b>	<b>544,100</b>	<b>591,439</b>	<b>12,579</b>	<b>11,422</b>	-	-	<b>23,000</b>	<b>23,000</b>	<b>579,679</b>	<b>625,861</b>

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMBINED STATEMENT OF RECEIPTS AND OTHER FINANCING RESOURCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)**

**SCHEDULE 2  
(continued)**

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS	
	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019
<b>Assessments:</b>										
Business.....	-	-	804,869	752,881	-	-	103,087	101,016	907,956	853,897
Medical Care.....	45,388	40,943	6,358,641	5,966,784	-	-	-	-	6,404,029	6,007,727
Public Utilities.....	-	-	90,700	85,446	-	-	-	-	90,700	85,446
All Other.....	687	775	417	2,039	-	-	-	-	1,104	2,814
<b>Total Assessments.....</b>	<b>46,075</b>	<b>41,718</b>	<b>7,254,627</b>	<b>6,807,150</b>	<b>-</b>	<b>-</b>	<b>103,087</b>	<b>101,016</b>	<b>7,403,789</b>	<b>6,949,884</b>
<b>Fees, Licenses and Permits:</b>										
Alcohol Beverage Control Licensing.....	73,044	73,910	-	-	-	-	-	-	73,044	73,910
Audit.....	-	-	2,608	2,142	-	-	-	-	2,608	2,142
Business/Professional.....	263,551	214,718	713,992	696,070	1	5	31,704	32,487	1,009,248	943,280
Civil.....	243,223	237,919	56,409	55,708	-	-	-	-	299,632	293,627
Criminal.....	1,749	1,681	7,470	9,212	-	-	-	-	9,219	10,893
Motor Vehicle.....	342,294	317,154	298,472	501,514	-	-	728,735	714,766	1,369,501	1,533,434
Recreational/Consumer.....	19,234	17,402	882,928	753,900	-	-	30,845	36,089	933,007	807,391
<b>Total Fees, Licenses and Permits.....</b>	<b>943,095</b>	<b>862,784</b>	<b>1,961,879</b>	<b>2,018,546</b>	<b>1</b>	<b>5</b>	<b>791,284</b>	<b>783,342</b>	<b>3,696,259</b>	<b>3,664,677</b>
<b>Fines, Penalties and Forfeitures.....</b>	<b>1,088,528</b>	<b>1,346,851</b>	<b>271,176</b>	<b>165,921</b>	<b>-</b>	<b>-</b>	<b>26,701</b>	<b>26,087</b>	<b>1,386,405</b>	<b>1,538,859</b>
<b>Gaming:</b>										
Casino.....	-	-	272,525	256,390	-	-	-	-	272,525	256,390
Lottery.....	-	-	2,533,241	2,551,211	-	-	-	-	2,533,241	2,551,211
Video Lottery.....	-	-	943,644	959,533	-	-	-	-	943,644	959,533
<b>Total Gaming.....</b>	<b>-</b>	<b>-</b>	<b>3,749,410</b>	<b>3,767,134</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>3,749,410</b>	<b>3,767,134</b>
<b>Interest Earnings.....</b>	<b>174,300</b>	<b>134,355</b>	<b>242,072</b>	<b>195,490</b>	<b>3,004</b>	<b>3,530</b>	<b>11,248</b>	<b>10,984</b>	<b>430,624</b>	<b>344,359</b>
<b>Receipts from Public Authorities:</b>										
Bond Proceeds.....	-	-	-	22,000	-	-	5,408,710	6,493,974	5,408,710	6,515,974
Cost Recovery Assessments.....	21,656	20,669	20,400	20,400	-	-	-	-	42,056	41,069
Issuance Fees.....	82,203	88,024	7,200	7,200	-	-	-	-	89,403	95,224
Non Bond Related.....	25,334	25,122	59,719	60,209	-	-	54,283	5,767	139,336	91,098
<b>Total Receipts from Public Authorities.....</b>	<b>129,193</b>	<b>133,815</b>	<b>87,319</b>	<b>109,809</b>	<b>-</b>	<b>-</b>	<b>5,462,993</b>	<b>6,499,741</b>	<b>5,679,505</b>	<b>6,743,365</b>
<b>Receipts from Municipalities.....</b>	<b>16,800</b>	<b>200,094</b>	<b>79,967</b>	<b>139,106</b>	<b>3,855</b>	<b>3,779</b>	<b>4,058</b>	<b>1,200</b>	<b>104,680</b>	<b>344,179</b>
<b>Rentals.....</b>	<b>2,250</b>	<b>6,159</b>	<b>392,530</b>	<b>434,120</b>	<b>-</b>	<b>-</b>	<b>10,853</b>	<b>12,779</b>	<b>405,633</b>	<b>453,058</b>
<b>Revenues of State Departments:</b>										
Administrative Recoveries.....	75,604	73,065	153,183	150,687	-	-	5	36	228,792	223,788
Commissions.....	418	40	27,085	15,252	-	1	39	17	27,542	15,310
Commissions - Asset Conversion.....	-	-	468,000	1,068,000	-	-	-	-	468,000	1,068,000
Gifts, Grants and Donations.....	28	270	8,825	108,105	-	-	34,490	6,031	43,343	114,406
Indirect Cost Recoveries.....	86,488	93,081	1,739	57	-	-	2,637	4,363	90,864	97,501
Patient/Client Care Reimbursement.....	(43,851)	(52,258)	2,224,230	2,123,204	470,001	425,887	-	-	2,650,380	2,496,833
Rebates.....	6,383	2,622	160,036	157,697	-	-	1,233	452	167,652	160,771
Restitution and Settlements.....	6,063	112,654	18,826	77,916	-	-	12,646	10,050	37,535	200,620
Student Loans.....	29	34	66,725	90,587	-	-	-	-	66,754	90,621
All Other.....	83,719	39,052	539,985	478,737	68	114	60,739	15,342	684,511	533,245
<b>Total Revenues of State Departments.....</b>	<b>214,881</b>	<b>268,560</b>	<b>3,668,634</b>	<b>4,270,242</b>	<b>470,069</b>	<b>426,002</b>	<b>111,789</b>	<b>36,291</b>	<b>4,465,373</b>	<b>5,001,095</b>



STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMBINED STATEMENT OF RECEIPTS AND OTHER FINANCING RESOURCES  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 2  
(continued)

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS	
	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019	MARCH 31, 2020	MARCH 31, 2019
Sales.....	37	271	17,830	23,140	19	86	5,795	2,592	23,681	26,089
Tuition.....	-	-	1,541,334	1,726,130	-	-	-	-	1,541,334	1,726,130
<b>TOTAL MISCELLANEOUS RECEIPTS.....</b>	<b>3,159,259</b>	<b>3,586,046</b>	<b>19,279,357</b>	<b>19,668,210</b>	<b>476,948</b>	<b>433,402</b>	<b>6,550,808</b>	<b>7,497,032</b>	<b>29,466,372</b>	<b>31,184,690</b>
<b>FEDERAL RECEIPTS.....</b>	<b>285</b>	<b>149</b>	<b>62,896,868</b>	<b>58,920,558</b>	<b>73,769</b>	<b>73,633</b>	<b>2,109,103</b>	<b>2,350,013</b>	<b>65,080,025</b>	<b>61,344,353</b>
<b>OTHER FINANCING SOURCES:</b>										
Bond and Note Proceeds, net.....	-	-	-	-	-	-	-	132,900	-	132,900
Transfers from Other Funds.....	35,906,769	31,069,281	2,269,197	1,905,571	3,742,214	3,536,710	3,546,520	2,218,760	45,464,700	38,730,322
<b>Total Other Financing Sources.....</b>	<b>35,906,769</b>	<b>31,069,281</b>	<b>2,269,197</b>	<b>1,905,571</b>	<b>3,742,214</b>	<b>3,536,710</b>	<b>3,546,520</b>	<b>2,351,660</b>	<b>45,464,700</b>	<b>38,863,222</b>
<b>TOTAL.....</b>	<b>\$ 79,207,048</b>	<b>\$ 70,544,397</b>	<b>\$ 90,504,426</b>	<b>\$ 86,615,848</b>	<b>\$ 39,564,811</b>	<b>\$ 36,177,164</b>	<b>\$ 13,623,881</b>	<b>\$ 13,632,178</b>	<b>\$ 222,900,166</b>	<b>\$ 206,969,587</b>

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SCHEDULE OF TAX RECEIPTS  
FISCAL YEARS 2010-11 THROUGH 2019-20  
(amounts in thousands)**

**SCHEDULE 3**

	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20
<b>PERSONAL INCOME TAX:</b>										
Withholding.....	\$ 31,240,169	\$ 31,198,971	\$ 31,957,653	\$ 33,367,555	\$ 34,906,793	\$ 36,549,038	\$ 37,523,891	\$ 40,269,241	\$ 41,084,099	\$ 43,118,278
Estimated.....	9,735,271	11,628,433	12,192,942	14,637,177	13,743,147	16,111,218	14,971,822	17,781,120	14,009,873	17,025,034
Returns.....	2,022,248	2,174,476	2,192,787	2,415,648	2,260,045	2,653,707	2,616,198	2,538,951	2,746,012	3,482,091
State/City Offsets.....	(100,179)	(365,944)	(309,012)	(615,003)	(590,752)	(675,293)	(851,242)	(855,954)	(1,135,335)	(1,117,314)
Other (Assessments/LLC).....	1,004,746	1,028,586	1,099,268	1,154,668	1,338,284	1,286,154	1,405,964	1,446,472	1,332,835	1,357,370
Employer Compensation Expense Tax.....	-	-	-	-	-	-	-	-	53	-
Gross Total.....	43,902,255	45,664,522	47,133,638	50,960,045	51,657,517	55,924,824	55,666,633	61,179,830	58,037,537	63,865,459
Less: Refunds Issued.....	(7,693,040)	(6,896,695)	(6,906,923)	(7,999,270)	(7,947,684)	(8,869,541)	(8,100,689)	(9,678,493)	(9,950,148)	(10,206,057)
<b>Net Personal Income Tax.....</b>	<b>36,209,215</b>	<b>38,767,827</b>	<b>40,226,715</b>	<b>42,960,775</b>	<b>43,709,833</b>	<b>47,055,283</b>	<b>47,565,944</b>	<b>51,501,337</b>	<b>48,087,389</b>	<b>53,659,402</b>
<b>CONSUMPTION/USE TAXES:</b>										
Sales and Use .....	11,537,852	11,874,582	11,989,290	12,587,660	12,991,656	13,359,320	13,869,587	14,495,076	15,127,442	15,932,060
Auto Rental .....	95,027	104,017	109,050	113,979	119,093	126,076	127,004	122,988	130,033	107,033
Hotel/Motel .....	12	-	-	-	-	-	-	-	-	-
Cigarette and Tobacco Products .....	1,615,691	1,633,524	1,550,599	1,453,351	1,313,758	1,250,612	1,235,230	1,170,526	1,107,756	1,035,223
Medical Marijuana .....	-	-	-	-	-	11	584	1,880	3,867	5,698
Motor Fuel .....	516,145	501,610	492,464	473,156	486,955	503,068	519,015	512,503	528,121	511,845
Alcoholic Beverage .....	229,698	238,263	246,217	250,306	250,859	254,548	257,678	259,230	262,385	258,980
Highway Use .....	129,162	132,129	145,008	136,223	140,400	158,562	138,696	93,205	145,007	141,385
Vapor Excise .....	-	-	-	-	-	-	-	-	-	10,405
Opioid Excise Tax .....	-	-	-	-	-	-	-	-	-	19,356
MCTD Taxicab Trip.....	81,142	86,849	82,945	85,190	82,263	73,146	64,036	55,944	51,703	-
<b>Total Consumption/Use Taxes.....</b>	<b>14,204,729</b>	<b>14,570,974</b>	<b>14,615,573</b>	<b>15,099,865</b>	<b>15,384,984</b>	<b>15,725,343</b>	<b>16,211,830</b>	<b>16,711,352</b>	<b>17,356,314</b>	<b>18,021,985</b>
<b>BUSINESS TAXES:</b>										
Corporation Franchise .....	2,845,857	3,176,226	3,008,746	3,811,628	3,547,992	4,527,319	3,165,584	3,079,974	4,296,595	4,824,289
Corporation and Utilities .....	813,646	796,540	894,491	797,293	727,294	773,852	720,335	747,908	672,479	704,722
Insurance .....	1,350,932	1,413,093	1,508,600	1,444,398	1,532,832	1,580,071	1,579,645	1,776,830	1,836,785	2,305,962
Bank .....	1,178,239	1,391,665	1,911,860	1,050,058	1,536,194	(121,350)	389,660	467,123	(58,989)	69
Petroleum Business .....	1,090,439	1,100,354	1,139,721	1,154,510	1,158,332	1,123,851	1,123,685	1,091,954	1,165,218	1,160,775
Lubricating Oil .....	2	-	2	1	1	-	-	-	-	-
<b>Total Business Taxes.....</b>	<b>7,279,115</b>	<b>7,877,878</b>	<b>8,463,420</b>	<b>8,257,888</b>	<b>8,502,645</b>	<b>7,883,743</b>	<b>6,978,909</b>	<b>7,163,789</b>	<b>7,912,088</b>	<b>8,995,817</b>
<b>OTHER TAXES:</b>										
Real Property Gains .....	(3)	-	209	(159)	39	10	63	(7)	-	-
Estate and Gift .....	1,219,248	1,078,531	1,014,863	1,238,321	1,108,530	1,520,792	1,090,889	1,307,842	1,068,327	1,070,166
Pari-Mutuel .....	17,039	17,197	17,416	16,821	18,038	17,182	15,755	15,372	15,368	13,917
Real Estate Transfer .....	580,101	610,048	756,355	911,352	1,037,880	1,163,060	1,126,369	1,125,073	1,135,271	1,123,766
Racing and Exhibitions .....	713	768	1,029	995	1,128	1,425	2,914	2,541	2,565	2,022
MCTD Mobility Tax.....	1,359,465	1,375,651	1,204,572	1,204,147	1,271,303	1,306,235	1,380,246	1,439,241	-	-
Employer Compensation Expense Tax.....	-	-	-	-	-	-	-	-	-	1,994
<b>Total Other Taxes.....</b>	<b>3,176,563</b>	<b>3,082,195</b>	<b>2,994,444</b>	<b>3,371,477</b>	<b>3,436,918</b>	<b>4,008,704</b>	<b>3,616,236</b>	<b>3,890,062</b>	<b>2,221,531</b>	<b>2,211,865</b>
<b>TOTAL TAX RECEIPTS.....</b>	<b>\$ 60,869,622</b>	<b>\$ 64,298,874</b>	<b>\$ 66,300,152</b>	<b>\$ 69,690,005</b>	<b>\$ 71,034,380</b>	<b>\$ 74,673,073</b>	<b>\$ 74,372,919</b>	<b>\$ 79,266,540</b>	<b>\$ 75,577,322</b>	<b>\$ 82,889,069</b>

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMBINING SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 4

	GENERAL	SPECIAL REVENUE					
	STATE OPERATIONS ACCOUNT (10050-10099)	DEDICATED		MASS			SCHOOL TAX RELIEF (20550-20599)
		MASS TRANSPORTATION TRUST (20850-20899)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)	HIGHWAY USE TAX ADMIN. (23801)	TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	MEDICAL MARIHUANA TRUST (23750-23799)	
<b>PERSONAL INCOME TAX</b> .....	<b>\$ 24,646,013</b>	\$ -	\$ -	\$ -	\$ -	\$ -	<b>\$ 2,183,688</b>
<b>CONSUMPTION/USE TAXES:</b>							
Sales and Use.....	7,446,455	-	-	-	1,049,089	-	-
Auto Rental.....	-	-	-	-	19,541	-	-
Cigarette and Tobacco Products.....	312,985	-	722,238	-	-	-	-
Medical Marijuana.....	-	-	-	-	-	5,698	-
Motor Fuel.....	-	108,213	-	-	-	-	-
Alcoholic Beverage.....	258,980	-	-	-	-	-	-
Highway Use.....	-	-	-	523	-	-	-
Vapor Excise.....	-	-	10,405	-	-	-	-
Opioid Excise.....	19,356	-	-	-	-	-	-
MCTD Taxicab Trip.....	-	-	-	-	-	-	-
<b>Total Consumption/Use Taxes</b> .....	<b>8,037,776</b>	<b>108,213</b>	<b>732,643</b>	<b>523</b>	<b>1,068,630</b>	<b>5,698</b>	<b>-</b>
<b>BUSINESS TAXES:</b>							
Corporation Franchise.....	3,791,131	-	-	-	1,033,158	-	-
Corporation and Utilities.....	518,174	-	-	-	171,985	-	-
Insurance.....	2,052,623	-	-	-	253,339	-	-
Bank.....	7,916	-	-	-	(7,847)	-	-
Petroleum Business.....	-	374,224	-	-	134,750	-	-
<b>Total Business Taxes</b> .....	<b>6,369,844</b>	<b>374,224</b>	<b>-</b>	<b>-</b>	<b>1,585,385</b>	<b>-</b>	<b>-</b>
<b>OTHER TAXES:</b>							
Real Property Gains.....	-	-	-	-	-	-	-
Estate and Gift.....	1,070,166	-	-	-	-	-	-
Pari-Mutuel.....	13,917	-	-	-	-	-	-
Real Estate Transfer.....	-	-	-	-	-	-	-
Racing and Exhibitions.....	2,022	-	-	-	-	-	-
Employer Compensation Expense Tax.....	997	-	-	-	-	-	-
<b>Total Other Taxes</b> .....	<b>1,087,102</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>TOTAL TAX RECEIPTS</b> .....	<b>\$ 40,140,735</b>	<b>\$ 482,437</b>	<b>\$ 732,643</b>	<b>\$ 523</b>	<b>\$ 2,654,015</b>	<b>\$ 5,698</b>	<b>\$ 2,183,688</b>

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMBINING SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 4  
(continued)

	DEBT SERVICE			CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS	
	CLEAN WATER / CLEAN AIR (40400-40449)	GENERAL DEBT SERVICE (40150-40199)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	ENVIRONMENTAL PROTECTION (30450-30499)	2019-20	2018-19
PERSONAL INCOME TAX.....	\$ -	\$ 26,829,701	\$ -	\$ -	\$ -	\$ 53,659,402	\$ 48,087,389
<b>CONSUMPTION/USE TAXES:</b>							
Sales and Use.....	-	3,718,258	3,718,258	-	-	15,932,060	15,127,442
Auto Rental.....	-	-	-	87,492	-	107,033	130,033
Cigarette and Tobacco Products.....	-	-	-	-	-	1,035,223	1,107,756
Medical Marijuana.....	-	-	-	-	-	5,698	3,867
Motor Fuel.....	-	-	-	403,632	-	511,845	528,121
Alcoholic Beverage.....	-	-	-	-	-	258,980	262,385
Highway Use.....	-	-	-	140,862	-	141,385	145,007
Vapor Excise.....	-	-	-	-	-	10,405	-
Opioid Excise.....	-	-	-	-	-	19,356	-
MCTD Taxicab Trip.....	-	-	-	-	-	-	51,703
<b>Total Consumption/Use Taxes.....</b>	<b>-</b>	<b>3,718,258</b>	<b>3,718,258</b>	<b>631,986</b>	<b>-</b>	<b>18,021,985</b>	<b>17,356,314</b>
<b>BUSINESS TAXES:</b>							
Corporation Franchise.....	-	-	-	-	-	4,824,289	4,296,595
Corporation and Utilities.....	-	-	-	14,563	-	704,722	672,479
Insurance.....	-	-	-	-	-	2,305,962	1,836,785
Bank.....	-	-	-	-	-	69	(58,989)
Petroleum Business.....	-	-	-	651,801	-	1,160,775	1,165,218
<b>Total Business Taxes.....</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>666,364</b>	<b>-</b>	<b>8,995,817</b>	<b>7,912,088</b>
<b>OTHER TAXES:</b>							
Real Property Gains.....	-	-	-	-	-	-	-
Estate and Gift.....	-	-	-	-	-	1,070,166	1,068,327
Pari-Mutuel.....	-	-	-	-	-	13,917	15,368
Real Estate Transfer.....	1,004,666	-	-	-	119,100	1,123,766	1,135,271
Racing and Exhibitions.....	-	-	-	-	-	2,022	2,565
Employer Compensation Expense Tax.....	-	997	-	-	-	1,994	-
<b>Total Other Taxes.....</b>	<b>1,004,666</b>	<b>997</b>	<b>-</b>	<b>-</b>	<b>119,100</b>	<b>2,211,865</b>	<b>2,221,531</b>
<b>TOTAL TAX RECEIPTS.....</b>	<b>\$ 1,004,666</b>	<b>\$ 30,548,956</b>	<b>\$ 3,718,258</b>	<b>\$ 1,298,350</b>	<b>\$ 119,100</b>	<b>\$ 82,889,069</b>	<b>\$ 75,577,322</b>

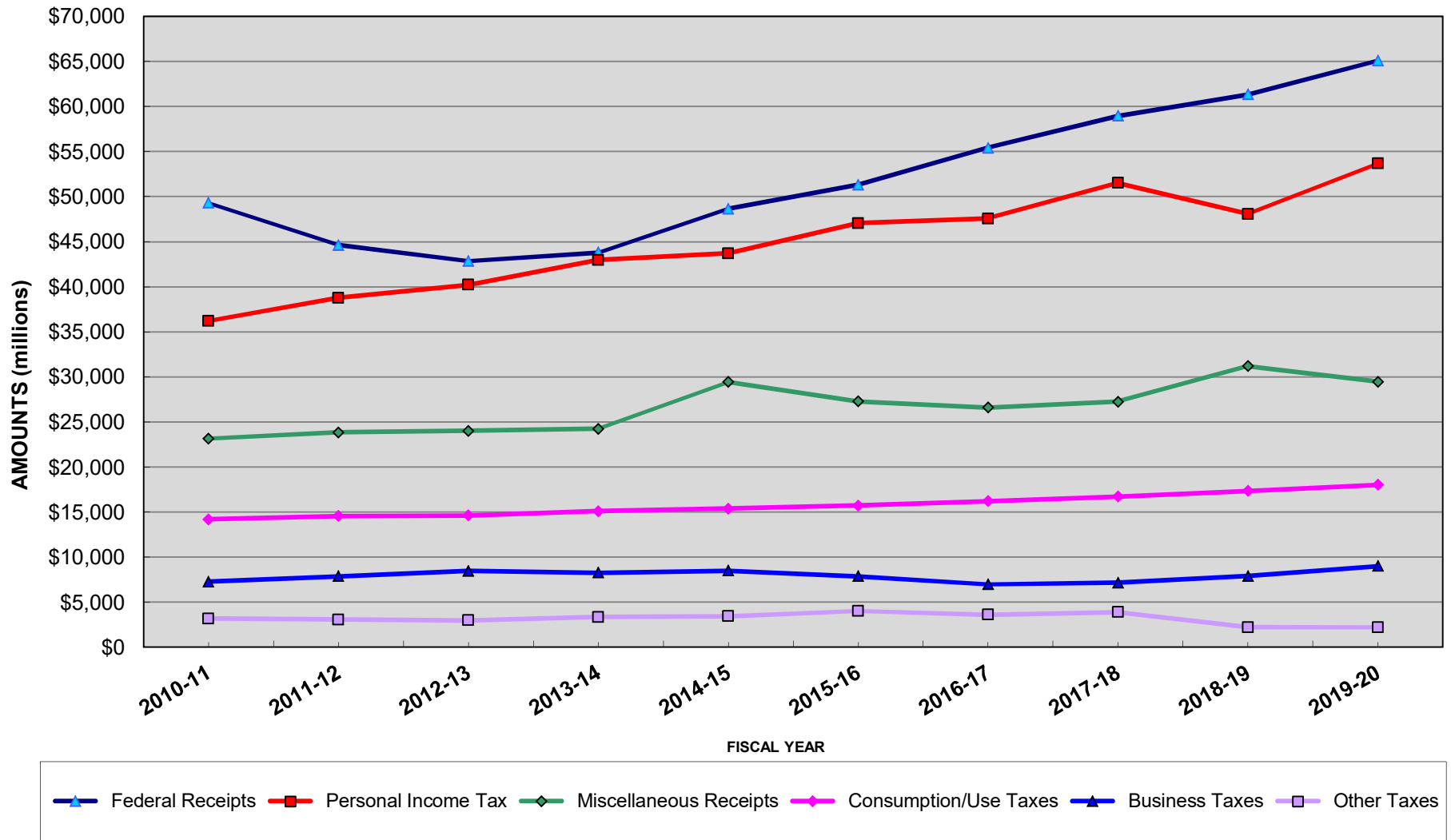
**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
STATE TAX RECEIPTS PER CAPITA (\*)  
FISCAL YEARS 2010-11 THROUGH 2019-20**

**SCHEDULE 5**

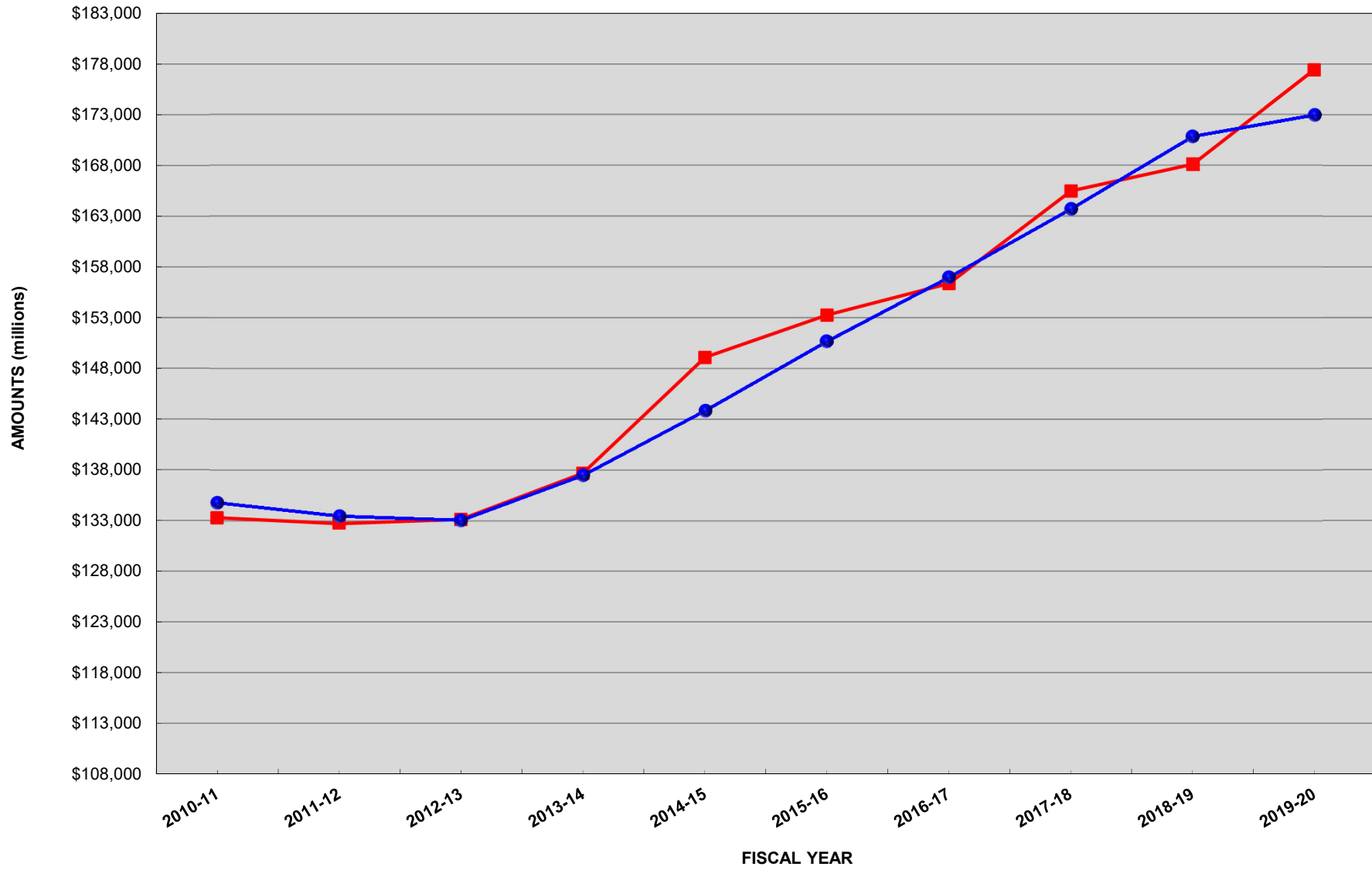
	<b>2010-11</b>	<b>2011-12</b>	<b>2012-13</b>	<b>2013-14</b>	<b>2014-15</b>	<b>2015-16</b>	<b>2016-17</b>	<b>2017-18</b>	<b>2018-19</b>	<b>2019-20</b>
<b>PERSONAL INCOME TAX</b>										
Millions of Dollars.....	\$ 36,209	\$ 38,768	\$ 40,227	\$ 42,961	\$ 43,710	\$ 47,055	\$ 47,566	\$ 51,501	\$ 48,087	\$ 53,659
Percentage of Total Taxes.....	59.48%	60.30%	60.67%	61.64%	61.53%	63.01%	63.96%	64.97%	63.63%	64.74%
Change from Prior Year.....	4.20%	7.07%	3.76%	6.80%	1.70%	7.70%	1.10%	8.30%	-6.63%	11.59%
Per Capita.....	1,866	1,988	2,052	2,181	2,219	2,377	2,415	2,601	2,466	2,752
<b>CONSUMPTION/USE TAXES</b>										
Millions of Dollars.....	14,205	14,571	14,616	15,100	15,385	15,725	16,212	16,711	17,356	18,022
Percentage of Total Taxes.....	23.34%	22.66%	22.04%	21.67%	21.66%	21.06%	21.80%	21.08%	22.96%	21.74%
Change from Prior Year.....	10.53%	2.58%	0.31%	3.31%	1.89%	2.21%	3.10%	3.08%	3.86%	3.84%
Per Capita.....	732	747	746	766	781	794	823	844	890	924
<b>BUSINESS TAXES</b>										
Millions of Dollars.....	7,279	7,878	8,463	8,258	8,502	7,884	6,979	7,164	7,912	8,996
Percentage of Total Taxes.....	11.96%	12.25%	12.77%	11.85%	11.97%	10.56%	9.38%	9.04%	10.47%	10.85%
Change from Prior Year.....	-2.40%	8.23%	7.43%	-2.42%	2.95%	-7.27%	-11.48%	2.65%	10.44%	13.70%
Per Capita.....	375	404	432	419	432	398	354	362	406	461
<b>OTHER TAXES</b>										
Millions of Dollars.....	3,177	3,082	2,994	3,371	3,437	4,009	3,616	3,890	2,222	2,212
Percentage of Total Taxes.....	5.22%	4.79%	4.52%	4.84%	4.84%	5.37%	4.86%	4.91%	2.94%	2.67%
Change from Prior Year.....	21.91%	-2.99%	-2.86%	12.59%	1.96%	16.64%	-9.80%	7.58%	-42.88%	-0.45%
Per Capita.....	164	158	153	171	174	202	184	196	114	113
<b>TOTAL TAXES</b>										
Millions of Dollars.....	\$ 60,870	\$ 64,299	\$ 66,300	\$ 69,690	\$ 71,034	\$ 74,673	\$ 74,373	\$ 79,266	\$ 75,577	\$ 82,889
Percentage of Total Taxes.....	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
Change from Prior Year.....	5.55%	5.63%	3.11%	5.11%	1.93%	5.12%	-0.40%	6.58%	-4.65%	9.67%
Per Capita.....	\$ 3,137	\$ 3,297	\$ 3,383	\$ 3,537	\$ 3,606	\$ 3,771	\$ 3,776	\$ 4,003	\$ 3,876	\$ 4,250

(\*) Population estimates are based on current information published by the U.S. Census Bureau.

## GOVERNMENTAL FUNDS - RECEIPTS TEN - YEAR TREND ANALYSIS



**GOVERNMENTAL FUNDS - RECEIPTS TO DISBURSEMENTS  
TEN - YEAR TREND ANALYSIS**



(\*) Receipts and Disbursements exclude Other Financing Sources and Uses

■ Total Receipts (\*)   
 ● Total Disbursements (\*)

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SCHEDULE OF RECEIPTS, DISBURSEMENTS  
AND OTHER FINANCING SOURCES (USES)  
FISCAL YEARS 2010-11 THROUGH 2019-20  
(amounts in thousands)**

**SCHEDULE 6**

	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20
<b>RECEIPTS:</b>										
Personal Income Tax.....	\$ 36,209,215	\$ 38,767,827	\$ 40,226,715	\$ 42,960,775	\$ 43,709,833	\$ 47,055,283	\$ 47,565,944	\$ 51,501,337	\$ 48,087,389	\$ 53,659,402
Consumption/Use Taxes.....	14,204,729	14,570,974	14,615,573	15,099,865	15,384,984	15,725,343	16,211,830	16,711,352	17,356,314	18,021,985
Business Taxes.....	7,279,115	7,877,878	8,463,420	8,257,888	8,502,645	7,883,743	6,978,909	7,163,789	7,912,088	8,995,817
Other Taxes.....	3,176,563	3,082,195	2,994,444	3,371,477	3,436,918	4,008,704	3,616,236	3,890,062	2,221,531	2,211,865
Miscellaneous Receipts.....	23,148,031	23,836,115	24,030,850	24,233,835	29,437,525	27,268,336	26,592,173	27,261,900	31,184,690	29,466,372
Federal Receipts.....	49,303,727	44,609,856	42,842,927	43,789,256	48,636,693	51,323,529	55,407,032	58,941,910	61,344,353	65,080,025
<b>Total Receipts.....</b>	<b>133,321,380</b>	<b>132,744,845</b>	<b>133,173,929</b>	<b>137,713,096</b>	<b>149,108,598</b>	<b>153,264,938</b>	<b>156,372,124</b>	<b>165,470,350</b>	<b>168,106,365</b>	<b>177,435,466</b>
<b>DISBURSEMENTS:</b>										
Local Assistance Grants:										
Education.....	-	-	31,275,884	32,273,478	33,347,534	35,349,565	36,143,713	36,311,610	37,838,681	37,846,434
Environment and Recreation.....	-	-	452,989	455,295	315,309	321,479	323,656	339,773	437,205	426,032
General Government.....	-	-	1,190,751	1,369,339	1,358,364	1,573,960	1,706,128	1,825,069	2,256,612	2,171,966
Public Health:										
Medicaid.....	-	-	41,585,429	42,125,677	47,642,930	49,664,291	52,441,101	56,641,472	59,753,089	63,490,463
Other Public Health.....	-	-	5,812,015	5,788,874	5,154,862	6,873,429	9,059,103	10,136,319	10,375,088	10,448,786
Public Safety.....	-	-	1,802,631	2,123,277	2,718,169	2,229,061	1,715,641	1,794,205	1,593,457	1,853,770
Public Welfare.....	-	-	7,836,605	8,132,748	7,597,686	7,806,779	7,259,655	7,500,534	8,076,392	7,593,504
Support and Regulate Business.....	-	-	691,811	802,690	657,668	796,912	1,087,080	1,202,054	1,328,131	1,114,267
Transportation.....	-	-	5,052,837	5,497,814	5,931,129	5,698,151	6,600,666	6,243,875	5,773,123	5,173,187
General Purpose.....	1,036,641	956,091	-	-	-	-	-	-	-	-
Education.....	35,055,672	31,872,529	-	-	-	-	-	-	-	-
Medicaid.....	40,495,297	41,127,169	-	-	-	-	-	-	-	-
Other Social Services.....	7,550,948	7,614,837	-	-	-	-	-	-	-	-
Health and Environment.....	4,469,502	4,900,692	-	-	-	-	-	-	-	-
Mental Hygiene.....	2,003,238	1,982,597	-	-	-	-	-	-	-	-
Transportation.....	5,130,684	4,974,616	-	-	-	-	-	-	-	-
Criminal Justice, Emergency Management & Security Services and Miscellaneous.....	2,891,467	3,059,315	-	-	-	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>98,633,449</b>	<b>96,487,846</b>	<b>95,700,952</b>	<b>98,569,192</b>	<b>104,723,651</b>	<b>110,313,627</b>	<b>116,336,743</b>	<b>121,994,911</b>	<b>127,431,778</b>	<b>130,118,409</b>
Departmental Operations:										
Personal Service.....	13,104,777	12,673,297	13,011,558	12,957,859	13,162,852	13,598,151	13,723,534	13,837,589	14,324,727	14,726,504
Non-Personal Service.....	5,979,320	6,347,746	6,170,765	6,803,869	6,977,664	6,974,097	6,958,850	7,019,728	6,764,020	7,477,130
General State Charges.....	6,361,086	6,854,416	6,675,439	7,279,909	7,337,109	7,739,187	7,926,960	8,174,647	8,624,718	8,756,793
Debt Service, Including Payments on Financing Agreements.....	5,614,669	5,864,022	6,137,929	6,399,696	6,182,817	5,598,485	5,513,783	5,872,838	6,698,565	4,916,091
Capital Projects.....	5,131,630	5,276,540	5,400,190	5,515,961	5,506,585	6,484,930	6,555,395	6,843,884	7,031,248	6,985,439
<b>Total Disbursements.....</b>	<b>134,824,931</b>	<b>133,503,867</b>	<b>133,096,833</b>	<b>137,526,486</b>	<b>143,890,678</b>	<b>150,708,477</b>	<b>157,015,265</b>	<b>163,743,597</b>	<b>170,875,056</b>	<b>172,980,366</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(1,503,551)</b>	<b>(759,022)</b>	<b>77,096</b>	<b>186,610</b>	<b>5,217,920</b>	<b>2,556,461</b>	<b>(643,141)</b>	<b>1,726,753</b>	<b>(2,768,691)</b>	<b>4,455,100</b>
<b>OTHER FINANCING SOURCES (USES):</b>										
Bond and Note Proceeds, net.....	525,154	352,069	433,631	-	161,343	-	-	160,369	132,900	-
Transfers from Other Funds.....	27,605,704	26,540,430	26,902,629	30,592,764	29,807,742	33,441,924	31,668,311	33,063,685	38,730,322	45,464,700
Transfers to Other Funds.....	(27,675,129)	(26,585,488)	(26,897,343)	(30,621,199)	(29,866,485)	(33,543,814)	(31,730,590)	(33,306,520)	(38,868,505)	(45,610,072)
Investments.....	-	-	-	-	-	(5)	-	-	-	-
<b>Total Other Financing Sources (Uses).....</b>	<b>455,729</b>	<b>307,011</b>	<b>438,917</b>	<b>(28,435)</b>	<b>102,600</b>	<b>(101,895)</b>	<b>(62,279)</b>	<b>(82,466)</b>	<b>(5,283)</b>	<b>(145,372)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>(1,047,822)</b>	<b>(452,011)</b>	<b>516,013</b>	<b>158,175</b>	<b>5,320,520</b>	<b>2,454,566</b>	<b>(705,420)</b>	<b>1,644,287</b>	<b>(2,773,974)</b>	<b>4,309,728</b>
<b>Beginning Fund Balances (*).....</b>	<b>4,860,151</b>	<b>3,812,329</b>	<b>3,360,318</b>	<b>3,876,331</b>	<b>4,034,506</b>	<b>9,355,555</b>	<b>11,810,121</b>	<b>11,104,701</b>	<b>12,748,988</b>	<b>9,975,014</b>
<b>Ending Fund Balances.....</b>	<b>\$ 3,812,329</b>	<b>\$ 3,360,318</b>	<b>\$ 3,876,331</b>	<b>\$ 4,034,506</b>	<b>\$ 9,355,026</b>	<b>\$ 11,810,121</b>	<b>\$ 11,104,701</b>	<b>\$ 12,748,988</b>	<b>\$ 9,975,014</b>	<b>\$ 14,284,742</b>

(\*) The April 1, 2015 balance has been adjusted by \$529 thousand to reverse out a prior period adjustment.



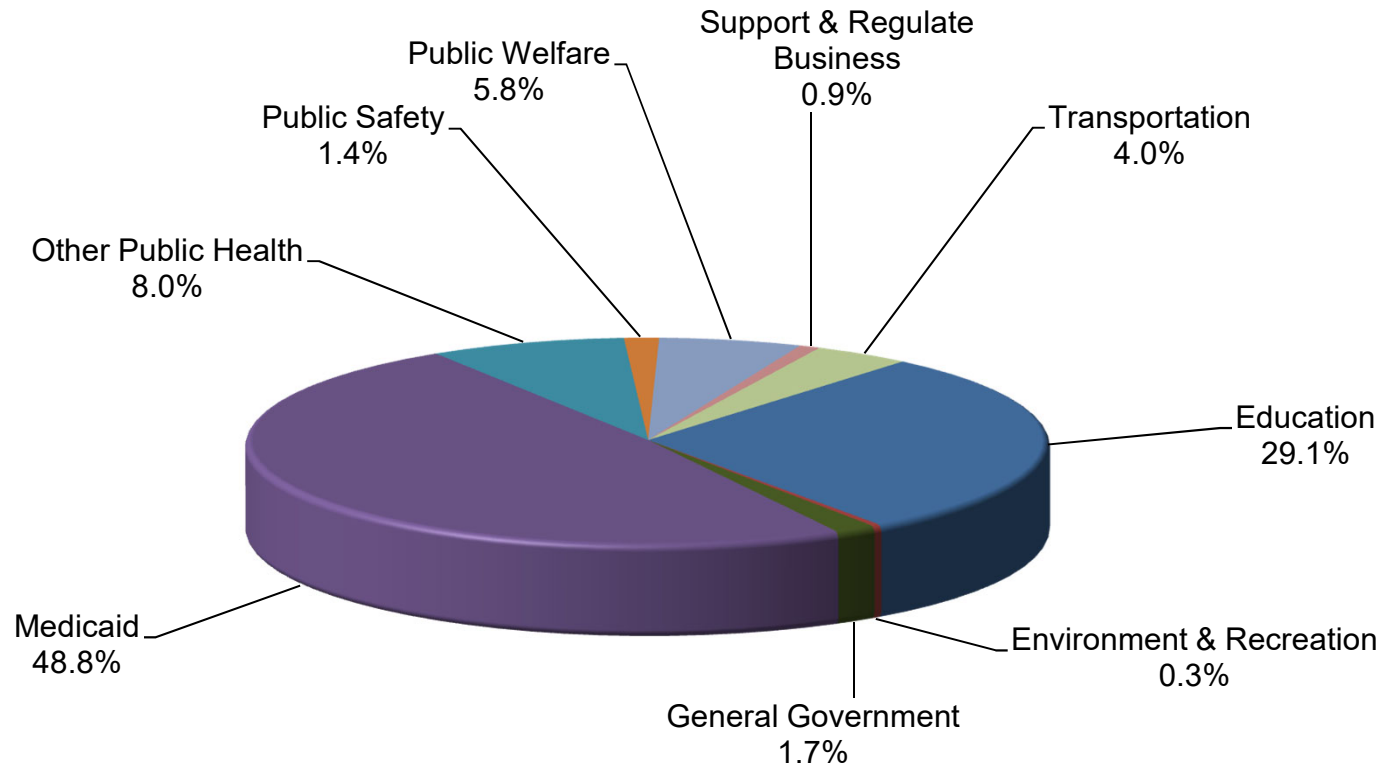
STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
STATE OPERATING FUNDS  
SCHEDULE OF RECEIPTS, DISBURSEMENTS  
AND OTHER FINANCING SOURCES (USES)  
FISCAL YEARS 2010-11 THROUGH 2019-20  
(amounts in thousands)

SCHEDULE 6  
SUPPLEMENTAL

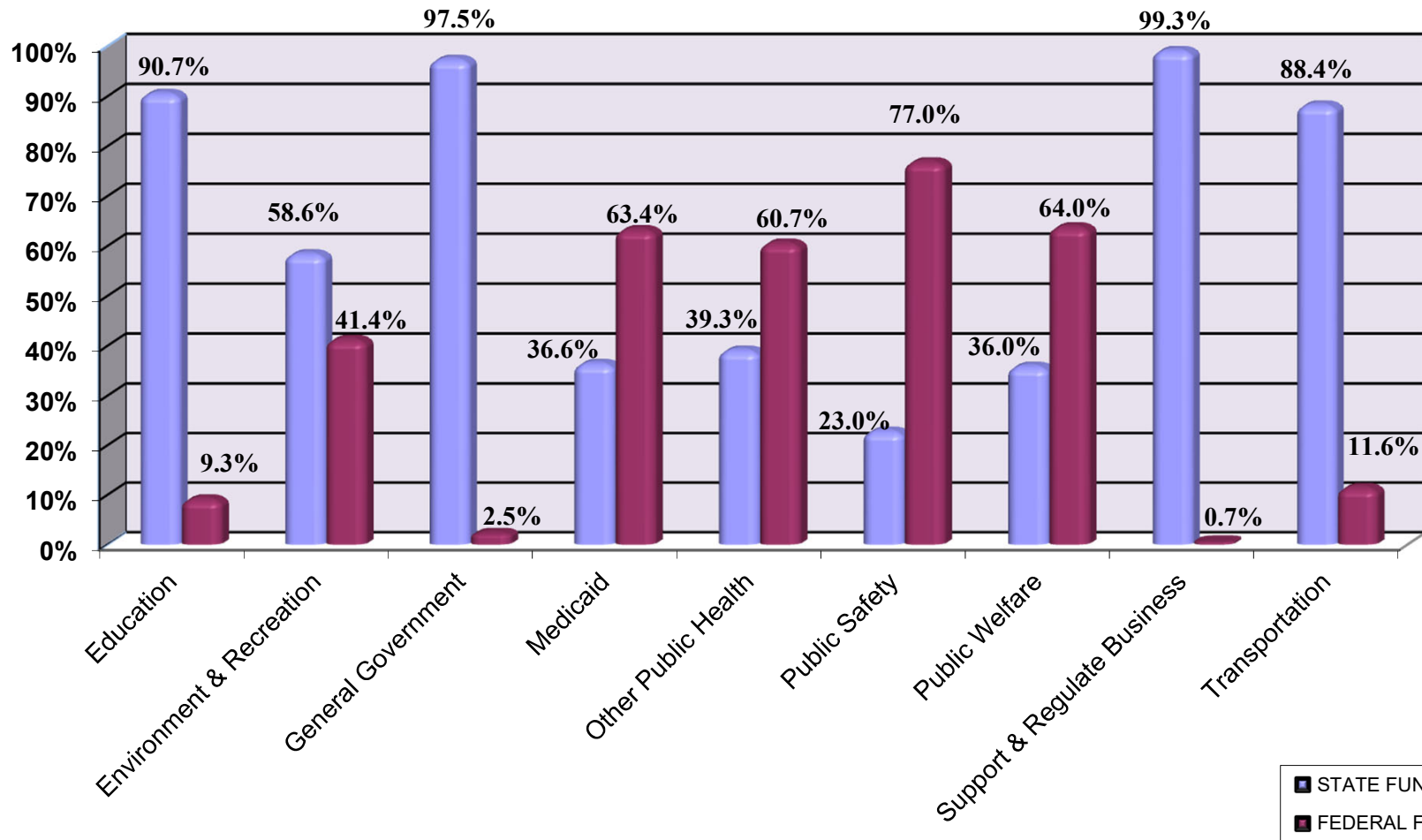
	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20
<b>RECEIPTS:</b>										
Personal Income Tax.....	\$36,209,215	\$38,767,827	\$40,226,715	\$42,960,775	\$43,709,833	\$47,055,283	\$47,565,944	\$51,501,337	\$48,087,389	\$53,659,402
Consumption/Use Taxes.....	13,607,810	13,977,493	14,013,281	14,518,137	14,784,464	15,089,642	15,587,740	16,138,822	16,711,610	17,389,999
Business Taxes.....	6,656,770	7,252,986	7,815,260	7,603,524	7,849,377	7,244,264	6,339,407	6,541,978	7,242,419	8,329,453
Other Taxes.....	3,057,463	2,963,095	2,875,344	3,252,377	3,317,818	3,889,604	3,497,136	3,770,962	2,102,431	2,092,765
Miscellaneous Receipts.....	19,148,276	19,515,006	20,000,668	20,521,164	25,300,841	23,254,991	21,756,660	21,333,717	23,485,540	22,700,563
Federal Receipts.....	112,070	139,961	140,547	71,420	74,718	73,548	72,472	74,484	72,646	61,164
<b>Total Receipts.....</b>	<b>78,791,604</b>	<b>82,616,368</b>	<b>85,071,815</b>	<b>88,927,397</b>	<b>95,037,051</b>	<b>96,607,332</b>	<b>94,819,359</b>	<b>99,361,300</b>	<b>97,702,035</b>	<b>104,233,346</b>
<b>DISBURSEMENTS:</b>										
Local Assistance Grants:										
Education.....	-	-	28,003,547	28,566,137	30,130,698	31,662,758	32,548,831	33,014,336	33,845,340	34,142,263
Environment and Recreation.....	-	-	7,814	10,465	9,539	12,174	8,668	8,315	7,913	7,811
General Government.....	-	-	1,096,762	1,249,088	1,192,301	1,215,379	1,191,543	1,165,773	1,180,807	1,267,929
Public Health:										
Medicaid.....	-	-	17,591,758	17,436,669	18,019,396	18,314,157	18,651,573	19,691,251	20,371,950	23,241,124
Other Public Health.....	-	-	3,762,685	3,656,417	3,352,872	3,265,481	3,485,984	3,292,526	3,436,703	3,591,023
Public Safety.....	-	-	386,785	295,356	331,569	283,224	315,928	323,989	379,250	363,657
Public Welfare.....	-	-	3,049,037	3,085,434	2,829,894	2,935,571	2,922,687	2,822,482	2,783,464	2,322,931
Support and Regulate Business.....	-	-	376,466	380,256	351,665	219,285	266,146	260,406	233,146	227,259
Transportation.....	-	-	4,306,745	4,722,567	4,834,029	4,745,229	4,977,294	5,024,864	3,938,202	3,488,296
General Purpose.....	1,036,641	956,091	-	-	-	-	-	-	-	-
Education.....	28,926,703	27,094,238	-	-	-	-	-	-	-	-
Medicaid.....	13,149,970	16,489,870	-	-	-	-	-	-	-	-
Other Social Services.....	2,809,578	2,908,092	-	-	-	-	-	-	-	-
Health and Environment.....	2,635,388	2,968,850	-	-	-	-	-	-	-	-
Mental Hygiene.....	1,669,180	1,731,350	-	-	-	-	-	-	-	-
Transportation.....	4,253,827	4,229,733	-	-	-	-	-	-	-	-
Criminal Justice, Emergency Management & Security Services and Miscellaneous.....	813,359	889,489	-	-	-	-	-	-	-	-
<b>Total Local Assistance Grants.....</b>	<b>55,294,646</b>	<b>57,267,713</b>	<b>58,581,599</b>	<b>59,402,389</b>	<b>61,051,963</b>	<b>62,653,258</b>	<b>64,368,654</b>	<b>65,603,942</b>	<b>66,176,775</b>	<b>68,652,293</b>
Departmental Operations:										
Personal Service.....	12,422,427	12,039,972	12,402,450	12,300,482	12,549,597	12,980,751	13,092,382	13,170,430	13,687,315	14,089,676
Non-Personal Service.....	4,965,584	5,410,795	5,280,742	5,563,854	5,607,541	5,601,777	5,587,399	5,650,695	5,370,250	6,078,226
General State Charges.....	6,101,494	6,592,416	6,436,100	6,957,594	7,033,234	7,452,462	7,634,324	7,853,087	8,203,826	8,423,198
Debt Service, Including Payments on Financing Agreements.....	5,614,669	5,864,022	6,137,929	6,399,696	6,182,817	5,598,485	5,513,783	5,872,838	6,698,565	4,916,091
Capital Projects.....	18,571	6,428	4,025	6,929	1,322	1,749	2,544	-	-	-
<b>Total Disbursements.....</b>	<b>84,417,391</b>	<b>87,181,346</b>	<b>88,842,845</b>	<b>90,630,944</b>	<b>92,426,474</b>	<b>94,288,482</b>	<b>96,199,086</b>	<b>98,150,992</b>	<b>100,136,731</b>	<b>102,159,484</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>(5,625,787)</b>	<b>(4,564,978)</b>	<b>(3,771,030)</b>	<b>(1,703,547)</b>	<b>2,610,577</b>	<b>2,318,850</b>	<b>(1,379,727)</b>	<b>1,210,308</b>	<b>(2,434,696)</b>	<b>2,073,862</b>
<b>OTHER FINANCING SOURCES (USES):</b>										
Transfers from Other Funds.....	27,218,099	26,274,216	26,404,073	29,481,642	28,850,719	31,042,573	29,289,847	31,088,466	37,127,642	42,428,495
Transfers to Other Funds.....	(22,433,710)	(21,831,104)	(22,120,550)	(27,348,419)	(26,360,135)	(30,611,048)	(28,925,962)	(30,317,497)	(35,938,285)	(42,455,322)
Investments.....	-	-	-	-	-	(5)	-	-	-	-
<b>Total Other Financing Sources (Uses).....</b>	<b>4,784,389</b>	<b>4,443,112</b>	<b>4,283,523</b>	<b>2,133,223</b>	<b>2,490,584</b>	<b>431,520</b>	<b>363,885</b>	<b>770,969</b>	<b>1,189,357</b>	<b>(26,827)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>(841,398)</b>	<b>(121,866)</b>	<b>512,493</b>	<b>429,676</b>	<b>5,101,161</b>	<b>2,750,370</b>	<b>(1,015,842)</b>	<b>1,981,277</b>	<b>(1,245,339)</b>	<b>2,047,035</b>
<b>Beginning Fund Balances (*).....</b>	<b>4,810,206</b>	<b>3,968,808</b>	<b>3,846,942</b>	<b>4,359,435</b>	<b>4,789,111</b>	<b>9,890,801</b>	<b>12,641,171</b>	<b>11,625,329</b>	<b>13,606,606</b>	<b>12,361,267</b>
<b>Ending Fund Balances.....</b>	<b>\$ 3,968,808</b>	<b>\$ 3,846,942</b>	<b>\$ 4,359,435</b>	<b>\$ 4,789,111</b>	<b>\$ 9,890,272</b>	<b>\$ 12,641,171</b>	<b>\$ 11,625,329</b>	<b>\$ 13,606,606</b>	<b>\$ 12,361,267</b>	<b>\$ 14,408,302</b>

(\*) The April 1, 2015 balance has been adjusted by \$529 thousand to reverse out a prior period adjustment

**GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE GRANTS  
FISCAL YEAR ENDED MARCH 31, 2020**



**GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE GRANTS BY FUNDING SOURCE  
FISCAL YEAR ENDED MARCH 31, 2020**



**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE DISBURSEMENTS BY PROGRAM  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)**

**SCHEDULE 7**

	<u>GENERAL</u>	<u>STATE SPECIAL REVENUE</u>	<u>FEDERAL SPECIAL REVENUE</u>	<u>STATE CAPITAL PROJECTS</u>	<u>FEDERAL CAPITAL PROJECTS</u>	<u>2019-20</u>	<u>2018-19</u>
<b>Education:</b>							
Public School Aid.....	\$ 25,406,256	\$ 3,847,777	\$ 3,477,239	\$ 169,821	\$ -	\$ 32,901,093	\$ 32,049,653
Higher Education.....	2,475,518	-	-	5,669	-	2,481,187	3,101,463
School Tax Relief (STAR).....	-	2,183,688	-	-	-	2,183,688	2,423,111
Other Education.....	219,734	9,290	51,242	200	-	280,466	264,454
<b>Total Education.....</b>	<b>28,101,508</b>	<b>6,040,755</b>	<b>3,528,481</b>	<b>175,690</b>	<b>-</b>	<b>37,846,434</b>	<b>37,838,681</b>
<b>Environment and Recreation:</b>							
Environmental Protection.....	2,160	-	-	241,683	175,375	419,218	428,852
Parks, Recreation and Historic Preservation..	1,022	4,629	1,161	2	-	6,814	8,353
<b>Total Environment and Recreation.....</b>	<b>3,182</b>	<b>4,629</b>	<b>1,161</b>	<b>241,685</b>	<b>175,375</b>	<b>426,032</b>	<b>437,205</b>
<b>General Government:</b>							
Local Government Aid.....	952,507	97,808	-	11,005	-	1,061,320	1,044,148
Judicial.....	47,313	118,800	-	-	-	166,113	101,561
All Other.....	49,069	2,432	53,990	839,042	-	944,533	1,110,903
<b>Total General Government.....</b>	<b>1,048,889</b>	<b>219,040</b>	<b>53,990</b>	<b>850,047</b>	<b>-</b>	<b>2,171,966</b>	<b>2,256,612</b>
<b>Public Health:</b>							
Medicaid.....	17,566,451	5,674,673	40,249,339	-	-	63,490,463	59,753,089
Mental Hygiene.....	1,782,831	3,898	131,736	35,462	-	1,953,927	1,911,270
Health.....	448,722	1,220,504	6,060,427	477,079	42,873	8,249,605	8,236,266
Aging.....	135,068	-	110,186	-	-	245,254	227,552
<b>Total Public Health.....</b>	<b>19,933,072</b>	<b>6,899,075</b>	<b>46,551,688</b>	<b>512,541</b>	<b>42,873</b>	<b>73,939,249</b>	<b>70,128,177</b>
<b>Public Safety:</b>							
Criminal Justice.....	164,629	158,696	90,467	-	-	413,792	409,955
Emergency Management Service.....	6,656	28,140	1,302,862	62,783	34,001	1,434,442	1,180,067
Prisons and Reformatories.....	5,536	-	-	-	-	5,536	3,435
<b>Total Public Safety.....</b>	<b>176,821</b>	<b>186,836</b>	<b>1,393,329</b>	<b>62,783</b>	<b>34,001</b>	<b>1,853,770</b>	<b>1,593,457</b>
<b>Public Welfare:</b>							
Welfare.....	2,246,743	3,634	4,700,399	-	-	6,950,776	7,536,015
Public Housing.....	58,757	407	20,769	413,057	-	492,990	401,616
Employment Services.....	13,353	37	136,348	-	-	149,738	138,761
<b>Total Public Welfare.....</b>	<b>2,318,853</b>	<b>4,078</b>	<b>4,857,516</b>	<b>413,057</b>	<b>-</b>	<b>7,593,504</b>	<b>8,076,392</b>
<b>Support and Regulate Business:</b>							
Commerce, Industry & Agriculture.....	170,772	-	7,964	837,170	-	1,015,906	1,263,735
Regulate Businesses.....	-	56,487	-	41,874	-	98,361	64,396
<b>Total Support and Regulate Business.....</b>	<b>170,772</b>	<b>56,487</b>	<b>7,964</b>	<b>879,044</b>	<b>-</b>	<b>1,114,267</b>	<b>1,328,131</b>
<b>Transportation:</b>							
Traffic Safety.....	-	-	15,136	-	-	15,136	17,595
Transportation.....	110,219	3,378,077	43,840	1,083,425	542,490	5,158,051	5,755,528
<b>Total Transportation.....</b>	<b>110,219</b>	<b>3,378,077</b>	<b>58,976</b>	<b>1,083,425</b>	<b>542,490</b>	<b>5,173,187</b>	<b>5,773,123</b>
<b>Total.....</b>	<b>\$ 51,863,316</b>	<b>\$ 16,788,977</b>	<b>\$ 56,453,105</b>	<b>\$ 4,218,272</b>	<b>\$ 794,739</b>	<b>\$ 130,118,409</b>	<b>\$ 127,431,778</b>

STATE OF NEW YORK  
 ALL FUNDS BY FUND TYPE  
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)  
 BUDGET PERIOD ENDED MARCH 31, 2020

SCHEDULE 8

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2019	ADD: APPROPRIATIONS BY 2019 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2019 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2019-20 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2020	BUDGET PERIOD 2019-20 DISBURSEMENTS
<b>GOVERNMENTAL FUNDS:</b>								
General Fund.....	\$ 74,720,377,539	\$ 111,506,154,257	\$ 36,209,753,206	\$ (182,942,430)	\$ 534,495,332	\$ 73,278,798,878	\$ 77,089,532,614	\$ 73,089,283,486
Special Revenue - Federal.....	243,336,623,183	116,168,576,000	82,783,890,233	(42,174,432)	-	61,470,532,977	215,208,601,541	61,470,082,860
Special Revenue - State.....	21,253,198,702	34,126,455,448	9,237,030,147	144,182,815	2,307,789	25,835,613,943	20,453,500,664	25,832,756,538
Debt Service.....	1,220,537,358	8,322,850,000	1,792,053,751	-	-	4,102,346,979	3,648,986,628	4,673,997,176
Capital Projects - Federal.....	9,396,097,516	2,841,000,000	15,250,120	20,700,000	-	2,163,462,597	10,079,084,799	2,163,462,544
Capital Projects - State.....	60,866,718,108	12,464,513,000	249,464,937	60,615,000	(44,000,000)	10,557,804,116	62,540,577,055	10,564,972,579
Special Emergency.....	1,500,000,000	3,000,000,000	1,500,000,000	-	40,000,000	-	3,040,000,000	-
<b>TOTAL GOVERNMENTAL FUNDS.....</b>	<b>412,293,552,406</b>	<b>288,429,548,705</b>	<b>131,787,442,394</b>	<b>380,953</b>	<b>532,803,121</b>	<b>177,408,559,490</b>	<b>392,060,283,301</b>	<b>177,794,555,183</b>
<b>PROPRIETARY FUNDS:</b>								
Enterprise.....	4,438,375,782	2,908,548,000	2,638,184,467	-	-	2,255,579,070	2,453,160,245	2,258,956,385
Internal Service.....	980,386,204	1,357,311,400	736,332,584	(380,953)	-	676,665,108	924,318,959	677,076,413
<b>TOTAL PROPRIETARY FUNDS.....</b>	<b>5,418,761,986</b>	<b>4,265,859,400</b>	<b>3,374,517,051</b>	<b>(380,953)</b>	<b>-</b>	<b>2,932,244,178</b>	<b>3,377,479,204</b>	<b>2,936,032,798</b>
<b>FIDUCIARY FUNDS:</b>								
Private Purpose Trust.....	1,606,779	1,836,000	1,602,376	-	-	371,002	1,469,401	371,002
Pension Trust.....	12,601,949	137,451,000	8,228,267	-	-	132,033,339	9,791,343	132,033,339
Agency.....	2,070,524,583	3,366,180,900	639,984,303	-	-	2,671,963,446	2,124,757,734	2,671,959,354
<b>TOTAL FIDUCIARY FUNDS.....</b>	<b>2,084,733,311</b>	<b>3,505,467,900</b>	<b>649,814,946</b>	<b>-</b>	<b>-</b>	<b>2,804,367,787</b>	<b>2,136,018,478</b>	<b>2,804,363,695</b>
<b>GRAND TOTAL - ALL FUNDS.....</b>	<b>\$ 419,797,047,703</b>	<b>\$ 296,200,876,005</b>	<b>\$ 135,811,774,391</b>	<b>\$ -</b>	<b>\$ 532,803,121</b>	<b>\$ 183,145,171,455</b>	<b>\$ 397,573,780,983</b>	<b>\$ 183,534,951,676</b>

(\*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(\*\*) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK  
 ALL FUNDS BY BUSINESS UNIT  
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)  
 BUDGET PERIOD ENDED MARCH 31, 2020

SCHEDULE 9

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2019	ADD: APPROPRIATIONS BY 2019 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2019 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2019-20 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2020	BUDGET PERIOD 2019-20 DISBURSEMENTS
<b>GENERAL:</b>								
Addiction Services and Supports, Office of.....	\$ 187,845,276	\$ 548,680,000	\$ 151,791,166	\$ 5,172,500	\$ -	\$ 447,988,815	\$ 141,917,795	\$ 447,991,421
Adirondack Park Agency.....	400,804	4,684,000	258,949	34,501	-	4,443,694	416,662	4,443,694
Aging, State Office for the.....	62,676,084	147,117,500	13,733,005	30,502,527	-	165,049,926	61,513,180	165,049,926
Agriculture and Markets, Department of.....	51,180,900	74,631,000	2,373,505	6,453,731	-	70,718,397	59,173,729	70,721,464
Alcoholic Beverage Control, Division of.....	2,148,389	13,313,000	1,744,632	-	-	10,620,327	3,096,430	10,610,701
Arts, Council on the.....	17,777,925	45,274,000	210,874	-	-	48,267,633	14,573,418	48,264,233
Budget, Division of the.....	7,170,052	28,788,000	6,577,744	-	-	24,545,213	4,835,095	24,545,213
Children and Family Services, Office of.....	1,174,691,761	2,077,829,350	354,598,341	138,760,346	-	1,321,329,411	1,715,353,697	1,321,328,817
City University of New York.....	522,567,088	1,618,287,800	(1,161,270)	9,204,116	-	936,846,700	1,214,373,574	936,846,700
Civil Service, Department of.....	201,722	17,840,000	505,031	-	-	15,181,146	2,355,545	15,181,146
Correction, State Commission of.....	1,074,485	2,955,000	437,972	602,800	-	3,147,412	1,046,901	3,147,803
Corrections and Community Supervision, Department of.....	180,540,475	2,655,475,000	67,549,048	268,196,100	-	2,872,152,901	164,509,626	2,873,960,780
Criminal Justice Services, Division of.....	159,288,116	194,163,000	12,461,950	31,599,711	-	180,754,241	191,834,636	180,752,664
Economic Development, Department of.....	176,253,378	74,546,000	7,746,291	(5,456,000)	-	50,396,636	192,200,251	50,746,264
Education, State Department of.....	2,045,565,443	26,208,440,850	(37,159,450)	(288,511,333)	-	25,667,339,195	2,335,315,215	25,667,359,690
Elections, Board of.....	8,140,903	18,559,000	1,281,903	-	-	9,991,375	15,428,625	9,991,375
Employee Relations, Office of.....	71,948,531	45,152,000	1,758,024	(4,463,499)	14,297,200.00	39,307,825	85,868,383	39,309,202
Environmental Conservation, Department of.....	61,766,590	130,698,000	23,725,217	396,182	-	112,488,873	56,646,682	112,491,288
Executive Chamber.....	5,774,815	17,854,000	5,312,906	-	-	13,237,817	5,078,092	13,238,714
Financial Services, Department of.....	82,000	-	-	-	-	-	82,000	-
Franchise Oversight Board.....	1,639,964	-	-	-	-	-	1,639,964	-
General Services, Office of.....	17,841,287	125,086,000	16,516,969	349,345	-	102,016,378	24,743,285	101,989,623
Governor's Judicial Screening Committee.....	30,908	38,000	5,668	-	-	5,668	33,276	5,668
Greenway Heritage Conservancy.....	-	166,000	-	-	-	166,000	-	166,000
Health, Department of.....	51,940,602,321	45,628,499,571	23,676,495,690	(364,340,926)	-	18,726,679,946	54,801,585,330	18,561,004,758
Higher Education Services Corporation.....	812,708,724	1,158,073,000	305,585,135	1,500,000	-	1,109,560,120	557,136,469	1,109,560,120
Homeland Security and Emergency Services, Division of.....	1,234,537,731	154,900,000	25,316,706	179,000	-	6,551,832	1,357,748,193	6,551,832
Housing and Community Renewal, Division of.....	70,485,405	43,483,000	6,822,103	615,143	-	36,639,433	71,122,012	36,639,433
Hudson River Valley Greenways.....	948,087	321,000	81,936	-	-	240,433	946,718	240,433
Human Rights, Division of.....	2,408,322	12,135,000	1,901,749	-	-	11,048,252	1,593,321	11,048,252
Information Technology Services, Office of.....	124,791,765	582,707,000	40,300,994	363,215	-	538,580,981	128,616,790	538,626,171
Inspector General, Office of the State.....	470,742	6,944,000	363,215	464,000	-	6,380,675	1,134,852	6,380,675
Interest on Lawyer Account (IOLA).....	3,463,001	15,000,000	326,126	-	-	14,349,375	3,787,500	14,349,375
Joint Commission on Public Ethics.....	492,415	5,582,000	318,625	-	-	5,217,389	538,401	5,217,389
Judicial Conduct.....	414,583	6,026,000	201,782	-	-	5,744,231	494,570	5,743,640
Judicial Nomination.....	30,000	30,000	30,000	-	-	-	30,000	-
Justice Center for the Protection of People with Special Needs.....	5,057,165	45,116,000	3,628,080	1,504,300	-	41,571,200	6,478,185	41,577,059
Labor, Department of.....	35,831,060	13,302,000	456,827	50,000	-	25,729,650	22,996,583	25,757,351
Law, Department of.....	1,520,625	109,689,000	898,524	1,398,021	-	110,144,983	1,564,139	110,037,899
Legislative Bill Drafting Commission.....	12,045,894	13,941,116	-	-	-	14,657,853	11,329,157	14,657,853
Legislature - Assembly.....	55,159,795	122,399,172	-	-	-	118,501,646	59,057,321	118,513,464
Legislature - Senate.....	61,185,251	103,798,552	-	-	-	94,045,963	70,937,840	94,045,963
Lieutenant Governor.....	111,636	924,303	162,296	-	-	813,084	60,559	813,084
Medicaid Inspector General, Office of.....	2,347,463	19,426,000	1,749,278	-	-	17,983,665	2,040,520	17,983,665
Mental Health, Office of.....	1,787,403,895	3,773,905,500	1,698,384,540	25,991,132	-	2,732,135,803	1,156,780,184	2,733,035,444
Military and Naval Affairs, Division of.....	11,861,458	26,354,000	3,346,104	-	-	20,950,373	13,918,981	20,950,373
Miscellaneous State Agencies and Public Authorities.....	7,515,796,873	11,832,433,613	6,458,897,981	1,065,288,875	522,505,921	7,394,292,149	7,082,835,152	7,371,331,549
Motor Vehicles, Department of.....	1,946,109	12,617,000	1,616,717	-	-	10,561,571	2,384,821	10,561,571
National and Community Service.....	1,084,508	768,300	49,648	81,087	-	654,381	1,229,866	654,381
NYS Gaming Commission.....	2,145,391	6,431,000	1,973,583	-	-	4,522,233	2,080,575	4,522,233
Parks, Recreation and Historic Preservation, Office of.....	48,924,053	131,061,000	25,393,159	85,000	-	107,737,819	46,939,075	107,737,819
People With Developmental Disabilities, Office for.....	4,665,566,954	4,726,877,000	2,724,494,153	133,000	-	3,085,682,041	3,582,400,760	3,085,633,136
Prevention of Domestic Violence, Office for the.....	2,243,658	3,102,000	201,146	(9)	-	2,984,119	2,160,384	2,984,119
Public Benefit Corporations.....	681,666,065	528,392,849	394,665,408	1,405,000	-	162,224,441	654,574,065	162,224,441
Public Employment Relations Board.....	318,576	3,672,000	214,128	-	-	3,380,313	396,135	3,380,313
State Comptroller, Office of the.....	4,769,497	169,442,000	33,396	857,000	-	169,421,529	5,613,572	169,420,822
State Police, Division of.....	76,476,307	679,655,000	40,595,431	62,035,000	-	696,256,505	81,314,371	696,257,527
State University of New York.....	46,245,978	2,247,230,000	(2,953,214)	(1,734,383,247)	-	503,508,734	58,537,211	503,508,734
State, Department of.....	38,067,700	29,986,000	1,585,234	5,452,335	-	25,118,114	46,802,687	25,118,114
Statewide Financial System.....	1,036,257	30,506,000	-	-	-	30,506,000	1,036,257	30,506,000
Tax Appeals, Division of.....	302,791	3,040,000	171,993	-	-	2,870,188	300,610	2,870,188

(\*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(\*\*) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK  
 ALL FUNDS BY BUSINESS UNIT  
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)  
 BUDGET PERIOD ENDED MARCH 31, 2020

SCHEDULE 9  
 (continued)

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2019	ADD: APPROPRIATIONS BY 2019 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2019 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2019-20 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2020	BUDGET PERIOD 2019-20 DISBURSEMENTS
<b>GENERAL (continued):</b>								
Taxation and Finance, Department of.....	38,249,261	271,942,000	40,822,920	-	-	230,241,823	39,126,518	230,277,857
Temporary and Disability Assistance, Office of.....	501,672,307	1,579,182,000	118,210,028	523,436,833	-	1,792,832,025	693,249,087	1,792,835,504
Transportation, Department of.....	57,674,512	490,622,800	1,150,013	1,426,093	-	445,398,314	103,175,078	445,398,314
Unified Court System.....	103,121,496	2,847,171,981	9,341,013	28,925,617	-	2,862,140,438	107,737,643	2,858,064,972
Veterans' Services, Division of.....	12,263,376	18,726,000	1,608,815	167,500	-	14,266,707	15,281,354	14,442,538
Victim Services, Office of.....	303,159	-	-	2,409,789	(2,307,789)	37,000	368,159	37,000
Welfare Inspector General, Office of.....	18,477	1,162,000	19,173	(464,000)	-	639,756	57,548	639,756
<b>TOTAL GENERAL.....</b>	<b>74,720,377,539</b>	<b>111,506,154,257</b>	<b>36,209,753,206</b>	<b>(182,942,430)</b>	<b>534,495,332</b>	<b>73,278,798,878</b>	<b>77,089,532,614</b>	<b>73,089,283,486</b>
<b>SPECIAL REVENUE - FEDERAL:</b>								
Addiction Services and Supports, Office of.....	70,008,876	152,170,000	40,146,567	-	-	94,740,899	87,291,410	94,740,899
Adirondack Park Agency.....	1,902,273	-	-	-	-	-	1,902,273	-
Aging, State Office for the.....	208,287,799	124,739,000	35,682,914	(2,145,566)	-	118,163,255	177,035,064	118,162,153
Agriculture and Markets, Department of.....	150,443,623	50,922,000	50,922,000	1,229,424	-	17,732,148	164,856,664	17,732,148
Arts, Council on the.....	12,346,366	1,513,000	8,036,991	-	-	783,610	5,038,765	783,610
Children and Family Services, Office of.....	3,497,178,196	1,616,411,000	209,925,851	39,207,280	-	1,251,042,636	3,691,827,989	1,251,068,894
City University of New York.....	2,283,846	-	216,201	947,794	-	1,626,880	1,388,759	1,626,880
Corrections and Community Supervision, Department of.....	201,174,120	40,500,000	112,782,945	2,791,767	-	4,057,224	127,625,718	4,056,443
Criminal Justice Services, Division of.....	247,784,747	51,350,000	31,237,761	3,761,377	-	22,268,463	249,389,880	22,268,463
Developmental Disabilities Planning Council.....	9,369,971	4,750,000	641,329	-	-	4,634,351	8,844,291	4,634,351
Economic Development, Department of.....	21,083,840	14,000,000	800	(778,223)	-	7,619,766	26,685,051	7,619,766
Education, State Department of.....	8,456,972,327	4,953,265,000	188,480,965	(9,094,492)	-	3,746,833,045	9,465,828,825	3,746,639,519
Elections, Board of.....	52,207,531	-	-	-	-	9,183,265	43,024,266	9,183,265
Environmental Conservation, Department of.....	303,513,197	81,198,000	23,649,901	(482,571)	-	56,054,507	304,524,218	56,054,084
Financial Services, Department of.....	1,463,226	1,400,000	1,249,159	-	-	-	1,614,067	-
General Services, Office of.....	18,164,711	14,230,000	1,679,770	-	-	11,589,738	19,125,203	11,589,738
Health, Department of.....	206,579,755,477	101,740,524,000	80,607,659,919	(497,931,075)	-	49,235,793,375	177,978,895,108	49,235,468,657
Higher Education Services Corporation.....	6,736,051	3,500,000	1,790,950	(116,449)	-	3,995,248	4,333,404	3,995,248
Homeland Security and Emergency Services, Division of.....	10,412,462,057	1,253,774,000	1,018,653,529	(35,166,626)	-	1,328,786,114	9,283,629,788	1,328,790,714
Housing and Community Renewal, Division of.....	178,103,997	88,808,000	-	40,098,654	-	72,648,536	234,362,115	72,648,536
Human Rights, Division of.....	9,337,535	6,018,000	2,822,760	-	-	4,384,496	8,148,279	4,384,496
Information Technology Services, Office of.....	2,528,812	500,000	364,498	868,014	-	1,280,933	2,251,395	1,280,933
Inspector General, Office of the State.....	400,000	-	400,000	-	-	-	-	-
Justice Center for the Protection of People with Special Needs.....	6,285,229	2,047,000	1,742,010	1,189,594	-	2,324,337	5,455,776	2,324,337
Labor, Department of.....	1,173,197,219	741,350,000	149,182,952	287,737	-	498,158,047	1,267,493,957	498,168,509
Lake George Park Commission.....	27,498	-	-	-	-	-	27,498	-
Law, Department of.....	13,679,574	42,144,000	1,745	-	-	38,162,594	17,659,235	38,191,628
Medicaid Inspector General, Office of.....	26,615,462	30,695,000	8,129,216	-	-	27,804,626	21,276,720	27,804,626
Mental Health, Office of.....	30,533,553	58,934,000	9,699,025	32,775,999	-	76,037,755	36,506,772	76,037,755
Military and Naval Affairs, Division of.....	47,659,647	42,780,000	25,267,621	24,499,421	-	46,267,555	43,403,892	46,271,200
Miscellaneous State Agencies and Public Authorities.....	7,502,159,188	-	-	(20,700,000)	-	-	7,481,459,188	-
Motor Vehicles, Department of.....	117,023,092	42,693,000	-	(11,059,871)	-	20,944,309	127,711,912	20,944,309
National and Community Service.....	124,391,707	30,005,000	3,283,742	-	-	11,315,704	139,797,261	11,315,704
Parks, Recreation and Historic Preservation, Office of.....	43,421,419	10,453,000	2,306,254	272,119	-	7,507,882	44,332,402	7,507,882
People With Developmental Disabilities, Office for.....	5,130,901	751,000	514,192	326,000	-	(7,643,137)	13,336,846	(7,643,137)
Prevention of Domestic Violence, Office for the.....	3,271,058	1,600,000	3,102,294	(110,161)	-	58,604	1,599,999	58,604
Public Benefit Corporations.....	14,701,229	-	-	19,053,275	-	17,533,731	16,220,773	17,533,731
Public Service, Department of.....	5,154,830	5,500,000	2,418,472	3,999,101	-	2,772,586	9,462,873	2,772,586
State Police, Division of.....	146,965,603	16,838,000	60,864,666	12,956,570	-	28,488,258	87,407,249	28,488,258
State University of New York.....	611,048,164	442,600,000	130,753,002	10,127,319	-	351,860,393	581,162,088	351,860,393
State, Department of.....	105,494,597	79,001,000	22,426,957	-	-	60,131,203	101,937,437	60,131,203
Taxation and Finance, Department of.....	4,923,205	-	-	-	-	148,490	4,774,715	148,490
Temporary and Disability Assistance, Office of.....	2,195,866,125	4,150,504,000	29,284,224	340,700,283	-	4,141,771,930	2,516,014,254	4,141,767,845
Transportation, Department of.....	540,478,376	123,730,000	1,842,623	50,500	-	596,050,228	66,365,525	596,050,228
Unified Court System.....	21,746,826	19,000,000	2,696,513	646,564	-	8,596,832	30,100,045	8,597,351
Veterans' Services, Division of.....	5,720,094	2,525,000	1,747,292	-	-	1,058,178	5,439,624	1,058,178
Victim Services, Office of.....	146,308,799	125,954,000	22,998,368	(377,690)	-	77,649,386	171,237,355	77,649,386
Workers' Compensation Board.....	1,110,910	-	-	-	-	-	1,110,910	-
Welfare Inspector General, Office of.....	200,000	-	200,000	-	-	-	-	-
<b>TOTAL SPECIAL REVENUE - FEDERAL.....</b>	<b>243,336,623,183</b>	<b>116,168,576,000</b>	<b>82,783,890,233</b>	<b>(42,174,432)</b>	<b>-</b>	<b>61,470,532,977</b>	<b>215,208,601,541</b>	<b>61,470,082,860</b>

(\*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

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STATE OF NEW YORK  
 ALL FUNDS BY BUSINESS UNIT  
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)  
 BUDGET PERIOD ENDED MARCH 31, 2020

SCHEDULE 9  
 (continued)

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2019	ADD: APPROPRIATIONS BY 2019 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2019 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2019-20 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2020	BUDGET PERIOD 2019-20 DISBURSEMENTS
<b>SPECIAL REVENUE - STATE:</b>								
Addiction Services and Supports, Office of.....	25,139,579	17,643,000	1,190,446.00	89,909	-	9,920,426	31,761,616	9,920,426
Adirondack Park Agency.....	1,500,000	-	-	-	-	-	1,500,000	-
Aging, State Office for the.....	2,709,822	1,230,000	2,210,089	475,000	-	474,998	1,729,735	474,998
Agriculture and Markets, Department of.....	36,944,088	21,784,000	516,225.00	-	-	6,441,624	51,770,239	6,441,624
Arts, Council on the.....	1,176,000	196,000	1,176,000.00	-	-	-	196,000	-
Budget, Division of the.....	15,773,607	19,283,000	15,494,600.00	-	-	5,027,464	14,534,543	5,027,464
Children and Family Services, Office of.....	205,624,471	59,896,000	32,581,543.00	-	-	23,733,606	209,205,322	23,733,606
City University of New York.....	96,902,467	154,400,000	63,234,637.00	-	-	100,480,140	87,587,690	100,417,966
Civil Service, Department of.....	1,568,408	1,140,000	1,558,983.00	-	-	307,889	841,536	307,889
Corrections and Community Supervision, Department of.....	32,749,058	33,855,000	32,470,539.00	30,969	-	1,504,426	32,660,062	1,504,426
Criminal Justice Services, Division of.....	48,328,370	49,855,000	12,597,422.00	5,095,789	-	29,468,402	61,213,335	29,468,402
Economic Development, Department of.....	3,889,280	4,460,000	2,219,753.00	-	-	1,437,398	4,692,129	1,353,890
Education, State Department of.....	767,800,652	6,072,095,000	114,015,098.00	(6,080,366)	-	6,157,097,579	562,702,609	6,157,380,411
Elections, Board of.....	13,237,546	3,000,000	5,456,685.00	-	-	269,893	10,510,968	269,893
Employee Relations, Office of.....	345,373	1,031,000	344,150.00	-	-	851,926	180,297	851,926
Environmental Conservation, Department of.....	207,839,872	248,572,000	168,368,383.00	(2,438,000)	-	159,393,838	126,211,651	159,887,134
Financial Control Board for New York City.....	337,731	3,497,000	340,944.00	-	-	2,559,169	934,618	2,559,169
Financial Services, Department of.....	62,880,055	436,430,963	56,149,046.00	40,060,414	-	421,097,255	62,125,131	421,095,725
General Services, Office of.....	23,560,719	30,168,000	21,137,717.00	-	-	15,867,628	16,723,374	15,867,628
Health, Department of.....	13,215,176,862	12,675,934,000	5,794,967,200.00	(87,098,967)	-	7,136,604,036	12,872,440,659	7,136,914,735
Higher Education Services Corporation.....	22,460,229	59,168,000	14,694,974.00	-	-	38,703,796	28,229,459	38,709,276
Homeland Security and Emergency Services, Office of.....	440,760,256	127,233,000	12,524,228.00	32,117,586	-	92,262,993	495,323,621	92,262,917
Housing and Community Renewal, Division of.....	139,694,674	95,647,000	32,242,958.00	(450,000)	-	60,767,515	141,881,201	60,767,515
Indigent Legal Services, Office of.....	275,140,713	210,900,000	1,247,541.00	-	-	90,264,961	394,528,211	90,264,961
Information Technology Services, Office of.....	30,000,000	30,000,000	30,000,000.00	-	-	-	30,000,000	-
Inspector General, Office of the State.....	300,000	300,000	300,000.00	494,000	-	494,000	300,000	494,000
Interest on Lawyer Account (IOLA).....	26,046,384	47,039,000	22,250,756.00	1,900	-	21,504,489	29,332,039	21,504,489
Justice Center for the Protection of People with Special Needs.....	7,625,378	10,359,000	7,512,874.00	45,057	-	2,068,167	8,448,394	2,068,167
Labor, Department of.....	28,518,566	74,472,000	249,432.00	631,000	-	68,621,783	34,750,351	68,631,373
Lake George Park Commission.....	715,808	2,052,000	279,933.00	-	-	1,556,672	931,203	1,556,672
Law, Department of.....	12,065,226	93,094,000	10,529,309.00	7,962,603	-	95,694,336	6,898,184	95,699,015
Legislative Bill Drafting Commission.....	1,514,050	-	-	1,835,500	-	1,178,700	1,835,500	1,178,700
Legislature - Assembly.....	400,000	300,000	300,000.00	-	-	-	400,000	-
Legislature - Senate.....	6,475,579	1,800,000	300,000.00	(1,500,000)	-	-	6,475,579	-
Mental Health, Office of.....	22,519,745	25,262,000	22,355,898.00	-	-	2,799,920	22,625,927	2,799,920
Military and Naval Affairs, Division of.....	9,827,311	13,277,000	9,833,969.00	-	-	5,160,341	8,110,001	5,160,341
Miscellaneous State Agencies and Public Authorities.....	608,744,108	-	600,665,323.00	-	-	-	8,078,785	-
Motor Vehicles, Department of.....	12,660,622	67,750,000	10,872,894.00	-	-	62,125,828	7,411,900	62,125,828
NYS Gaming Commission.....	223,139,486	402,083,000	198,579,325.00	-	-	160,088,096	266,555,065	160,087,799
NYS Racing and Wagering Board.....	-	-	-	-	-	-	-	-
Oil Spill Fund (ENCON).....	1	-	1	-	-	-	-	-
Parks, Recreation and Historic Preservation, Office of.....	45,815,137	95,585,000	22,262,185.00	-	-	65,000,251	54,137,701	64,999,521
People With Developmental Disabilities, Office for.....	703,707	651,000	606,017.00	-	-	75,645	673,045	75,645
Prevention of Domestic Violence, Office for the.....	84,999	41,000	41,000.00	9	-	44,009	40,999	44,009
Public Benefit Corporations.....	944,387,412	970,093,000	944,243,682.00	150,000	-	186,956	970,199,774	186,956
Public Employment Relations Board.....	356,044	384,000	349,917.00	-	-	20,420	369,707	20,420
Public Service, Department of.....	25,585,895	99,182,000	18,224,719.00	(17,000)	-	78,186,822	28,339,354	78,186,822
State Comptroller, Office of the.....	7,584,852	22,841,000	6,521,689.00	(1,500,000)	-	16,503,521	5,900,642	16,503,521
State Police, Division of.....	36,929,147	132,639,000	33,099,752.00	92,781	-	104,168,982	32,392,194	104,168,982
State University of New York.....	3,006,678,199	7,518,483,100	692,984,720.00	154,789,889	-	6,771,854,688	3,215,111,780	6,768,204,159
State, Department of.....	15,423,632	52,598,000	8,635,195.00	9,836,155	-	49,607,057	19,615,535	49,609,467

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STATE OF NEW YORK  
 ALL FUNDS BY BUSINESS UNIT  
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)  
 BUDGET PERIOD ENDED MARCH 31, 2020

SCHEDULE 9  
 (continued)

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2019	ADD: APPROPRIATIONS BY 2019 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2019 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2019-20 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2020	BUDGET PERIOD 2019-20 DISBURSEMENTS
<b>SPECIAL REVENUE - STATE (continued):</b>								
Taxation and Finance, Department of.....	109,010,027	121,977,000	107,037,132.00	-	-	96,720,310	27,229,585	96,720,310
Temporary and Disability Assistance, Office of.....	21,672,154	22,900,000	19,900,000.00	1,870,000	-	2,822,246	23,719,908	2,822,246
Transportation, Department of.....	194,469,424	3,490,127,500	63,327,432.00	-	-	3,389,473,454	231,796,038	3,389,473,454
Unified Court System.....	97,219,145	261,314,885	5,245,972.00	(6,711,317)	-	264,003,717	82,573,024	263,865,061
Veterans' Services, Division of.....	77,833	-	-	-	-	-	77,833	-
Victim Services, Office of.....	105,156,279	45,844,000	11,267,468.00	(5,264,596)	2,307,789	28,057,546	108,718,458	28,057,546
Welfare Inspector General, Office of.....	150,000	150,000	150,000.00	-	-	-	150,000	-
Workers' Compensation Board.....	9,802,720	196,439,000	2,364,392.00	-	-	193,059,025	10,818,303	193,030,134
<b>TOTAL SPECIAL REVENUE - STATE.....</b>	<b>21,253,198,702</b>	<b>34,126,455,448</b>	<b>9,237,030,147</b>	<b>144,182,815</b>	<b>2,307,789</b>	<b>25,835,613,943</b>	<b>20,453,500,664</b>	<b>25,832,756,538</b>
<b>DEBT SERVICE:</b>								
Miscellaneous State Agencies and Public Authorities.....	1,220,537,358	8,322,850,000	1,792,053,751	-	-	4,102,346,979	3,648,986,628	4,673,997,176
<b>TOTAL DEBT SERVICE.....</b>	<b>1,220,537,358</b>	<b>8,322,850,000</b>	<b>1,792,053,751</b>	<b>-</b>	<b>-</b>	<b>4,102,346,979</b>	<b>3,648,986,628</b>	<b>4,673,997,176</b>
<b>CAPITAL PROJECTS - FEDERAL:</b>								
Environmental Conservation, Department of.....	758,997,349	185,000,000	15,102,694	19,398,900	-	211,799,963	736,493,592	211,799,963
General Services, Office of.....	1,348,797	-	-	-	-	-	1,348,797	-
Health, Department of.....	231,624,607	77,000,000	-	-	-	47,233,003	261,391,604	47,232,950
Homeland Security and Emergency Services, Office of.....	199,738,050	-	-	-	-	-	199,738,050	-
Housing and Community Renewal, Division of.....	10,100,498	-	-	-	-	-	10,100,498	-
Military and Naval Affairs, Division of.....	158,498,178	33,000,000	147,425	-	-	35,292,182	156,058,571	35,292,182
Miscellaneous State Agencies and Public Authorities.....	100,000,000	-	-	-	-	-	100,000,000	-
Parks, Recreation and Historic Preservation, Office of.....	27,980,187	20,000,000	-	1,301,100	-	10,827,378	38,453,909	10,827,378
State University of New York.....	1	-	1	-	-	-	-	-
Transportation, Department of.....	7,907,809,849	2,526,000,000	-	-	-	1,858,310,071	8,575,499,778	1,858,310,071
<b>TOTAL CAPITAL PROJECTS - FEDERAL.....</b>	<b>9,396,097,516</b>	<b>2,841,000,000</b>	<b>15,250,120</b>	<b>20,700,000</b>	<b>-</b>	<b>2,163,462,597</b>	<b>10,079,084,799</b>	<b>2,163,462,544</b>
<b>CAPITAL PROJECTS - STATE:</b>								
Addiction Services and Supports, Office of.....	531,021,694	90,000,000	1,187,724	3,377,357	-	32,869,057	590,342,270	32,869,057
Adirondack Park Agency.....	4,417	-	-	95,588	-	5	100,000	5
Aging, State Office for the.....	1,000,000	-	-	-	-	-	1,000,000	-
Agriculture and Markets, Department of.....	329,152,487	19,415,000	5,000,781	50,142,265	-	68,672,773	325,036,198	68,672,773
Arts, Council on the.....	25,016,756	-	-	-	-	5,080,829	19,935,927	5,080,829
Children and Family Services, Office of.....	395,603,409	43,453,000	1,174	-	-	22,729,369	416,325,866	22,729,369
City University Construction Fund.....	6,546,111,319	389,498,000	2	-	-	36,491,250	6,899,118,067	36,875,503
Corrections and Community Supervision (Corcraft), Department of.....	5,188,480	3,000,000	-	1,462,185	-	6,726,295	6,726,295	1,462,185
Corrections and Community Supervision, Department of.....	505,255,723	494,000,000	356,264	8,672,500	-	410,902,040	596,669,919	410,950,384
Criminal Justice Services, Division of.....	5,761,762	-	-	-	-	4,333,501	1,428,261	4,333,501
Disaster Aid.....	-	-	-	-	-	-	-	-
Economic Development, Department of.....	9,347,547	-	-	-	-	218,692	9,128,855	218,692
Education, State Department of.....	1,986,178,540	141,200,000	44	(4,750,000)	-	176,481,473	1,946,147,023	176,484,022
Elections, Board of.....	197,742	14,700,000	-	630,000	-	3,397,773	12,129,969	3,397,773
Environmental Conservation, Department of.....	4,553,900,225	1,092,250,000	39,334,455	(725,847,396)	-	543,589,470	4,337,378,904	543,589,619
General Services, Office of.....	625,445,066	204,000,000	1	19,400,080	-	177,569,277	671,275,868	176,880,648
Greenway Heritage Conservancy.....	10,000,000	-	-	35,604,920	-	18,500,000	27,104,920	18,500,000
Health, Department of.....	3,349,761,605	125,904,000	23,588,500	585,450,304	-	577,671,396	3,459,856,013	577,631,819
Higher Education Services Corporation.....	-	-	-	10,000,000	-	-	10,000,000	-
Homeland Security and Emergency Services, Division of.....	467,000,002	33,000,000	-	32,167,957	-	88,333,831	443,834,128	88,333,831
Housing and Community Renewal, Division of.....	2,847,652,581	294,200,000	-	21,387,683	-	364,443,307	2,798,796,957	364,443,307
Hudson River Valley Greenways.....	79,564,517	-	-	(62,346,455)	-	-	17,218,062	-
Information Technology Services, Office of.....	133,056,164	95,700,000	-	(21,131,952)	-	72,266,249	135,357,963	72,266,249
Inspector General, Office of the State.....	4,536	-	-	575,000	-	540,598	38,938	540,598
Labor, Department of.....	230,000	-	-	2,000,000	-	-	2,230,000	-
Lake George Park Commission.....	818,653	-	-	650,000	-	413,678	1,054,975	413,678

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STATE OF NEW YORK  
 ALL FUNDS BY BUSINESS UNIT  
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)  
 BUDGET PERIOD ENDED MARCH 31, 2020

SCHEDULE 9  
 (continued)

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2019	ADD: APPROPRIATIONS BY 2019 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2019 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2019-20 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2020	BUDGET PERIOD 2019-20 DISBURSEMENTS
<b>CAPITAL PROJECTS - STATE (continued):</b>								
Law, Department of.....	7,309,583	2,000,000	-	4,535,458	-	5,041,445	8,803,596	5,041,445
Mental Health, Office of.....	2,750,614,928	534,472,000	118	22,234,525	-	285,168,717	3,022,152,618	285,078,258
Military and Naval Affairs, Division of.....	66,223,146	42,200,000	1,176,447	56,125,000	-	90,101,738	73,269,961	90,101,775
Miscellaneous State Agencies and Public Authorities.....	6,874,893,911	1,078,500,000	80,468,284	(845,369,711)	-	453,812,246	6,573,743,677	453,812,246
Motor Vehicles, Department of.....	20,955,609	267,525,000	-	13,000,000	-	262,774,645	38,705,964	262,774,525
National and Community Service.....	179,386	-	-	(179,386)	-	-	-	-
Oil Spill Fund (ENCON).....	10,617,902	21,200,000	-	-	-	19,690,916	12,126,986	19,690,916
Parks, Recreation and Historic Preservation, Office of.....	598,348,078	202,700,000	165,111	91,633,288	-	208,676,191	683,840,064	215,571,818
People With Developmental Disabilities, Office for.....	617,146,755	99,400,000	-	950,000	-	102,189,965	615,306,790	102,179,487
Public Benefit Corporations.....	15,038,577,648	3,038,231,000	11,283,731	1,466,306,729	-	2,497,242,139	17,034,589,507	2,497,242,139
State Comptroller, Office of the.....	6,325,439	-	-	5,995,000	-	4,238,542	7,681,897	4,238,542
State Police, Division of.....	145,011,017	127,500,000	65,477,899	-	-	49,320,079	157,713,039	49,320,079
State University of New York Construction Fund.....	3,400,129,750	889,098,000	-	(223,804,565)	-	721,447,908	3,343,975,277	719,858,961
State University of New York.....	1,619,404,608	37,060,000	5,141,912	(684,757,634)	-	284,117,082	682,447,980	284,107,232
State, Department of.....	319,296,696	102,000,000	1	132,385,379	-	30,016,764	523,665,310	32,180,376
Temporary and Disability Assistance, Office of.....	196,270,705	64,000,000	170	13,373,660	-	74,587,548	199,056,647	74,587,548
Transportation, Department of.....	6,654,420,341	2,892,632,000	16,277,984	52,509,406	(44,000,000)	2,837,162,399	6,702,121,364	2,837,311,948
Unified Court System.....	65,413,300	25,675,000	4,335	-	-	21,341,980	69,741,985	21,294,383
Workers' Compensation Board.....	68,306,074	-	-	-	-	4,907,059	63,399,015	4,907,059
<b>TOTAL CAPITAL PROJECTS - STATE.....</b>	<b>60,866,718,108</b>	<b>12,464,513,000</b>	<b>249,464,937</b>	<b>60,615,000</b>	<b>(44,000,000)</b>	<b>10,557,804,116</b>	<b>62,540,577,055</b>	<b>10,564,972,579</b>
<b>SPECIAL EMERGENCY:</b>								
Miscellaneous State Agencies and Public Authorities.....	1,500,000,000	3,000,000,000	1,500,000,000	-	40,000,000	-	3,040,000,000	-
<b>TOTAL SPECIAL EMERGENCY.....</b>	<b>1,500,000,000</b>	<b>3,000,000,000</b>	<b>1,500,000,000</b>	<b>-</b>	<b>40,000,000</b>	<b>-</b>	<b>3,040,000,000</b>	<b>-</b>
<b>TOTAL GOVERNMENTAL FUNDS.....</b>	<b>\$ 412,293,552,406</b>	<b>\$ 288,429,548,705</b>	<b>\$ 131,787,442,394</b>	<b>\$ 380,953</b>	<b>\$ 532,803,121</b>	<b>\$ 177,408,559,490</b>	<b>\$ 392,060,283,301</b>	<b>\$ 177,794,555,183</b>
<b>ENTERPRISE:</b>								
Aging, State Office for the.....	200,000	100,000	200,000	-	-	-	100,000	-
Agriculture and Markets, Department of.....	20,790,908	21,261,000	-	-	-	13,808,647	28,243,261	13,808,647
Children and Family Services, Office of.....	1,249,808	515,000	448,414	-	-	18,161	1,298,233	18,161
Correctional Services, Department of (Corcraft).....	159,778	742,000	116,893	-	-	371,184	413,701	371,184
Corrections and Community Supervision, Department of.....	4,619,041	47,701,000	1,407,924	-	-	43,909,643	7,002,474	43,739,303
Developmental Disabilities Planning Council.....	10,000	10,000	10,000	-	-	121	9,879	121
General Services, Office of.....	809,421	2,662,000	702,828	-	-	1,669,530	1,099,063	1,669,530
Information Technology Services, Office of.....	4,000,000	4,000,000	4,000,000	-	-	-	4,000,000	-
Justice Center for the Protection of People with Special Needs.....	500,000	500,000	500,000	-	-	-	500,000	-
Labor, Department of.....	4,397,059,293	2,797,794,000	2,622,033,550	-	-	2,179,183,336	2,393,636,407	2,182,722,856
Mental Health, Office of.....	7,447,231	8,606,000	7,353,458	-	-	1,326,516	7,373,257	1,334,651
Parks, Recreation and Historic Preservation, Office of.....	-	22,000,000	-	-	-	14,012,521	7,987,479	14,012,521
People With Developmental Disabilities, Office for.....	1,530,302	2,657,000	1,411,400	-	-	1,279,411	1,496,491	1,279,411
<b>TOTAL ENTERPRISE.....</b>	<b>4,438,375,782</b>	<b>2,908,548,000</b>	<b>2,638,184,467</b>	<b>-</b>	<b>-</b>	<b>2,255,579,070</b>	<b>2,453,160,245</b>	<b>2,258,956,385</b>
<b>INTERNAL SERVICE:</b>								
Budget, Division of the.....	198,594	1,650,000	198,594	-	-	1,470,705	179,295	1,470,705
Children and Family Services, Office of.....	9,338,753	22,162,000	9,011,353	-	-	12,135,401	10,353,999	12,126,867
Civil Service, Department of.....	14,619,008	39,761,000	13,765,425	-	-	23,587,238	17,027,345	23,587,238
Correctional Services, Department of (Corcraft).....	4,849,813	74,895,000	2,103,462	-	-	62,885,871	14,755,480	63,035,917
Corrections and Community Supervision, Department of.....	44,915,427	9,000,000	30,691,602	-	-	13,490,569	9,733,256	13,490,569
Education, State Department of.....	13,191,153	33,663,000	12,320,446	-	-	20,595,250	13,938,547	21,024,648
Employee Relations, Office of.....	324,776	1,947,000	255,337	-	-	1,062,253	954,186	1,062,253
Environmental Conservation, Department of.....	95,000	95,000	95,000	-	-	-	95,000	-
General Services, Office of.....	497,124,019	856,457,000	466,815,931	-	-	394,863,036	491,902,052	394,706,287
Information Technology Services, Office of.....	294,026,428	151,636,000	121,354,084	-	-	55,193,920	269,114,424	55,191,064
Labor, Department of.....	4,638,713	4,260,000	2,344,056	-	-	2,111,088	4,443,569	2,111,088

(\*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(\*\*) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK  
 ALL FUNDS BY BUSINESS UNIT  
 STATEMENT OF APPROPRIATION TRANSACTIONS (IN FORCE)  
 BUDGET PERIOD ENDED MARCH 31, 2020

SCHEDULE 9  
 (continued)

	BALANCE OF APPROPRIATIONS IN FORCE APRIL 1, 2019	ADD: APPROPRIATIONS BY 2019 LEGISLATURE	LESS: APPROPRIATIONS LAPSED	AUTHORIZED BUDGET TRANSFERS (*)	OTHER 2019 LEGISLATIVE ACTIONS (**)	BUDGET PERIOD 2019-20 EXPENDITURES	BALANCE OF APPROPRIATIONS IN FORCE MARCH 31, 2020	BUDGET PERIOD 2019-20 DISBURSEMENTS
<b>INTERNAL SERVICE (continued):</b>								
Law, Department of.....	5,697,784	16,700,000	4,460,587	(1,023,953)	-	15,919,357	993,887	15,919,357
Mental Health, Office of.....	1,795,623	2,597,000	1,772,227	-	-	814,432	1,805,964	814,432
Motor Vehicles, Department of.....	3,723,296	5,300,000	3,640,792	-	-	1,231,734	4,150,770	1,231,734
People With Developmental Disabilities, Office for.....	348,001	348,000	348,000	-	-	-	348,001	-
Prevention of Domestic Violence, Office for the.....	459,337	904,000	451,844	-	-	360,584	550,909	360,584
State Comptroller, Office of the.....	9,686,828	36,994,000	8,385,406	643,000	-	31,154,376	7,784,046	31,154,376
State University of New York.....	8,229,421	24,300,000	2,674,791	-	-	22,910,743	6,943,887	22,910,743
Taxation and Finance, Department of.....	67,124,230	74,642,400	55,643,647	-	-	16,878,551	69,244,432	16,878,551
<b>TOTAL INTERNAL SERVICE.....</b>	<b>980,386,204</b>	<b>1,357,311,400</b>	<b>736,332,584</b>	<b>(380,953)</b>	<b>-</b>	<b>676,665,108</b>	<b>924,318,959</b>	<b>677,076,413</b>
<b>TOTAL PROPRIETARY FUNDS.....</b>	<b>\$ 5,418,761,986</b>	<b>\$ 4,265,859,400</b>	<b>\$ 3,374,517,051</b>	<b>\$ (380,953)</b>	<b>\$ -</b>	<b>\$ 2,932,244,178</b>	<b>\$ 3,377,479,204</b>	<b>\$ 2,936,032,798</b>
<b>PRIVATE PURPOSE TRUST:</b>								
Agriculture and Markets, Department of.....	1,606,779	1,836,000	1,602,376	-	-	371,002	1,469,401	371,002
<b>TOTAL PRIVATE PURPOSE TRUST.....</b>	<b>1,606,779</b>	<b>1,836,000</b>	<b>1,602,376</b>	<b>-</b>	<b>-</b>	<b>371,002</b>	<b>1,469,401</b>	<b>371,002</b>
<b>PENSION TRUST:</b>								
State Comptroller, Office of the.....	12,601,949	137,451,000	8,228,267	-	-	132,033,339	9,791,343	132,033,339
<b>TOTAL PENSION TRUST.....</b>	<b>12,601,949</b>	<b>137,451,000</b>	<b>8,228,267</b>	<b>-</b>	<b>-</b>	<b>132,033,339</b>	<b>9,791,343</b>	<b>132,033,339</b>
<b>AGENCY:</b>								
City University of New York.....	794,728,886	2,607,930,900	70,385,702	-	-	2,575,716,930	756,557,154	2,575,712,838
General Services, Office of.....	750,000	750,000	750,000	-	-	-	750,000	-
Housing and Community Renewal, Division of.....	172,521,675	-	-	(30,860,000)	-	8,562,377	133,099,298	8,562,377
Law, Department of.....	13,668,980	-	-	15,000,000	-	20,005,180	8,663,800	20,005,180
Mental Health, Office of.....	-	-	-	10,000,000	-	-	10,000,000	-
Miscellaneous State Agencies and Public Authorities.....	561,559,688	697,500,000	561,559,692	-	-	53,044,783	644,455,213	53,044,783
Public Benefit Corporations.....	579,967	-	-	5,860,000	-	3,930,000	2,509,967	3,930,000
Temporary and Disability Assistance, Office of.....	11,503,221	10,000,000	7,288,909	-	-	2,825,537	11,388,775	2,825,537
Transportation, Department of.....	515,212,166	50,000,000	-	-	-	7,878,639	557,333,527	7,878,639
<b>TOTAL AGENCY.....</b>	<b>2,070,524,583</b>	<b>3,366,180,900</b>	<b>639,984,303</b>	<b>-</b>	<b>-</b>	<b>2,671,963,446</b>	<b>2,124,757,734</b>	<b>2,671,959,354</b>
<b>TOTAL FIDUCIARY FUNDS.....</b>	<b>\$ 2,084,733,311</b>	<b>\$ 3,505,467,900</b>	<b>\$ 649,814,946</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 2,804,367,787</b>	<b>\$ 2,136,018,478</b>	<b>\$ 2,804,363,695</b>
<b>GRAND TOTAL - ALL FUNDS.....</b>	<b>\$ 419,797,047,703</b>	<b>\$ 296,200,876,005</b>	<b>\$ 135,811,774,391</b>	<b>\$ -</b>	<b>\$ 532,803,121</b>	<b>\$ 183,145,171,455</b>	<b>\$ 397,573,780,983</b>	<b>\$ 183,534,951,676</b>

(\*) Authorized budget transfers represent those movements of appropriation authority provided in appropriation acts between different funds/agencies.

(\*\*) Other legislative actions represent additional appropriations enacted outside of the Executive budget process (i.e., deficiency and supplemental appropriation bills) and increases or reductions by the Legislature to a prior year appropriation.

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND AND BUSINESS UNIT  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 10

	<u>BALANCE APRIL 1, 2019</u>	<u>ADVANCES</u>	<u>REIMBURSEMENTS</u>	<u>BALANCE MARCH 31, 2020</u>
<b>GENERAL:</b>				
Public Benefit Corporations.....	\$ 11,726	\$ -	\$ 50	\$ 11,676
<b>Total General.....</b>	<b>11,726</b>	<b>-</b>	<b>50</b>	<b>11,676</b>
<b>SPECIAL REVENUE:</b>				
NYS Gaming Commission.....	9,000	-	-	9,000
<b>Total Special Revenue.....</b>	<b>9,000</b>	<b>-</b>	<b>-</b>	<b>9,000</b>
<b>CAPITAL PROJECTS:</b>				
Environmental Conservation .....	17,749	2,880	1	20,628
Office of General Services.....	923	158	1,081	-
Transportation.....	23,382	-	-	23,382
Public Benefit Corporations .....	94,291	-	50	94,241
<b>Total Capital Projects.....</b>	<b>136,345</b>	<b>3,038</b>	<b>1,132</b>	<b>138,251</b>
<b>TOTAL GOVERNMENTAL FUNDS .....</b>	<b>\$ 157,071</b>	<b>\$ 3,038</b>	<b>\$ 1,182</b>	<b>\$ 158,927</b>

STATE OF NEW YORK  
GENERAL FUND

SCHEDULE 11

SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND, BUSINESS UNIT AND DEPARTMENT  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

	<u>BALANCE APRIL 1, 2019</u>	<u>ADVANCES</u>	<u>REIMBURSEMENTS</u>	<u>BALANCE MARCH 31, 2020</u>
<b>LOCAL ASSISTANCE ACCOUNT:</b>				
PUBLIC BENEFIT CORPORATIONS:				
Development Authority of the North Country:				
Expansion of U.S. Army Fort Drum.....	\$ 791	\$ -	\$ -	\$ 791
Urban Development Corporation:				
New York Racing Association - Economic Development.....	8,400	-	-	8,400
Regional Economic Development Program.....	1,150	-	-	1,150
<b>TOTAL LOCAL ASSISTANCE ACCOUNT.....</b>	<b><u>10,341</u></b>	<b><u>-</u></b>	<b><u>-</u></b>	<b><u>10,341</u></b>
<b>STATE OPERATIONS ACCOUNT:</b>				
PUBLIC BENEFIT CORPORATIONS:				
Development Authority of the North Country:				
Expansion of U.S. Army Fort Drum.....	223	-	50	173
Urban Development Corporation:				
High Risk Targeted Investment Program.....	1,162	-	-	1,162
<b>TOTAL STATE OPERATIONS ACCOUNT.....</b>	<b><u>1,385</u></b>	<b><u>-</u></b>	<b><u>50</u></b>	<b><u>1,335</u></b>
<b>TOTAL GENERAL FUND.....</b>	<b><u>\$ 11,726</u></b>	<b><u>\$ -</u></b>	<b><u>\$ 50</u></b>	<b><u>\$ 11,676</u></b>

STATE OF NEW YORK  
 SPECIAL REVENUE FUNDS  
 SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND, BUSINESS UNIT AND DEPARTMENT  
 FISCAL YEAR ENDED MARCH 31, 2020  
 (amounts in thousands)

SCHEDULE 12

	<u>BALANCE</u> <u>APRIL 1, 2019</u>	<u>ADVANCES</u>	<u>REIMBURSEMENTS</u>	<u>BALANCE</u> <u>MARCH 31, 2020</u>
<b>STATE LOTTERY FUND:</b>				
NYS GAMING COMMISSION:				
New York Racing Association.....	\$ 9,000	\$ -	\$ -	\$ 9,000
<b>TOTAL STATE LOTTERY FUND.....</b>	<b>9,000</b>	<b>-</b>	<b>-</b>	<b>9,000</b>
<b>TOTAL SPECIAL REVENUE FUNDS.....</b>	<b>\$ 9,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 9,000</b>

STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS

SCHEDULE 13

SUMMARY OF APPROPRIATED LOANS RECEIVABLE TRANSACTIONS BY FUND, BUSINESS UNIT AND DEPARTMENT  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

	<u>BALANCE APRIL 1, 2019</u>	<u>ADVANCES</u>	<u>REIMBURSEMENTS</u>	<u>BALANCE MARCH 31, 2020</u>
<b>STATE CAPITAL PROJECTS FUND:</b>				
ENVIRONMENTAL CONSERVATION:				
Municipal Share of Flood Control Projects.....	\$ 17,749	\$ 2,880	\$ 1	\$ 20,628
OFFICE OF GENERAL SERVICES:				
Real Property Maintenance and Improvements.....	923	158	1,081	-
TRANSPORTATION:				
Railroad Crossing Improvement Projects - Municipal Share.....	1,116	-	-	1,116
South Bronx Oak Point Link Project - New York.....	630	-	-	630
PUBLIC BENEFIT CORPORATIONS:				
Niagara Frontier Transportation Authority:				
Construction/Rehabilitation of Buffalo International Airport.....	3,380	-	-	3,380
Ogdensburg Bridge and Port Authority:				
Construction and Development of Port Facilities.....	18,630	-	-	18,630
Port Authority of New York and New Jersey - South Bronx Oak Point Link.....	3,230	-	-	3,230
Port of Oswego Authority - Construction and Development of Port Facilities.....	3,556	-	50	3,506
Urban Development Corporation:				
Construction of Binghamton Multi - Use Stadium.....	1,400	-	-	1,400
Construction of Rochester Convention Center.....	39,433	-	-	39,433
Economic Development Program.....	348	-	-	348
High Risk Targeted Investment Program.....	11,234	-	-	11,234
Minority and Women Business Assistance.....	3,010	-	-	3,010
South Street Seaport Development Project.....	1,929	-	-	1,929
Strategic Resurgence .....	1,146	-	-	1,146
Urban Community Development.....	6,995	-	-	6,995
<b>TOTAL STATE CAPITAL PROJECTS FUND .....</b>	<b><u>114,709</u></b>	<b><u>3,038</u></b>	<b><u>1,132</u></b>	<b><u>116,615</u></b>
<b>DEDICATED HIGHWAY AND BRIDGE TRUST FUND:</b>				
TRANSPORTATION:				
Industrial Access Program.....	21,636	-	-	21,636
<b>TOTAL DEDICATED HIGHWAY AND BRIDGE TRUST FUND.....</b>	<b><u>21,636</u></b>	<b><u>-</u></b>	<b><u>-</u></b>	<b><u>21,636</u></b>
<b>TOTAL CAPITAL PROJECTS FUNDS .....</b>	<b><u>\$ 136,345</u></b>	<b><u>\$ 3,038</u></b>	<b><u>\$ 1,132</u></b>	<b><u>\$ 138,251</u></b>

STATE OF NEW YORK  
STATEMENT OF STATE GENERAL OBLIGATION DEBT ACTIVITY  
FISCAL YEAR ENDED MARCH 31, 2020

SCHEDULE 14

PURPOSE	PRINCIPAL					INTEREST	
	OUTSTANDING APRIL 1, 2019	ISSUED		REDEEMED	OUTSTANDING MARCH 31, 2020	MARCH 31, 2020	MARCH 31, 2019
		REFUNDING (*)	ISSUANCE				
<b>Accelerated Capacity and Transportation</b>							
Improvements of the Nineties (ACTION) .....	\$ 16,747,925	\$ 155,155	\$ -	\$ 5,457,617	\$ 11,445,463	\$ 631,951	\$ 1,252,387
<b>Clean Water/Clean Air:</b>							
Air Quality .....	2,465,600	(12,617)	-	657,629	1,795,354	70,903	97,831
Safe Drinking Water .....	-	-	-	-	-	-	-
Clean Water .....	321,372,381	2,863,627	-	25,640,517	298,595,491	12,026,942	13,838,350
Solid Waste .....	22,144,792	33,541	-	5,890,743	16,287,590	893,036	1,033,435
Environmental Restoration .....	46,724,919	(27,114)	-	6,627,358	40,070,447	1,785,718	2,346,493
<b>Energy Conservation Through Improved Transportation (**):</b>							
Rapid Transit and Rail Freight .....	1,352,815	-	-	154,061	1,198,754	56,567	65,213
<b>Environmental Quality (1972):</b>							
Air .....	6,247	-	-	3,063	3,184	250	4,357
Land and Wetlands .....	5,870,169	(795)	-	929,513	4,939,861	275,481	147,027
Water .....	10,826,301	109,856	-	4,565,354	6,370,803	341,697	524,207
<b>Environmental Quality (1986):</b>							
Land Acquisition/Development/Restoration/Forests .....	6,831,632	9,798	-	1,531,885	5,309,545	287,074	341,330
Solid Waste Management .....	107,613,085	287,704	-	15,908,042	91,992,747	4,175,595	5,011,331
<b>Housing (*):</b>							
Low Income .....	8,500,000	-	-	2,660,000	5,840,000	342,600	302,800
Middle Income .....	6,225,000	-	-	2,190,000	4,035,000	200,855	275,781
<b>Park and Recreation Land Acquisition:</b>							
Park and Recreation Land Acquisition .....	-	-	-	-	-	-	-
<b>Pure Waters:</b>							
Pure Waters .....	17,772,576	367,188	-	2,641,435	15,498,329	634,869	807,568
<b>Rail Preservation:</b>							
Rail Preservation .....	-	-	-	-	-	-	-
<b>Rebuild and Renew New York Transportation:</b>							
Highway Facilities .....	641,322,676	6,303,945	-	46,968,395	600,658,226	24,252,002	30,971,323
Canals and Waterways .....	11,884,363	-	-	2,464,683	9,419,680	483,265	610,476
Aviation .....	42,044,726	1,372,382	-	2,327,660	41,089,448	1,408,597	1,915,715
Rail and Port .....	94,745,141	3,009,919	-	4,930,815	92,824,245	3,125,938	3,914,118
Mass Transit - Dept. of Transportation .....	13,915,297	(39,774)	-	1,706,789	12,168,734	625,325	229,763
Mass Transit - Metropolitan Transportation Authority .....	721,891,399	11,348,989	-	28,077,077	705,163,311	26,877,030	33,753,945
<b>Rebuild New York - Transportation Infrastructure Renewal:</b>							
Highways, Parkways and Bridges .....	741,418	(1,804)	-	185,622	553,992	34,427	44,303
Rapid Transit, Rail and Aviation .....	2,597,617	-	-	555,054	2,042,563	112,617	146,600
<b>Smart Schools Bond Act:</b>							
Smart Schools Bond Act .....	179,020,225	-	-	17,713,092	161,307,133	8,701,207	4,557,673
<b>Transportation Capital Facilities (**):</b>							
Aviation .....	3,018,695	-	-	928,596	2,090,099	116,514	140,553
Mass Transportation .....	-	-	-	-	-	-	-
<b>TOTAL GENERAL OBLIGATION DEBT:</b>	<b>\$ 2,285,634,999</b>	<b>\$ 25,780,000</b>	<b>\$ -</b>	<b>\$ 180,715,000</b>	<b>\$ 2,130,699,999</b>	<b>\$ 87,460,460</b>	<b>\$ 102,332,579</b>

(\*) Includes the net effect of the October 2019 refunding transaction of \$914,300,000, which was used to refund \$888,520,000 of previously issued general obligation bonds.

(\*\*) Schedule omits general obligation purposes for which no debt was outstanding at March 31 and no debt service was paid during fiscal years 2010-11 through 2019-20.



STATE OF NEW YORK  
GENERAL OBLIGATION DEBT  
AUTHORIZED, ISSUED AND OUTSTANDING  
AS OF MARCH 31, 2020

SCHEDULE 15

PURPOSE	YEAR OF FIRST ISSUANCE	YEAR OF LAST MATURITY	BONDS AUTHORIZED	BONDS AND NOTES ISSUED (*)	AUTHORIZED BUT UNISSUED	TOTAL DEBT OUTSTANDING
<b>Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)</b> .....	1989	2031	\$ 3,000,000,000	\$ 2,979,768,596	\$ 20,231,404	\$ 11,445,463
<b>Clean Water/Clean Air:</b>						
Air Quality.....	1997	2028	230,000,000	202,062,819	27,937,181	1,795,354
Safe Drinking Water.....	1998	2015	355,000,000	355,000,000	-	-
Clean Water.....	1997	2045	790,000,000	733,130,138 (****)	56,869,862	298,595,491
Solid Waste.....	1999	2040	175,000,000	175,000,000 (****)	-	16,287,590
Environmental Restoration.....	1998	2035	200,000,000	178,175,424	21,824,576	40,070,447
<b>Energy Conservation Through Improved Transportation (****):</b>						
Rapid Transit and Rail Freight.....	1981	2033	400,000,000	400,000,000	-	1,198,754
<b>Environmental Quality (1972):</b>						
Air.....	1977	2021	150,000,000	137,646,893 (****)	12,353,107	3,184
Land and Wetlands.....	1976	2029	350,000,000	347,134,293	2,865,707	4,939,861
Water.....	1976	2043	650,000,000	647,667,912	2,332,088	6,370,803
<b>Environmental Quality (1986):</b>						
Land Acquisition/Development/Restoration/Forests.....	1989	2035	250,000,000	249,091,572	908,428	5,309,545
Solid Waste Management.....	1989	2033	1,200,000,000	1,160,508,692	39,491,308	91,992,747
<b>Housing (****):</b>						
Low Income.....	1941	2024	960,000,000	952,072,000	7,928,000	5,840,000
Middle Income.....	1957	2023	150,000,000	149,500,000	500,000	4,035,000
<b>Park and Recreation Land Acquisition</b> .....	1959	2018	100,000,000	99,228,000	772,000	-
<b>Pure Waters</b> .....	1968	2043	1,000,000,000	980,075,995	19,924,005	15,498,329
<b>Rail Preservation</b> .....	1977	2017	250,000,000	250,000,000	-	-
<b>Rebuild and Renew New York Transportation:</b>						
Transportation Purposes (excluding MTA):						
Highway Facilities.....	2006	2042	-	1,142,172,938 (**)	-	600,658,226
Canals and Waterways.....	2009	2028	-	33,862,391 (**)	-	9,419,680
Aviation.....	2009	2043	-	66,380,007 (**)	-	41,089,448
Rail and Port.....	2007	2045	-	125,809,671 (**)	-	92,824,245
Mass Transit - Department of Transportation.....	2008	2028	-	32,734,153 (**)	-	12,168,734
Total Transportation Purposes (excluding MTA).....			1,450,000,000 (**)	1,400,959,160 (**)	49,040,840 (**)	756,160,333
Mass Transit - Metropolitan Transportation Authority.....	2006	2043	1,450,000,000	1,064,151,648	385,848,352	705,163,311
<b>Rebuild New York - Transportation Infrastructure Renewal:</b>						
Highways, Parkways and Bridges.....	1984	2025	1,064,000,000 (****)	1,043,500,569	20,499,431	553,992
Ports, Canals and Waterways.....	1984	2013	49,360,000 (****)	49,360,000	-	-
Rapid Transit, Rail and Aviation.....	1984	2027	136,640,000 (****)	136,640,000	-	2,042,563
<b>Smart Schools Bond Act</b> .....	2018	2028	2,000,000,000	217,366,581	1,782,633,419	161,307,133
<b>Transportation Capital Facilities (****):</b>						
Aviation.....	1971	2027	250,000,000	250,000,000	-	2,090,099
Mass Transportation.....	1970	2017	1,000,000,000	1,000,000,000	-	-
<b>TOTAL GENERAL OBLIGATION DEBT</b> .....			<b>\$ 17,610,000,000</b>	<b>\$ 15,158,040,293</b>	<b>\$ 2,451,959,707</b>	<b>\$ 2,130,699,999</b>

(\*) For the purpose of calculating bonds issued, par values of the bonds sold are adjusted for any premium/discount that was applied at the time of issuance.

(\*\*) Legislation authorizing the issuance of Rebuild and Renew New York Transportation Bonds only specified the total amount of bonds authorized to be issued for transportation purposes (excluding MTA). As bonds are issued, this report will categorize the issuance under its designated purpose.

(\*\*\*\*) Authorizations have been adjusted to reflect reallocations made by Chapter 54 of the Laws of 1990.

(\*\*\*\*\*) Bond proceeds from Series 2003D were reallocated from EQ72-Air to Clean Water/Clean Air - Water and Solid Waste purposes.

(\*\*\*\*\*) Schedule omits general obligation purposes for which no debt was outstanding at March 31 and no debt service was paid during fiscal years 2010-11 through 2019-20.

STATE OF NEW YORK  
GENERAL OBLIGATION DEBT  
PRINCIPAL AND INTEREST PAYMENTS  
FISCAL YEARS 2010-11 THROUGH 2019-20

SCHEDULE 16

PURPOSE	YEAR OF FIRST ISSUANCE	YEAR OF LAST MATURITY	March 31, 2011		March 31, 2012		March 31, 2013	
			PRINCIPAL	INTEREST	PRINCIPAL	INTEREST	PRINCIPAL	INTEREST
<b>Accelerated Capacity and Transportation</b>								
Improvements of the Nineties (ACTION).....	1989	2031	\$ 92,979,557	\$ 24,007,853	\$ 88,086,857	\$ 18,490,218	\$ 80,150,520	\$ 15,514,645
<b>Clean Water/Clean Air:</b>								
Air Quality.....	1997	2028	14,508,714	2,525,816	14,736,251	2,047,370	12,643,037	1,592,780
Safe Drinking Water.....	1998	2015	20,904,914	1,780,093	15,195,455	982,335	9,109,125	436,578
Clean Water.....	1997	2045	27,515,255	17,843,809	25,584,706	17,694,260	27,069,670	17,361,198
Solid Waste.....	1999	2040	13,092,695	2,245,038	11,780,361	1,919,064	11,039,456	1,629,009
Environmental Restoration.....	1998	2035	8,886,205	3,577,987	10,127,430	3,683,712	11,350,173	4,010,003
<b>Energy Conservation Through Improved Transportation (*):</b>								
Rapid Transit and Rail Freight.....	1981	2033	4,757,324	942,002	3,703,162	526,891	3,498,079	399,450
<b>Environmental Quality (1972):</b>								
Air.....	1977	2021	3,476,088	697,123	3,633,186	561,329	3,140,960	438,407
Land and Wetlands.....	1976	2029	8,197,214	1,669,435	7,121,195	1,236,074	7,015,543	977,624
Water.....	1976	2043	15,270,074	5,117,574	13,785,658	3,700,533	16,670,711	2,963,331
<b>Environmental Quality (1986):</b>								
Land Acquisition/Development/Restoration/Forests.....	1989	2035	6,108,064	1,618,094	6,038,641	1,289,450	6,927,201	1,089,955
Solid Waste Management.....	1989	2033	57,045,834	16,929,358	61,518,957	14,785,149	50,032,595	13,468,435
<b>Housing (*):</b>								
Low Income.....	1941	2024	7,619,253	1,412,401	7,149,472	1,141,010	5,935,000	967,650
Middle Income.....	1957	2023	5,123,000	1,875,053	5,344,000	751,158	4,415,000	685,251
<b>Park and Recreation Land Acquisition.....</b>	1959	2018	7,783	1,250	12,605	874	2,601	685
<b>Pure Waters.....</b>	1968	2043	9,013,047	3,691,330	9,585,460	2,912,892	10,178,930	2,518,976
<b>Rail Preservation.....</b>	1977	2017	4,024,814	514,339	2,885,412	264,874	2,043,406	138,739
<b>Rebuild and Renew New York Transportation:</b>								
Highway Facilities.....	2006	2042	27,569,401	21,218,377	34,756,665	28,962,644	39,875,281	33,531,325
Canals and Waterways.....	2009	2028	1,261,216	477,201	1,288,473	455,013	1,674,360	627,868
Aviation.....	2009	2043	1,431,412	1,276,818	1,982,907	1,846,232	2,038,342	1,875,106
Rail and Port.....	2007	2045	1,715,052	2,216,286	2,236,652	3,393,485	2,309,975	3,494,641
Mass Transit - Department of Transportation.....	2008	2028	1,098,450	425,206	1,491,192	579,552	1,524,394	561,064
Mass Transit - Metropolitan Transportation Authority.....	2006	2043	17,753,650	20,050,556	21,930,194	27,956,978	27,585,257	35,749,267
<b>Rebuild New York - Transportation Infrastructure Renewal:</b>								
Highways, Parkways and Bridges.....	1984	2025	941,679	157,461	677,982	131,769	715,024	113,449
Ports, Canals and Waterways.....	1984	2013	35,695	3,747	37,435	2,285	38,396	768
Rapid Transit, Rail and Aviation.....	1984	2027	2,657,637	958,247	2,387,899	753,898	3,333,691	631,031
<b>Smart Schools Bond Act.....</b>	2018	2028	-	-	-	-	-	-
<b>Transportation Capital Facilities (*):</b>								
Aviation.....	1971	2027	3,552,316	1,032,850	3,224,757	758,251	2,594,718	607,650
Mass Transportation.....	1970	2017	8,145,657	682,885	4,695,036	286,703	2,833,555	67,189
<b>TOTALS.....</b>			<b>\$ 364,692,000</b>	<b>\$ 134,948,189</b>	<b>\$ 360,998,000</b>	<b>\$ 137,114,003</b>	<b>\$ 345,745,000</b>	<b>\$ 141,452,074</b>

(\*) Schedule omits general obligation purposes for which no debt was outstanding at March 31 and no debt service was paid during fiscal years 2010-11 through 2019-20.

STATE OF NEW YORK  
GENERAL OBLIGATION DEBT  
PRINCIPAL AND INTEREST PAYMENTS  
FISCAL YEARS 2010-11 THROUGH 2019-20

SCHEDULE 16  
(continued)

PURPOSE	YEAR OF FIRST ISSUANCE	YEAR OF LAST MATURITY	March 31, 2014		March 31, 2015		March 31, 2016	
			PRINCIPAL	INTEREST	PRINCIPAL	INTEREST	PRINCIPAL	INTEREST
<b>Accelerated Capacity and Transportation</b>								
Improvements of the Nineties (ACTION).....	1989	2031	\$ 59,239,005	\$ 11,324,805	\$ 73,050,730	\$ 9,150,971	\$ 44,088,768	\$ 4,951,535
<b>Clean Water/Clean Air:</b>								
Air Quality.....	1997	2028	12,211,777	1,102,502	10,440,575	656,918	6,743,376	302,388
Safe Drinking Water.....	1998	2015	3,447,572	64,376	7,932	377	-	-
Clean Water.....	1997	2045	28,732,807	16,353,261	25,355,095	16,532,868	26,882,316	15,292,839
Solid Waste.....	1999	2040	9,416,589	1,263,579	5,417,017	1,063,746	5,684,198	777,868
Environmental Restoration.....	1998	2035	11,328,773	3,724,766	11,780,068	3,352,018	12,340,510	3,768,088
<b>Energy Conservation Through Improved Transportation (*):</b>								
Rapid Transit and Rail Freight.....	1981	2033	3,290,514	295,223	1,273,923	273,740	2,712,050	171,354
<b>Environmental Quality (1972):</b>								
Air.....	1977	2021	3,100,735	297,401	1,797,336	194,137	2,491,605	86,827
Land and Wetlands.....	1976	2029	6,559,377	702,250	3,437,109	489,754	3,357,287	408,774
Water.....	1976	2043	18,317,279	2,152,239	8,371,903	1,855,917	11,228,184	1,449,962
<b>Environmental Quality (1986):</b>								
Land Acquisition/Development/Restoration/Forests.....	1989	2035	4,431,460	825,329	3,046,876	736,483	4,547,720	538,920
Solid Waste Management.....	1989	2033	51,812,599	11,356,614	46,469,128	10,511,030	46,980,744	8,122,624
<b>Housing (*):</b>								
Low Income.....	1941	2024	4,765,000	822,050	3,770,000	698,100	3,770,000	584,100
Middle Income.....	1957	2023	4,720,000	643,526	4,740,000	578,230	3,310,000	503,900
<b>Park and Recreation Land Acquisition.....</b>	1959	2018	2,787	581	2,804	483	2,919	371
<b>Pure Waters.....</b>	1968	2043	10,965,252	1,993,405	6,159,061	1,814,867	7,447,408	1,423,839
<b>Rail Preservation.....</b>	1977	2017	1,533,034	80,838	396,834	45,693	449,723	26,289
<b>Rebuild and Renew New York Transportation:</b>								
Highway Facilities.....	2006	2042	46,821,599	38,098,806	48,236,187	36,785,952	53,663,190	38,055,126
Canals and Waterways.....	2009	2028	1,853,459	652,102	1,919,404	589,713	2,473,810	806,690
Aviation.....	2009	2043	2,425,104	2,364,454	2,511,284	2,308,409	2,619,079	2,214,033
Rail and Port.....	2007	2045	2,612,405	3,589,764	2,716,588	3,495,470	3,111,383	3,786,378
Mass Transit - Department of Transportation.....	2008	2028	1,595,202	502,433	1,652,617	433,575	1,723,730	362,697
Mass Transit - Metropolitan Transportation Authority.....	2006	2043	36,650,462	40,302,233	37,666,361	39,487,751	38,944,442	38,201,473
<b>Rebuild New York - Transportation Infrastructure Renewal:</b>								
Highways, Parkways and Bridges.....	1984	2025	799,745	142,524	830,180	115,657	381,335	84,019
Ports, Canals and Waterways.....	1984	2013	-	-	-	-	-	-
Rapid Transit, Rail and Aviation.....	1984	2027	2,970,463	417,897	1,588,953	398,640	2,073,634	283,010
<b>Smart Schools Bond Act.....</b>	2018	2028	-	-	-	-	-	-
<b>Transportation Capital Facilities (*):</b>								
Aviation.....	1971	2027	2,309,984	481,966	1,756,299	431,551	3,222,876	291,605
Mass Transportation.....	1970	2017	892,017	19,001	40,736	5,063	39,714	3,011
<b>TOTALS.....</b>			<b>\$ 332,805,000</b>	<b>\$ 139,573,925</b>	<b>\$ 304,435,000</b>	<b>\$ 132,007,113</b>	<b>\$ 290,290,000</b>	<b>\$ 122,497,719</b>

(\*) Schedule omits general obligation purposes for which no debt was outstanding at March 31 and no debt service was paid during fiscal years 2010-11 through 2019-20.

STATE OF NEW YORK  
GENERAL OBLIGATION DEBT  
PRINCIPAL AND INTEREST PAYMENTS  
FISCAL YEARS 2010-11 THROUGH 2019-20

SCHEDULE 16  
(continued)

PURPOSE	YEAR OF FIRST ISSUANCE	YEAR OF LAST MATURITY	March 31, 2017		March 31, 2018		March 31, 2019		March 31, 2020	
			PRINCIPAL	INTEREST	PRINCIPAL	INTEREST	PRINCIPAL	INTEREST	PRINCIPAL	INTEREST
<b>Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)</b>	1989	2031	\$ 44,215,664	\$ 4,219,269	\$ 30,464,862	\$ 2,377,655	\$ 15,527,176	\$ 1,252,387	\$ 5,457,617	\$ 631,951
<b>Clean Water/Clean Air:</b>										
Air Quality	1997	2028	1,195,274	127,794	472,507	72,010	651,849	97,831	657,629	70,903
Safe Drinking Water	1998	2015	-	-	-	-	-	-	-	-
Clean Water	1997	2045	28,512,699	15,234,692	26,962,570	14,963,790	25,706,644	13,838,350	25,640,517	12,026,942
Solid Waste	1999	2040	5,654,801	898,918	5,338,512	1,047,764	5,733,562	1,033,435	5,890,743	893,036
Environmental Restoration	1998	2035	12,168,464	3,275,982	10,767,101	2,784,306	10,580,460	2,346,493	6,627,358	1,785,718
<b>Energy Conservation Through Improved Transportation (*):</b>										
Rapid Transit and Rail Freight	1981	2033	726,809	98,451	229,318	75,186	264,788	65,213	154,061	56,567
<b>Environmental Quality (1972):</b>										
Air	1977	2021	162,781	20,530	162,864	12,449	162,960	4,357	3,063	250
Land and Wetlands	1976	2029	2,958,457	264,815	709,823	176,258	1,015,660	147,027	929,513	275,481
Water	1976	2043	8,162,461	1,028,548	5,880,496	729,351	4,821,685	524,207	4,565,354	341,697
<b>Environmental Quality (1986):</b>										
Land Acquisition/Development/Restoration/Forests	1989	2035	3,724,570	520,427	3,182,377	437,629	1,639,551	341,330	1,531,885	287,074
Solid Waste Management	1989	2033	37,584,068	7,532,613	27,787,939	6,120,141	14,079,416	5,011,331	15,908,042	4,175,595
<b>Housing (*):</b>										
Low Income	1941	2024	2,880,000	479,000	2,880,000	382,800	1,860,000	302,800	2,660,000	342,600
Middle Income	1957	2023	3,455,000	419,984	2,110,000	341,883	2,185,000	275,781	2,190,000	200,855
<b>Park and Recreation Land Acquisition</b>	1959	2018	3,113	254	3,238	129	-	-	-	-
<b>Pure Waters</b>	1968	2043	5,697,235	1,209,791	4,276,347	986,610	3,217,264	807,568	2,641,435	634,869
<b>Rail Preservation</b>	1977	2017	297,439	7,867	-	-	-	-	-	-
<b>Rebuild and Renew New York Transportation:</b>										
Highway Facilities	2006	2042	55,248,657	35,814,737	55,858,222	33,511,076	49,599,735	30,971,323	46,968,395	24,252,002
Canals and Waterways	2009	2028	2,579,356	703,896	2,692,443	591,155	3,310,967	610,476	2,464,683	483,265
Aviation	2009	2043	2,734,938	2,098,776	2,849,840	1,982,870	3,177,912	1,915,715	2,327,660	1,408,597
Rail and Port	2007	2045	3,257,340	3,644,105	3,414,705	3,485,942	5,674,448	3,914,118	4,930,815	3,125,938
Mass Transit - Department of Transportation	2008	2028	1,813,667	283,409	1,892,336	205,135	1,761,001	229,763	1,706,789	625,325
Mass Transit - Metropolitan Transportation Authority	2006	2043	38,675,678	36,743,919	40,070,170	35,343,116	37,449,646	33,753,945	28,077,077	26,877,030
<b>Rebuild New York - Transportation Infrastructure Renewal:</b>										
Highways, Parkways and Bridges	1984	2025	337,856	66,669	169,791	52,793	178,281	44,303	185,622	34,427
Ports, Canals and Waterways	1984	2013	-	-	-	-	-	-	-	-
Rapid Transit, Rail and Aviation	1984	2027	1,304,038	230,058	781,006	183,789	1,088,953	146,600	555,054	112,617
<b>Smart Schools Bond Act</b>	2018	2028	-	-	-	-	9,382,699	4,557,673	17,713,092	8,701,207
<b>Transportation Capital Facilities (*):</b>										
Aviation	1971	2027	1,455,965	215,415	628,533	170,406	720,343	140,553	928,596	116,514
Mass Transportation	1970	2017	38,670	996	-	-	-	-	-	-
<b>TOTALS</b>			<b>\$ 264,845,000</b>	<b>\$ 115,140,915</b>	<b>\$ 229,585,000</b>	<b>\$ 106,034,243</b>	<b>\$ 199,790,000</b>	<b>\$ 102,332,579</b>	<b>\$ 180,715,000</b>	<b>\$ 87,460,460</b>

(\*) Schedule omits general obligation purposes for which no debt was outstanding at March 31 and no debt service was paid during fiscal years 2010-11 through 2019-20.

STATE OF NEW YORK  
 FUTURE GENERAL OBLIGATION DEBT SERVICE  
 REQUIREMENTS PAYABLE FROM DEBT SERVICE FUNDS (\*)

SCHEDULE 17

PURPOSE	March 31, 2021			March 31, 2022			March 31, 2023		
	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL
<b>Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)</b> .....	\$ 1,453,289	\$ 347,904	\$ 1,801,193	\$ 1,531,170	\$ 295,727	\$ 1,826,897	\$ 1,537,312	\$ 240,537	\$ 1,777,849
<b>Clean Water/Clean Air (**):</b>									
Air Quality.....	473,909	51,874	525,783	202,567	40,083	242,650	173,110	34,596	207,706
Clean Water.....	25,653,557	10,523,338	36,176,895	24,068,217	9,515,619	33,583,836	22,896,126	8,553,901	31,450,027
Solid Waste.....	5,212,385	623,816	5,836,201	3,887,499	412,389	4,299,888	766,231	230,194	996,425
Environmental Restoration.....	4,751,755	1,481,173	6,232,928	3,841,145	1,293,290	5,134,435	2,599,382	1,132,866	3,732,248
<b>Energy Conservation Through Improved Transportation (**):</b>									
Rapid Transit and Rail Freight.....	176,298	48,908	225,206	263,712	38,507	302,219	171,238	27,634	198,872
<b>Environmental Quality (1972):</b>									
Air.....	3,184	128	3,312	-	-	-	-	-	-
Land and Wetlands.....	672,349	240,156	912,505	702,110	207,278	909,388	698,642	172,836	871,478
Water.....	861,629	234,123	1,095,752	1,870,621	173,690	2,044,311	805,303	114,594	919,897
<b>Environmental Quality (1986):</b>									
Land Acquisition/Development/Restoration/Forests.....	2,634,757	217,189	2,851,946	634,687	95,814	730,501	313,654	69,294	382,948
Solid Waste Management.....	15,421,247	3,288,828	18,710,075	14,755,837	2,656,263	17,412,100	13,948,275	2,051,355	15,999,630
<b>Housing (**):</b>									
Low Income.....	1,060,000	87,600	1,147,600	1,860,000	131,400	1,991,400	1,860,000	75,600	1,935,600
Middle Income.....	2,240,000	117,195	2,357,195	1,280,000	47,035	1,327,035	515,000	10,558	525,558
<b>Pure Waters</b> .....	2,129,827	527,330	2,657,157	1,123,650	462,043	1,585,693	1,132,240	412,687	1,544,927
<b>Rebuild and Renew New York Transportation:</b>									
Highway Facilities.....	46,347,847	20,823,845	67,171,692	47,876,804	19,211,519	67,088,323	46,638,563	17,512,581	64,151,144
Canals and Waterways.....	1,799,369	378,650	2,178,019	1,876,708	301,311	2,178,019	1,399,233	219,645	1,618,878
Aviation.....	1,878,003	1,287,901	3,165,904	1,374,665	1,236,858	2,611,523	1,417,229	1,193,635	2,610,864
Rail and Port.....	5,096,387	2,826,266	7,922,653	5,233,716	2,684,066	7,917,782	5,382,911	2,532,880	7,915,791
Mass Transit - Department of Transportation.....	1,792,336	573,235	2,365,571	1,311,168	503,250	1,814,418	1,374,289	440,129	1,814,418
Mass Transit - Metropolitan Transportation Authority.....	25,368,452	24,685,486	50,053,938	25,380,735	23,833,181	49,213,916	23,429,132	22,952,739	46,381,871
<b>Rebuild New York - Transportation Infrastructure Renewal (**):</b>									
Highways, Parkways and Bridges.....	196,324	25,242	221,566	180,373	16,202	196,575	171,074	7,183	178,257
Rapid Transit, Rail and Aviation.....	479,171	86,761	565,932	393,136	64,953	458,089	371,991	45,825	417,816
<b>Smart Schools Bond Act</b> .....	18,351,447	8,062,853	26,414,300	19,268,669	7,145,631	26,414,300	20,231,765	6,182,535	26,414,300
<b>Transportation Capital Facilities (**):</b>									
Aviation.....	441,478	90,412	531,890	837,811	60,380	898,191	312,300	31,627	343,927
<b>TOTAL FUTURE DEBT SERVICE REQUIREMENTS</b> .....	<b>\$ 164,495,000</b>	<b>\$ 76,630,213</b>	<b>\$ 241,125,213</b>	<b>\$ 159,755,000</b>	<b>\$ 70,426,489</b>	<b>\$ 230,181,489</b>	<b>\$ 148,145,000</b>	<b>\$ 64,245,431</b>	<b>\$ 212,390,431</b>

(\*) See Accompanying Footnotes

(\*\*) Schedule omits general obligation purposes for which there are no future debt service requirements.

STATE OF NEW YORK  
 FUTURE GENERAL OBLIGATION DEBT SERVICE  
 REQUIREMENTS PAYABLE FROM DEBT SERVICE FUNDS (\*)

SCHEDULE 17  
 (continued)

PURPOSE	March 31, 2024			March 31, 2025			THEREAFTER			TOTAL FUTURE DEBT SERVICE		
	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL
<b>Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)</b> .....	\$ 1,538,198	\$ 186,105	\$ 1,724,303	\$ 1,832,312	\$ 129,061	\$ 1,961,373	\$ 3,553,182	\$ 265,756	\$ 3,818,938	\$ 11,445,463	\$ 1,465,090	\$ 12,910,553
<b>Clean Water/Clean Air (**):</b>												
Air Quality.....	177,957	29,749	207,706	183,206	24,499	207,705	584,605	38,510	623,115	1,795,354	219,311	2,014,665
Clean Water.....	19,806,413	7,743,894	27,550,307	21,917,924	6,983,765	28,901,689	184,253,254	41,016,309	225,269,563	298,595,491	84,336,826	382,932,317
Solid Waste.....	850,069	201,448	1,051,517	877,931	173,405	1,051,336	4,693,475	516,404	5,209,879	16,287,590	2,157,656	18,445,246
Environmental Restoration.....	2,529,976	1,028,345	3,558,321	2,892,120	921,645	3,813,765	23,456,069	3,765,034	27,221,103	40,070,447	9,622,353	49,692,800
<b>Energy Conservation Through Improved Transportation (**):</b>												
Rapid Transit and Rail Freight.....	155,308	19,470	174,778	135,012	12,212	147,224	297,186	43,492	340,678	1,198,754	190,223	1,388,977
<b>Environmental Quality (1972):</b>												
Air.....	-	-	-	-	-	-	-	-	-	3,184	128	3,312
Land and Wetlands.....	662,368	139,599	801,967	698,501	107,111	805,612	1,505,891	147,981	1,653,872	4,939,861	1,014,961	5,954,822
Water.....	426,073	85,226	511,299	104,907	74,370	179,277	2,302,270	686,975	2,989,245	6,370,803	1,368,978	7,739,781
<b>Environmental Quality (1986):</b>												
Land Acquisition/Development/Restoration/Forests.....	258,514	58,575	317,089	290,133	48,744	338,877	1,177,800	177,756	1,355,556	5,309,545	667,372	5,976,917
Solid Waste Management.....	12,639,596	1,537,052	14,176,648	12,667,083	1,068,593	13,735,676	22,560,709	2,337,401	24,898,110	91,992,747	12,939,492	104,932,239
<b>Housing (**):</b>												
Low Income.....	1,060,000	31,800	1,091,800	-	-	-	-	-	-	5,840,000	326,400	6,166,400
Middle Income.....	-	-	-	-	-	-	-	-	-	4,035,000	174,788	4,209,788
<b>Pure Waters</b> .....	768,504	372,449	1,140,953	581,706	347,545	929,251	9,762,402	2,393,077	12,155,479	15,498,329	4,515,131	20,013,460
<b>Rebuild and Renew New York Transportation:</b>												
Highway Facilities.....	46,947,323	15,943,556	62,890,879	56,286,523	14,267,712	70,554,235	356,561,166	49,356,570	405,917,736	600,658,226	137,115,783	737,774,009
Canals and Waterways.....	1,249,027	163,363	1,412,390	1,300,277	112,113	1,412,390	1,795,066	118,250	1,913,316	9,419,680	1,293,332	10,713,012
Aviation.....	1,336,536	1,152,828	2,489,364	1,728,964	1,111,272	2,840,236	33,354,051	9,890,310	43,244,361	41,089,448	15,872,804	56,962,252
Rail and Port.....	5,539,947	2,375,439	7,915,386	6,581,517	2,204,984	8,786,501	64,989,767	15,577,813	80,567,580	92,824,245	28,201,448	121,025,693
Mass Transit - Department of Transportation.....	1,440,661	373,758	1,814,419	1,510,449	303,969	1,814,418	4,739,831	456,906	5,196,737	12,168,734	2,651,247	14,819,981
Mass Transit - Metropolitan Transportation Authority.....	16,388,108	22,156,638	38,544,746	28,891,736	21,527,542	50,419,278	585,705,148	193,135,285	778,840,433	705,163,311	308,290,871	1,013,454,182
<b>Rebuild New York - Transportation Infrastructure Renewal (**):</b>												
Highways, Parkways and Bridges.....	3,034	311	3,345	3,187	159	3,346	-	-	-	553,992	49,097	603,089
Rapid Transit, Rail and Aviation.....	383,448	26,939	410,387	144,269	13,747	158,016	270,548	7,843	278,391	2,042,563	246,068	2,288,631
<b>Smart Schools Bond Act</b> .....	21,243,029	5,171,270	26,414,299	22,304,871	4,109,429	26,414,300	59,907,352	5,412,847	65,320,199	161,307,133	36,084,565	197,391,698
<b>Transportation Capital Facilities (**):</b>												
Aviation.....	350,911	15,048	365,959	107,372	3,589	110,961	40,227	1,356	41,583	2,090,099	202,412	2,292,511
<b>TOTAL FUTURE DEBT SERVICE REQUIREMENTS</b> .....	<b>\$ 135,755,000</b>	<b>\$ 58,812,862</b>	<b>\$ 194,567,862</b>	<b>\$ 161,040,000</b>	<b>\$ 53,545,466</b>	<b>\$ 214,585,466</b>	<b>\$ 1,361,509,999</b>	<b>\$ 325,345,875</b>	<b>\$ 1,686,855,874</b>	<b>\$ 2,130,699,999</b>	<b>\$ 649,006,336</b>	<b>\$ 2,779,706,335</b>

(\*) See Accompanying Footnotes

(\*\*) Schedule omits general obligation purposes for which there are no future debt service requirements.

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
LEASE/PURCHASE AND OTHER FINANCING AGREEMENT DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020

SCHEDULE 18

	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	SALES TAX	COMBINED TOTALS	
	REDUCTION	DEBT	OF HEALTH	GOVERNMENT	HEALTH	BOND	REVENUE BOND	2019-20	2018-19
Special Contractual Financing Obligations:	RESERVE	SERVICE	INCOME	ASSISTANCE	SERVICES	TAX	TAX	FISCAL YEAR ENDED	
	(40000-40049)	(40151)	(40300-40349)	(40450-40499)	(40100-40149)	(40152)	(40154)	2019-20	2018-19
<b>Payments to Public Authorities:</b>									
City University Construction.....	\$ -	\$ 193,123,569	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 193,123,569	\$ 106,556,550
Dormitory Authority:									
Consolidated Service Contract Refunding.....	-	65,375,063	-	-	-	-	-	65,375,063	57,690,325
DASNY Revenue Bond.....	-	-	-	-	-	1,006,737,539	956,344,623	1,963,082,162	3,126,315,557
Department of Health Facilities.....	-	-	26,157,902	-	-	-	-	26,157,902	26,132,003
Mental Health Facilities.....	-	-	-	-	7,095,969	-	-	7,095,969	112,061,495
Secured Hospital Program.....	-	31,720,944	-	-	-	-	-	31,720,944	25,540,485
SUNY Community Colleges.....	-	5,928,700	-	-	-	-	-	5,928,700	4,586,528
SUNY Educational Facilities.....	-	103,616,675	-	-	-	-	-	103,616,675	89,305,250
Environmental Facilities Corporation.....	-	-	-	-	-	17,269,722	-	17,269,722	33,203,788
Housing Finance Agency.....	-	28,949,685	-	-	-	1,907,968	-	30,857,653	44,751,324
Local Government Assistance Corporation.....	-	-	-	300,784,859	-	-	-	300,784,859	423,548,180
Metropolitan Transportation Authority:									
Transit and Commuter Railroad Projects.....	-	-	-	-	-	-	-	-	35,457,621
New York State Thruway Authority:									
Dedicated Highway and Bridge.....	-	448,180,780	-	-	-	-	-	448,180,780	199,621,712
Local Highway and Bridge.....	-	21,772,000	-	-	-	-	-	21,772,000	73,484,650
Transportation.....	-	-	-	-	-	151,377,875	-	151,377,875	206,811,200
Urban Development Corporation:									
Clarkson University.....	-	448,350	-	-	-	-	-	448,350	1,023,950
Columbia University Telecommunications Center.....	-	-	-	-	-	-	-	-	2,777,000
Consolidated Service Contract Refunding.....	-	78,864,907	-	-	-	-	-	78,864,907	170,064,584
Cornell University Supercomputer Center.....	-	-	-	-	-	-	-	-	362,000
Correctional Facilities.....	-	9,781,500	-	-	-	-	-	9,781,500	20,607,865
Debt Reduction Reserve.....	-	-	-	-	-	-	-	-	-
UDC Revenue Bond.....	-	-	-	-	-	1,190,706,819	-	1,190,706,819	1,635,554,143
University Facilities Grant 95 Refunding.....	-	1,770,144	-	-	-	-	-	1,770,144	985,972
<b>TOTAL.....</b>	<b>\$ -</b>	<b>\$ 989,532,317</b>	<b>\$ 26,157,902</b>	<b>\$ 300,784,859</b>	<b>\$ 7,095,969</b>	<b>\$ 2,367,999,923</b>	<b>\$ 956,344,623</b>	<b>\$ 4,647,915,593</b>	<b>\$ 6,396,442,182</b>

STATE OF NEW YORK  
FUTURE LEASE/PURCHASE AND CONTRACTUAL  
OBLIGATION REQUIREMENTS (\*)  
PAYABLE FROM DEBT SERVICE FUNDS  
(amounts in thousands)

SCHEDULE 19

Lease/Purchase and Other Contractual Financing Obligations:	March 31, 2021			March 31, 2022			March 31, 2023			March 31, 2024		
	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL
<b>Dormitory Authority:</b>												
All purposes excluding SUNY and CUNY Senior Colleges.....	\$ 1,451,706	\$ 1,007,170	\$ 2,458,876	\$ 1,335,564	\$ 931,224	\$ 2,266,788	\$ 1,269,666	\$ 869,618	\$ 2,139,284	\$ 1,119,296	\$ 809,878	\$ 1,929,174
City University of New York.....	274,640	218,231	492,871	156,590	205,256	361,846	92,389	198,038	290,427	191,189	193,112	384,301
State University of New York.....	263,414	468,081	731,495	374,372	452,661	827,033	459,393	435,762	895,155	471,519	413,238	884,757
<b>Environmental Facilities Corporation .....</b>	16,405	861	17,266	-	-	-	-	-	-	-	-	-
<b>Housing Finance Agency .....</b>	20,280	718	20,998	1,900	48	1,948	-	-	-	-	-	-
<b>Local Government Assistance Corporation .....</b>	162,975	8,346	171,321	90,135	2,136	92,271	-	-	-	-	-	-
<b>Metropolitan Transportation Authority Service Contract Bonds .....</b>	-	-	-	-	-	-	-	-	-	-	-	-
<b>New York State Thruway Authority.....</b>	497,595	106,743	604,338	177,060	89,673	266,733	173,375	81,105	254,480	158,630	72,388	231,018
<b>Urban Development Corporation:</b>												
All purposes excluding SUNY and CUNY Senior Colleges.....	1,251,985	613,387	1,865,372	1,138,025	566,299	1,704,324	1,106,385	522,059	1,628,444	1,022,105	478,142	1,500,247
City University of New York.....	-	25	25	-	25	25	-	25	25	-	25	25
State University of New York.....	9,300	5,706	15,006	7,010	5,415	12,425	7,245	5,175	12,420	550	4,910	5,460
<b>TOTALS.....</b>	<b>\$ 3,948,300</b>	<b>\$ 2,429,268</b>	<b>\$ 6,377,568</b>	<b>\$ 3,280,656</b>	<b>\$ 2,252,737</b>	<b>\$ 5,533,393</b>	<b>\$ 3,108,453</b>	<b>\$ 2,111,782</b>	<b>\$ 5,220,235</b>	<b>\$ 2,963,289</b>	<b>\$ 1,971,693</b>	<b>\$ 4,934,982</b>

(\*) See Accompanying Footnotes

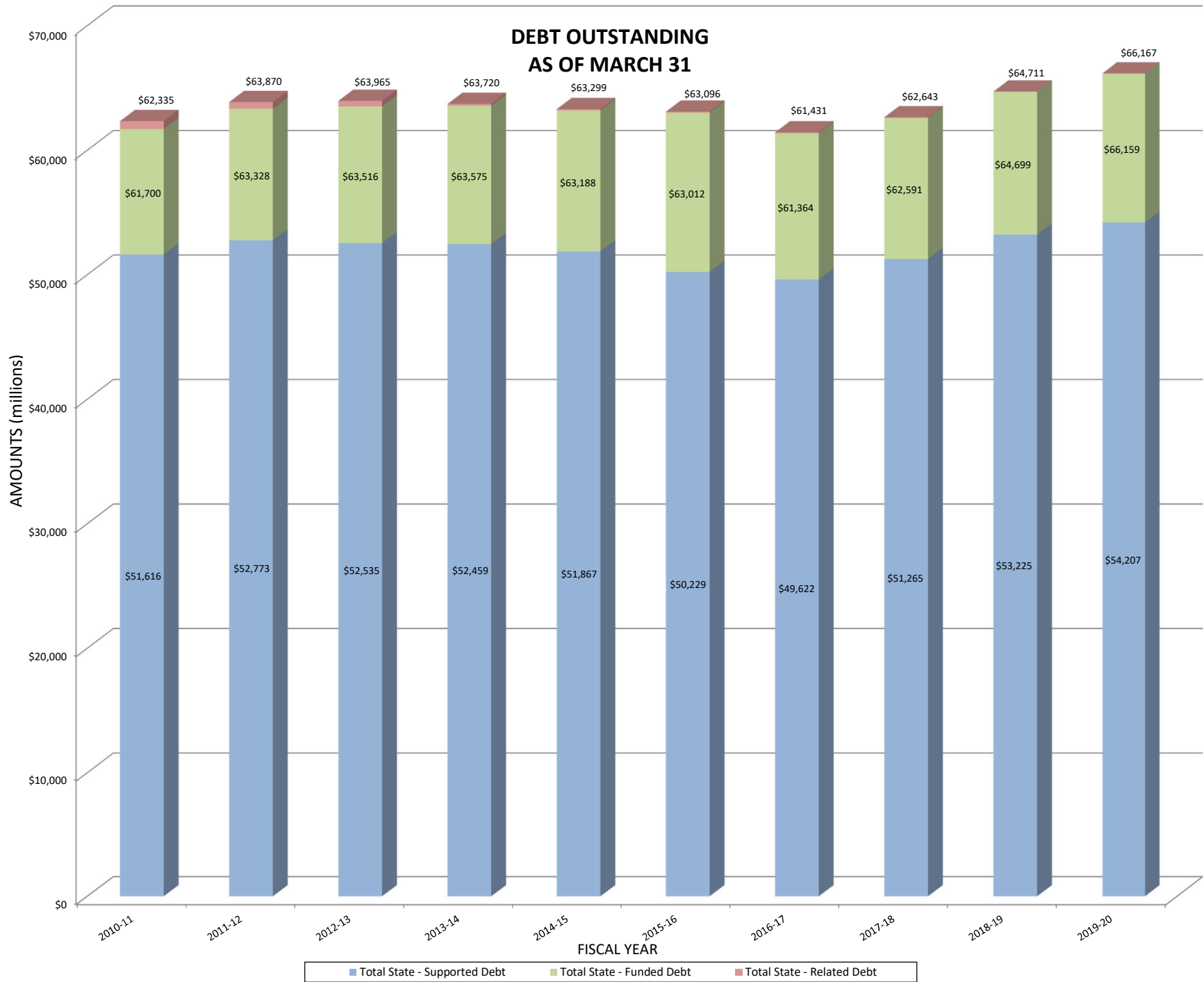


STATE OF NEW YORK  
 FUTURE LEASE/PURCHASE AND CONTRACTUAL  
 OBLIGATION REQUIREMENTS (\*)  
 PAYABLE FROM DEBT SERVICE FUNDS  
 (amounts in thousands)

SCHEDULE 19  
 (continued)

Lease/Purchase and Other Contractual Financing Obligations:	March 31, 2025			THEREAFTER			TOTAL FUTURE DEBT SERVICE		
	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL	PRINCIPAL	INTEREST	TOTAL
<b>Dormitory Authority:</b>									
All purposes excluding SUNY and CUNY Senior Colleges.....	\$ 1,154,129	\$ 754,711	\$ 1,908,840	\$ 14,664,721	\$ 5,931,644	\$ 20,596,365	\$ 20,995,082	\$ 10,304,245	\$ 31,299,327
City University of New York.....	148,642	183,021	331,663	3,636,407	1,833,724	5,470,131	4,499,857	2,831,382	7,331,239
State University of New York.....	524,570	386,915	911,485	7,629,941	7,264,506	14,894,447	9,723,209	9,421,163	19,144,372
<b>Environmental Facilities Corporation .....</b>	-	-	-	-	-	-	16,405	861	17,266
<b>Housing Finance Agency .....</b>	-	-	-	-	-	-	22,180	766	22,946
<b>Local Government Assistance Corporation .....</b>	-	-	-	-	-	-	253,110	10,482	263,592
<b>Metropolitan Transportation Authority Service Contract Bonds .....</b>	-	-	-	-	-	-	-	-	-
<b>New York State Thruway Authority.....</b>	170,115	64,215	234,330	1,129,395	221,151	1,350,546	2,306,170	635,275	2,941,445
<b>Urban Development Corporation:</b>									
All purposes excluding SUNY and CUNY Senior Colleges.....	922,414	434,755	1,357,169	8,677,322	3,190,680	11,868,002	14,118,236	5,805,322	19,923,558
City University of New York.....	-	25	25	567	416	983	567	541	1,108
State University of New York.....	575	4,883	5,458	116,250	86,799	203,049	140,930	112,888	253,818
<b>TOTALS.....</b>	<b>\$ 2,920,445</b>	<b>\$ 1,828,525</b>	<b>\$ 4,748,970</b>	<b>\$ 35,854,603</b>	<b>\$ 18,528,920</b>	<b>\$ 54,383,523</b>	<b>\$ 52,075,746</b>	<b>\$ 29,122,925</b>	<b>\$ 81,198,671</b>

(\*) See Accompanying Footnotes



STATE OF NEW YORK  
DEBT OUTSTANDING AS OF MARCH 31  
FISCAL YEARS 2010-11 THROUGH 2019-20  
(amounts in millions)

SCHEDULE 20

	<u>2010-11</u>	<u>2011-12</u>	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>
<b><u>STATE - SUPPORTED DEBT</u></b>										
General Obligation Debt.....	\$ 3,525	\$ 3,494	\$ 3,524	\$ 3,191	\$ 3,018	\$ 2,727	\$ 2,463	\$ 2,371	\$ 2,286	\$ 2,131
Lease/Purchase and Contractual										
Obligation Debt.....	48,091	49,279	49,011	49,268	48,849	47,502	47,159	48,894	50,939	52,076
<b>SUBTOTAL - STATE - SUPPORTED DEBT....</b>	<b>51,616</b>	<b>52,773</b>	<b>52,535</b>	<b>52,459</b>	<b>51,867</b>	<b>50,229</b>	<b>49,622</b>	<b>51,265</b>	<b>53,225</b>	<b>54,207</b>
<b><u>STATE - FUNDED DEBT</u></b>										
Tobacco Settlement Financing Corporation.....	3,012	2,690	2,411	2,054	1,745	1,378	660	-	-	-
Municipal Bond Bank Agency (MBBA).....	396	368	294	281	263	234	203	172	139	104
Sales Tax Asset Receivable Corporation (STARC).	2,246	2,188	2,127	2,063	1,996	1,961	1,884	1,805	1,721	1,634
Secured Hospital Debt.....	-	-	-	226	204	181	157	142	153	127
SUNY Dormitory Facilities Revenue Bonds.....	-	-	-	440	437	985	956	1,263	1,350	1,787
Transitional Finance Agency (TFA).....	4,430	5,309	6,149	6,052	6,676	8,044	7,882	7,944	8,111	8,300
<b>SUBTOTAL - STATE - FUNDED DEBT.....</b>	<b>61,700</b>	<b>63,328</b>	<b>63,516</b>	<b>63,575</b>	<b>63,188</b>	<b>63,012</b>	<b>61,364</b>	<b>62,591</b>	<b>64,699</b>	<b>66,159</b>
<b><u>STATE - RELATED DEBT</u></b>										
Moral Obligation Debt.....	26	20	12	7	2	2	1	1	-	-
Secured Hospital Debt - Contingent.....	586	503	422	126	100	76	63	51	12	8
State Guaranteed Authority Debt.....	23	19	15	12	9	6	3	-	-	-
<b>TOTAL DEBT OUTSTANDING.....</b>	<b>\$ 62,335</b>	<b>\$ 63,870</b>	<b>\$ 63,965</b>	<b>\$ 63,720</b>	<b>\$ 63,299</b>	<b>\$ 63,096</b>	<b>\$ 61,431</b>	<b>\$ 62,643</b>	<b>\$ 64,711</b>	<b>\$ 66,167</b>

**STATE OF NEW YORK  
STATE SUPPORTED DEBT OUTSTANDING AS OF MARCH 31 PER CAPITA (\*)  
FISCAL YEARS 2010-11 THROUGH 2019-20**

**SCHEDULE 20a**

State supported debt includes capital leases for State facilities, and debt as defined in State Finance Law §67-a, where the State is constitutionally obligated to pay debt service to bondholders or where it is contractually obligated to pay money to public authorities to enable it to make payments on its outstanding bonds.

	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20
<b>STATE - SUPPORTED DEBT:</b>										
<b>General Obligation Debt</b>										
Millions of Dollars.....	\$ 3,525	\$ 3,494	\$ 3,524	\$ 3,191	\$ 3,018	\$ 2,727	\$ 2,463	\$ 2,371	\$ 2,286	\$ 2,131
Change from Prior Year.....	3.68%	-0.88%	0.86%	-9.45%	-5.42%	-9.64%	-9.68%	-3.74%	-3.58%	-6.78%
Per Capita.....	182	179	180	162	153	138	125	120	117	109
<b>Lease/Purchase and Contractual Obligation Debt</b>										
Millions of Dollars.....	48,091	49,279	49,011	49,268	48,849	47,502	47,159	48,894	50,939	52,076
Change from Prior Year.....	2.49%	2.47%	-0.54%	0.52%	-0.85%	-2.76%	-0.72%	3.68%	4.18%	2.23%
Per Capita.....	2,479	2,527	2,501	2,501	2,480	2,399	2,394	2,469	2,612	2,671
<b>TOTAL STATE - SUPPORTED DEBT:</b>										
Millions of Dollars.....	\$ 51,616	\$ 52,773	\$ 52,535	\$ 52,459	\$ 51,867	\$ 50,229	\$ 49,622	\$ 51,265	\$ 53,225	\$ 54,207
Change from Prior Year.....	2.57%	2.24%	-0.45%	-0.14%	-1.13%	-3.16%	-1.21%	3.31%	3.82%	1.84%
Per Capita.....	\$ 2,661	\$ 2,706	\$ 2,681	\$ 2,663	\$ 2,633	\$ 2,537	\$ 2,519	\$ 2,589	\$ 2,729	\$ 2,780

(\*) Population estimates are based on current information published by the U.S. Census Bureau.

**STATE OF NEW YORK  
STATE FUNDED DEBT OBLIGATIONS OUTSTANDING AS OF MARCH 31 PER CAPITA (\*)  
FISCAL YEARS 2010-11 THROUGH 2019-20**

**SCHEDULE 20b**

State funded debt obligations include all State-supported debt from Schedule 20a, plus certain other debt where the State has agreed to allow certain other entities to pledge or assign State resources to pay debt service on debt issued for State purposes or to finance State assistance payments to another entity. These obligations include those associated with the sale of the State's share of Tobacco Settlement proceeds, prior year school aid claims, debt issued by the Sales Tax Asset Receivable Corporation (STARC) for NYC debt relief, debt issued by the Transitional Finance Authority (TFA) for NYC school building aid, portions of the secured hospital program and obligations issued to finance certain State University of New York (SUNY) dormitory facilities. Debt service on these obligations is secured by future State local assistance payments (financed with available General Fund resources or taxes), tobacco settlement payments, contingent debt service appropriations or SUNY dormitory rental income.

	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20
<b>TOTAL STATE - SUPPORTED DEBT:</b>										
Millions of Dollars.....	\$ 51,616	\$ 52,773	\$ 52,535	\$ 52,459	\$ 51,867	\$ 50,229	\$ 49,622	\$ 51,265	\$ 53,225	\$ 54,207
Change from Prior Year.....	2.57%	2.24%	-0.45%	-0.14%	-1.13%	-3.16%	-1.21%	3.31%	3.82%	1.84%
Per Capita.....	2,661	2,706	2,681	2,663	2,633	2,537	2,519	2,589	2,729	2,780
<b>Tobacco Settlement Financing Corporation</b>										
Millions of Dollars.....	3,012	2,690	2,411	2,054	1,745	1,378	660	-	-	-
Change from Prior Year.....	-7.52%	-10.69%	-10.37%	-14.81%	-15.04%	-21.03%	-52.10%	-100.00%	0.00%	0.00%
Per Capita.....	155	138	123	104	89	70	34	-	-	-
<b>Municipal Bond Bank Agency (MBBA)</b>										
Millions of Dollars.....	396	368	294	281	263	234	203	172	139	104
Change from Prior Year.....	-5.49%	-7.07%	-20.11%	-4.42%	-6.41%	-11.03%	-13.25%	-15.27%	-19.19%	-25.18%
Per Capita.....	20	19	15	14	13	12	10	9	7	5
<b>Sales Tax Asset Receivable Corporation (STARC)</b>										
Millions of Dollars.....	2,246	2,188	2,127	2,063	1,996	1,961	1,884	1,805	1,721	1,634
Change from Prior Year.....	-2.43%	-2.58%	-2.79%	-3.01%	-3.25%	-1.75%	-3.93%	-4.19%	-4.65%	-5.06%
Per Capita.....	116	112	109	105	101	99	96	91	88	84
<b>Secured Hospital Debt</b>										
Millions of Dollars.....	-	-	-	226	204	181	157	142	153	127
Change from Prior Year.....	0.00%	0.00%	0.00%	100.00%	-9.73%	-11.27%	-13.26%	-9.55%	7.75%	-16.99%
Per Capita.....	-	-	-	11	10	9	8	7	8	6
<b>SUNY Dormitory Facilities Revenue Bonds</b>										
Millions of Dollars.....	-	-	-	440	437	985	956	1,263	1,350	1,787
Change from Prior Year.....	0.00%	0.00%	0.00%	100.00%	-0.68%	125.40%	-2.94%	32.11%	6.89%	32.37%
Per Capita.....	-	-	-	22	22	50	49	64	69	92
<b>Transitional Finance Authority (TFA)</b>										
Millions of Dollars.....	4,430	5,309	6,149	6,052	6,676	8,044	7,882	7,944	8,111	8,300
Change from Prior Year.....	4.95%	19.84%	15.82%	-1.58%	10.31%	20.49%	-2.01%	0.79%	2.10%	2.33%
Per Capita.....	228	272	314	307	339	406	400	401	416	426
<b>TOTAL STATE - FUNDED DEBT:</b>										
Millions of Dollars.....	\$ 61,700	\$ 63,328	\$ 63,516	\$ 63,575	\$ 63,188	\$ 63,012	\$ 61,364	\$ 62,591	\$ 64,699	\$ 66,159
Change from Prior Year.....	1.95%	2.64%	0.30%	0.09%	-0.61%	-0.28%	-2.62%	2.00%	3.37%	2.26%
Per Capita.....	\$ 3,180	\$ 3,247	\$ 3,242	\$ 3,227	\$ 3,208	\$ 3,182	\$ 3,116	\$ 3,161	\$ 3,317	\$ 3,393

(\*) Population estimates are based on current information published by the U.S. Census Bureau.

**STATE OF NEW YORK  
STATE RELATED DEBT OBLIGATIONS OUTSTANDING AS OF MARCH 31 PER CAPITA (\*)  
FISCAL YEARS 2010-11 THROUGH 2019-20**

**SCHEDULE 20c**

State related debt obligations include all State funded debt from Schedule 20b, plus certain other debt issued by various authorities that may be supported by contingent State obligations to make payments. Such moral obligation, contingent obligation (secured hospital debt where the State has not been called on to pay debt service) and State guaranteed authority debt carries a pledge by the State to finance deficiencies in the authority's debt service or debt service reserve funds, if necessary.

	<u>2010-11</u>	<u>2011-12</u>	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>
<b><u>TOTAL STATE - FUNDED DEBT:</u></b>										
Millions of Dollars.....	\$ 61,700	\$ 63,328	\$ 63,516	\$ 63,575	\$ 63,188	\$ 63,012	\$ 61,364	\$ 62,591	\$ 64,699	\$ 66,159
Change from Prior Year.....	1.95%	2.64%	0.30%	0.09%	-0.61%	-0.28%	-2.62%	2.00%	3.37%	2.26%
Per Capita.....	3,180	3,247	3,242	3,227	3,208	3,182	3,116	3,161	3,317	3,393
<b>Moral Obligation Debt</b>										
Millions of Dollars.....	26	20	12	7	2	2	1	1	-	-
Change from Prior Year.....	-13.33%	-23.08%	-40.00%	-41.67%	-71.43%	0.00%	-50.00%	0.00%	-100.00%	0.00%
Per Capita.....	1	1	1	-	-	-	-	-	-	-
<b>Secured Hospital Debt - Contingent</b>										
Millions of Dollars.....	586	503	422	126	100	76	63	51	12	8
Change from Prior Year.....	-8.01%	-14.16%	-16.10%	-70.14%	-20.63%	-24.00%	-17.11%	-19.05%	-76.47%	-33.33%
Per Capita.....	30	26	22	6	5	4	3	3	1	-
<b>State Guaranteed Authority Debt</b>										
Millions of Dollars.....	23	19	15	12	9	6	3	-	-	-
Change from Prior Year.....	-17.86%	-17.39%	-21.05%	-20.00%	-25.00%	-33.33%	-50.00%	-100.00%	0.00%	0.00%
Per Capita.....	1	1	1	1	-	-	-	-	-	-
<b><u>TOTAL STATE - RELATED DEBT:</u></b>										
Millions of Dollars.....	\$ 62,335	\$ 63,870	\$ 63,965	\$ 63,720	\$ 63,299	\$ 63,096	\$ 61,431	\$ 62,643	\$ 64,711	\$ 66,167
Change from Prior Year.....	1.83%	2.46%	0.15%	-0.38%	-0.66%	-0.32%	-2.64%	1.97%	3.30%	2.25%
Per Capita.....	\$ 3,212	\$ 3,275	\$ 3,266	\$ 3,235	\$ 3,213	\$ 3,187	\$ 3,119	\$ 3,164	\$ 3,318	\$ 3,393

(\*) Population estimates are based on current information published by the U.S. Census Bureau.

**STATE OF NEW YORK  
GENERAL FUND  
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)**

**SCHEDULE 21**

Department/Division	LOCAL ASSISTANCE GRANTS										OPERATIONS			TOTAL	
	Education	Environment and Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	2019-20	2018-19
Adirondack Park Agency .....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,069	\$ 375	\$ -	\$ 4,444	\$ 4,297
Board of Elections .....	-	-	1,352	-	-	-	-	-	-	1,352	6,039	2,600	-	9,991	8,349
City University of New York.....	936,584	-	-	-	-	-	-	-	-	936,584	-	263	-	936,847	1,521,080
Commission on Quality of Care and Advocacy .....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Council on the Arts.....	44,013	-	-	-	-	-	-	-	-	44,013	2,681	1,570	-	48,264	43,516
Department of Agriculture and Markets.....	-	-	10	-	-	-	-	35,037	-	35,047	28,153	7,549	-	70,749	65,379
Department of Civil Service.....	-	-	77	-	-	-	-	-	-	77	15,103	1	-	15,181	15,793
Department of Corrections and Community Supervision.....	-	-	-	-	-	6,336	-	-	-	6,336	2,380,498	490,600	797	2,878,231	2,601,778
Department of Economic Development.....	-	-	232	-	2,847	-	-	32,940	-	36,019	11,934	2,924	-	50,877	70,694
Department of Environmental Conservation.....	-	2,161	41	-	-	-	-	-	-	2,202	94,779	15,510	-	112,491	105,457
Department of Health.....	-	-	154	15,368,327	442,023	-	-	-	-	15,810,504	139,314	778,582	-	16,728,400	14,853,997
Department of Labor .....	-	-	12,254	-	-	-	13,226	-	-	25,480	86	192	-	25,758	15,770
Department of Law.....	-	-	-	-	-	-	-	-	-	-	101,472	8,601	-	110,073	107,955
Department of Motor Vehicles.....	-	-	-	-	-	-	-	-	-	-	8,478	2,085	-	10,563	10,677
Department of State.....	-	-	10,105	-	-	4,408	276	-	-	14,789	10,012	355	-	25,156	23,022
Department of Taxation and Finance.....	-	-	885	-	-	-	-	-	-	885	215,885	40,131	-	256,901	304,540
Department of Transportation.....	-	-	-	-	-	-	-	120	110,219	110,339	156,819	178,257	-	445,415	595,676
Division of Alcohol Beverage Control.....	-	-	-	-	-	-	-	-	-	-	8,088	2,523	-	10,611	11,547
Division of Budget .....	-	-	-	-	-	-	-	-	-	-	22,089	1,838	618	24,545	22,431
Division of Criminal Justice Services.....	-	-	1,756	-	31	145,072	(4)	-	-	146,855	27,666	6,237	-	180,758	194,988
Division of Homeland Security and Emergency Services.....	-	-	148	-	-	5,404	-	-	-	5,552	1,000	-	-	6,552	5,189
Division of Housing and Community Renewal.....	-	-	80	-	-	-	29,939	-	-	30,019	3,229	4,508	-	37,756	15,721
Division of Human Rights .....	-	-	-	-	-	-	-	-	-	-	9,543	1,506	-	11,049	9,994
Division of Military and Naval Affairs.....	-	-	-	-	-	885	-	-	-	885	14,158	5,907	-	20,950	21,330
Division of State Police.....	-	-	-	-	-	-	-	-	-	-	661,291	34,975	5	696,271	640,203
Division of Tax Appeals .....	-	-	-	-	-	-	-	-	-	-	2,640	230	-	2,870	2,821
Division of Veterans' Services .....	-	-	101	-	-	-	8,134	-	-	8,235	5,546	660	-	14,441	16,219
Executive Chamber.....	-	-	-	-	-	-	-	-	-	-	10,877	2,372	-	13,249	12,677
Greenway Heritage Conservancy for the Hudson River Valley.....	-	-	-	-	-	-	-	-	-	-	-	166	-	166	166
Higher Education Services Corp .....	950,867	-	-	-	-	-	-	-	-	950,867	-	-	-	950,867	984,334
Hudson River Valley Greenway .....	-	137	-	-	-	-	-	-	-	137	73	30	-	240	192
Interest on Lawyer Account .....	-	-	-	-	-	14,349	-	-	-	14,349	-	-	-	14,349	14,229
Judicial Conduct.....	-	-	-	-	-	-	-	-	-	-	4,203	1,545	-	5,748	5,423
Judicial Screening .....	-	-	-	-	-	-	-	-	-	-	-	6	-	6	7
Judicial Nomination .....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Justice Center for the Protection of People with Special Needs .....	-	-	-	-	170	-	-	-	-	170	33,333	8,071	-	41,574	40,350
Legislature.....	-	-	-	-	-	-	-	-	-	-	177,071	50,182	-	227,253	222,726
Lieutenant Governor .....	-	-	-	-	-	-	-	-	-	-	726	89	-	815	811
National and Community Service .....	-	-	-	-	-	-	349	-	-	349	305	-	-	654	560
NYS Gaming Commission .....	-	-	-	-	-	-	-	-	-	-	3,254	1,271	-	4,525	4,538
NYS Joint Commission on Public Ethics .....	-	-	-	-	-	-	-	-	-	-	4,486	731	-	5,217	5,223
Office for the Prevention of Domestic Violence .....	-	-	-	-	-	-	1,288	-	-	1,288	1,550	146	-	2,984	2,817
Office for People with Developmental Disabilities.....	-	-	59	1,333,390	408,220	-	5	-	-	1,741,674	1,161,329	195,661	15	3,098,679	1,851,052
Office of Addiction Services and Supports.....	140	-	8	26,249	338,834	-	-	-	-	365,231	60,529	22,255	-	448,015	430,936
Office of Children and Family Services .....	17,517	-	50,989	28,678	-	-	1,095,193	-	-	1,192,377	83,067	45,847	45	1,321,336	1,901,204
Office of Employee Relations .....	-	-	-	-	-	-	-	-	-	-	12,478	21,510	6,472	40,460	34,952

STATE OF NEW YORK  
GENERAL FUND  
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 21  
(continued)

Department/Division	LOCAL ASSISTANCE GRANTS										OPERATIONS			TOTAL	
	Education	Environment & Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	2019-20	2018-19
Office of General Services.....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 36,171	\$ 65,819	\$ -	\$ 101,990	\$ 135,675
Office of Information Technology Services .....	-	-	-	-	-	-	-	-	-	-	296,583	243,615	-	540,198	544,541
Office of Medicaid Inspector General .....	-	-	-	-	-	-	-	-	-	-	15,599	2,385	-	17,984	18,116
Office of Mental Health.....	-	-	21	301,221	1,032,760	-	10,016	-	-	1,344,018	1,117,318	274,334	-	2,735,670	2,643,941
Office of Parks, Recreation and Historic Preservation.....	-	884	514	-	-	-	-	-	-	1,398	101,313	5,643	-	108,354	108,078
Office of the State Inspector General .....	-	-	-	-	-	-	-	-	-	-	5,423	958	-	6,381	6,844
Office of Temporary and Disability Assistance .....	-	-	1,108	482,060	6,382	-	1,160,431	-	-	1,649,981	64,861	78,167	-	1,793,009	1,733,049
Office of the State Comptroller.....	-	-	32,025	-	-	-	-	-	-	32,025	110,531	26,984	-	169,540	166,807
Office of Victim Services.....	-	-	37	-	-	-	-	-	-	37	-	-	-	37	20
Office of Welfare Inspector General .....	-	-	-	-	-	-	-	-	-	-	630	11	-	641	630
Public Benefits Corporations:															
Energy Research and Development Authority .....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	600
Olympic Regional Development Authority .....	-	-	-	-	-	-	-	2,268	-	2,268	5,500	4,188	1,157	13,113	12,300
Power Authority .....	-	-	-	-	-	-	-	-	-	-	-	43,000	-	43,000	43,000
Urban Development Corporation (Empire State Dev Corp).....	-	-	4,336	-	-	367	-	100,407	-	105,110	1,000	-	-	106,110	86,177
Public Employment Relations Board .....	-	-	-	-	-	-	-	-	-	-	3,214	166	-	3,380	3,393
State Commission of Correction .....	-	-	-	-	-	-	-	-	-	-	2,652	498	-	3,150	2,539
State Education Department.....	25,659,567	-	470	-	-	-	-	-	-	25,660,037	32,211	23,243	-	25,715,491	25,090,346
State Office for the Aging.....	-	-	494	26,526	135,068	-	-	-	-	162,088	1,991	971	-	165,050	154,068
State University of New York.....	492,820	-	-	-	286	-	-	-	-	493,106	2,384	7,966	53	503,509	510,077
Statewide Financial System.....	-	-	-	-	-	-	-	-	-	-	11,651	19,866	-	31,517	30,520
Unified Court System.....	-	-	47,313	-	-	-	-	-	-	47,313	1,646,896	375,855	789,456	2,859,520	2,824,320
All Other.....	-	-	884,320	-	-	-	-	-	-	884,320	-	2,407	6,655,088	7,541,815	7,309,681
<b>Total.....</b>	<b>\$ 28,101,508</b>	<b>\$ 3,182</b>	<b>\$ 1,048,889</b>	<b>\$ 17,566,451</b>	<b>\$ 2,366,621</b>	<b>\$ 176,821</b>	<b>\$ 2,318,853</b>	<b>\$ 170,772</b>	<b>\$ 110,219</b>	<b>\$ 51,863,316</b>	<b>\$ 8,939,781</b>	<b>\$ 3,113,937</b>	<b>\$ 7,453,706</b>	<b>\$ 71,370,740</b>	<b>\$ 68,225,342</b>



STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 22

Department/Division	LOCAL ASSISTANCE GRANTS										OPERATIONS			TOTAL	
	Environment and Recreation		General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	2019-20	2018-19
	Education	Recreation	Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	2019-20	2018-19
Board of Elections.....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 270	\$ -	\$ 270	\$ 273
City University of New York .....	-	-	-	-	-	-	-	-	-	-	48,675	53,020	204	101,899	95,802
Commission on Quality of Care and Advocacy .....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Department of Agriculture and Markets .....	-	-	-	-	-	-	-	-	-	-	2,757	2,226	1,461	6,444	8,907
Department of Civil Service .....	-	-	-	-	-	-	-	-	-	-	184	6	118	308	335
Department of Corrections and Community Supervision .....	-	-	-	-	-	-	-	-	-	-	242	1,107	155	1,504	1,298
Department of Economic Development .....	-	-	-	-	-	-	-	-	-	-	98	1,194	62	1,354	2,046
Department of Environmental Conservation .....	-	-	-	-	-	-	-	-	-	-	87,588	22,632	49,667	159,887	177,179
Department of Financial Services.....	-	-	-	-	157,100	-	-	15,110	-	172,210	127,847	39,870	81,185	421,112	446,914
Department of Health .....	-	-	-	5,674,673	1,061,332	-	-	40,036	-	6,776,041	148,804	160,142	42,829	7,127,816	6,795,976
Department of Labor .....	-	-	-	-	-	-	37	-	-	37	33,082	14,518	20,994	68,631	76,067
Department of Law .....	-	-	-	-	-	-	-	-	-	-	38,913	41,142	19,417	99,472	114,260
Department of Motor Vehicles .....	-	-	-	-	-	-	-	-	-	-	30,653	12,581	18,892	62,126	65,743
Department of Public Service .....	-	-	-	-	-	-	-	1,341	-	1,341	41,938	8,203	26,704	78,186	74,188
Department of State .....	-	-	15	-	-	-	-	-	-	15	22,633	15,077	11,992	49,717	54,869
Department of Taxation and Finance .....	-	-	2,417	-	-	-	-	-	-	2,417	51,464	10,010	32,829	96,720	15,982
Department of Transportation .....	-	-	-	-	-	-	-	-	3,378,077	3,378,077	3,424	5,788	2,184	3,389,473	3,645,439
Division of Alcoholic Beverage Control.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Division of Budget .....	-	-	-	-	-	-	-	-	-	-	1,526	2,545	956	5,027	3,695
Division of Criminal Justice Services .....	-	-	-	-	-	27,991	-	-	-	27,991	146	1,331	-	29,468	19,998
Division of Homeland Security and Emergency Services.....	-	-	-	-	-	28,140	-	-	-	28,140	39,691	17,922	6,882	92,635	105,824
Division of Housing and Community Renewal .....	-	-	-	-	-	-	407	-	-	407	36,661	8,307	19,144	64,519	69,317
Division of Military and Naval Affairs .....	-	-	-	-	-	-	-	-	-	-	-	5,160	-	5,160	3,638
Division of State Police .....	-	-	-	-	-	15	-	-	-	15	48,609	29,137	26,408	104,169	123,171
Financial Control Board for New York City .....	-	-	-	-	-	-	-	-	-	-	1,184	644	746	2,574	3,113
Higher Education Services Corp .....	-	-	-	-	-	2,601	-	-	-	2,601	10,721	18,651	6,737	38,710	51,659
Interest on Lawyer Account .....	-	-	-	-	-	19,639	-	-	-	19,639	831	507	529	21,506	23,498
Justice Center for the Protection of People with Special Needs.....	-	-	-	-	479	-	-	-	-	479	969	31	589	2,068	3,291
Lake George Park Commission.....	-	-	-	-	-	-	-	-	-	-	764	407	386	1,557	1,688
Legislature .....	-	-	-	-	-	-	-	-	-	-	-	1,179	-	1,179	564
NYS Gaming Commission.....	-	-	97,808	-	-	-	-	-	-	97,808	30,759	14,982	17,064	160,613	154,516
Office for the Prevention of Domestic Violence .....	-	-	-	-	-	44	-	-	-	44	-	-	-	44	-
Office for People with Developmental Disabilities.....	-	-	-	-	-	-	-	-	-	-	-	76	-	76	(11,271)
Office of Addiction Services and Supports.....	90	-	-	-	3,388	-	-	-	-	3,478	-	6,442	-	9,920	9,832
Office of Children and Family Services .....	-	-	-	-	-	-	3,634	-	-	3,634	3,470	14,000	2,630	23,734	22,295
Office of Employee Relations .....	-	-	-	-	-	-	-	-	-	-	406	186	260	852	741
Office of General Services .....	-	-	-	-	-	-	-	-	-	-	4,953	5,942	4,973	15,868	6,327
Office of Indigent Legal Services.....	-	-	-	-	-	85,503	-	-	-	85,503	2,620	498	1,645	90,266	88,452
Office of Information Technology Services.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Office of Medicaid Inspector General .....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Office of Mental Health .....	-	-	-	-	31	-	-	-	-	31	-	2,769	-	2,800	(44,634)

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 22  
(continued)

Department/Division	LOCAL ASSISTANCE GRANTS										OPERATIONS			TOTAL	
	Education	Environment and Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	2019-20	2018-19
Office of Parks, Recreation and Historic Preservation .....	\$ -	\$ 4,629	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,629	\$ 25,646	\$ 32,208	\$ 4,696	\$ 67,179	\$ 78,660
Office of the State Inspector General .....	-	-	-	-	-	-	-	-	-	-	-	494	-	494	494
Office of Temporary and Disability Assistance .....	-	-	-	-	1,822	-	-	-	-	1,822	-	935	65	2,822	4,610
Office of the State Comptroller .....	-	-	-	-	-	-	-	-	-	-	11,461	3,376	1,667	16,504	16,423
Office of Victim Services.....	-	-	-	-	-	22,903	-	-	-	22,903	2,953	619	1,583	28,058	29,904
Office of Welfare Inspector General.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Public Benefits Corporations:															
Energy Research and Development Authority .....	-	-	-	-	-	-	-	-	-	-	-	187	-	187	95
Olympic Regional Development Authority .....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	32
Public Employment Relations Board.....	-	-	-	-	-	-	-	-	-	-	-	20	-	20	36
State Education Department .....	6,038,771	-	-	-	-	-	-	-	-	6,038,771	57,886	23,471	37,239	6,157,367	5,875,095
State Office for the Aging .....	-	-	-	-	250	-	-	-	-	250	134	5	86	475	502
State University of New York .....	1,894	-	-	-	-	-	-	-	-	1,894	4,078,957	2,245,823	441,696	6,768,370	6,527,041
State University of New York Construction Fund .....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Statewide Financial System.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Unified Court System .....	-	-	118,800	-	-	-	-	-	-	118,800	66,381	47,746	30,938	263,865	232,757
Workers' Compensation Board .....	-	-	-	-	-	-	-	-	-	-	84,865	54,631	53,880	193,376	198,487
<b>Total.....</b>	<b>\$ 6,040,755</b>	<b>\$ 4,629</b>	<b>\$ 219,040</b>	<b>\$ 5,674,673</b>	<b>\$ 1,224,402</b>	<b>\$ 186,836</b>	<b>\$ 4,078</b>	<b>\$ 56,487</b>	<b>\$ 3,378,077</b>	<b>\$ 16,788,977</b>	<b>\$ 5,149,895</b>	<b>\$ 2,928,017</b>	<b>\$ 969,492</b>	<b>\$ 25,836,381</b>	<b>\$ 25,175,128</b>

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 23

Department/Division	LOCAL ASSISTANCE GRANTS										OPERATIONS			TOTAL	
	Education	Environment and Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Personal Service	Non-Personal Service	General State Charges	2019-20	2018-19
Adirondack Park Agency.....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Board of Elections.....	-	-	188	-	-	-	-	-	-	188	596	8,035	364	9,183	2,542
City University of New York.....	-	-	-	-	-	-	-	-	-	-	-	1,627	-	1,627	1,820
Commission on Quality of Care and Advocacy .....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Council on the Arts.....	784	-	-	-	-	-	-	-	784	-	-	-	-	784	698
Department of Agriculture and Markets.....	-	-	-	-	-	-	363	-	363	4,635	9,932	2,805	17,735	19,586	
Department of Corrections and Community Supervision.....	-	-	-	-	-	-	-	-	-	2,007	1,040	1,009	4,056	4,449	
Department of Economic Development.....	-	-	-	-	-	-	-	6,904	6,904	-	716	-	7,620	7,816	
Department of Environmental Conservation.....	-	-	-	-	-	-	-	-	-	24,969	15,974	15,111	56,054	57,939	
Department of Financial Services.....	-	-	-	-	-	-	-	-	-	-	-	-	-	1,186	
Department of Health.....	-	-	-	39,795,444	6,048,053	-	10,739	-	45,854,236	80,219	621,453	31,832	46,587,740	45,840,859	
Department of Labor.....	-	-	-	-	-	-	136,485	-	136,485	175,235	80,371	106,107	498,198	494,690	
Department of Law.....	-	-	-	-	-	-	-	-	-	20,144	5,823	12,247	38,214	43,632	
Department of Motor Vehicles.....	-	-	-	-	-	-	-	-	-	3,232	2,126	1,516	20,944	20,980	
Department of Public Service.....	-	-	-	-	-	160	-	-	160	1,540	278	795	2,773	3,074	
Department of State.....	-	-	53,802	-	-	-	-	-	53,802	2,859	1,732	1,741	60,134	67,238	
Department of Taxation and Finance.....	-	-	-	-	-	-	-	-	-	-	148	-	148	92	
Department of Transportation.....	-	-	-	-	-	-	-	43,840	43,840	8,215	9,359	4,952	66,366	63,829	
Developmental Disabilities Planning Council.....	-	-	-	-	-	-	-	-	-	1,133	2,804	682	4,619	3,934	
Division of Criminal Justice Services.....	-	-	-	-	-	11,990	-	-	13,056	4,746	4,075	392	22,269	51,365	
Division of Homeland Security and Emergency Services.....	-	-	-	-	-	1,300,326	-	-	1,300,326	8,502	16,025	3,939	1,328,792	1,090,949	
Division of Housing and Community Renewal.....	-	-	-	-	-	-	61,656	-	61,656	5,500	2,192	3,327	72,675	67,217	
Division of Human Rights.....	-	-	-	-	-	-	-	-	-	3,033	1,351	-	4,384	4,998	
Division of Military and Naval Affairs.....	-	-	-	-	-	-	-	-	-	22,957	15,006	8,307	46,270	49,976	
Division of State Police.....	-	-	-	-	-	-	-	-	-	12,250	14,099	2,140	28,489	30,118	
Division of Veterans' Services.....	-	-	-	-	-	-	-	-	-	541	187	330	1,058	1,036	
Higher Education Services Corp.....	-	-	-	-	-	70	-	-	70	-	3,925	-	3,995	3,259	
Justice Center for the Protection of People with Special Needs.....	-	-	-	-	-	-	-	-	-	146	2,178	-	2,324	1,576	
National and Community Service.....	-	-	-	-	-	-	-	-	-	374	10,934	-	11,308	9,768	
Office for the Prevention of Domestic Violence.....	-	-	-	-	-	59	-	-	59	-	-	-	59	7	
Office for People with Developmental Disabilities.....	-	-	-	(8,271)	-	-	-	-	(8,271)	233	253	142	(7,643)	(244)	
Office of Addiction Services and Supports.....	-	-	-	-	90,805	-	-	-	90,805	2,935	1,001	-	94,741	109,853	
Office of Children and Family Services.....	-	-	-	-	3,929	2,018	1,152,659	-	1,158,606	26,669	54,007	11,790	1,251,072	941,131	
Office of Information Technology Services.....	-	-	-	-	-	-	-	-	-	-	1,281	-	1,281	291	
Office of General Services.....	-	-	-	-	-	-	-	-	-	-	11,590	-	11,590	10,150	
Office of Medicaid Inspector General.....	-	-	-	-	-	-	-	-	-	15,587	2,780	9,437	27,804	28,797	
Office of Mental Health.....	-	-	-	31,872	40,931	-	-	-	72,803	1,012	1,606	617	76,038	84,963	
Office of Parks, Recreation and Historic Preservation.....	-	1,161	-	-	-	2,174	-	-	3,335	2,516	1,658	-	7,509	10,363	
Office of Temporary and Disability Assistance.....	-	-	-	430,294	8,445	-	3,476,015	-	3,914,754	98,612	68,562	59,841	4,141,769	4,744,436	
Office of Victim Services.....	-	-	-	-	-	74,252	-	-	74,252	2,630	767	-	77,649	49,458	
Public Benefits Corporations:															
Energy Research and Development Authority .....	-	-	-	-	-	-	16,474	-	16,474	-	-	-	16,474	11,281	
Urban Development Corporation (Empire State Dev Corp).....	-	-	-	-	-	-	-	1,060	1,060	-	-	-	1,060	588	
State Education Department.....	3,527,697	-	-	-	-	-	-	-	3,527,697	86,866	78,678	53,408	3,746,649	4,068,251	
State Office for the Aging.....	-	-	-	-	110,186	1,241	854	-	112,281	5,272	547	63	118,163	107,187	
State University of New York.....	-	-	-	-	-	1,039	2,271	-	3,310	9,759	338,692	99	351,860	354,696	
Unified Court System.....	-	-	-	-	-	-	-	-	-	1,904	6,092	602	8,598	7,523	
Workers' Compensation Board.....	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
<b>Total.....</b>	<b>\$ 3,528,481</b>	<b>\$ 1,161</b>	<b>\$ 53,990</b>	<b>\$ 40,249,339</b>	<b>\$ 6,302,349</b>	<b>\$ 1,393,329</b>	<b>\$ 4,857,516</b>	<b>\$ 7,964</b>	<b>\$ 58,976</b>	<b>\$ 56,453,105</b>	<b>\$ 636,828</b>	<b>\$ 1,398,904</b>	<b>\$ 333,595</b>	<b>\$ 58,822,432</b>	<b>\$ 58,473,357</b>

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in thousands)

SCHEDULE 24

Description	Related Expenses (*)	Debt Service	Total	
			2019-20	2018-19
<b>Special Contractual Financing Obligation Payments:</b>				
<b>To Public Benefit Corporations:</b>				
City University of New York.....	\$ 12,226	\$ 193,123	\$ 205,349	\$ 113,080
Dormitory Authority.....	13,431	2,202,977	2,216,408	3,459,726
Environmental Facilities Corporation.....	95	17,270	17,365	33,442
Housing Finance Agency.....	761	30,858	31,619	45,948
Local Government Assistance Corporation.....	1,616	300,785	302,401	424,856
Metropolitan Transportation Authority.....	-	-	-	35,458
New York State Thruway Authority.....	418	621,331	621,749	480,467
Urban Development Corporation (Empire State Dev Corp).....	7,082	1,281,572	1,288,654	1,840,434
<b>Subtotal.....</b>	<b>35,629</b>	<b>4,647,916</b>	<b>4,683,545</b>	<b>6,433,411</b>
<b>Interest on General Obligation Bonds.....</b>	<b>-</b>	<b>87,460</b>	<b>87,460</b>	<b>102,333</b>
<b>Principal on General Obligation Bonds.....</b>	<b>-</b>	<b>180,715</b>	<b>180,715</b>	<b>199,790</b>
<b>Administrative Expenses for Variable Rate General Obligation Bonds.....</b>	<b>643</b>	<b>-</b>	<b>643</b>	<b>727</b>
<b>Total Debt Service Funds Disbursements.....</b>	<b>\$ 36,272</b>	<b>\$ 4,916,091</b>	<b>\$ 4,952,363</b>	<b>\$ 6,736,261</b>

(\*) Related expenses include remarketing fees, cost of issuance expenses, administrative fees, capital expenses and other expenses paid to the bank and/or the public benefit corporations issuing the bonds.

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - STATE  
 COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS  
 FISCAL YEAR ENDED MARCH 31, 2020  
 (amounts in thousands)

SCHEDULE 25

Department/Division	LOCAL ASSISTANCE GRANTS										TOTAL		
	Environment and Recreation		General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Capital Construction	2019-20	2018-19
	Education	Recreation	Government	Medicaid	Public Health	Safety	Welfare	Business	Transportation	Grants	Construction	2019-20	2018-19
Adirondack Park Agency.....	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 98
City University of New York.....	-	-	-	-	-	-	-	-	-	-	36,876	36,876	33,567
Department of Agriculture and Markets.....	-	6,564	-	-	-	-	-	-	-	6,564	62,109	68,673	106,959
Department of Corrections and Community Supervision.....	-	-	-	-	-	-	-	-	-	-	412,425	412,425	369,540
Department of Environmental Conservation.....	-	176,739	5,704	-	-	(4,662)	13,092	-	-	190,873	352,717	543,590	504,831
Department of Health.....	-	5,123	39,568	-	454,454	-	-	-	-	499,145	78,488	577,633	357,593
Department of Law.....	-	-	-	-	-	-	-	-	-	-	5,041	5,041	7,878
Department of Motor Vehicles.....	-	-	-	-	-	-	-	-	-	-	262,789	262,789	227,603
Department of State.....	-	15,484	13,954	-	-	-	-	31	-	29,469	2,711	32,180	19,898
Department of Transportation.....	-	-	112,641	-	-	-	-	-	538,939	651,580	2,186,220	2,837,800	2,923,649
Division of Criminal Justice Services.....	-	74	4,260	-	-	-	-	-	-	4,334	-	4,334	2,141
Division of Homeland Security and Emergency Services.....	4,648	-	-	-	-	67,445	-	-	-	72,093	16,241	88,334	29,097
Division of Housing and Community Renewal.....	-	-	17,412	-	-	-	336,983	5,720	-	360,115	-	360,115	334,995
Division of Military and Naval Affairs.....	-	-	-	-	-	-	-	-	-	-	90,101	90,101	72,962
Division of State Police.....	-	-	-	-	-	-	-	-	-	-	49,326	49,326	47,327
Office for People with Developmental Disabilities.....	-	-	4,369	-	1,210	-	-	-	-	5,579	96,601	102,180	97,507
Office of Addiction Services and Supports.....	-	-	6,518	-	23,642	-	146	-	23,642	30,306	1,603	31,909	55,421
Office of Children and Family Services.....	-	-	-	-	-	-	-	108	-	108	22,621	22,729	56,007
Office of General Services.....	-	-	-	-	-	-	-	-	-	-	176,881	176,881	165,147
Office of Information Technology Services.....	-	-	-	-	-	-	-	-	-	-	72,266	72,266	65,966
Office of Mental Health.....	-	-	10,424	-	33,235	-	729	-	-	44,388	239,694	284,082	279,835
Office of Parks, Recreation and Historic Preservation.....	-	37,701	-	-	-	-	-	-	-	37,701	202,278	239,979	226,075
Office of the State Inspector General.....	-	-	-	-	-	-	-	-	-	-	541	541	574
Office of Temporary and Disability Assistance.....	-	-	10,918	-	-	-	62,107	750	-	73,775	813	74,588	56,555
Public Benefits Corporations:													
Dormitory Authority.....	-	-	163,267	-	-	-	-	25,220	-	188,487	-	188,487	199,884
Energy Research and Development Authority.....	-	-	-	-	-	-	-	-	-	-	23,991	23,991	27,909
Environmental Facilities Corporation.....	-	-	-	-	-	-	-	-	-	-	-	-	650
Higher Education Capital Matching Grant Board.....	5,669	-	-	-	-	-	-	-	-	5,669	-	5,669	8,769
Hudson River Park Trust.....	-	-	-	-	-	-	-	-	-	-	8,959	8,959	11,423
Metropolitan Transportation Authority.....	-	-	-	-	-	-	-	62,060	544,486	606,546	-	606,546	739,514
New York State Canal Corporation.....	-	-	-	-	-	-	-	32	-	32	-	32	184
New York State Thruway Authority.....	-	-	-	-	-	-	-	6,424	-	6,424	322,048	328,472	435,866
Olympic Regional Development Authority.....	-	-	-	-	-	-	-	-	-	-	99,935	99,935	45,290
Power Authority.....	-	-	-	-	-	-	-	49,814	-	49,814	-	49,814	-
Roosevelt Island Operating Corporation.....	-	-	-	-	-	-	-	-	-	-	-	-	25,028
Urban Development Corporation (Empire State Dev Corp).....	200	-	457,361	-	-	-	-	727,260	-	1,184,821	516	1,185,337	1,595,368
State Education Department.....	165,173	-	-	-	-	-	-	-	-	165,173	11,311	176,484	149,451
State University of New York.....	-	-	-	-	-	-	-	-	-	-	284,107	284,107	236,743
State University of New York Construction Fund.....	-	-	-	-	-	-	-	-	-	-	719,876	719,876	741,759
Unified Court System.....	-	-	-	-	-	-	-	-	-	-	21,294	21,294	10,373
All Other.....	-	-	3,651	-	-	-	-	1,625	-	5,276	55,347	60,623	51,958
<b>Total.....</b>	<b>\$ 175,690</b>	<b>\$ 241,685</b>	<b>\$ 850,047</b>	<b>\$ -</b>	<b>\$ 512,541</b>	<b>\$ 62,783</b>	<b>\$ 413,057</b>	<b>\$ 879,044</b>	<b>\$ 1,083,425</b>	<b>\$ 4,218,272</b>	<b>\$ 5,915,726</b>	<b>\$ 10,133,998</b>	<b>\$ 10,321,394</b>

STATE OF NEW YORK  
 CAPITAL PROJECTS FUNDS - FEDERAL  
 COMBINING STATEMENT OF SELECTED DEPARTMENTAL DISBURSEMENTS  
 FISCAL YEAR ENDED MARCH 31, 2020  
 (amounts in thousands)

SCHEDULE 26

Department/Division	LOCAL ASSISTANCE GRANTS											TOTAL	
	Education	Environment and Recreation	General Government	Medicaid	Other Public Health	Public Safety	Public Welfare	Support and Regulate Business	Transportation	Total Grants	Capital Construction	2019-20	2018-19
	Department of Environmental Conservation.....	\$ -	\$ 175,375	\$ -	\$ -	\$ -	\$ 34,001	\$ -	\$ -	\$ -	\$ 209,376	\$ 2,424	\$ 211,800
Department of Health.....	-	-	-	-	42,873	-	-	-	-	42,873	4,361	47,234	51,188
Department of Transportation.....	-	-	-	-	-	-	-	-	542,490	542,490	1,016,809	1,559,299	1,624,077
Division of Military and Naval Affairs .....	-	-	-	-	-	-	-	-	-	-	35,292	35,292	31,149
Office of Parks, Recreation and Historic Preservation .....	-	-	-	-	-	-	-	-	-	-	10,827	10,827	7,666
<b>Total.....</b>	<b>\$ -</b>	<b>\$ 175,375</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 42,873</b>	<b>\$ 34,001</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 542,490</b>	<b>\$ 794,739</b>	<b>\$ 1,069,713</b>	<b>\$ 1,864,452</b>	<b>\$ 1,943,574</b>

STATE OF NEW YORK  
TAX STABILIZATION RESERVE FUND (\*)  
STATEMENT OF NET CASH TRANSACTIONS  
FISCAL YEARS 1945-46 THROUGH 2019-20

SCHEDULE 27

**Fund Balance**

Cumulative Transfers of General Fund Cash Basis Surplus (**)	\$ 1,247,613,898
Interest Earned on Temporary Investment of Surpluses (1947 - 1963)	10,148,700
<b>Total Fund (Cash) Balance</b>	<b>\$ 1,257,762,598</b>

**Account Activity Life-to-Date**

**Accounts Receivable**

First Instance Advances (***)	\$ 152,294,394
Repayment of First Instance Advances (****)	(152,294,394)

<b>Total Accounts Receivable</b>	<b>\$ -</b>
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**Loans Receivable**

Loans to General Fund to Cover Cash Deficits (*****)	\$ 473,298,928
Repayments of Loans to Date (*****)	(473,298,928)

<b>Total Loans Receivable</b>	<b>\$ -</b>
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Footnotes:

(\*) Pursuant to Article VII of the State Constitution and Section 92 of the State Finance Law, receipts in excess of General Fund requirements not otherwise appropriated are required to be transferred to the Tax Stabilization Reserve Fund at the end of each fiscal year. Loans to finance cash deficits must be repaid within six years in at least three equal annual installments. As of March 31, 2020, there are no loans outstanding.

(**) Transfers of Surplus from the General Fund to the Reserve Fund:		Subtotal (carry forward).....	191,223,898
March 31:			
1946.....	\$ 50,000,000	1996.....	65,000,000
1947.....	58,712,842	1997.....	65,000,000
1948.....	3,917,297	1998.....	68,600,000
1949.....	5,425,258	1999.....	72,962,000
1950.....	1,698,783	2000.....	74,300,000
1951.....	3,381,320	2001.....	79,790,000
1952.....	642,650	2002.....	82,910,000
1953.....	6,992,071	2004.....	84,000,000
1954.....	7,722,315	2005.....	78,000,000
1955.....	1,027,379	2006.....	72,514,000
1956.....	375,882	2007.....	86,951,000
1957.....	269,700	2012.....	100,000,000
1958.....	169,329	2015.....	126,363,000
1959.....	124,878		
1960.....	615,641	<b>Total Transfers from the General Fund</b>	<b>\$ 1,247,613,898</b>
1979.....	49,676,865		
1985.....	234,777		
1986.....	97,732		
1987.....	139,179		
Subtotal (carry forward).....	<b>191,223,898</b>		

STATE OF NEW YORK  
TAX STABILIZATION RESERVE FUND  
STATEMENT OF NET CASH TRANSACTIONS  
FISCAL YEARS 1945-46 THROUGH 2019-20

SCHEDULE 27  
(continued)

Footnotes (continued):

(\*\*\*) First Instance Advances to:

Local Governments.....	\$	6,932,932
Metropolitan Transportation Authority.....		62,238,750
Other Public Authorities.....		6,799,862
State Agencies.....		47,353,816
Miscellaneous Commissions and Funds.....		28,969,034
<b>Total First Instance Advances.....</b>	<b>\$</b>	<b>152,294,394</b>

(\*\*\*\*) Repayment of First Instance Advances:

1984.....	\$	50,764,798
1985.....		50,764,798
1986.....		50,764,798
<b>Total Repayment of First Instance Advances.....</b>	<b>\$</b>	<b>152,294,394</b>

(\*\*\*\*) Loans to General Fund to Cover Operating Deficit for Fiscal Year Ended March 31:

1949.....	\$	3,146,805
1950.....		13,929,926
1951.....		12,917,100
1971.....		23,172,661
1972.....		42,700,850
1975.....		18,482,584
1976.....		64,808,688
1983.....		48,606,516
1988.....		132,483,599
1989.....		68,888,999
1992.....		44,161,200
<b>Total Transfers to Cover Operating Deficits.....</b>	<b>\$</b>	<b>473,298,928</b>

(\*\*\*\*\*) Repayments from General Fund of Prior Years Withdrawals:

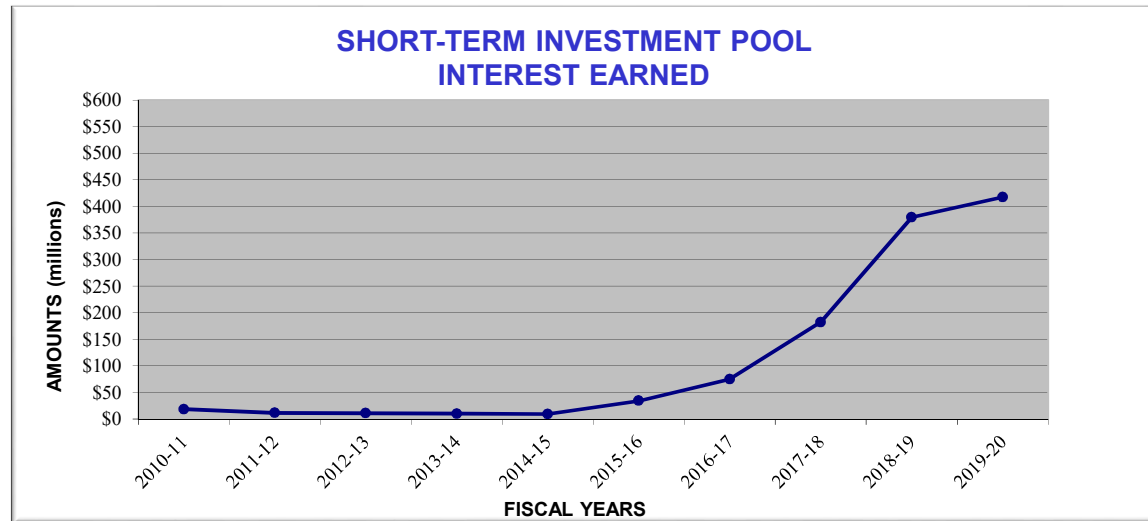
1950.....	\$	3,146,805
1952.....		4,729,660
1953.....		14,253,064
1954.....		7,864,302
1973.....		65,873,511
1978.....		18,482,584
1979.....		16,202,172
1980.....		16,202,172
1981.....		16,202,172
1982.....		16,202,172
1987.....		16,202,172
1988.....		16,202,172
1989.....		16,202,172
1992.....		44,161,200
1993.....		67,124,200
1994.....		67,124,199
1995.....		22,962,999
1996.....		14,720,400
1997.....		14,720,400
1998.....		14,720,400
<b>Total Repayments from General Fund.....</b>	<b>\$</b>	<b>473,298,928</b>



**STATE OF NEW YORK  
SHORT-TERM INVESTMENT POOL  
FISCAL YEARS 2010-11 THROUGH 2019-20  
(amounts in millions)**

**SCHEDULE 28**

	<u>2010-11</u>	<u>2011-12</u>	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>	<u>2016-17</u>	<u>2017-18</u>	<u>2018-19</u>	<u>2019-20</u>
Average Yields	0.226%	0.136%	0.167%	0.140%	0.117%	0.231%	0.635%	1.341%	2.221%	2.028%
Average Portfolio Balance	\$ 7,304.275	\$ 7,955.373	\$ 6,494.290	\$ 6,767.400	\$ 8,890.987	\$ 15,000.434	\$ 12,514.837	\$ 13,877.525	\$ 17,647.625	\$ 20,308.120
Total Interest Income Earned <sup>(*)</sup>	\$ 18.223	\$ 11.452	\$ 10.852	\$ 9.978	\$ 9.288	\$ 34.283	\$ 75.093	\$ 182.250	\$ 379.425	\$ 417.550



(\*) The Excelsior Linked Deposit Program authorizes the Comptroller to deposit State funds with participating lenders at a reduced rate of return when such lenders make lower cost loans, on the basis of such linked deposits, to qualifying businesses to improve productivity, competitiveness, access to new markets and exporting capabilities. The Banking Development District Program authorizes the Comptroller to deposit State funds at a reduced rate of return to encourage the establishment of commercial bank branches in areas where there is a demonstrated need for banking services. Actual earnings in STIP are lower by \$4,384,326 due to the effect of the Linked Deposit and Banking Development District programs.

**STATE OF NEW YORK  
INVESTMENT YIELDS, PORTFOLIO BALANCES AND INTEREST EARNINGS  
FISCAL YEAR ENDED MARCH 31, 2020  
(amounts in millions)**

**SCHEDULE 29**

	<b>AVERAGE YIELDS</b>	<b>AVERAGE-PORTFOLIO BALANCE</b>	<b>TOTAL INTEREST INCOME EARNED</b>
Short-Term Investment Pool (STIP)	2.028%	\$ 20,308.120	\$ 417.550 (*)
NYC General Debt Service Funds	1.939%	500.284	9.725
Other Funds:			
Fish and Game	3.246%	61.586	2.004
Local Gov't Assist. Tax Fund Debt Service	1.834%	40.175	0.738
Mental Health Services Fund	1.875%	76.734	1.442
Roswell Park - Cancer Institute	2.148%	15.219	0.327

**2019-2020 MONTHLY RESULTS**

	<b>April</b>	<b>May</b>	<b>June</b>	<b>July</b>	<b>August</b>	<b>September</b>	<b>October</b>	<b>November</b>	<b>December</b>	<b>January</b>	<b>February</b>	<b>March</b>
Short-Term Investment Pool												
Yield	2.495%	2.488%	2.459%	2.378%	2.258%	2.143%	2.037%	1.846%	1.741%	1.686%	1.609%	1.531%
Average Portfolio	\$ 19,702.7	\$ 20,900.6	\$ 18,366.2	\$ 19,671.8	\$ 18,621.3	\$ 20,135.5	\$ 20,374.0	\$ 19,508.9	\$ 18,640.1	\$ 22,840.1	\$ 26,149.2	\$ 25,023.5
NYC General Debt Service Funds												
Yield	2.368%	2.368%	2.089%	2.075%	2.095%	2.094%	1.785%	1.777%	1.804%	1.478%	1.499%	1.501%
Average Portfolio	\$ 269.5	\$ 208.4	\$ 558.5	\$ 2,363.9	\$ 391.9	\$ 251.3	\$ 281.2	\$ 225.0	\$ 18.6	\$ 862.1	\$ 405.0	\$ 139.4
Other Funds												
Yield	1.937%	2.305%	2.249%	9.002%	2.203%	2.133%	1.892%	1.749%	1.691%	1.679%	1.650%	1.536%
Average Portfolio	\$ 109.2	\$ 163.0	\$ 283.8	\$ 148.9	\$ 86.4	\$ 117.1	\$ 162.7	\$ 206.9	\$ 262.2	\$ 283.5	\$ 178.8	\$ 319.2

(\*) The Excelsior Linked Deposit Program authorizes the Comptroller to deposit State funds with participating lenders at a reduced rate of return when such lenders make lower cost loans, on the basis of such linked deposits, to qualifying businesses to improve productivity, competitiveness, access to new markets and exporting capabilities. The Banking Development District Program authorizes the Comptroller to deposit State funds at a reduced rate of return to encourage the establishment of commercial bank branches in areas where there is a demonstrated need for banking services. Actual earnings in STIP are lower by \$4,384,326 due to the effect of the Linked Deposit and Banking Development District programs.

**STATE OF NEW YORK  
INVESTMENT PORTFOLIO BALANCES  
AS OF MARCH 31, 2020**

**SCHEDULE 30**

	Treasury Bills	Government Sponsored Agencies	Repurchase Agreements (*)	Commercial Paper	Savings Account	Certificates of Deposit	Totals	
							2019-20	2018-19
Short-Term Investment Pool (STIP).....	\$ 1,412,950,000	\$ 592,320,000	\$ 22,639,019	\$ 16,509,016,000	\$ 2,395,000,000	\$ 548,748,801	\$ 21,480,673,820	\$ 16,943,933,701
NYC General Debt Service Funds.....	-	-	-	-	-	-	-	933,000
Other Funds:								
Fish and Game.....	64,270,000	-	-	-	-	-	64,270,000	54,000,000
Local Gov't Assist. Tax Fund Debt Service.....	-	-	-	-	-	-	-	-
Mental Health Services Fund.....	24,190,000	-	-	-	-	-	24,190,000	24,394,000
Roswell Park Cancer Institute.....	18,119,500	-	-	-	-	-	18,119,500	19,035,200
<b>TOTAL INVESTMENT PORTFOLIO.....</b>	<b>\$ 1,519,529,500</b>	<b>\$ 592,320,000</b>	<b>\$ 22,639,019</b>	<b>\$ 16,509,016,000</b>	<b>\$ 2,395,000,000</b>	<b>\$ 548,748,801</b>	<b>\$ 21,587,253,320</b>	<b>\$ 17,042,295,901</b>

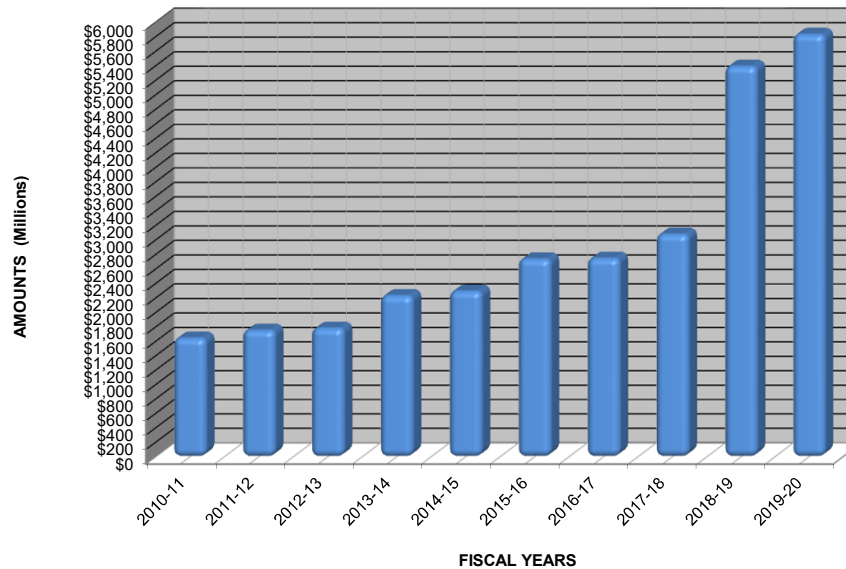
(\*) Par value of collateral held

**STATE OF NEW YORK  
 TEMPORARY LOANS OUTSTANDING (\*)  
 FISCAL YEARS 2010-11 THROUGH 2019-20**

**SCHEDULE 31**

DESCRIPTION	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	2019-20
STATE SPECIAL REVENUE FUNDS.....	\$ 413,840,422.81	\$ 455,159,430.76	\$ 419,499,664.90	\$ 442,503,062.90	\$ 323,140,719.98	\$ 345,240,384.02	\$ 298,260,936.34	\$ 312,983,433.23	\$ 349,741,388.88	\$ 378,683,666.13
FEDERAL FUNDS.....	344,096,270.51	341,941,278.04	322,956,954.98	643,460,447.10	718,739,098.23	1,258,139,231.72	979,612,229.30	1,189,756,139.24	3,416,782,834.39	3,898,120,941.68
ENTERPRISE FUNDS.....	-	-	-	-	-	-	72,549.27	88,944.37	335,202.11	415,477.58
INTERNAL SERVICE FUNDS.....	41,593,035.56	37,435,452.57	79,098,819.94	122,773,157.24	233,654,939.37	179,316,239.49	234,500,082.36	301,717,618.43	337,584,993.15	339,943,521.52
CAPITAL AND BOND REIMBURSABLE FUNDS...	856,641,092.46	930,502,988.49	972,368,348.38	1,034,847,615.92	1,029,229,405.60	966,447,979.04	1,246,132,185.60	1,285,872,692.13	1,300,440,314.22	1,225,847,992.33
AGENCY FUNDS.....	-	-	-	-	-	-	-	-	-	-
	<b>\$ 1,656,170,821.34</b>	<b>\$ 1,765,039,149.86</b>	<b>\$ 1,793,923,788.20</b>	<b>\$ 2,243,584,283.16</b>	<b>\$ 2,304,764,163.18</b>	<b>\$ 2,749,143,834.27</b>	<b>\$ 2,758,577,982.87</b>	<b>\$ 3,090,418,827.40</b>	<b>\$ 5,404,884,732.75</b>	<b>\$ 5,843,011,599.24</b>
<b>CHANGE FROM PRIOR YEAR</b>	<b>11.48%</b>	<b>6.57%</b>	<b>1.64%</b>	<b>25.07%</b>	<b>2.73%</b>	<b>19.28%</b>	<b>0.34%</b>	<b>12.03%</b>	<b>74.89%</b>	<b>8.11%</b>
<b>CHANGE FROM 2010-11 TO 2019-20</b>										<b>252.80%</b>

**TEMPORARY LOANS OUTSTANDING**



(\*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part TTT, Section 1, of the Laws of 2019-20. These loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account. In some cases, actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.



STATE OF NEW YORK  
 TEMPORARY LOANS OUTSTANDING (\*)  
 AS OF MARCH 31, 2020

SCHEDULE 32  
 (continued)

<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT OF LOAN</u>	<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT OF LOAN</u>
	<b>CAPITAL AND BOND REIMBURSABLE FUNDS</b>			<b>CAPITAL AND BOND REIMBURSABLE FUNDS (continued)</b>	
30051	HIGHWAY AND BRIDGE CAPITAL.....	65,800,227.45	30148	D24RVE - COBLESKILL.....	-
30053	AVIATION PURPOSE ACCOUNT.....	-	30149	REHAB/REPAIR DELHI.....	-
30101	REHAB/REPAIR MARITIME.....	-	30150	D25RVE - DELHI.....	-
30102	D21RVE - MARITIME.....	-	30151	REHAB/REPAIR FARMINGDALE.....	-
30103	D36RVE - CENTRAL ADMIN.....	-	30152	D26RVE - FARMINGDALE.....	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS.....	-	30153	REHAB/REPAIR MORRISVILLE.....	-
30105	REHAB/REPAIR ALBANY.....	-	30154	D27RVE - MORRISVILLE.....	-
30106	D01RVE - ALBANY.....	-	30351	STATE PARK INFRASTRUCTURE.....	43,951,035.32
30107	REHAB/REPAIR BINGHAMTON.....	-	30501	CW/CA IMPLEMENTATION DEC.....	-
30108	D07RVE - BINGHAMTON.....	-	30502	CW/CA IMPLEMENTATION STATE.....	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY.....	-	30503	CW/CA IMPLEMENTATION ERDA.....	-
30110	D28RVE - SUNY BUFFALO.....	-	30504	CW/CA IMPLEMENTATION EFC.....	-
30111	REHAB/REPAIR STONYBROOK.....	-	31506	HAZARDOUS WASTE CLEANUP ACCOUNT.....	116,951,090.21
30112	D13RVE - STONYBROOK.....	-	31701	YOUTH FACILITIES IMPROVEMENT.....	21,234,504.85
30113	REHAB/REPAIR BROOKLYN.....	-	31801	HOUSING ASSISTANCE.....	12,941,967.06
30114	D14RVE - HSC BROOKLYN.....	-	31851	HOUSING PROG FUND - HOUSING TRUST FUND CORPORATION.....	35,519,992.10
30115	REHAB/REPAIR SYRACUSE.....	-	31852	HOUSING PROG FUND - AFFORD HOUSING-CORPORATION.....	54,496,219.74
30116	D15RVE - HSC SYRACUSE.....	-	31853	HOUSING PROG FUND - DEPT OF SOCIAL SERVICES.....	126,535,379.93
30117	REHAB/REPAIR BROCKPORT.....	-	31854	HOUSING PROG FUND - HFA.....	-
30118	D02RVE - BROCKPORT.....	-	31951	HIGHWAY FACILITY PURPOSE.....	11,969,463.99
30119	REHAB/REPAIR BUFFALO COLLEGE.....	-	32213	NY RACING ACCOUNT.....	153,750.00
30120	D03RVE - SUB BUFFALO.....	-	32214	CAPITAL PROJECTS MISCELLANEOUS GIFTS.....	-
30121	REHAB/REPAIR CORTLAND.....	-	32215	IT CAPITAL FINANCING ACCT.....	712,729.64
30122	D04RVE - CORTLAND.....	-	32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION.....	-
30123	REHAB/REPAIR FREDONIA.....	-	32301	OPWDD - STATE FACILITIES PRE 12/99.....	-
30124	D05RVE - FREDONIA.....	-	32302	DSAS - COMMUNITY FACILITIES.....	-
30125	REHAB/REPAIR GENESEO.....	-	32303	OMH - COMMUNITY FACILITIES.....	114,555,741.54
30126	D06RVE - GENESEO.....	-	32304	OPWDD - COMMUNITY FACILITIES.....	-
30127	REHAB/REPAIR OLD WESTBURY.....	-	32305	OASAS - COMMUNITY FACILITIES.....	185,174,767.22
30128	D31RVE - OLD WESTBURY.....	-	32306	DASNY - OMH ADMIN.....	-
30129	REHAB/REPAIR NEW PALTZ.....	-	32307	DASNY - OPWDD ADMIN.....	4,005,578.39
30130	D08RVE - NEW PALTZ.....	-	32308	DASNY - OASAS ADMIN.....	883,591.20
30131	REHAB/REPAIR ONEONTA.....	-	32309	OMH - STATE FACILITIES.....	54,173,438.31
30132	D09RVE - ONEONTA.....	-	32310	OPWDD - STATE FACILITIES.....	11,021,897.38
30133	REHAB/REPAIR OSWEGO.....	-	32311	OASAS - STATE FACILITIES.....	119,787.38
30134	D10RVE - OSWEGO.....	-	32351	CORRECTIONAL FACILITY CAPITAL IMPROVEMENT.....	-
30135	REHAB/REPAIR PLATTSBURGH.....	-	32352	DOCCS - REHABILITATION PROJECTS.....	315,985,068.12
30136	D11RVE - PLATTSBURGH.....	-	32353	CORRECTIONAL FACILITY CAPITAL CLOSURE.....	-
30137	REHAB/REPAIR POTSDAM.....	-	33001	STORM RECOVERY ACCOUNT.....	49,661,762.50
30138	D12RVE - POTSDAM.....	-			
30139	REHAB/REPAIR PURCHASE.....	-		<b>TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS.....</b>	<b>\$ 1,225,847,992.33</b>
30140	D29RVE - PURCHASE.....	-			
30141	REHAB/REPAIR FOR UTICA/ROME.....	-		<b>ENTERPRISE FUNDS</b>	
30142	D27RVE - CAMPUS RESERVE.....	-	50318	OGS CONVENTION CENTER ACCOUNT.....	221,849.53
30143	REHAB/REPAIR ALFRED.....	-	50327	EMPIRE STATE PLAZA GIFT SHOP.....	193,628.05
30144	D22RVE - ALFRED.....	-			
30145	REHAB/REPAIR CANTON.....	-		<b>TOTAL ENTERPRISE FUNDS.....</b>	<b>\$ 415,477.58</b>
30146	D23RVE - CANTON.....	-			
30147	REHAB/REPAIR COBLESKILL.....	-			

**STATE OF NEW YORK  
TEMPORARY LOANS OUTSTANDING (\*)  
AS OF MARCH 31, 2020**

**SCHEDULE 32  
(continued)**

<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT OF LOAN</u>	<u>FUND</u>	<u>FUND DESCRIPTION</u>	<u>AMOUNT OF LOAN</u>
<b>INTERNAL SERVICE FUNDS</b>			<b>INTERNAL SERVICE FUNDS (continued)</b>		
55001	CENTRALIZED SERVICES - FLEET MANAGEMENT.....	-	55052	ARCHIVES RECORD MANAGEMENT.....	103,251.56
55002	CENTRALIZED SERVICES - INFORMATION RESOURCE MANAGEMENT.....	-	55053	FEDERAL SINGLE AUDIT.....	-
55003	CENTRALIZED SERVICES - PRINTING.....	1,379,068.29	55056	CIVIL SERVICE EHS OCCUPATIONAL HEALTH PROGRAM.....	-
55004	CENTRALIZED SERVICES - REAL PROPERTY LABOR.....	-	55057	BANKING SERVICES ACCOUNT.....	-
55005	CENTRALIZED SERVICES - DONATED FOODS.....	132,128.31	55058	CULTURAL RESOURCE SURVEY.....	2,379,253.82
55006	CENTRALIZED SERVICES - FEDERAL PERSONAL PROPERTY.....	-	55059	NEIGHBOR WORK PROJECT.....	11,223,387.17
55007	CENTRALIZED SERVICES - CONSTRUCTION SERVICES.....	4,465,004.08	55060	AUTOMATIC / PRINT CHARGEBACKS.....	-
55008	CENTRALIZED SERVICES - PASNY.....	12,143,321.76	55061	OFFICE OF INFORMATION TECHNOLOGY SERVICES/ NYT.....	2,229,110.71
55009	CENTRALIZED SERVICES - ADMINISTRATIVE SUPPORT.....	-	55062	DATA CENTER ACCOUNT.....	41,893,207.51
55010	CENTRALIZED SERVICES - DESIGN AND CONSTRUCTION.....	21,793,727.51	55066	CYBER SECURITY INTRUSION ACCOUNT.....	1,261,584.27
55011	CENTRALIZED SERVICES - INSURANCE SERVICE.....	2,841,070.34	55067	DOMESTIC VIOLENCE GRANT.....	49,811.96
55012	CENTRALIZED SERVICES - SECURITY CARD ACCESS.....	233,445.30	55069	CENTRALIZED TECHNOLOGY SERVICES.....	67,479,142.96
55013	CENTRALIZED SERVICES - COPS.....	-	55071	LABOR CONTACT CENTER.....	24,533.09
55014	CENTRALIZED SERVICES - FOOD SERVICES.....	-	55072	HUMAN SERVICES CONTACT CENTER.....	-
55015	CENTRALIZED SERVICES - HOMER FOLKS.....	-	55073	TAX CONTACT CENTER.....	-
55016	CENTRALIZED SERVICES - INTERAGENCY MAIL & MESSENGER COURIER SERVICE..	1,548,891.08	55074	CIVIL RECOVERIES ACCOUNT.....	-
55017	DOWNSTATE WAREHOUSE.....	517,219.05	55251	EXECUTIVE DIRECTION INTERNAL AUDIT.....	8,769,166.48
55018	BUILDING ADMINISTRATION ACCOUNT.....	4,234,390.95	55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES.....	28,767,480.09
55019	LEASE SPACE INITIATIVE.....	-	55300	HEALTH INSURANCE INTERNAL SERVICE.....	10,488,312.42
55020	OGS ENTERPRISE CONTRACTING.....	55,515,435.18	55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM ACCOUNT.....	3,775,947.06
55021	NYS MEDIA CENTER.....	7,237,402.82	55350	CORRECTIONAL INDUSTRIES INTERNAL SERVICE.....	22,542,328.99
55022	BUSINESS SERVICES CENTER.....	26,915,898.76			
				<b>TOTAL INTERNAL SERVICE FUNDS.....</b>	<b>\$ 339,943,521.52</b>
<b>AGENCY FUNDS</b>					
60201	EMPLOYEES HEALTH INSURANCE ACCT.....	-			
60901	MMIS - STATE AND FEDERAL.....	-			
<b>TOTAL AGENCY FUNDS.....</b>		<b>\$ -</b>	<b>GRAND TOTAL.....</b>		<b>\$ 5,843,011,599.24</b>

(\*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part TTT, Section 1, of the Laws of 2019-20. The loans represent authorizations made by the Legislature to allow certain funds to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account. In some cases, actual revenues are not sufficient to repay all loans made to the fund and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

**STATE OF NEW YORK**  
**SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT**  
**FISCAL YEAR ENDED MARCH 31, 2020**

**SCHEDULE 33**

This schedule presents balances of petty cash, travel, and other cash advances (i.e., confidential, patient, and inmate work release) issued to State business units pursuant to Section 115 of the State Finance Law. Advances are issued from business unit appropriations and cash is transferred from the State Treasury to a local bank account for appropriate use. Cash advance accounts are reimbursed periodically by vouchers audited and approved by the State Comptroller for payment out of the State Treasury.

BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
Adirondack Park Agency.....	\$ 4,000	\$ -	\$ -	\$ 4,000
Agriculture and Markets, Department of.....	10,000	-	-	10,000
Alcoholic Beverage Control, Division of.....	-	-	2,000	2,000
Addiction Services and Supports, Office of:				
Addiction Services and Supports, Office of.....	2,800	-	-	2,800
Kingsboro Addiction Treatment Center.....	1,500	-	-	1,500
Budget, Division of the.....	5,000	-	-	5,000
Children and Family Services, Office of:				
Children and Family Services, Office of.....	27,150	-	54,000	81,150
Harriet Tubman Residential Center.....	500	-	-	500
City University of New York:				
College of Staten Island.....	5,500	-	-	5,500
Hunter College.....	55,000	-	-	55,000
John Jay College.....	18,700	-	-	18,700
Lehman College.....	5,000	-	-	5,000
New York City College of Technology.....	5,000	-	-	5,000
School of Journalism.....	5,000	-	-	5,000
School of Law.....	30,000	-	-	30,000
University Accounting Office - Accounts Payable.....	13,000	-	-	13,000
York College.....	10,500	-	-	10,500
Civil Service, Department of.....	3,000	-	-	3,000
Correction, State Commission of.....	2,000	-	-	2,000
Corrections and Community Supervision (Corcraft), Department of.....	4,000	-	-	4,000
Corrections and Community Supervision, Department of:				
Adirondack Correctional Facility.....	500	250	100	850
Albion Correctional Facility.....	2,000	500	2,700	5,200



STATE OF NEW YORK  
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT  
FISCAL YEAR ENDED MARCH 31, 2020

SCHEDULE 33  
(continued)

BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
Altona Correctional Facility.....	2,500	600	200	3,300
Attica Correctional Facility.....	1,500	500	200	2,200
Auburn Correctional Facility.....	4,000	1,000	250	5,250
Bare Hill Correctional Facility.....	1,500	500	500	2,500
Bedford Hills Correctional Facility.....	5,000	250	500	5,750
Cape Vincent Correctional Facility.....	1,000	1,000	500	2,500
Cayuga Correctional Facility.....	1,500	100	300	1,900
Central Pharmacy.....	1,000	-	-	1,000
Clinton Correctional Facility.....	10,500	3,000	500	14,000
Collins Correctional Facility.....	2,500	100	2,250	4,850
Coxsackie Correctional Facility.....	2,000	-	700	2,700
Downstate Correctional Facility.....	1,700	100	1,000	2,800
Eastern Correctional Facility.....	2,500	300	50	2,850
Edgecombe Correctional Facility.....	1,000	100	500	1,600
Elmira Correctional Facility.....	2,000	300	650	2,950
Fishkill Correctional Facility.....	1,000	1,000	3,500	5,500
Five Points Correctional Facility.....	500	500	250	1,250
Franklin Correctional Facility.....	4,500	300	1,000	5,800
Gouverneur Correctional Facility.....	1,000	250	500	1,750
Gowanda Correctional Facility.....	2,200	500	200	2,900
Great Meadow Correctional Facility.....	5,500	500	300	6,300
Green Haven Correctional Facility.....	2,000	500	300	2,800
Greene Correctional Facility.....	1,000	500	1,000	2,500
Groveland Correctional Facility.....	2,000	100	400	2,500
Hale Creek Alcohol and Substance Abuse Correctional Treatment Center .....	650	250	25	925
Hudson Correctional Facility.....	3,000	100	2,050	5,150
Lakeview Shock Incarceration Correctional Facility.....	3,000	500	200	3,700
Marcy Correctional Facility.....	3,500	250	500	4,250
Mid-State Correctional Facility.....	1,000	600	300	1,900
Mohawk Correctional Facility.....	2,500	100	250	2,850
Moriah Shock Incarceration Correctional Facility.....	2,000	-	-	2,000
Ogdensburg Correctional Facility.....	2,500	550	250	3,300
Operations - Central Office.....	20,000	5,000	2,500	27,500
Orleans Correctional Facility.....	2,500	250	500	3,250
Otisville Correctional Facility.....	1,000	-	50	1,050
Queensboro Correctional Facility.....	2,000	1,000	8,100	11,100
Riverview Correctional Facility.....	1,000	500	-	1,500
Rochester Correctional Facility.....	1,600	-	7,000	8,600
Shawangunk Correctional Facility.....	1,200	-	100	1,300
Sing Sing Correctional Facility.....	7,000	250	500	7,750

STATE OF NEW YORK  
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT  
FISCAL YEAR ENDED MARCH 31, 2020

SCHEDULE 33  
(continued)

BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
Southport Correctional Facility.....	500	100	200	800
Sullivan Correctional Facility.....	2,000	100	250	2,350
Taconic Correctional Facility.....	3,000	700	500	4,200
Ulster Correctional Facility.....	600	-	1,000	1,600
Upstate Correctional Facility.....	1,600	500	500	2,600
Wallkill Correctional Facility.....	1,000	250	300	1,550
Washington Correctional Facility.....	2,000	250	200	2,450
Watertown Correctional Facility.....	1,000	1,500	500	3,000
Wende Correctional Facility.....	2,500	1,000	250	3,750
Willard Drug Treatment Center.....	200	500	300	1,000
Woodbourne Correctional Facility.....	1,300	200	100	1,600
Wyoming Correctional Facility.....	4,300	1,000	1,000	6,300
Criminal Justice Services, Division of.....	3,000	-	-	3,000
Education, State Department of:				
Batavia School for the Blind.....	4,000	-	-	4,000
Education, State Department of.....	3,000	-	297,000	300,000
Rome School for the Deaf.....	2,000	-	-	2,000
Elections, Board of.....	-	-	5,000	5,000
Employee Relations, Office of.....	2,000	-	-	2,000
Environmental Conservation, Department of.....	30,000	-	37,000	67,000
Financial Control Board for New York City.....	750	-	-	750
Financial Services, Department of.....	6,000	-	10,000	16,000
General Services, Office of:				
Business Service Center - General.....	25,000	-	-	25,000
General Services, Office of.....	20,000	-	3,300,000	3,320,000
General Services New York Power Authority Miscellaneous, Office of.....	-	-	6,000,000	6,000,000
Health, Department of:				
Health, Department of.....	14,950	-	7,000	21,950
Helen Hayes Hospital.....	20,000	-	-	20,000
New York State Veterans' Home at Montrose.....	15,000	-	-	15,000
New York State Veterans' Home at Oxford.....	13,000	-	1,200	14,200

**STATE OF NEW YORK  
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT  
FISCAL YEAR ENDED MARCH 31, 2020**

**SCHEDULE 33  
(continued)**

<u>BUSINESS UNIT</u>	<u>PETTY CASH</u>	<u>TRAVEL</u>	<u>OTHER</u>	<u>TOTAL ADVANCES</u>
New York State Veterans' Home at St Albans.....	15,000	-	-	15,000
Western New York Veterans' Home at Batavia.....	4,000	-	1,500	5,500
Homeland Security, Department of.....	-	-	15,000	15,000
Human Rights, Division of.....	4,500	-	-	4,500
Inspector General, Office of the State.....	1,500	-	30,000	31,500
Joint Commission on Public Ethics.....	500	-	-	500
Judicial Conduct.....	4,000	-	-	4,000
Justice Center for the Protection of People with Special Needs.....	2,000	-	-	2,000
Labor, Department of.....	28,000	-	-	28,000
Lake George Park Commission.....	2,000	-	-	2,000
Law, Department of:				
Attorney General, Office of the.....	30,050	-	212,949	242,999
Medicaid Fraud Control.....	8,000	-	40,000	48,000
Legislative Bill Drafting Commission.....	1,000	1,000	-	2,000
Legislature - Assembly:				
Legislative Task Force on Demographic Research and Reapportionment.....	250	-	-	250
New York State Assembly.....	18,750	4,000	-	22,750
Medicaid Inspector General, Office of.....	500	-	1,000	1,500
Mental Health, Office of:				
Brooklyn Children's Psychiatric Center.....	5,000	-	-	5,000
Bronx Children's Psychiatric Center.....	8,000	-	3,025	11,025
Bronx Psychiatric Center.....	5,000	-	19,020	24,020
Buffalo Psychiatric Center.....	45,500	-	30,020	75,520
Capital District Psychiatric Center.....	5,100	-	7,410	12,510
Central New York Psychiatric Center.....	5,550	-	5,225	10,775
Creedmoor Psychiatric Center.....	15,000	-	56,000	71,000
Elmira Psychiatric Center.....	11,000	-	6,350	17,350
Greater Binghamton Health Center.....	3,500	-	8,000	11,500
Kingsboro Psychiatric Center.....	21,793	-	27,545	49,338

STATE OF NEW YORK  
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT  
FISCAL YEAR ENDED MARCH 31, 2020

SCHEDULE 33  
(continued)

BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
Kirby Forensic Psychiatric Center.....	18,600	-	-	18,600
Manhattan Psychiatric Center.....	32,000	-	-	32,000
Mental Health, Office of.....	19,500	-	-	19,500
Mid-Hudson Forensic Psychiatric Center.....	16,925	-	-	16,925
Mohawk Valley Psychiatric Center.....	6,000	-	11,000	17,000
Nathan Kline Institute.....	1,300	-	-	1,300
New York State Psychiatric Institute.....	2,000	-	-	2,000
Pilgrim Psychiatric Center.....	5,000	-	60,000	65,000
Queens Facility Wide.....	11,000	-	-	11,000
Richard H. Hutchins Psychiatric Center.....	10,000	-	6,000	16,000
Rochester Psychiatric Center.....	17,000	-	24,093	41,093
Rockland Children's Psychiatric Center.....	19,200	-	800	20,000
Rockland Psychiatric Center.....	35,000	-	41,500	76,500
Sagamore Children's Psychiatric Center.....	3,500	-	2,275	5,775
South Beach Psychiatric Center.....	9,000	-	18,000	27,000
St. Lawrence Psychiatric Center.....	7,350	-	13,534	20,884
Western New York Children's Psychiatric Center.....	2,000	-	1,325	3,325
Military and Naval Affairs, Division of.....	20,000	-	-	20,000
Motor Vehicles, Department of.....	440,790	1,000	28,000	469,790
NYS Gaming Commission.....	1,000	-	-	1,000
Parks, Recreation and Historic Preservation, Office of.....	40,000	-	273,090	313,090
People With Developmental Disabilities, Office for:				
Bernard M Fineson DDSO.....	12,000	-	-	12,000
Brooklyn DDSO.....	34,000	-	-	34,000
Broome DDSO.....	45,675	-	1,450	47,125
Capital District DDSO.....	50,000	-	-	50,000
Central New York DDSO.....	40,000	-	-	40,000
Finger Lakes DDSO.....	78,100	-	34,500	112,600
Hudson Valley DDSO.....	40,302	-	-	40,302
Institute for Basic Research in Development Disabilities.....	3,000	-	-	3,000
Long Island DDSO.....	25,000	-	-	25,000
Metro New York DDSO.....	38,505	-	-	38,505
People With Developmental Disabilities, Office for.....	2,000	-	-	2,000
Staten Island DDSO.....	19,000	-	-	19,000

**STATE OF NEW YORK  
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT  
FISCAL YEAR ENDED MARCH 31, 2020**

**SCHEDULE 33  
(continued)**

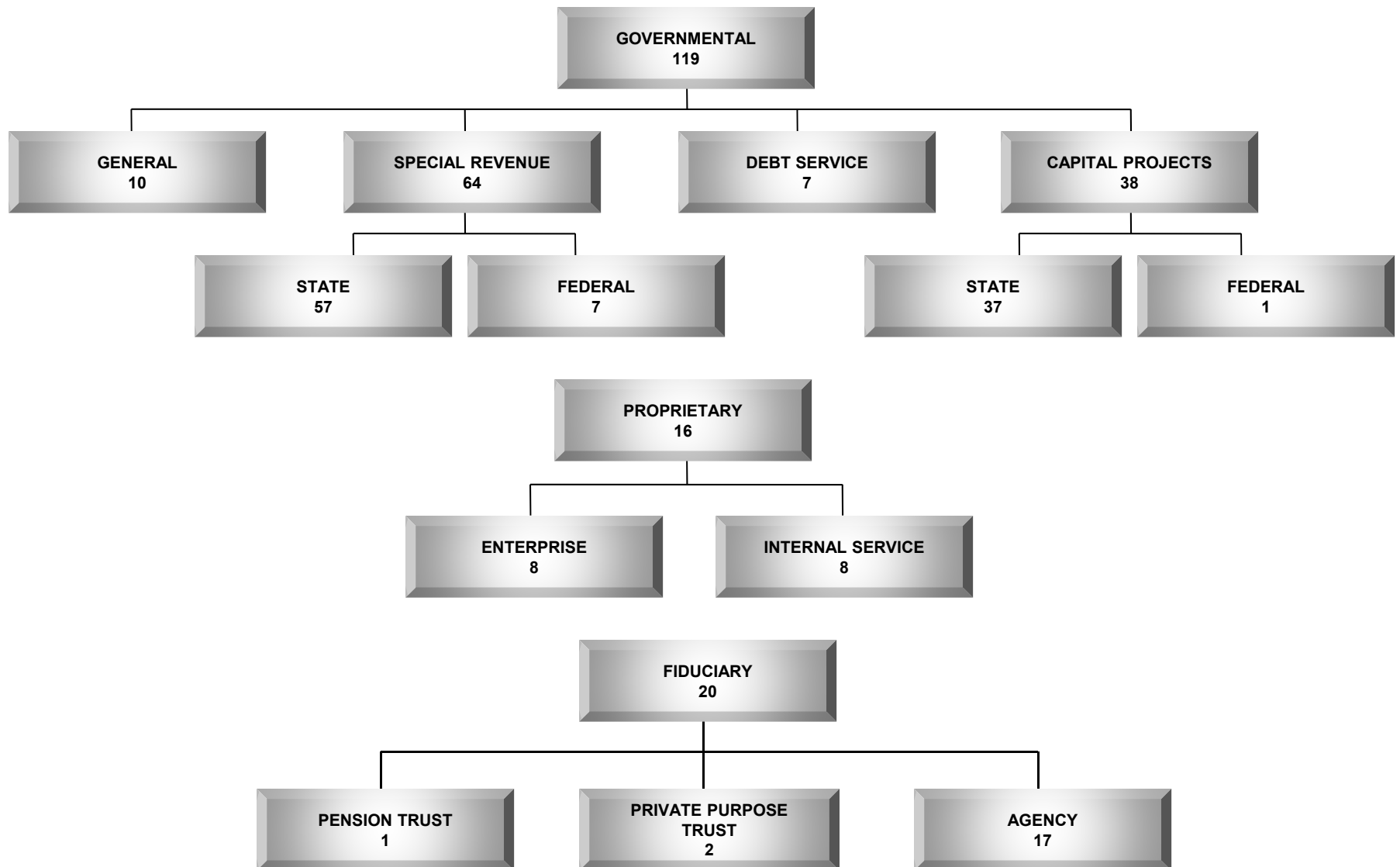
<b>BUSINESS UNIT</b>	<b>PETTY CASH</b>	<b>TRAVEL</b>	<b>OTHER</b>	<b>TOTAL ADVANCES</b>
Sunmount DDSO.....	26,000	-	-	26,000
Taconic DDSO.....	67,000	-	-	67,000
Western New York DDSO.....	60,000	-	50,000	110,000
Public Employment Relations Board.....	1,500	-	-	1,500
Public Service, Department of.....	4,000	-	-	4,000
State Comptroller, Office of the.....	9,400	15,650	-	25,050
State Police, Division of:				
New York State Police - Troop A.....	1,000	-	14,500	15,500
New York State Police - Troop B.....	1,000	-	12,000	13,000
New York State Police - Troop C.....	1,000	-	14,500	15,500
New York State Police - Troop D.....	1,000	-	14,500	15,500
New York State Police - Troop E.....	1,000	-	14,500	15,500
New York State Police - Troop F.....	1,000	-	12,000	13,000
New York State Police - Troop G.....	1,000	-	20,000	21,000
New York State Police - Troop K.....	1,000	-	12,000	13,000
New York State Police - Troop L.....	-	-	8,000	8,000
New York State Police - Troop New York City.....	-	-	500	500
OCT-Response & Training Sect/HQ.....	-	-	4,000	4,000
State Police, Division of.....	2,000	-	121,000	123,000
State University of New York:				
Central Administration.....	-	-	2,500,000	2,500,000
State, Department of:				
State, Department of.....	17,000	-	-	17,000
Tug Hill Commission.....	1,750	-	-	1,750
Taxation and Finance, Department of.....	15,000	-	30,000	45,000
Temporary and Disability Assistance, Office of.....	10,000	-	-	10,000
Transportation, Department of.....	30,000	23,100	-	53,100

STATE OF NEW YORK  
SUMMARY OF CASH ADVANCE ACCOUNTS BY BUSINESS UNIT AND DEPARTMENT  
FISCAL YEAR ENDED MARCH 31, 2020

SCHEDULE 33  
(continued)

BUSINESS UNIT	PETTY CASH	TRAVEL	OTHER	TOTAL ADVANCES
Unified Court System:				
Appellate Division - 1st Judicial Department.....	300	-	-	300
Court Administration Budget and Finance, Office of.....	2,500	-	-	2,500
Court Administration New York City, Office of.....	500	-	-	500
Court of Appeals.....	50	-	-	50
Lawyers' Fund for Client Protection.....	5,000	-	-	5,000
2nd Judicial District Kings County.....	900	-	-	900
4th District Administration.....	415	-	-	415
5th District Administration.....	655	-	-	655
6th District Administration.....	800	-	-	800
7th District Administration.....	450	-	-	450
8th District Administration.....	1,350	-	-	1,350
9th District Administration.....	900	-	-	900
10th Judicial District Nassau County.....	500	-	-	500
10th Judicial District Suffolk County.....	950	-	-	950
Victim Services, Office of.....	1,550	-	170,000	171,550
Welfare Inspector General, Office of.....	-	-	15,000	15,000
<b>Total of Cash Advance Accounts by Business Unit and Department.....</b>	<b>\$ 2,121,910</b>	<b>\$ 72,950</b>	<b>\$ 13,750,086</b>	<b>\$ 15,944,946</b>

**STATE OF NEW YORK  
FUND STRUCTURE  
NUMBER OF MAJOR FUNDS PER FUND TYPE  
AS OF MARCH 31, 2020**



STATE OF NEW YORK  
 FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS  
 AS OF MARCH 31, 2020

APPENDIX  
 (continued)

FUND NUMBER	FUND NAME	FUND CLASSIFICATION	YEAR ESTABLISHED	AUTHORIZATION
10000-10049	Local Assistance Account	General	1981	State Finance Law, §72 (2a)
10050-10099	State Operations Account	General	1981	State Finance Law, §72 (2b)
10100-10149	Tax Stabilization Reserve	General	1984	State Finance Law, §92
10150-10199	Contingency Reserve	General	1993	Laws of 1993, Chapter 60, §41
10200-10249	Universal Pre-Kindergarten Reserve	General	2000	State Finance Law, §97-vvv
10250-10299	Community Projects	General	1996	State Finance Law, §99-d
10300-10349	Rainy Day Reserve	General	2007	State Finance Law, §92-cc
10400-10449	Refund Reserve Account	General	1978	State Finance Law, §71 and Tax Law, §171-a
10500-10549	Fringe Benefit Escrow Account	General	1970	State Finance Law, §8-b and Civil Service Law, §168
10550-10599	Tobacco Revenue Guarantee	General	2003	State Finance Law, §97-cccc
20000-20099	Mental Health Gifts and Donations	Special Revenue - State	1984	Mental Hygiene Law, §7.29 and §13.29
20100-20299	Combined Expendable Trust	Special Revenue - State	1982	State Finance Law, §71 and State Finance Law, §11
20300-20349	New York Interest on Lawyer Account (IOLA)	Special Revenue - State	1983	State Finance Law, §97-v
20350-20399	New York State Archives Partnership Trust	Special Revenue - State	1992	Laws of 1992, Chapter 758, §7
20400-20449	Child Performer's Protection	Special Revenue - State	2003	State Finance Law, §99-j
20450-20499	Tuition Reimbursement	Special Revenue - State	1990	State Finance Law, §97-hh
20500-20549	Local Government Records Management Improvement	Special Revenue - State	1989	State Finance Law, §97-i
20550-20599	School Tax Relief	Special Revenue - State	1998	State Finance Law, §97-rrr
20600-20649	Charter Schools Stimulus	Special Revenue - State	1999	State Finance Law, §97-sss
20650-20699	Not-For-Profit Short-Term Revolving Loan	Special Revenue - State	1991	State Finance Law, §97-jj
20800-20849	Health Care Reform Act Resources	Special Revenue - State	2000	State Finance Law, §92-dd
20850-20899	Dedicated Mass Transportation Trust	Special Revenue - State	1991	State Finance Law, §89-c
20900-20949	State Lottery	Special Revenue - State	1967	State Finance Law, §92-c
20950-20999	Combined Student Loan	Special Revenue - State	1982	State Finance Law, §71
21000-21049	Sewage Treatment Program Management and Administration	Special Revenue - State	1989	State Finance Law, §97-l
21050-21149	ENCON Special Revenue	Special Revenue - State	1992	State Finance Law, §71
21150-21199	Conservation	Special Revenue - State	1940	State Finance Law, §83
21200-21249	Environmental Protection and Oil Spill Compensation	Special Revenue - State	1978	Navigation Law, §179
21250-21299	Training and Education Program on Occupational Safety and Health	Special Revenue - State	1985	State Finance Law, §97-c and Labor Law, §887
21300-21349	Lawyers' Fund For Client Protection	Special Revenue - State	1981	State Finance Law, §97-t
21350-21399	Equipment Loan Fund for the Disabled	Special Revenue - State	1985	Social Services Law, §326-b
21400-21449	Mass Transportation Operating Assistance	Special Revenue - State	1981	State Finance Law, §88-a
21450-21499	Clean Air	Special Revenue - State	1993	State Finance Law, §97-oo
21500-21549	New York State Infrastructure Trust	Special Revenue - State	1987	State Finance Law, §88
21550-21599	Legislative Computer Services	Special Revenue - State	1984	State Finance Law, §97-uu
21600-21649	Biodiversity Stewardship and Research	Special Revenue - State	1993	State Finance Law, §97-oo
21650-21699	Combined Non-Expendable Trust	Special Revenue - State	1982	State Finance Law, §71
21700-21749	Winter Sports Education Trust	Special Revenue - State	1977	Education Law, §495-a
21750-21799	Musical Instrument Revolving	Special Revenue - State	1983	State Finance Law, §97-v
21850-21899	Arts Capital Revolving	Special Revenue - State	1987	State Finance Law, §97-z
21900-22499	Miscellaneous State Special Revenue	Special Revenue - State	1982	State Finance Law, §71



STATE OF NEW YORK  
 FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS  
 AS OF MARCH 31, 2020

APPENDIX  
 (continued)

FUND NUMBER	FUND NAME	FUND CLASSIFICATION	YEAR ESTABLISHED	AUTHORIZATION
22500-22549	Court Facilities Incentive Aid	Special Revenue - State	1987	State Finance Law, §94
22550-22599	Employment Training	Special Revenue - State	1983	State Finance Law, §71
22650-22699	State University Income	Special Revenue - State	1959	Education Law, §355 (4)
22700-22749	Chemical Dependence Service	Special Revenue - State	1984	State Finance Law, §97-w
22750-22799	Lake George Park Trust	Special Revenue - State	1987	State Finance Law, §97-h
22800-22849	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	Special Revenue - State	1992	State Finance Law, §89-d and §97-mm
22850-22899	New York Great Lakes Protection	Special Revenue - State	1990	State Finance Law, §97-ee
22900-22949	Federal Revenue Maximization Contract	Special Revenue - State	1996	State Finance Law, §97-ttt
22950-22999	Housing Development	Special Revenue - State	1968	Private Housing Finance Law, §574
23000-23049	NYS DOT Highway Safety Program	Special Revenue - State	1990	State Finance Law, §90
23050-23099	Vocational Rehabilitation	Special Revenue - State	1969	State Finance Law, §97-lll
23100-23149	Drinking Water Program Management and Administration	Special Revenue - State	1997	State Finance Law, §97-ddd
23150-23199	New York City County Clerks' Operations Offset	Special Revenue - State	1992	State Finance Law, §94-a
23200-23249	Judiciary Data Processing Offset	Special Revenue - State	1992	State Finance Law, §94-b
23250-23449	City University Tuition Reimbursement (CUTRA)	Special Revenue - State	1995	State Finance Law, §71
23500-23549	US Olympic Committee/Lake Placid Olympic Training	Special Revenue - State	1995	State Finance Law, §84
23550-23599	Indigent Legal Services	Special Revenue - State	2003	State Finance Law, §98-b
23600-23649	Unemployment Insurance Interest and Penalty	Special Revenue - State	1952	Labor Law, §552
23650-23699	MTA Financial Assistance	Special Revenue - State	2009	State Finance Law, §92-ff
23700-23749	Commercial Gaming Revenue	Special Revenue - State	2013	State Finance Law, §97-nnnn
23750-23799	Medical Marijuana Trust	Special Revenue - State	2014	State Finance Law, §89-h
23800-23899	Dedicated Miscellaneous State Special Revenue	Special Revenue - State	2016	State Finance Law, §71
24850-24899	Health Care Transition	Special Revenue - State	2018	State Finance Law, §92-hh
24900-24949	Charitable Gifts Trust	Special Revenue - State	2018	State Finance Law, §92-gg
24950-24999	Interactive Fantasy Sports	Special Revenue - State	2016	Racing, Pari-Mutuel Wagering and Breeding Law, Article 14
40350-40399	State University Dormitory Income	Special Revenue - State	1955	Education Law, §355 (8)
25000-25099	Federal USDA/Food and Nutrition Services	Special Revenue - Federal	1988	State Finance Law, §71
25100-25199	Federal Health and Human Services	Special Revenue - Federal	1972	State Finance Law, §71
25200-25249	Federal Education	Special Revenue - Federal	1998	State Finance Law, §71
25300-25899	Federal Miscellaneous Operating Grants	Special Revenue - Federal	1981	State Finance Law, §71
25900-25949	Unemployment Insurance Administration	Special Revenue - Federal	1936	Labor Law, §551, §552-a, §552-b and §530
25950-25999	Unemployment Insurance Occupational Training	Special Revenue - Federal	1967	State Finance Law, §71
26000-26049	Federal Employment and Training Grants	Special Revenue - Federal	1983	State Finance Law, §71
30000-30049	State Capital Projects	Capital Projects - State	1982	State Finance Law, §93
30050-30099	Dedicated Highway and Bridge Trust	Capital Projects - State	1991	State Finance Law, §89-b
30100-30299	State University Residence Halls Rehabilitation and Repair	Capital Projects - State	1991	State Finance Law, §71
30300-30349	New York State Canal System Development	Capital Projects - State	1992	State Finance Law, §92-u
30350-30399	State Park Infrastructure	Capital Projects - State	1993	State Finance Law, §97-mm
30400-30449	Passenger Facility Charge	Capital Projects - State	1993	State Finance Law, §90-a
30450-30499	Environmental Protection	Capital Projects - State	1993	State Finance Law, §92-s
30500-30549	Clean Water/Clean Air Implementation	Capital Projects - State	1997	State Finance Law, §97-eee

STATE OF NEW YORK  
 FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS  
 AS OF MARCH 31, 2020

APPENDIX  
 (continued)

FUND NUMBER	FUND NAME	FUND CLASSIFICATION	YEAR ESTABLISHED	AUTHORIZATION
30600-30609	Energy Conservation Through Improved Transportation Bond	Capital Projects - State	1980	State Finance Law, §57 (5), §76 (2) and §76 (3)
30610-30619	Park and Recreation Land Acquisition Bond	Capital Projects - State	1960	State Finance Law, §57 (5)
30620-30629	Pure Waters Bond	Capital Projects - State	1965	State Finance Law, §57 (5)
30630-30639	Transportation Capital Facilities Bond	Capital Projects - State	1967	State Finance Law, §57 (5)
30640-30649	Environmental Quality Protection Bond Act (1972)	Capital Projects - State	1973	State Finance Law, §97-a
30650-30659	Rebuild and Renew New York Transportation Bond	Capital Projects - State	2005	State Finance Law, §97-eeee
30660-30669	Transportation Infrastructure Renewal Bond	Capital Projects - State	1983	State Finance Law, §74
30670-30679	Environmental Quality Bond Act (1986)	Capital Projects - State	1986	State Finance Law, §97-d
30680-30689	Accelerated Capacity and Transportation Improvements Bond	Capital Projects - State	1988	State Finance Law, §77
30690-30699	Clean Water/Clean Air Bond	Capital Projects - State	1996	State Finance Law, §97-aaa
30700-30709	State Housing Bond	Capital Projects - State	1939	State Finance Law, §60 (5)
30710-30719	Smart Schools Bond	Capital Projects - State	2014	State Finance Law, §97-oooo
30750-30799	Outdoor Recreation Development Bond	Capital Projects - State	1965	State Finance Law, §57 (5)
30900-30949	Rail Preservation and Development Bond	Capital Projects - State	1974	State Finance Law, §76
31350-31449	Federal Capital Projects	Capital Projects - Federal	1982	State Finance Law, §71
31450-31499	Forest Preserve Expansion	Capital Projects - State	1958	State Finance Law, §97-e
31500-31549	Hazardous Waste Remedial	Capital Projects - State	1982	State Finance Law, §97-b
31650-31699	Suburban Transportation	Capital Projects - State	1987	State Finance Law, §88-b
31700-31749	Division For Youth Facilities Improvement	Capital Projects - State	1990	State Finance Law, §97-gg
31800-31849	Housing Assistance	Capital Projects - State	1988	State Finance Law, §92-q
31850-31899	Housing Program	Capital Projects - State	1990	State Finance Law, §71
31900-31949	Natural Resource Damages	Capital Projects - State	1994	State Finance Law, §71
31950-31999	Department of Transportation Engineering Services	Capital Projects - State	1989	State Finance Law, §71
32200-32249	Miscellaneous Capital Projects	Capital Projects - State	1988	State Finance Law, §71
32250-32299	City University of New York Capital Projects	Capital Projects - State	1987	State Finance Law, §71 and Education Law, §377
32300-32349	Mental Hygiene Facilities Capital Improvement	Capital Projects - State	1990	State Finance Law, §71
32350-32399	Correctional Facilities Capital Improvement	Capital Projects - State	1990	State Finance Law, §71
32400-32999	State University Capital Projects	Capital Projects - State	1977	State Finance Law, §71
33000-33049	New York State Storm Recovery	Capital Projects - State	2013	State Finance Law, §93-a
33050-33099	Dedicated Infrastructure Investment Fund	Capital Projects - State	2015	State Finance Law, §93-b
40000-40049	Debt Reduction Reserve	Debt Service	1998	State Finance Law, §97-rrr
40100-40149	Mental Health Services	Debt Service	1987	State Finance Law, §97-f
40150-40199	General Debt Service	Debt Service	1982	State Finance Law, §71, §72, §73, §92-h and §92-z
40250-40299	Housing Debt	Debt Service	1940	State Finance Law, §97
40300-40349	Department of Health Income	Debt Service	1971	Public Health Law, §409
40400-40449	Clean Water/Clean Air	Debt Service	1996	State Finance Law, §97-bbb
40450-40499	Local Government Assistance Tax	Debt Service	1990	State Finance Law, §92-r
50000-50049	Youth Commissary	Enterprise	1970	Executive Law, §517
50050-50099	State Exposition Special	Enterprise	1927	Agriculture and Markets Law, §31-c
50100-50299	Correctional Services Commissary	Enterprise	1948	Correction Law, §26
50300-50399	Agency Enterprise	Enterprise	1983	State Finance Law, §71
50400-50449	Sheltered Workshop	Enterprise	1984	State Finance Law, §71

STATE OF NEW YORK  
 FUND STRUCTURE AND LIST OF JOINT CUSTODY FUNDS  
 AS OF MARCH 31, 2020

APPENDIX  
 (continued)

FUND NUMBER	FUND NAME	FUND CLASSIFICATION	YEAR ESTABLISHED	AUTHORIZATION
50450-50499	Patient Workshop	Enterprise	1984	State Finance Law, §71
50500-50599	Mental Hygiene Community Stores	Enterprise	1965	Mental Hygiene Law, §7.27(c) and §13.27(c)
50650-50699	Unemployment Insurance Benefit	Enterprise	1938	Labor Law, §550
55000-55049	Centralized Services	Internal Service	1964	State Finance Law, §97-g
55050-55099	Agency Internal Service	Internal Service	1983	State Finance Law, §71
55100-55149	Mental Hygiene Revolving	Internal Service	1953	State Finance Law, §71
55150-55199	Youth Vocational Education	Internal Service	1983	Executive Law, §513
55200-55249	Joint Labor and Management Administration	Internal Service	1986	State Finance Law, §71
55250-55299	Audit and Control Revolving	Internal Service	1982	State Finance Law, §71
55300-55349	Health Insurance Revolving	Internal Service	1982	State Finance Law, §71
55350-55399	Correctional Industries Revolving	Internal Service	1982	State Finance Law, §71
60050-60149	School Capital Facilities Financing Reserve	Agency	1988	Education Law, §407-a and §407-b
60150-60199	Child Performer's Holding	Agency	2004	State Finance Law, §99-k
60200-60249	Employees Health Insurance	Agency	1956	Civil Service Law, §167 (6)
60250-60299	Social Security Contribution	Agency	1953	Retirement and Social Security Law, §134 and §141
60300-60399	Employee Payroll Withholding	Agency	1982	State Finance Law, §71
60400-60449	Employees Dental Insurance	Agency	1971	State Finance Law, §71
60450-60499	Management Confidential Group Insurance	Agency	1971	State Finance Law, §71
60500-60549	Lottery Prize	Agency	1967	Tax Law, §1612
60550-60599	Health Insurance Reserve Receipts	Agency	1977	State Finance Law, §99-c (3)
60600-60799	Miscellaneous New York State Agency	Agency	1982	State Finance Law, §71
60800-60849	Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	Agency	1987	State Finance Law, §71
60850-60899	CUNY Senior College Operating	Agency	1977	Education Law, §6221
60900-60949	Medicaid Management Information System (MMIS) Escrow	Agency	1977	Social Services Law, §367-b
60950-60999	Special Education	Agency	1985	Civil Service Law, §154-b, §154-c, and Executive Law, §227-a (3)
61000-61099	State University of New York Revenue Collection	Agency	1976	State Finance Law, §71
61100-61999	State University Federal Direct Lending Program	Agency	1995	State Finance Law, §71
62000-62049	SSI SSP Payment	Agency	2014	State Finance Law, §71
65000-65049	Common Retirement Administration	Pension Trust	1967	Retirement and Social Security Law, §422
66000-66049	Agriculture Producers' Security	Private Purpose Trust	1992	Agriculture and Markets Law, §250
66050-66099	Milk Producers' Security	Private Purpose Trust	1987	Agriculture and Markets Law, §258-b (4)(a)

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