

**STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
OFFICE OF OPERATIONS  
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES  
BUREAU OF STATE ACCOUNTING OPERATIONS**

**Comptroller's Monthly Report  
On State Funds Cash Basis of Accounting  
(Pursuant to Sec. 8(9-a) of the State Finance Law)  
**October 2012****



**THOMAS P. DiNAPOLI  
STATE COMPTROLLER**

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
CLASSIFIED BY STATE OPERATIONS, FEDERAL SUPPORT AND CAPITAL SPENDING (\*)  
(amounts in millions)**

**EXHIBIT A  
SUPPLEMENTAL**

	STATE OPERATING FUNDS						TOTAL STATE OPERATING FUNDS		FEDERAL SPECIAL REVENUE		CAPITAL PROJECTS		SPECIAL REVENUE ELIMINATIONS		TOTAL GOVERNMENTAL FUNDS	
	GENERAL		STATE SPECIAL REVENUE		DEBT SERVICE		MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED
	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	OCT. 2012	OCT. 31, 2012	OCT. 2012	OCT. 31, 2012	OCT. 2012	OCT. 31, 2012	OCT. 2012	OCT. 31, 2012	OCT. 2012	OCT. 31, 2012
<b>RECEIPTS:</b>																
Personal Income Tax	\$1,907.9	\$15,845.9	\$6.1	\$593.8	\$638.0	\$5,479.9	\$2,552.0	\$21,919.6	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$2,552.0	\$21,919.6
Consumption/Use Taxes	695.8	5,243.6	189.6	1,288.9	212.4	1,604.7	1,097.8	8,137.2	--	--	46.4	348.5	--	--	1,144.2	8,485.7
Business Taxes	155.0	2,613.3	60.8	738.1	--	--	215.8	3,351.4	--	--	49.2	371.8	--	--	265.0	3,723.2
Other Taxes	120.3	659.8	95.7	654.9	50.0	371.4	266.0	1,686.1	--	--	12.0	59.6	--	--	278.0	1,745.7
Miscellaneous Receipts (11)	106.6	1,960.5	1,408.2	9,036.1	73.1	469.0	1,587.9	11,465.6	11.4	110.8	370.3	2,116.3	--	--	1,969.6	13,692.7
Federal Receipts (9)	--	33.4	1.5	13.2	--	39.4	1.5	86.0	3,423.3	21,704.4	299.9	1,199.0	--	--	3,724.7	22,989.4
<b>Total Receipts</b>	<b>2,985.6</b>	<b>26,356.5</b>	<b>1,761.9</b>	<b>12,325.0</b>	<b>973.5</b>	<b>7,964.4</b>	<b>5,721.0</b>	<b>46,645.9</b>	<b>3,434.7</b>	<b>21,815.2</b>	<b>777.8</b>	<b>4,095.2</b>	<b>--</b>	<b>--</b>	<b>9,933.5</b>	<b>72,556.3</b>
<b>DISBURSEMENTS:</b>																
Local Assistance Grants (1)(5)(6)(7)(8)(10)	2,282.3	19,859.4	1,179.7	9,970.5	--	--	3,462.0	29,829.9	3,491.5	19,792.2	130.9	831.8	--	--	7,084.4	50,453.9
Departmental Operations:																
Personal Service (6)	654.2	3,876.6	526.3	3,398.3	--	--	1,180.5	7,274.9	54.9	341.0	--	--	--	--	1,235.4	7,615.9
Non-Personal Service (6)	126.5	899.0	377.9	1,783.0	0.9	18.6	505.3	2,700.6	103.0	483.8	--	--	--	--	608.3	3,184.4
General State Charges	186.3	2,397.1	319.8	754.9	--	--	506.1	3,152.0	14.4	99.4	--	--	--	--	520.5	3,251.4
Debt Service, Including Payments on:																
Financing Agreements (2)	--	--	--	--	101.8	2,421.9	101.8	2,421.9	--	--	--	--	--	--	101.8	2,421.9
Capital Projects (3)(10)	--	--	0.1	3.2	--	--	0.1	3.2	--	--	535.7	3,095.0	--	--	535.8	3,098.2
<b>Total Disbursements</b>	<b>3,249.3</b>	<b>27,032.1</b>	<b>2,403.8</b>	<b>15,909.9</b>	<b>102.7</b>	<b>2,440.5</b>	<b>5,755.8</b>	<b>45,382.5</b>	<b>3,663.8</b>	<b>20,716.4</b>	<b>666.6</b>	<b>3,926.8</b>	<b>--</b>	<b>--</b>	<b>10,086.2</b>	<b>70,025.7</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(263.7)</b>	<b>(675.6)</b>	<b>(641.9)</b>	<b>(3,584.9)</b>	<b>870.8</b>	<b>5,523.9</b>	<b>(34.8)</b>	<b>1,263.4</b>	<b>(229.1)</b>	<b>1,098.8</b>	<b>111.2</b>	<b>168.4</b>	<b>--</b>	<b>--</b>	<b>(152.7)</b>	<b>2,530.6</b>
<b>OTHER FINANCING SOURCES (USES):</b>																
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds (4)	681.5	6,718.7	572.3	4,534.3	851.6	3,843.0	2,105.4	15,096.0	--	--	170.7	493.8	(113.4)	(288.0)	2,162.7	15,301.8
Transfers to Other Funds (4)	(1,051.1)	(4,180.8)	(67.5)	(200.1)	(705.8)	(8,389.6)	(1,824.4)	(12,770.5)	(363.0)	(2,059.0)	(97.7)	(803.4)	113.4	288.0	(2,171.7)	(15,344.9)
<b>Total Other Financing Sources (Uses)</b>	<b>(369.6)</b>	<b>2,537.9</b>	<b>504.8</b>	<b>4,334.2</b>	<b>145.8</b>	<b>(4,546.6)</b>	<b>281.0</b>	<b>2,325.5</b>	<b>(363.0)</b>	<b>(2,059.0)</b>	<b>73.0</b>	<b>(309.6)</b>	<b>--</b>	<b>--</b>	<b>(9.0)</b>	<b>(43.1)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(633.3)</b>	<b>1,862.3</b>	<b>(137.1)</b>	<b>749.3</b>	<b>1,016.6</b>	<b>977.3</b>	<b>246.2</b>	<b>3,588.9</b>	<b>(592.1)</b>	<b>(960.2)</b>	<b>184.2</b>	<b>(141.2)</b>	<b>--</b>	<b>--</b>	<b>(161.7)</b>	<b>2,487.5</b>
<b>Beginning Fund Balances (Deficit)</b>	<b>4,282.3</b>	<b>1,786.7</b>	<b>2,519.2</b>	<b>1,632.8</b>	<b>388.2</b>	<b>427.5</b>	<b>7,189.7</b>	<b>3,847.0</b>	<b>(405.4)</b>	<b>(37.3)</b>	<b>(774.8)</b>	<b>(449.4)</b>	<b>--</b>	<b>--</b>	<b>6,009.5</b>	<b>3,360.3</b>
<b>Ending Fund Balances (Deficit)</b>	<b>\$3,649.0</b>	<b>\$3,649.0</b>	<b>\$2,382.1</b>	<b>\$2,382.1</b>	<b>\$1,404.8</b>	<b>\$1,404.8</b>	<b>\$7,435.9</b>	<b>\$7,435.9</b>	<b>(\$997.5)</b>	<b>(\$997.5)</b>	<b>(\$590.6)</b>	<b>(\$590.6)</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$5,847.8</b>	<b>\$5,847.8</b>

(\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds. Federal Special Revenue Funds account for all non-capital federal operating grants received by the State. Capital Projects Funds includes all capital activities regardless of funding source.

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)**

**EXHIBIT A**

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF OCT. 2012	7 MOS. ENDED OCT. 31, 2012	MONTH OF OCT. 2012	7 MOS. ENDED OCT. 31, 2012	MONTH OF OCT. 2012	7 MOS. ENDED OCT. 31, 2012	MONTH OF OCT. 2012	7 MOS. ENDED OCT. 31, 2012	MONTH OF OCT. 2012	7 MOS. ENDED OCT. 31, 2012	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>														
Personal Income Tax	\$1,907.9	\$15,845.9	\$6.1	\$593.8	\$638.0	\$5,479.9	\$ --	\$ --	\$2,552.0	\$21,919.6	\$2,062.0	\$21,491.5	\$428.1	2.0%
Consumption/Use Taxes	695.8	5,243.6	189.6	1,288.9	212.4	1,604.7	46.4	348.5	1,144.2	8,485.7	1,142.0	8,494.0	(8.3)	-0.1%
Business Taxes	155.0	2,613.3	60.8	738.1	--	--	49.2	371.8	265.0	3,723.2	161.8	3,517.3	205.9	5.9%
Other Taxes	120.3	659.8	95.7	654.9	50.0	371.4	12.0	59.6	278.0	1,745.7	266.3	1,836.4	(90.7)	-4.9%
Miscellaneous Receipts (11)	106.6	1,960.5	1,419.6	9,146.9	73.1	469.0	370.3	2,116.3	1,969.6	13,692.7	1,863.9	12,749.3	943.4	7.4%
Federal Receipts (9)	--	33.4	3,424.8	21,717.6	--	39.4	299.9	1,199.0	3,724.7	22,989.4	3,225.7	25,840.1	(2,850.7)	-11.0%
<b>Total Receipts</b>	<b>2,985.6</b>	<b>26,356.5</b>	<b>5,196.6</b>	<b>34,140.2</b>	<b>973.5</b>	<b>7,964.4</b>	<b>777.8</b>	<b>4,095.2</b>	<b>9,933.5</b>	<b>72,556.3</b>	<b>8,721.7</b>	<b>73,928.6</b>	<b>(1,372.3)</b>	<b>-1.9%</b>
<b>DISBURSEMENTS:</b>														
Local Assistance Grants (1)(5)(6)(7)(8)(10)	2,282.3	19,859.4	4,671.2	29,762.7	--	--	130.9	831.8	7,084.4	50,453.9	6,881.6	52,222.7	(1,768.8)	-3.4%
Departmental Operations:														
Personal Service (6)	654.2	3,876.6	581.2	3,739.3	--	--	--	--	1,235.4	7,615.9	965.8	7,371.4	244.5	3.3%
Non-Personal Service (6)	126.5	899.0	480.9	2,266.8	0.9	18.6	--	--	608.3	3,184.4	530.6	3,368.6	(184.2)	-5.5%
General State Charges	186.3	2,397.1	334.2	854.3	--	--	--	--	520.5	3,251.4	414.0	3,147.7	103.7	3.3%
Debt Service, Including Payments on														
Financing Agreements (2)	--	--	--	--	101.8	2,421.9	--	--	101.8	2,421.9	103.7	2,386.0	35.9	1.5%
Capital Projects (3)(10)	--	--	0.1	3.2	--	--	535.7	3,095.0	535.8	3,098.2	499.2	2,945.1	153.1	5.2%
<b>Total Disbursements</b>	<b>3,249.3</b>	<b>27,032.1</b>	<b>6,067.6</b>	<b>36,626.3</b>	<b>102.7</b>	<b>2,440.5</b>	<b>666.6</b>	<b>3,926.8</b>	<b>10,086.2</b>	<b>70,025.7</b>	<b>9,394.9</b>	<b>71,441.5</b>	<b>(1,415.8)</b>	<b>-2.0%</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(263.7)</b>	<b>(675.6)</b>	<b>(871.0)</b>	<b>(2,486.1)</b>	<b>870.8</b>	<b>5,523.9</b>	<b>111.2</b>	<b>168.4</b>	<b>(152.7)</b>	<b>2,530.6</b>	<b>(673.2)</b>	<b>2,487.1</b>	<b>43.5</b>	<b>1.7%</b>
<b>OTHER FINANCING SOURCES (USES):</b>														
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds (4)	681.5	6,718.7	458.9	4,246.3	851.6	3,843.0	170.7	493.8	2,162.7	15,301.8	1,946.8	15,291.2	10.6	0.1%
Transfers to Other Funds (4)	(1,051.1)	(4,180.8)	(317.1)	(1,971.1)	(705.8)	(8,389.6)	(97.7)	(803.4)	(2,171.7)	(15,344.9)	(1,951.8)	(15,314.9)	30.0	0.2%
<b>Total Other Financing Sources (Uses)</b>	<b>(369.6)</b>	<b>2,537.9</b>	<b>141.8</b>	<b>2,275.2</b>	<b>145.8</b>	<b>(4,546.6)</b>	<b>73.0</b>	<b>(309.6)</b>	<b>(9.0)</b>	<b>(43.1)</b>	<b>(5.0)</b>	<b>(23.7)</b>	<b>(19.4)</b>	<b>-81.9%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(633.3)</b>	<b>1,862.3</b>	<b>(729.2)</b>	<b>(210.9)</b>	<b>1,016.6</b>	<b>977.3</b>	<b>184.2</b>	<b>(141.2)</b>	<b>(161.7)</b>	<b>2,487.5</b>	<b>(678.2)</b>	<b>2,463.4</b>	<b>24.1</b>	<b>1.0%</b>
<b>Beginning Fund Balances (Deficit)</b>	<b>4,282.3</b>	<b>1,786.7</b>	<b>2,113.8</b>	<b>1,595.5</b>	<b>388.2</b>	<b>427.5</b>	<b>(774.8)</b>	<b>(449.4)</b>	<b>6,009.5</b>	<b>3,360.3</b>	<b>6,953.9</b>	<b>3,812.3</b>	<b>(452.0)</b>	<b>-11.9%</b>
<b>Ending Fund Balances (Deficit)</b>	<b>\$3,649.0</b>	<b>\$3,649.0</b>	<b>\$1,384.6</b>	<b>\$1,384.6</b>	<b>\$1,404.8</b>	<b>\$1,404.8</b>	<b>(\$590.6)</b>	<b>(\$590.6)</b>	<b>\$5,847.8</b>	<b>\$5,847.8</b>	<b>\$6,275.7</b>	<b>\$6,275.7</b>	<b>(\$427.9)</b>	<b>-6.8%</b>

**GOVERNMENTAL FUNDS FOOTNOTES**

1. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in November 2012:

Federal DHHS	\$908.2 million
Federal USDA/Food and Consumer Services	10.2
Federal DHHS/Block Grant	--
Federal Education	13.4
Federal Miscellaneous Operating Grants	140.9
Federal Employment and Training Grants	1.5

2. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to **Schedule 5 and Schedule 5a**.

3. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$54.6 million
Urban Development Corporation (Youth Facilities)	4.7
Housing Finance Agency (HFA)	171.0
Housing Assistance Fund	20.4
Dormitory Authority (Mental Hygiene)	349.8
Dormitory Authority and State University Income Fund	112.1
Federal Capital Projects	--
State bond and note proceeds	87.1

4. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

**General Fund** "Transfers to Other Funds" are as follows:

State Capital Projects	\$403.4 million
General Debt Service	1,255.8
MTA Financial Assistance	212.9
MTA Operating Assistance	30.3
Housing Debt Fund	5.2
Banking Services	42.1
Crimes Against Revenue Account	10.0
Alcohol Beverage Control	10.7
Financial Management Systems	24.0
Court Facilities Incentive Aid	81.6
Centralized Tech Services	7.0
NYC County Courts Operating	8.6
SUNY - General Revenue Offset Account	180.0
SUNY - Hospitals IFR Account	35.9
SUNY - Stabilization Account	15.8

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$11.4m), the State University Income Funds (\$168.5m) and the Mental Hygiene Program Account (\$1,677.7m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of October 31, 2012 - pursuant to a certification of the Budget Director - the reserve amount is \$499.4 million, which was funded by a transfer from the General Fund.

**Special Revenue Funds** "Transfers To Other Funds" includes transfers to Debt Service Funds of (\$1,768.6m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities.

Also included in Special Revenue funds are transfers to the General Fund from the following:

SUNY Income Fund	\$32.1 million
Revenue Arrearage Account	21.6
Youth Facilities Per Diem	11.5
Business & Licensing Services Account	20.7
Fire Prevent & Code Enforcement Account	7.1
Public Safety Communications Account	10.0
State Police Motor Vehicle Law	10.0
Miscellaneous State Special Revenue Fund	3.4

**Debt Service Funds** "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$4,734.5 million
Local Government Assistance Tax	1,536.3
Clean Water/Clean Air	311.1

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$85.4m), Mental Hygiene (\$1,559.9m) and the State University (\$145.0m).

**Capital Projects Funds** "Transfers To Other Funds" includes transfers to the General Fund (\$14.7m) the General Debt Service Fund (\$722.9m), and the Revenue Bond Tax Fund (\$65.8).

5. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. Accounting entries will be made in November 2012, to reduce medical assistance spending and count these monies as financial resources of the General Fund and the Special Revenue Federal Fund.

Medicaid Recoveries - Health Facilities	\$28,645,865
Medicaid Recoveries - Audit	12,292,960
Medicaid Recoveries - Third Parties	3,944,470
Pharmacy Rebates	79,287,328
Medicare Catastrophic Recovery	--
Medicaid "Windfall" Recovery	36,773
Total	<u>\$124,207,396</u>

6. Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Services to Non-Personal Services and Occupational Training Account Benefit Payments have been reclassified from Non-Personal Services to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Personal Service, Non-Personal Service and Local Assistance Grant disbursements.

7. Beginning April 1, 2012 New York State converted to a new Central Accounting System. Local Assistance Grants disbursements are now accounted for by program and not by object of expense. Therefore, only a total is available for reporting purposes.

**GOVERNMENTAL FUNDS FOOTNOTES (continued)**

October 2012 - **Exhibit A Notes**  
(Continued)

8. A portion of Personal Income Tax receipts is also transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments total \$399.8m for the month of June, \$187.9 in September and \$6.1 in October.
9. On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct federal aid for fiscal relief consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Information on state disbursements resulting from ARRA can be found in Appendix C of this report.
10. In October 2012, it was discovered some Department of Transportation Program Grant Disbursements were coded as State Capital Projects. Upon further review it was determined these disbursements should properly be reported as Local Assistance Grants. Capital Projects disbursements have been reduced and Local Assistance Grants increased in the current year as follows.

April	\$10.2 million
May	42.9
June	24.4
July	38.6
August	26.9
September	55.6
October	38.6
	<u>\$237.2</u>

**GOVERNMENTAL FUNDS FOOTNOTES (continued)**

11. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	7 Months Ended October 31		\$ Increase/ (Decrease)
					2012	2011	
			(amounts in millions)				
<b>Abandoned Property</b>							
Abandoned Property	\$166.0	\$ --	\$ --	\$ --	\$166.0	\$191.0	(\$25.0)
Unclaimed Bottle Deposits	69.4	--	--	--	69.4	61.4	8.0
<b>Interest Earnings</b>	1.6	13.7	0.3	0.3	15.9	17.4	(1.5)
<b>Receipts from Public Authorities:</b>							
Bond Issuance Fees	76.4	7.2	--	--	83.6	56.4	27.2
Cost Recovery Assessments	--	16.5	--	--	16.5	22.6	(6.1)
Dormitory Authority	--	--	--	10.9	10.9	--	10.9
Empire State/Urban Development Corporation	--	--	--	--	--	0.6	(0.6)
Environmental Facilities Corporation	--	7.2	--	--	7.2	7.0	0.2
Ogdensburgh Bridge	--	--	--	0.1	0.1	--	0.1
Port of Oswego	--	--	--	0.1	0.1	--	0.1
Power Authority	21.0	3.9	--	--	24.9	47.9	(23.0)
State of New York Mortgage Agency	100.0	--	--	--	100.0	--	100.0
Thruway Authority - Policing the Thruway	--	30.2	--	--	30.2	25.2	5.0
<b>Bond Proceeds</b>							
Dormitory Authority	--	0.2	--	955.1	955.3	747.4	207.9
Empire State/Urban Development Corporation	--	--	--	226.0	226.0	591.5	(365.5)
Environmental Facilities Corporation	--	--	--	--	--	1.2	(1.2)
Thruway Authority	--	--	--	367.5	367.5	249.4	118.1
All Other	--	1.6	--	--	1.6	1.0	0.6
<b>Refunds and Reimbursements:</b>							
Receipts from Municipalities	106.4	60.6	6.4	0.8	174.2	173.5	0.7
Women, Infants and Children Rebates	--	66.3	--	--	66.3	61.4	4.9
HESC Student Loan Recoveries	--	42.5	--	--	42.5	43.5	(1.0)
Administrative Recoveries	43.5	57.2	--	0.7	101.4	96.2	5.2
Indirect Cost Assessments	37.1	--	--	--	37.1	73.9	(36.8)
EPIC Fees and Rebates	--	19.2	--	--	19.2	100.4	(81.2)
Reimbursements from Cornell University	--	1.4	--	--	1.4	7.0	(5.6)
Hazardous Waste and Oil Spill	--	2.5	--	7.8	10.3	12.1	(1.8)
Third Party Recoveries	--	105.6	--	--	105.6	31.8	73.8
All Other	18.5	12.5	--	1.9	32.9	20.0	12.9
<b>Health Care Reform Act:</b>							
Public Goods and Health Care Initiatives Pools	--	2,502.2	--	--	2,502.2	2,400.6	101.6
<b>Revenues of State Departments:</b>							
Patient/Client Care Reimbursements	--	1,126.9	261.1	--	1,388.0	1,265.7	122.3
Medical Care Provider Assessments	103.1	456.8	--	--	559.9	602.7	(42.8)
Industry Assessments - Regular	--	522.9	--	59.4	582.3	577.4	4.9
Industry Assessments - Temporary Utility Surcharge	249.8	--	--	--	249.8	260.0	(10.2)
Student Tuition, Fees and Other SUNY Revenues	--	1,206.7	201.2	--	1,407.9	1,351.2	56.7
Student Tuition, Fees and Other CUNY Revenues	--	45.3	--	--	45.3	80.9	(35.6)
Miscellaneous Sales, Rentals and Leases	24.0	13.2	--	4.8	42.0	25.1	16.9
Gifts	0.1	3.4	--	--	3.5	4.5	(1.0)
All Other	2.1	2.2	--	0.3	4.6	9.9	(5.3)
<b>Gaming:</b>							
Lottery - Education	--	1,050.1	--	--	1,050.1	984.7	65.4
Lottery - Administration	--	310.4	--	--	310.4	298.2	12.2
Video Lottery Terminal - Education	--	493.6	--	--	493.6	329.4	164.2
Video Lottery Terminal - Administration	--	30.2	--	--	30.2	19.1	11.1
<b>Licenses and Fees</b>							
Motor Vehicle - Other	--	151.7	--	457.5	609.2	590.6	18.6
Motor Vehicle - Metropolitan Transportation Authority	--	112.7	--	--	112.7	116.4	(3.7)
Alcohol Beverage Control Licensing	35.0	--	--	--	35.0	36.0	(1.0)
All Other	234.3	615.4	--	26.6	876.3	904.6	(28.3)
<b>Fines</b>	672.2	54.9	--	(3.5)	723.6	252.5	471.1
<b>TOTAL</b>	<u>\$1,960.5</u>	<u>\$9,146.9</u>	<u>\$469.0</u>	<u>\$2,116.3</u>	<u>\$13,692.7</u>	<u>\$12,749.3</u>	<u>\$943.4</u>

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF OCT. 2012	7 MOS. ENDED OCT. 31, 2012	MONTH OF OCT. 2012	7 MOS. ENDED OCT. 31, 2012	MONTH OF OCT. 2012	7 MOS. ENDED OCT. 31, 2012	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011
<b>RECEIPTS:</b>								
Miscellaneous Receipts	\$4.2	\$124.2	\$34.3	\$143.9	\$38.5	\$268.1	\$47.8	\$395.5
Federal Receipts	308.2	2,116.3	--	--	308.2	2,116.3	299.4	2,261.3
Unemployment Taxes	257.3	1,888.3	--	--	257.3	1,888.3	237.0	1,920.4
<b>TOTAL RECEIPTS</b>	<b>569.7</b>	<b>4,128.8</b>	<b>34.3</b>	<b>143.9</b>	<b>604.0</b>	<b>4,272.7</b>	<b>584.2</b>	<b>4,577.2</b>
<b>DISBURSEMENTS:</b>								
Departmental Operations:								
Personal Service	0.4	4.0	7.8	60.4	8.2	64.4	8.6	67.7
Non-Personal Service	5.3	127.5	30.8	202.1	36.1	329.6	34.7	326.3
General State Charges	--	0.2	2.2	14.8	2.2	15.0	6.3	31.5
Unemployment Benefits	581.7	4,020.7	--	--	581.7	4,020.7	603.2	4,222.9
<b>TOTAL DISBURSEMENTS</b>	<b>587.4</b>	<b>4,152.4</b>	<b>40.8</b>	<b>277.3</b>	<b>628.2</b>	<b>4,429.7</b>	<b>652.8</b>	<b>4,648.4</b>
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	<b>(17.7)</b>	<b>(23.6)</b>	<b>(6.5)</b>	<b>(133.4)</b>	<b>(24.2)</b>	<b>(157.0)</b>	<b>(68.6)</b>	<b>(71.2)</b>
<b>OTHER FINANCING SOURCES (USES):</b>								
Transfers from Other Funds	--	--	9.1	49.1	9.1	49.1	4.7	55.0
Transfers to Other Funds	--	--	--	(5.9)	--	(5.9)	(0.1)	(6.5)
<b>NET SOURCES (USES)</b>	<b>--</b>	<b>--</b>	<b>9.1</b>	<b>43.2</b>	<b>9.1</b>	<b>43.2</b>	<b>4.6</b>	<b>48.5</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(17.7)	(23.6)	2.6	(90.2)	(15.1)	(113.8)	(64.0)	(22.7)
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	<b>91.2</b>	<b>97.1</b>	<b>(50.9)</b>	<b>41.9</b>	<b>40.3</b>	<b>139.0</b>	<b>91.2</b>	<b>49.9</b>
<b>ENDING FUND EQUITY (DEFICITS)</b>	<b>\$73.5</b>	<b>\$73.5</b>	<b>(\$48.3)</b>	<b>(\$48.3)</b>	<b>\$25.2</b>	<b>\$25.2</b>	<b>\$27.2</b>	<b>\$27.2</b>

STATE OF NEW YORK  
TRUST FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF OCT. 2012	7 MOS. ENDED OCT. 31, 2012	MONTH OF OCT. 2012	7 MOS. ENDED OCT. 31, 2012	MONTH OF OCT. 2012	7 MOS. ENDED OCT. 31, 2012	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011
<b>RECEIPTS:</b>								
Miscellaneous Receipts	\$8.6	\$44.7	\$ --	\$0.6	\$8.6	\$45.3	\$6.7	\$54.5
<b>TOTAL RECEIPTS</b>	<u>8.6</u>	<u>44.7</u>	<u>--</u>	<u>0.6</u>	<u>8.6</u>	<u>45.3</u>	<u>6.7</u>	<u>54.5</u>
<b>DISBURSEMENTS:</b>								
Departmental Operations:								
Personal Service	4.2	31.2	--	0.1	4.2	31.3	4.2	31.9
Non-Personal Service	1.0	9.8	--	--	1.0	9.8	2.4	10.8
General State Charges	6.6	12.8	--	--	6.6	12.8	--	12.5
<b>TOTAL DISBURSEMENTS</b>	<u>11.8</u>	<u>53.8</u>	<u>--</u>	<u>0.1</u>	<u>11.8</u>	<u>53.9</u>	<u>6.6</u>	<u>55.2</u>
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	<u>(3.2)</u>	<u>(9.1)</u>	<u>--</u>	<u>0.5</u>	<u>(3.2)</u>	<u>(8.6)</u>	<u>0.1</u>	<u>(0.7)</u>
<b>OTHER FINANCING SOURCES (USES):</b>								
Transfers from Other Funds	--	--	--	--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--
<b>NET SOURCES (USES)</b>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(3.2)	(9.1)	--	0.5	(3.2)	(8.6)	0.1	(0.7)
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	<u>(5.0)</u>	<u>0.9</u>	<u>10.7</u>	<u>10.2</u>	<u>5.7</u>	<u>11.1</u>	<u>9.9</u>	<u>10.7</u>
<b>ENDING FUND EQUITY (DEFICITS)</b>	<u>(\$8.2)</u>	<u>(\$8.2)</u>	<u>\$10.7</u>	<u>\$10.7</u>	<u>\$2.5</u>	<u>\$2.5</u>	<u>\$10.0</u>	<u>\$10.0</u>



STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2013  
 FOR SEVEN (7) MONTHS ENDED OCTOBER 31, 2012  
 (amounts in millions)

**EXHIBIT D**

	ALL GOVERNMENTAL FUNDS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
<b>RECEIPTS:</b>			
Taxes.....	\$36,045	\$35,874.2	(\$170.8)
Miscellaneous Receipts.....	13,127	13,692.7	565.7
Federal Receipts.....	22,448	22,989.4	541.4
<b>Total Receipts.....</b>	<b>71,620</b>	<b>72,556.3</b>	<b>936.3</b>
<b>DISBURSEMENTS:</b>			
Local Assistance Grants.....	50,600	50,453.9	(146.1)
Departmental Operations.....	10,950	10,800.3	(149.7)
General State Charges.....	3,432	3,251.4	(180.6)
Debt Service.....	2,457	2,421.9	(35.1)
Capital Projects.....	3,199	3,098.2	(100.8)
<b>Total Disbursements.....</b>	<b>70,638</b>	<b>70,025.7</b>	<b>(612.3)</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>982</b>	<b>2,530.6</b>	<b>1,548.6</b>
<b>OTHER FINANCING SOURCES (USES):</b>			
Bond and Note Proceeds, net.....	132	--	(132.0)
Transfers from Other Funds.....	15,467	15,301.8	(165.2)
Transfers to Other Funds.....	(15,502)	(15,344.9)	(157.1)
<b>Total Other Financing Sources (Uses).....</b>	<b>97.0</b>	<b>(43.1)</b>	<b>(140.1)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>1,079</b>	<b>2,487.5</b>	<b>1,408.5</b>
<b>Fund Balances (Deficit) at April 1.....</b>	<b>3,360</b>	<b>3,360.3</b>	<b>0.3</b>
<b>Fund Balances (Deficit) at October 31.....</b>	<b>\$4,439</b>	<b>\$5,847.8</b>	<b>\$1,408.8</b>

(\*) Source: 2012-13 Financial Plan First Quarterly Update, dated July 30, 2012.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2013  
 FOR SEVEN (7) MONTHS ENDED OCTOBER 31, 2012  
 (amounts in millions)

**EXHIBIT D**  
 (continued)

	GENERAL			SPECIAL REVENUE		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
<b>RECEIPTS:</b>						
Taxes:						
Personal Income .....	\$15,863	\$15,845.9	(\$17.1)	\$598	\$593.8	(\$4.2)
Consumption/Use .....	5,228	5,243.6	15.6	1,315	1,288.9	(26.1)
Business.....	2,667	2,613.3	(53.7)	741	738.1	(2.9)
Other.....	665	659.8	(5.2)	670	654.9	(15.1)
Miscellaneous Receipts .....	1,571	1,960.5	389.5	8,975	9,146.9	171.9
Federal Receipts.....	31	33.4	2.4	21,201	21,717.6	516.6
Bond and Note Proceeds, net.....	--	--	--	--	--	--
Transfers From:						
PIT in excess of Revenue Bond Debt Service.....	4,725	4,734.5	9.5	--	--	--
Sales Tax in excess of LGAC Debt Service.....	1,511	1,536.3	25.3	--	--	--
Real Estate Taxes in excess of CW/CA Debt Service.....	336	311.1	(24.9)	--	--	--
All Other.....	210	136.8	(73.2)	4,514	4,246.3	(267.7)
<b>Total Receipts.....</b>	<b>32,807</b>	<b>33,075.2</b>	<b>268.2</b>	<b>38,014</b>	<b>38,386.5</b>	<b>372.5</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants.....	20,188	19,859.4	(328.6)	29,597	29,762.7	165.7
Departmental Operations.....	4,859	4,775.6	(83.4)	6,070	6,006.1	(63.9)
General State Charges.....	2,246	2,397.1	151.1	1,186	854.3	(331.7)
Debt Service.....	--	--	--	--	--	--
Capital Projects.....	--	--	--	1	3.2	2.2
Transfers To:						
Debt Service.....	1,262	1,255.8	(6.2)	--	--	--
Capital Projects.....	223	403.4	180.4	--	--	--
State Share Medicaid.....	1,955	1,857.5 (**)	(97.5)	--	--	--
SUNY Operations.....	180	180.0	--	--	--	--
Other Purposes.....	625	484.1	(140.9)	2,028	1,971.1	(56.9)
<b>Total Disbursements.....</b>	<b>31,538</b>	<b>31,212.9</b>	<b>(325.1)</b>	<b>38,882</b>	<b>38,597.4</b>	<b>(284.6)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>						
	<b>1,269</b>	<b>1,862.3</b>	<b>593.3</b>	<b>(868)</b>	<b>(210.9)</b>	<b>657.1</b>
<b>Fund Balances (Deficit) at April 1.....</b>	<b>1,787</b>	<b>1,786.7</b>	<b>(0.3)</b>	<b>1,594</b>	<b>1,595.5</b>	<b>1.5</b>
<b>Fund Balances (Deficit) at October 31.....</b>	<b>\$3,056</b>	<b>\$3,649.0</b>	<b>\$593.0</b>	<b>\$726</b>	<b>\$1,384.6</b>	<b>\$658.6</b>

(\*) Source: 2012-13 Financial Plan First Quarterly Update, dated July 30, 2012.

(\*\*) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2013  
 FOR SEVEN (7) MONTHS ENDED OCTOBER 31, 2012  
 (amounts in millions)

**EXHIBIT D**  
 (continued)

	DEBT SERVICE			CAPITAL PROJECTS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
<b>RECEIPTS:</b>						
Taxes .....	\$7,493	\$7,456.0	(\$37.0)	\$805	\$779.9	(\$25.1)
Miscellaneous Receipts .....	529	469.0	(60.0)	2,052	2,116.3	64.3
Federal Receipts.....	40	39.4	(0.6)	1,176	1,199.0	23.0
Bond and Note Proceeds, net.....	--	--	--	132	--	(132.0)
Transfers from Other Funds.....	3,842	3,843.0	1.0	329	493.8	164.8
<b>Total Receipts.....</b>	<b>11,904</b>	<b>11,807.4</b>	<b>(96.6)</b>	<b>4,494</b>	<b>4,589.0</b>	<b>95.0</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants.....	--	--	--	815	831.8	16.8
Departmental Operations.....	21	18.6	(2.4)	--	--	--
General State Charges.....	--	--	--	--	--	--
Debt Service.....	2,457	2,421.9	(35.1)	--	--	--
Capital Projects.....	--	--	--	3,198	3,095.0	(103.0)
Transfers to Other Funds.....	8,428	8,389.6	(38.4)	801	803.4	2.4
<b>Total Disbursements.....</b>	<b>10,906</b>	<b>10,830.1</b>	<b>(75.9)</b>	<b>4,814</b>	<b>4,730.2</b>	<b>(83.8)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>998</b>	<b>977.3</b>	<b>(20.7)</b>	<b>(320)</b>	<b>(141.2)</b>	<b>178.8</b>
<b>Fund Balances (Deficit) at April 1.....</b>	<b>428</b>	<b>427.5</b>	<b>(0.5)</b>	<b>(449)</b>	<b>(449.4)</b>	<b>(0.4)</b>
<b>Fund Balances (Deficit) at October 31.....</b>	<b>\$1,426</b>	<b>\$1,404.8</b>	<b>(\$21.2)</b>	<b>(\$769)</b>	<b>(\$590.6)</b>	<b>\$178.4</b>

(\*) Source: 2012-13 Financial Plan First Quarterly Update, dated July 30, 2012.

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMPARATIVE SCHEDULE OF TAX RECEIPTS  
(amounts in millions)

EXHIBIT "E"

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF OCT. 2012	7 MOS. ENDED OCT. 31, 2012	MONTH OF OCT. 2012	7 MOS. ENDED OCT. 31, 2012	MONTH OF OCT. 2012	7 MOS. ENDED OCT. 31, 2012	MONTH OF OCT. 2012	7 MOS. ENDED OCT. 31, 2012	MONTH OF OCT. 2012	7 MOS. ENDED OCT. 31, 2012	MONTH OF OCT. 2011	7 MOS. ENDED OCT. 31, 2011	\$ Increase / (Decrease)	% Increase / Decrease
<b>PERSONAL INCOME TAX</b>														
Withholding	\$2,430.0	\$16,042.7	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$2,430.0	\$16,042.7	\$2,127.6	\$15,771.7	\$271.0	1.7%
Estimated payments	125.9	7,765.6	--	--	--	--	--	--	125.9	7,765.6	129.5	7,910.9	(145.3)	-1.8%
Final returns	334.3	1,979.9	--	--	--	--	--	--	334.3	1,979.9	247.7	1,875.3	104.6	5.6%
State/City Offsets	(131.8)	(215.8)	--	--	--	--	--	--	(131.8)	(215.8)	(193.1)	(278.5)	(62.7)	-22.5%
Other (Assessments/LLC)	53.7	599.0	--	--	--	--	--	--	53.7	599.0	61.1	531.5	67.5	12.7%
Gross Receipts	2,812.1	26,171.4	--	--	--	--	--	--	2,812.1	26,171.4	2,372.8	25,810.9	360.5	1.4%
Transfers to School Tax Relief Fund	(6.1)	(593.8)	6.1	593.8	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(638.0)	(5,479.9)	--	--	638.0	5,479.9	--	--	--	--	--	--	--	--
Less: Refunds Issued	(260.1)	(4,251.8)	--	--	--	--	--	--	(260.1)	(4,251.8)	(310.8)	(4,319.4)	(67.6)	-1.6%
Total	1,907.9	15,845.9	6.1	593.8	638.0	5,479.9	--	--	2,552.0	21,919.6	2,062.0	21,491.5	428.1	2.0%
<b>CONSUMPTION / USE TAXES</b>														
Sales and Use	637.5	4,825.6	60.4	454.1	212.4	1,604.7	--	--	910.3	6,884.4	910.4	6,848.4	36.0	0.5%
Auto Rental	--	--	--	22.2	--	--	--	37.3	--	59.5	--	55.8	3.7	6.6%
Cigarette/Tobacco Products	40.9	274.4	102.0	690.2	--	--	--	--	142.9	964.6	138.9	1,014.7	(50.1)	-4.9%
Motor Fuel	--	--	7.4	59.4	--	--	30.6	226.5	38.0	285.9	42.3	293.7	(7.8)	-2.7%
Alcoholic Beverage	17.4	143.6	--	--	--	--	--	--	17.4	143.6	19.1	138.5	5.1	3.7%
Highway Use	--	--	--	--	--	--	15.8	84.7	15.8	84.7	12.8	80.5	4.2	5.2%
Metropolitan Commuter Trans. Taxicab Trip	--	--	19.8	63.0	--	--	--	--	19.8	63.0	18.5	62.4	0.6	1.0%
Total	695.8	5,243.6	189.6	1,288.9	212.4	1,604.7	46.4	348.5	1,144.2	8,485.7	1,142.0	8,494.0	(8.3)	-0.1%
<b>BUSINESS TAXES</b>														
Corporation Franchise	107.9	1,211.1	12.0	168.8	--	--	--	--	119.9	1,379.9	58.1	1,427.2	(47.3)	-3.3%
Corporation and Utilities	(1.3)	266.4	1.0	78.0	--	--	0.2	5.4	(0.1)	349.8	0.3	310.7	39.1	12.6%
Insurance	1.0	523.0	(0.7)	66.5	--	--	--	--	0.3	589.5	3.3	605.5	(16.0)	-2.6%
Bank	47.4	612.8	9.6	132.5	--	--	--	--	57.0	745.3	11.8	535.8	209.5	39.1%
Petroleum Business	--	--	38.9	292.3	--	--	49.0	366.4	87.9	658.7	88.3	638.1	20.6	3.2%
Total	155.0	2,613.3	60.8	738.1	--	--	49.2	371.8	265.0	3,723.2	161.8	3,517.3	205.9	5.9%
<b>OTHER TAXES</b>														
Real Property Gains	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Estate and Gift	119.0	647.3	--	--	--	--	--	--	119.0	647.3	96.4	674.2	(26.9)	-4.0%
Pari-Mutuel	1.2	11.9	--	--	--	--	--	--	1.2	11.9	1.4	11.1	0.8	7.2%
Real Estate Transfer	--	--	--	--	50.0	371.4	12.0	59.6	62.0	431.0	54.9	397.6	33.4	8.4%
Racing and Exhibitions	0.1	0.6	--	--	--	--	--	--	0.1	0.6	--	0.4	0.2	50.0%
Metropolitan Commuter Trans. Mobility	--	--	95.7	654.9	--	--	--	--	95.7	654.9	113.6	753.1	(98.2)	-13.0%
Total	120.3	659.8	95.7	654.9	50.0	371.4	12.0	59.6	278.0	1,745.7	266.3	1,836.4	(90.7)	-4.9%
<b>TOTAL TAX RECEIPTS</b>	<b>\$2,879.0</b>	<b>\$24,362.6</b>	<b>\$352.2</b>	<b>\$3,275.7</b>	<b>\$900.4</b>	<b>\$7,456.0</b>	<b>\$107.6</b>	<b>\$779.9</b>	<b>\$4,239.2</b>	<b>\$35,874.2</b>	<b>\$3,632.1</b>	<b>\$35,339.2</b>	<b>\$535.0</b>	<b>1.5%</b>

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS (\*)  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**GOVERNMENTAL FUNDS CASH FLOW**

	2012												7 Months Ended Oct. 31			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>BEGINNING CASH BALANCE</b>	\$3,360.3	\$7,986.4	\$4,850.1	\$5,006.1	\$5,944.4	\$5,459.7	\$6,009.5						\$3,360.3	\$3,812.3	(\$452.0)	-11.9%
<b>RECEIPTS:</b>																
Personal Income Tax	5,134.4	1,648.0	3,848.4	2,356.9	2,431.1	3,948.8	2,552.0						21,919.6	21,491.5	428.1	2.0%
Consumption/Use Taxes	1,085.4	1,100.9	1,425.7	1,155.3	1,120.8	1,453.4	1,144.2						8,485.7	8,494.0	(8.3)	-0.1%
Business Taxes	310.1	151.8	1,315.5	193.6	182.6	1,304.6	265.0						3,723.2	3,517.3	205.9	5.9%
Other Taxes	322.0	252.8	197.6	239.6	264.5	191.2	278.0						1,745.7	1,836.4	(90.7)	-4.9%
Miscellaneous Receipts	1,255.8	1,615.6	1,937.3	1,762.4	2,153.5	2,998.5	1,969.6						13,692.7	12,749.3	943.4	7.4%
Federal Receipts	2,003.5	3,213.0	3,223.7	3,691.3	3,917.9	3,215.3	3,724.7						22,989.4	25,840.1	(2,850.7)	-11.0%
<b>Total Receipts</b>	<b>10,111.2</b>	<b>7,982.1</b>	<b>11,948.2</b>	<b>9,399.1</b>	<b>10,070.4</b>	<b>13,111.8</b>	<b>9,933.5</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>72,556.3</b>	<b>73,928.6</b>	<b>(1,372.3)</b>	<b>-1.9%</b>
<b>DISBURSEMENTS:</b>																
Local Assistance Grants (***)	3,582.0	8,353.4	9,028.6	5,738.4	7,299.0	9,368.1	7,084.4						50,453.9	52,222.7	(1,768.8)	-3.4%
Departmental Operations:																
Personal Service (**)	1,021.3	1,118.1	1,007.4	968.6	1,290.7	974.4	1,235.4						7,615.9	7,371.4	244.5	3.3%
Non-Personal Service (**)(***)	86.9	406.7	504.4	440.3	585.5	552.3	608.3						3,184.4	3,368.6	(184.2)	-5.5%
General State Charges	439.2	472.5	401.7	473.3	453.9	490.3	520.5						3,251.4	3,147.7	103.7	3.3%
Debt Service, Including Payments on																
Financing Agreements	175.9	386.2	420.0	195.4	373.4	769.2	101.8						2,421.9	2,386.0	35.9	1.5%
Capital Projects	179.8	370.6	430.8	622.6	549.1	409.5	535.8						3,098.2	2,945.1	153.1	5.2%
<b>Total Disbursements</b>	<b>5,485.1</b>	<b>11,107.5</b>	<b>11,792.9</b>	<b>8,438.6</b>	<b>10,551.6</b>	<b>12,563.8</b>	<b>10,086.2</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>70,025.7</b>	<b>71,441.5</b>	<b>(1,415.8)</b>	<b>-2.0%</b>
Excess (Deficiency) of Receipts over Disbursements	4,626.1	(3,125.4)	155.3	960.5	(481.2)	548.0	(152.7)	0.0	0.0	0.0	0.0	0.0	2,530.6	2,487.1	43.5	1.7%
<b>OTHER FINANCING SOURCES (USES):</b>																
Bond Proceeds (net)	--	--	--	--	--	--	--						--	--	--	--
Transfers from Other Funds	2,096.3	2,002.8	2,453.0	2,505.3	1,944.4	2,137.3	2,162.7						15,301.8	15,291.2	10.6	0.1%
Transfers to Other Funds	(2,096.3)	(2,013.7)	(2,452.3)	(2,527.5)	(1,947.9)	(2,135.5)	(2,171.7)						(15,344.9)	(15,314.9)	30.0	0.2%
<b>Total Other Financing Sources (Uses)</b>	<b>--</b>	<b>(10.9)</b>	<b>0.7</b>	<b>(22.2)</b>	<b>(3.5)</b>	<b>1.8</b>	<b>(9.0)</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>(43.1)</b>	<b>(23.7)</b>	<b>(19.4)</b>	<b>-81.9%</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,626.1	(3,136.3)	156.0	938.3	(484.7)	549.8	(161.7)	0.0	0.0	0.0	0.0	0.0	2,487.5	2,463.4	24.1	1.0%
<b>CLOSING CASH BALANCE</b>	<b>\$7,986.4</b>	<b>\$4,850.1</b>	<b>\$5,006.1</b>	<b>\$5,944.4</b>	<b>\$5,459.7</b>	<b>\$6,009.5</b>	<b>\$5,847.8</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$5,847.8</b>	<b>\$6,275.7</b>	<b>(\$427.9)</b>	<b>-6.8%</b>

(\*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

(\*\*) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

(\*\*\*) Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

STATE OF NEW YORK  
 GOVERNMENTAL FUNDS (\*)  
 CASH FLOW SCHEDULE OF TAX RECEIPTS  
 FISCAL YEAR 2012-2013  
 (amounts in millions)

GOVERNMENTAL FUNDS CASH FLOW  
 TAX RECEIPTS

												7 Months Ended Oct. 31				
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase / (Decrease)	% Increase / Decrease
<b>PERSONAL INCOME TAX</b>																
Withholdings	\$2,373.6	\$2,418.5	\$2,162.2	\$2,264.2	\$2,352.2	\$2,042.0	\$2,430.0						\$16,042.7	\$15,771.7	\$271.0	1.7%
Estimated payments	3,848.6	81.2	1,676.3	87.9	70.9	1,874.8	125.9						7,765.6	7,910.9	(145.3)	-1.8%
Final returns	1,452.9	49.9	34.1	31.3	28.9	48.5	334.3						1,979.9	1,875.3	104.6	5.6%
State/City Offsets	(56.0)	(3.8)	(2.0)	(2.7)	(5.0)	(14.5)	(131.8)						(215.8)	(278.5)	62.7	22.5%
Other (Assessments/LLC)	130.8	115.2	80.3	69.9	68.1	81.0	53.7						599.0	531.5	67.5	12.7%
Gross Receipts	<u>7,749.9</u>	<u>2,661.0</u>	<u>3,950.9</u>	<u>2,450.6</u>	<u>2,515.1</u>	<u>4,031.8</u>	<u>2,812.1</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>26,171.4</u>	<u>25,810.9</u>	<u>360.5</u>	<u>1.4%</u>
Transfers to School Tax Relief Fund	--	--	--	--	--	--	--						--	--	--	--
Transfers to Revenue Bond Tax Fund	--	--	--	--	--	--	--						--	--	--	--
Refunds issued	(2,615.5)	(1,013.0)	(102.5)	(93.7)	(84.0)	(83.0)	(260.1)						(4,251.8)	(4,319.4)	67.6	1.6%
Total Personal Income Tax	<u>5,134.4</u>	<u>1,648.0</u>	<u>3,848.4</u>	<u>2,356.9</u>	<u>2,431.1</u>	<u>3,948.8</u>	<u>2,552.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>21,919.6</u>	<u>21,491.5</u>	<u>428.1</u>	<u>2.0%</u>
<b>CONSUMPTION/USE TAXES</b>																
Sales and Use	863.1	888.7	1,189.8	904.9	904.2	1,223.4	910.3						6,884.4	6,848.4	36.0	0.5%
Auto Rental	1.7	0.2	23.7	--	--	33.9	--						59.5	55.8	3.7	6.6%
Cigarette/Tobacco Products	134.0	137.9	135.8	147.4	145.2	121.4	142.9						964.6	1,014.7	(50.1)	-4.9%
Motor Fuel	35.1	42.4	43.2	43.3	41.7	42.2	38.0						285.9	293.7	(7.8)	-2.7%
Alcoholic Beverage	17.5	19.2	22.6	26.9	17.1	22.9	17.4						143.6	138.5	5.1	3.7%
Highway Use	13.4	11.9	10.3	12.7	11.4	9.2	15.8						84.7	80.5	4.2	5.2%
Metropolitan Commuter Trans. Taxicab Trip	20.6	0.6	0.3	20.1	1.2	0.4	19.8						63.0	62.4	0.6	1.0%
Total Consumption/Use Taxes and Fees	<u>1,085.4</u>	<u>1,100.9</u>	<u>1,425.7</u>	<u>1,155.3</u>	<u>1,120.8</u>	<u>1,453.4</u>	<u>1,144.2</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>8,485.7</u>	<u>8,494.0</u>	<u>(\$8.3)</u>	<u>-0.1%</u>
<b>BUSINESS TAXES</b>																
Corporation Franchise	178.6	45.3	471.5	44.6	71.1	448.9	119.9						1,379.9	1,427.2	(47.3)	-3.3%
Corporation and Utilities	37.5	1.9	129.8	(1.9)	9.4	173.2	(0.1)						349.8	310.7	39.1	12.6%
Insurance	13.3	9.1	281.1	(1.2)	5.9	281.0	0.3						589.5	605.5	(16.0)	-2.6%
Bank	(4.3)	0.4	335.1	54.4	0.5	302.2	57.0						745.3	535.8	209.5	39.1%
Petroleum Business	85.0	95.1	98.0	97.7	95.7	99.3	87.9						658.7	638.1	20.6	3.2%
Total Business Taxes	<u>310.1</u>	<u>151.8</u>	<u>1,315.5</u>	<u>193.6</u>	<u>182.6</u>	<u>1,304.6</u>	<u>265.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>3,723.2</u>	<u>3,517.3</u>	<u>205.9</u>	<u>5.9%</u>
<b>OTHER TAXES</b>																
Real Property Gains	--	--	--	--	--	--	--						--	--	--	--
Estate and Gift	112.7	82.8	75.3	78.8	113.6	65.1	119.0						647.3	674.2	(26.9)	-4.0%
Pari-Mutuel	1.0	1.4	2.1	1.4	2.3	2.5	1.2						11.9	11.1	0.8	7.2%
Real Estate Transfer	68.6	52.6	53.1	68.9	67.5	58.3	62.0						431.0	397.6	33.4	8.4%
Racing and Exhibitions	0.1	--	--	0.1	0.1	0.2	0.1						0.6	0.4	0.2	50.0%
Metropolitan Commuter Trans. Mobility	139.6	116.0	67.1	90.4	81.0	65.1	95.7						654.9	753.1	(98.2)	-13.0%
Total Other Taxes	<u>322.0</u>	<u>252.8</u>	<u>197.6</u>	<u>239.6</u>	<u>264.5</u>	<u>191.2</u>	<u>278.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>1,745.7</u>	<u>1,836.4</u>	<u>(90.7)</u>	<u>-4.9%</u>
<b>TOTAL TAX RECEIPTS</b>	<u>\$6,851.9</u>	<u>\$3,153.5</u>	<u>\$6,787.2</u>	<u>\$3,945.4</u>	<u>\$3,999.0</u>	<u>\$6,898.0</u>	<u>\$4,239.2</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$35,874.2</u>	<u>\$35,339.2</u>	<u>\$535.0</u>	<u>1.5%</u>

(\*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK  
GENERAL FUND  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "F"**

													<b>7 Months Ended Oct. 31</b>			
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY FEBRUARY MARCH			2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>OPENING CASH BALANCE</b>	\$1,786.7	\$5,637.4	\$2,017.8	\$2,934.7	\$2,403.8	\$1,545.5	\$4,282.3						\$1,786.7	\$1,376.1	\$410.6	29.8%
<b>RECEIPTS:</b>																
Personal Income Tax	3,850.8	1,236.0	2,486.5	1,767.7	1,823.3	2,773.7	1,907.9						15,845.9	15,542.5	303.4	2.0%
Consumption/Use Taxes	651.9	680.9	899.0	703.0	693.2	919.8	695.8						5,243.6	5,231.1	12.5	0.2%
Business Taxes	205.1	42.2	1,044.3	79.7	67.7	1,019.3	155.0						2,613.3	2,449.4	163.9	6.7%
Other Taxes	113.8	84.2	77.4	80.3	116.0	67.8	120.3						659.8	685.7	(25.9)	-3.8%
Miscellaneous Receipts	116.8	93.2	415.7	166.7	118.2	943.3	106.6						1,960.5	1,373.3	587.2	42.8%
Federal Receipts	3.7	13.5	--	0.3	15.9	--	--						33.4	31.8	1.6	5.0%
Total Receipts	4,942.1	2,150.0	4,922.9	2,797.7	2,834.3	5,723.9	2,985.6	0.0	0.0	0.0	0.0	0.0	26,356.5	25,313.8	1,042.7	4.1%
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:	1,150.5	4,218.4	4,300.1	1,842.1	2,798.4	3,267.6	2,282.3						19,859.4	19,888.7	(29.3)	-0.1%
Departmental Operations:																
Personal Service (*)	596.1	630.5	549.4	416.0	593.6	436.8	654.2						3,876.6	3,671.8	204.8	5.6%
Non-Personal Service (*)	52.0	145.6	142.2	112.6	176.8	143.3	126.5						899.0	931.4	(32.4)	-3.5%
General State Charges	413.4	442.1	89.9	433.0	434.0	398.4	186.3						2,397.1	2,110.9	286.2	13.6%
Total Disbursements	2,212.0	5,436.6	5,081.6	2,803.7	4,002.8	4,246.1	3,249.3	0.0	0.0	0.0	0.0	0.0	27,032.1	26,602.8	429.3	1.6%
Excess (Deficiency) of Receipts over Disbursements	2,730.1	(3,286.6)	(158.7)	(6.0)	(1,168.5)	1,477.8	(263.7)	0.0	0.0	0.0	0.0	0.0	(675.6)	(1,289.0)	613.4	47.6%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	1,530.2	409.0	1,449.6	680.8	577.1	1,390.5	681.5						6,718.7	6,666.1	52.6	0.8%
Transfers to State Capital Projects	116.2	(113.0)	(102.0)	(213.6)	46.5	(0.3)	(137.2)						(403.4)	(184.1)	219.3	119.1%
Transfers to Federal Capital Projects	--	--	--	--	--	--	--						--	--	--	--
Transfers to General Debt Service	(506.1)	0.1	55.4	(458.0)	39.7	119.5	(506.4)						(1,255.8)	(1,188.0)	67.8	5.7%
Transfers to All Other State Funds	(19.7)	(629.1)	(327.4)	(534.1)	(353.1)	(250.7)	(407.5)						(2,521.6)	(1,987.1)	534.5	26.9%
Total Other Financing Sources (Uses)	1,120.6	(333.0)	1,075.6	(524.9)	310.2	1,259.0	(369.6)	0.0	0.0	0.0	0.0	0.0	2,537.9	3,306.9	(769.0)	-23.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,850.7	(3,619.6)	916.9	(530.9)	(858.3)	2,736.8	(633.3)	0.0	0.0	0.0	0.0	0.0	1,862.3	2,017.9	(155.6)	-7.7%
<b>CLOSING CASH BALANCE</b>	<u>\$5,637.4</u>	<u>\$2,017.8</u>	<u>\$2,934.7</u>	<u>\$2,403.8</u>	<u>\$1,545.5</u>	<u>\$4,282.3</u>	<u>\$3,649.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$3,649.0</u>	<u>\$3,394.0</u>	<u>\$255.0</u>	<u>7.5%</u>

(\*)Beginning in April 2012, OT meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

STATE OF NEW YORK  
GENERAL FUND  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2012-2013  
(amounts in millions)

EXHIBIT "F"  
TAX RECEIPTS

	7 Months Ended Oct. 31												2012	2011
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH		
<b>PERSONAL INCOME TAX</b>														
Withholdings	\$2,373.6	\$2,418.5	\$2,162.2	\$2,264.2	\$2,352.2	\$2,042.0	\$2,430.0						\$16,042.7	\$15,771.7
Estimated payments	3,848.6	81.2	1,676.3	87.9	70.9	1,874.8	125.9						7,765.6	7,910.9
Final returns	1,452.9	49.9	34.1	31.3	28.9	48.5	334.3						1,979.9	1,875.3
State/City Offsets	(56.0)	(3.8)	(2.0)	(2.7)	(5.0)	(14.5)	(131.8)						(215.8)	(278.5)
Other (Assessments/LLC)	130.8	115.2	80.3	69.9	68.1	81.0	53.7						599.0	531.5
Gross Receipts	7,749.9	2,661.0	3,950.9	2,450.6	2,515.1	4,031.8	2,812.1	0.0	0.0	0.0	0.0	0.0	26,171.4	25,810.9
Transfers to School Tax Relief Fund	--	--	(399.8)	--	--	(187.9)	(6.1)						(593.8)	(576.1)
Transfers to Revenue Bond Tax Fund	(1,283.6)	(412.0)	(962.1)	(589.2)	(607.8)	(987.2)	(638.0)						(5,479.9)	(5,372.9)
Refunds issued	(2,615.5)	(1,013.0)	(102.5)	(93.7)	(84.0)	(83.0)	(260.1)						(4,251.8)	(4,319.4)
Total Personal Income Tax	3,850.8	1,236.0	2,486.5	1,767.7	1,823.3	2,773.7	1,907.9	0.0	0.0	0.0	0.0	0.0	15,845.9	15,542.5
<b>CONSUMPTION/USE TAXES</b>														
Sales and Use	596.9	623.1	837.6	634.9	634.4	861.2	637.5						4,825.6	4,799.4
Auto Rental	--	--	--	--	--	--	--						--	--
Cigarette/Tobacco Products	37.5	38.6	38.8	41.2	41.7	35.7	40.9						274.4	293.2
Motor Fuel	--	--	--	--	--	--	--						--	--
Alcoholic Beverage	17.5	19.2	22.6	26.9	17.1	22.9	17.4						143.6	138.5
Highway Use	--	--	--	--	--	--	--						--	--
Metropolitan Commuter Trans. Taxicab Trip	--	--	--	--	--	--	--						--	--
Total Consumption/Use Taxes and Fees	651.9	680.9	899.0	703.0	693.2	919.8	695.8	0.0	0.0	0.0	0.0	0.0	5,243.6	5,231.1
<b>BUSINESS TAXES</b>														
Corporation Franchise	171.1	33.3	415.3	36.5	54.9	392.1	107.9						1,211.1	1,207.1
Corporation and Utilities	24.8	1.4	98.7	0.5	8.4	133.9	(1.3)						266.4	244.9
Insurance	13.3	7.7	249.7	(2.1)	4.6	248.8	1.0						523.0	542.9
Bank	(4.1)	(0.2)	280.6	44.8	(0.2)	244.5	47.4						612.8	454.5
Petroleum Business	--	--	--	--	--	--	--						--	--
Total Business Taxes	205.1	42.2	1,044.3	79.7	67.7	1,019.3	155.0	0.0	0.0	0.0	0.0	0.0	2,613.3	2,449.4
<b>OTHER TAXES</b>														
Real Property Gains	--	--	--	--	--	--	--						--	--
Estate and Gift	112.7	82.8	75.3	78.8	113.6	65.1	119.0						647.3	674.2
Pari-Mutuel	1.0	1.4	2.1	1.4	2.3	2.5	1.2						11.9	11.1
Real Estate Transfer	--	--	--	--	--	--	--						--	--
Racing and Exhibitions	0.1	--	--	0.1	0.1	0.2	0.1						0.6	0.4
Metropolitan Commuter Trans. Mobility	--	--	--	--	--	--	--						--	--
Total Other Taxes	113.8	84.2	77.4	80.3	116.0	67.8	120.3	0.0	0.0	0.0	0.0	0.0	659.8	685.7
<b>TOTAL TAX RECEIPTS</b>	<b>\$4,821.6</b>	<b>\$2,043.3</b>	<b>\$4,507.2</b>	<b>\$2,630.7</b>	<b>\$2,700.2</b>	<b>\$4,780.6</b>	<b>\$2,879.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$24,362.6</b>	<b>\$23,908.7</b>



**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "G"  
COMBINED**

													<b>7 Months Ended Oct. 31</b>			
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>OPENING CASH BALANCE</b>	\$1,595.5	\$1,992.6	\$2,211.4	\$1,910.8	\$2,952.5	\$3,547.2	\$2,113.8						\$1,595.5	\$2,149.3	(\$553.8)	-25.8%
<b>RECEIPTS:</b>																
Personal Income Tax	--	--	399.8	--	--	187.9	6.1						593.8	576.1	17.7	3.1%
Consumption/Use Taxes	195.5	166.9	188.6	194.0	171.6	182.7	189.6						1,288.9	1,321.7	(32.8)	-2.5%
Business Taxes	58.0	56.6	214.1	59.9	61.6	227.1	60.8						738.1	709.6	28.5	4.0%
Other Taxes	139.6	116.0	67.1	90.4	81.0	65.1	95.7						654.9	753.1	(98.2)	-13.0%
Miscellaneous Receipts	879.8	1,255.6	1,210.0	1,268.5	1,522.6	1,590.8	1,419.6						9,146.9	8,726.6	420.3	4.8%
Federal Receipts	1,969.3	3,033.8	3,046.6	3,509.1	3,718.5	3,015.5	3,424.8						21,717.6	24,621.3	(2,903.7)	-11.8%
Total Receipts	3,242.2	4,628.9	5,126.2	5,121.9	5,555.3	5,269.1	5,196.6	0.0	0.0	0.0	0.0	0.0	34,140.2	36,708.4	(2,568.2)	-7.0%
<b>DISBURSEMENTS:</b>																
Local Assistance Grants (**)	2,413.9	4,052.0	4,587.0	3,735.9	4,398.2	5,904.5	4,671.2						29,762.7	30,983.5	(1,220.8)	-3.9%
Departmental Operations:																
Personal Service (*)	425.2	487.6	458.0	552.6	697.1	537.6	581.2						3,739.3	3,699.6	39.7	1.1%
Non-Personal Service (**)(**)	34.2	258.7	357.8	322.8	406.2	406.2	480.9						2,266.8	2,414.4	(147.6)	-6.1%
General State Charges	25.8	30.4	311.8	40.3	19.9	91.9	334.2						854.3	1,036.8	(182.5)	-17.6%
Capital Projects	1.0	--	0.5	0.3	1.0	0.3	0.1						3.2	3.8	(0.6)	-15.8%
Total Disbursements	2,900.1	4,828.7	5,715.1	4,651.9	5,522.4	6,940.5	6,067.6	0.0	0.0	0.0	0.0	0.0	36,626.3	38,138.1	(1,511.8)	-4.0%
Excess (Deficiency) of Receipts over Disbursements	342.1	(199.8)	(588.9)	470.0	32.9	(1,671.4)	(871.0)	0.0	0.0	0.0	0.0	0.0	(2,486.1)	(1,429.7)	(1,056.4)	-73.9%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	67.1	920.6	581.7	813.4	930.6	474.0	458.9						4,246.3	4,107.7	138.6	3.4%
Transfers to Other Funds	(12.1)	(502.0)	(293.4)	(241.7)	(368.8)	(236.0)	(317.1)						(1,971.1)	(2,469.7)	(498.6)	-20.2%
Total Other Financing Sources (Uses)	55.0	418.6	288.3	571.7	561.8	238.0	141.8	0.0	0.0	0.0	0.0	0.0	2,275.2	1,638.0	637.2	38.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	397.1	218.8	(300.6)	1,041.7	594.7	(1,433.4)	(729.2)	0.0	0.0	0.0	0.0	0.0	(210.9)	208.3	(419.2)	-201.2%
<b>CLOSING CASH BALANCE</b>	\$1,992.6	\$2,211.4	\$1,910.8	\$2,952.5	\$3,547.2	\$2,113.8	\$1,384.6	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$1,384.6	\$2,357.6	(\$973.0)	-41.3%

(\*) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.  
(\*\*) Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "G"  
STATE**

													<b>7 Months Ended Oct. 31</b>				
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>																	
Personal Income Tax	\$ --	\$ --	\$399.8	\$ --	\$ --	\$ 187.9	\$ 6.1						\$ --	\$593.8	\$576.1	\$17.7	3.1%
Consumption/Use Taxes	195.5	166.9	188.6	194.0	171.6	182.7	189.6						--	1,288.9	1,321.7	(32.8)	-2.5%
Business Taxes	58.0	56.6	214.1	59.9	61.6	227.1	60.8						--	738.1	709.6	28.5	4.0%
Other Taxes	139.6	116.0	67.1	90.4	81.0	65.1	95.7						--	654.9	753.1	(98.2)	-13.0%
Miscellaneous Receipts	868.0	1,218.9	1,200.6	1,266.5	1,494.9	1,579.0	1,408.2						--	9,036.1	8,620.7	415.4	4.8%
Federal Receipts	0.6	6.6	(0.1)	1.8	(0.5)	3.3	1.5						--	13.2	0.6	12.6	2100.0%
<b>Total Receipts</b>	<b>1,261.7</b>	<b>1,565.0</b>	<b>2,070.1</b>	<b>1,612.6</b>	<b>1,808.6</b>	<b>2,245.1</b>	<b>1,761.9</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>12,325.0</b>	<b>11,981.8</b>	<b>343.2</b>	<b>2.9%</b>
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants	468.4	1,190.1	1,593.4	1,269.6	1,112.5	3,156.8	1,179.7						--	9,970.5	9,825.7	144.8	1.5%
Departmental Operations:																	
Personal Service (**)	384.7	441.1	413.4	510.3	630.4	492.1	526.3						--	3,398.3	3,329.0	69.3	2.1%
Non-Personal Service (**)	26.0	198.6	291.4	278.5	329.3	281.3	377.9						--	1,783.0	1,901.6	(118.6)	-6.2%
General State Charges	25.8	27.6	272.5	34.8	20.0	54.4	319.8						--	754.9	901.9	(147.0)	-16.3%
Capital Projects	1.0	--	0.5	0.3	1.0	0.3	0.1						--	3.2	3.8	(0.6)	-15.8%
<b>Total Disbursements</b>	<b>905.9</b>	<b>1,857.4</b>	<b>2,571.2</b>	<b>2,093.5</b>	<b>2,093.2</b>	<b>3,984.9</b>	<b>2,403.8</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>15,909.9</b>	<b>15,962.0</b>	<b>(52.1)</b>	<b>-0.3%</b>
Excess (Deficiency) of Receipts over Disbursements	355.8	(292.4)	(501.1)	(480.9)	(284.6)	(1,739.8)	(641.9)	0.0	0.0	0.0	0.0	0.0	--	(3,584.9)	(3,980.2)	395.3	9.9%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Transfers from Other Funds	76.5	966.1	621.3	834.1	947.9	516.1	572.3						(288.0)	4,246.3	4,107.7	138.6	3.4%
Transfers to Other Funds	--	(37.6)	(37.0)	(5.0)	(38.5)	(14.5)	(67.5)						--	(200.1)	(436.1)	(236.0)	-54.1%
<b>Total Other Financing Sources (Uses)</b>	<b>76.5</b>	<b>928.5</b>	<b>584.3</b>	<b>829.1</b>	<b>909.4</b>	<b>501.6</b>	<b>504.8</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>(288.0)</b>	<b>4,046.2</b>	<b>3,671.6</b>	<b>374.6</b>	<b>10.2%</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$432.3	\$636.1	\$83.2	\$348.2	\$624.8	(\$1,238.2)	(\$137.1)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$288.0)	\$461.3	(\$308.6)	\$769.9	249.5%

(\*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal funds.

(\*\*) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "G"  
FEDERAL**

													<b>7 Months Ended Oct. 31</b>				
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>																	
Personal Income Tax	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --						\$ --	\$ --	\$ --	--	
Consumption/Use Taxes	--	--	--	--	--	--	--						--	--	--	--	
Business Taxes	--	--	--	--	--	--	--						--	--	--	--	
Other Taxes	--	--	--	--	--	--	--						--	--	--	--	
Miscellaneous Receipts	11.8	36.7	9.4	2.0	27.7	11.8	11.4						--	110.8	105.9	4.9	4.6%
Federal Receipts	1,968.7	3,027.2	3,046.7	3,507.3	3,719.0	3,012.2	3,423.3						--	21,704.4	24,620.7	(2,916.3)	-11.8%
<b>Total Receipts</b>	<b>1,980.5</b>	<b>3,063.9</b>	<b>3,056.1</b>	<b>3,509.3</b>	<b>3,746.7</b>	<b>3,024.0</b>	<b>3,434.7</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>21,815.2</b>	<b>24,726.6</b>	<b>(2,911.4)</b>	<b>-11.8%</b>
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants (***)	1,945.5	2,861.9	2,993.6	2,466.3	3,285.7	2,747.7	3,491.5						--	19,792.2	21,157.8	(1,365.6)	-6.5%
Departmental Operations:																	
Personal Service (**)	40.5	46.5	44.6	42.3	66.7	45.5	54.9						--	341.0	370.6	(29.6)	-8.0%
Non-Personal Service (**)(***)	8.2	60.1	66.4	44.3	76.9	124.9	103.0						--	483.8	512.8	(29.0)	-5.7%
General State Charges	--	2.8	39.3	5.5	(0.1)	37.5	14.4						--	99.4	134.9	(35.5)	-26.3%
Capital Projects	--	--	--	--	--	--	--						--	--	--	--	--
<b>Total Disbursements</b>	<b>1,994.2</b>	<b>2,971.3</b>	<b>3,143.9</b>	<b>2,558.4</b>	<b>3,429.2</b>	<b>2,955.6</b>	<b>3,663.8</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>20,716.4</b>	<b>22,176.1</b>	<b>(1,459.7)</b>	<b>-6.6%</b>
Excess (Deficiency) of Receipts over Disbursements	(13.7)	92.6	(87.8)	950.9	317.5	68.4	(229.1)	0.0	0.0	0.0	0.0	0.0	--	1,098.8	2,550.5	(1,451.7)	-56.9%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Transfers from Other Funds	--	--	--	--	--	--	--						--	--	--	--	--
Transfers to Other Funds	(21.5)	(509.9)	(296.0)	(257.4)	(347.6)	(263.6)	(363.0)						288.0	(1,771.0)	(2,033.6)	(262.6)	-12.9%
<b>Total Other Financing Sources (Uses)</b>	<b>(21.5)</b>	<b>(509.9)</b>	<b>(296.0)</b>	<b>(257.4)</b>	<b>(347.6)</b>	<b>(263.6)</b>	<b>(363.0)</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>288.0</b>	<b>(1,771.0)</b>	<b>(2,033.6)</b>	<b>(262.6)</b>	<b>-12.9%</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$35.2)	(\$417.3)	(\$383.8)	\$693.5	(\$30.1)	(\$195.2)	(\$592.1)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$288.0	(\$672.2)	\$516.9	(\$1,189.1)	-230.0%

(\*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State funds.

(\*\*) Beginning in April 2012, OT Meals and Stipends have been reclassified from Personal Service to Non-Personal Service. For comparative purposes, we have restated prior fiscal year Personal Service and Non-Personal Service disbursements.

(\*\*\*) Beginning in April 2012, Occupational Training Account Benefit Payments have been reclassified from Non-Personal Service to Local Assistance Grants. For comparative purposes, we have restated prior fiscal year Non-Personal Service and Local Assistance Grant disbursements.

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2012-2013  
(amounts in millions)

EXHIBIT "G"  
TAX RECEIPTS

													7 Months Ended Oct. 31	
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011
<b>PERSONAL INCOME TAX</b>	\$ --	\$ --	\$399.8	\$ --	\$ --	\$187.9	\$6.1						\$593.8	\$576.1
Total Personal Income Tax	--	--	399.8	--	--	187.9	6.1	0.0	0.0	0.0	0.0	0.0	593.8	576.1
<b>CONSUMPTION/USE TAXES</b>														
Sales and Use	70.9	57.9	73.1	58.4	58.3	75.1	60.4						454.1	455.0
Auto Rental	0.6	--	8.9	--	--	12.7	--						22.2	20.9
Cigarette/Tobacco Products	96.5	99.3	97.0	106.2	103.5	85.7	102.0						690.2	721.5
Motor Fuel	6.9	9.1	9.3	9.3	8.6	8.8	7.4						59.4	61.9
Alcoholic Beverage	--	--	--	--	--	--	--						--	--
Highway Use	--	--	--	--	--	--	--						--	--
Metropolitan Commuter Trans. Taxicab Trip	20.6	0.6	0.3	20.1	1.2	0.4	19.8						63.0	62.4
Total Consumption/Use Taxes and Fees	195.5	166.9	188.6	194.0	171.6	182.7	189.6	0.0	0.0	0.0	0.0	0.0	1,288.9	1,321.7
<b>BUSINESS TAXES</b>														
Corporation Franchise	7.5	12.0	56.2	8.1	16.2	56.8	12.0						168.8	220.1
Corporation and Utilities	12.9	0.4	28.5	(2.0)	0.8	36.4	1.0						78.0	62.1
Insurance	--	1.4	31.4	0.9	1.3	32.2	(0.7)						66.5	62.6
Bank	(0.2)	0.6	54.5	9.6	0.7	57.7	9.6						132.5	81.3
Petroleum Business	37.8	42.2	43.5	43.3	42.6	44.0	38.9						292.3	283.5
Total Business Taxes	58.0	56.6	214.1	59.9	61.6	227.1	60.8	0.0	0.0	0.0	0.0	0.0	738.1	709.6
<b>OTHER TAXES</b>														
Real Property Gains	--	--	--	--	--	--	--						--	--
Estate and Gift	--	--	--	--	--	--	--						--	--
Pari-Mutuel	--	--	--	--	--	--	--						--	--
Real Estate Transfer	--	--	--	--	--	--	--						--	--
Racing and Exhibitions	--	--	--	--	--	--	--						--	--
Metropolitan Commuter Trans. Mobility	139.6	116.0	67.1	90.4	81.0	65.1	95.7						654.9	753.1
Total Other Taxes	139.6	116.0	67.1	90.4	81.0	65.1	95.7	0.0	0.0	0.0	0.0	0.0	654.9	753.1
<b>TOTAL TAX RECEIPTS</b>	<b>\$393.1</b>	<b>\$339.5</b>	<b>\$869.6</b>	<b>\$344.3</b>	<b>\$314.2</b>	<b>\$662.8</b>	<b>\$352.2</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$3,275.7</b>	<b>\$3,360.5</b>

**STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "H"**

													<b>7 Months Ended Oct. 31</b>			
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>OPENING CASH BALANCE</b>	\$427.5	\$850.7	\$1,081.3	\$639.7	\$1,125.5	\$1,056.5	\$388.2						\$427.5	\$454.0	(\$26.5)	-5.8%
<b>RECEIPTS:</b>																
Personal Income Tax	1,283.6	412.0	962.1	589.2	607.8	987.2	638.0						5,479.9	5,372.9	107.0	2.0%
Consumption/Use Taxes																
Sales and Use	195.3	207.7	279.1	211.6	211.5	287.1	212.4						1,604.7	1,594.0	10.7	0.7%
Other Taxes	68.6	52.6	41.2	57.0	55.6	46.4	50.0						371.4	338.0	33.4	9.9%
Miscellaneous Receipts	18.2	61.6	80.8	20.3	96.2	118.8	73.1						469.0	508.9	(39.9)	-7.8%
Federal Receipts (*)	--	--	--	1.7	37.7	--	--						39.4	42.5	(3.1)	-7.3%
Total Receipts	<u>1,565.7</u>	<u>733.9</u>	<u>1,363.2</u>	<u>879.8</u>	<u>1,008.8</u>	<u>1,439.5</u>	<u>973.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>7,964.4</u>	<u>7,856.3</u>	<u>108.1</u>	<u>1.4%</u>
<b>DISBURSEMENTS:</b>																
Departmental Operations:																
Non-Personal Service	0.7	2.4	4.4	4.9	2.5	2.8	0.9						18.6	22.8	(4.2)	-18.4%
Debt Service, including payments on financing agreements	175.9	386.2	420.0	195.4	373.4	769.2	101.8						2,421.9	2,386.0	35.9	1.5%
Total Disbursements	<u>176.6</u>	<u>388.6</u>	<u>424.4</u>	<u>200.3</u>	<u>375.9</u>	<u>772.0</u>	<u>102.7</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>2,440.5</u>	<u>2,408.8</u>	<u>31.7</u>	<u>1.3%</u>
Excess (Deficiency) of Receipts over Disbursements	<u>1,389.1</u>	<u>345.3</u>	<u>938.8</u>	<u>679.5</u>	<u>632.9</u>	<u>667.5</u>	<u>870.8</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>5,523.9</u>	<u>5,447.5</u>	<u>76.4</u>	<u>1.4%</u>
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	615.2	560.2	303.2	790.1	450.6	272.1	851.6						3,843.0	4,186.3	(343.3)	-8.2%
Transfers to Other Funds	(1,581.1)	(674.9)	(1,683.6)	(983.8)	(1,152.5)	(1,607.9)	(705.8)						(8,389.6)	(8,702.0)	(312.4)	-3.6%
Total Other Financing Sources (Uses)	<u>(965.9)</u>	<u>(114.7)</u>	<u>(1,380.4)</u>	<u>(193.7)</u>	<u>(701.9)</u>	<u>(1,335.8)</u>	<u>145.8</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(4,546.6)</u>	<u>(4,515.7)</u>	<u>(30.9)</u>	<u>-0.7%</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>423.2</u>	<u>230.6</u>	<u>(441.6)</u>	<u>485.8</u>	<u>(69.0)</u>	<u>(668.3)</u>	<u>1,016.6</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>977.3</u>	<u>931.8</u>	<u>45.5</u>	<u>4.9%</u>
<b>CLOSING CASH BALANCE</b>	<u>\$850.7</u>	<u>\$1,081.3</u>	<u>\$639.7</u>	<u>\$1,125.5</u>	<u>\$1,056.5</u>	<u>\$388.2</u>	<u>\$1,404.8</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$1,404.8</u>	<u>\$1,385.8</u>	<u>\$19.0</u>	<u>1.4%</u>

(\*) Federal receipts includes credit payments for interest paid on Build America Bonds and Qualified School Construction Bonds.

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS-COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "I"  
COMBINED**

													<b>7 Months Ended Oct. 31</b>			
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>OPENING CASH BALANCE (DEFICITS)</b>	(\$449.4)	(\$494.3)	(\$460.4)	(\$479.1)	(\$537.4)	(\$689.5)	(\$774.8)						(\$449.4)	(\$167.1)	(\$282.3)	-168.9%
<b>RECEIPTS:</b>																
Consumption/Use Taxes																
Auto Rental	1.1	0.2	14.8	--	--	21.2	--						37.3	34.9	2.4	6.9%
Motor Fuel	28.2	33.3	33.9	34.0	33.1	33.4	30.6						226.5	231.8	(5.3)	-2.3%
Highway Use	13.4	11.9	10.3	12.7	11.4	9.2	15.8						84.7	80.5	4.2	5.2%
Business Taxes																
Petroleum Business	47.2	52.9	54.5	54.4	53.1	55.2	49.1						366.4	354.6	11.8	3.3%
Transmission	(0.2)	0.1	2.6	(0.4)	0.2	3.0	0.1						5.4	3.7	1.7	45.9%
Other Taxes	--	--	11.9	11.9	11.9	11.9	12.0						59.6	59.6	--	--
Miscellaneous Receipts	241.0	205.2	230.8	306.9	416.5	345.6	370.3						2,116.3	2,140.5	(24.2)	-1.1%
Federal Receipts	30.5	165.7	177.1	180.2	145.8	199.8	299.9						1,199.0	1,144.5	54.5	4.8%
Total Receipts	361.2	469.3	535.9	599.7	672.0	679.3	777.8	0.0	0.0	0.0	0.0	0.0	4,095.2	4,050.1	45.1	1.1%
<b>DISBURSEMENTS:</b>																
Local Assistance Grants	17.6	83.0	141.5	160.4	102.4	196.0	130.9						831.8	1,350.5	(518.7)	-38.4%
Departmental Operations:																
Personal Service	--	--	--	--	--	--	--						--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--						--	--	--	--
General State Charges	--	--	--	--	--	--	--						--	--	--	--
Capital Projects	178.8	370.6	430.3	622.3	548.1	409.2	535.7						3,095.0	2,941.3	153.7	5.2%
Total Disbursements	196.4	453.6	571.8	782.7	650.5	605.2	666.6	0.0	0.0	0.0	0.0	0.0	3,926.8	4,291.8	(365.0)	-8.5%
Excess (Deficiency) of Receipts over Disbursements	164.8	15.7	(35.9)	(183.0)	21.5	74.1	111.2	0.0	0.0	0.0	0.0	0.0	168.4	(241.7)	410.1	169.7%
<b>OTHER FINANCING SOURCES (USES):</b>																
Bond Proceeds (net)	--	--	--	--	--	--	--						--	--	--	--
Transfers from Other Funds	(116.2)	113.0	118.5	221.0	(13.9)	0.7	170.7						493.8	331.1	162.7	49.1%
Transfers to Other Funds	(93.5)	(94.8)	(101.3)	(96.3)	(159.7)	(160.1)	(97.7)						(803.4)	(784.0)	19.4	2.5%
Total Other Financing Sources (Uses)	(209.7)	18.2	17.2	124.7	(173.6)	(159.4)	73.0	0.0	0.0	0.0	0.0	0.0	(309.6)	(452.9)	143.3	31.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(44.9)	33.9	(18.7)	(58.3)	(152.1)	(85.3)	184.2	0.0	0.0	0.0	0.0	0.0	(141.2)	(694.6)	553.4	79.7%
<b>CLOSING CASH BALANCE (DEFICITS)</b>	(\$494.3)	(\$460.4)	(\$479.1)	(\$537.4)	(\$689.5)	(\$774.8)	(\$590.6)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$590.6)	(\$861.7)	\$271.1	31.5%

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - STATE  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "I"  
STATE**

													7 Months Ended Oct. 31				
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>																	
Consumption/Use Taxes																	
Auto Rental	\$1.1	\$0.2	\$14.8	\$ --	\$ --	\$21.2	\$ --						\$ --	\$37.3	\$34.9	\$2.4	6.9%
Motor Fuel	28.2	33.3	33.9	34.0	33.1	33.4	30.6						--	226.5	231.8	(5.3)	-2.3%
Highway Use	13.4	11.9	10.3	12.7	11.4	9.2	15.8						--	84.7	80.5	4.2	5.2%
Business Taxes																	
Petroleum Business	47.2	52.9	54.5	54.4	53.1	55.2	49.1						--	366.4	354.6	11.8	3.3%
Transmission	(0.2)	0.1	2.6	(0.4)	0.2	3.0	0.1						--	5.4	3.7	1.7	45.9%
Other Taxes	--	--	11.9	11.9	11.9	11.9	12.0						--	59.6	59.6	--	--
Miscellaneous Receipts	240.9	205.0	230.7	306.7	416.4	345.6	369.7						--	2,115.0	2,139.3	(24.3)	-1.1%
Federal Receipts	--	--	--	--	--	2.7	--						--	2.7	2.7	--	--
Total Receipts	330.6	303.4	358.7	419.3	526.1	482.2	477.3	0.0	0.0	0.0	0.0	0.0	--	2,897.6	2,907.1	(9.5)	-0.3%
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants	7.4	40.0	85.1	121.1	68.0	74.8	92.3						--	488.7	1,033.1	(544.4)	-52.7%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--	--						--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--						--	--	--	--	--
General State Charges	--	--	--	--	--	--	--						--	--	--	--	--
Capital Projects	143.5	280.7	335.5	507.2	428.5	286.9	395.8						--	2,378.1	2,298.0	80.1	3.5%
Total Disbursements	150.9	320.7	420.6	628.3	496.5	361.7	488.1	0.0	0.0	0.0	0.0	0.0	--	2,866.8	3,331.1	(464.3)	-13.9%
Excess (Deficiency) of Receipts over Disbursements	179.7	(17.3)	(61.9)	(209.0)	29.6	120.5	(10.8)	0.0	0.0	0.0	0.0	0.0	--	30.8	(424.0)	454.8	107.3%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Bond Proceeds (net)	--	--	--	--	--	--	--						--	--	--	--	--
Transfers from Other Funds	(116.2)	113.0	118.5	221.0	(13.9)	0.7	170.7						--	493.8	331.1	162.7	49.1%
Transfers to Other Funds	(93.5)	(94.8)	(101.3)	(96.3)	(159.7)	(160.1)	(97.7)						--	(803.4)	(784.0)	19.4	2.5%
Total Other Financing Sources (Uses)	(209.7)	18.2	17.2	124.7	(173.6)	(159.4)	73.0	0.0	0.0	0.0	0.0	0.0	--	(309.6)	(452.9)	143.3	31.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$30.0)	\$0.9	(\$44.7)	(\$84.3)	(\$144.0)	(\$38.9)	\$62.2	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	(\$278.8)	(\$876.9)	\$598.1	68.2%

(\*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal funds.

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - FEDERAL  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT "I"  
FEDERAL**

													7 Months Ended Oct. 31				
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>																	
Miscellaneous Receipts	\$0.1	\$0.2	\$0.1	\$0.2	\$0.1	\$ --	\$0.6						\$ --	\$1.3	\$1.2	\$0.1	8.3%
Federal Receipts	30.5	165.7	177.1	180.2	145.8	197.1	299.9						--	1,196.3	1,141.8	54.5	4.8%
Total Receipts	30.6	165.9	177.2	180.4	145.9	197.1	300.5	0.0	0.0	0.0	0.0	0.0	--	1,197.6	1,143.0	54.6	4.8%
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants	10.2	43.0	56.4	39.3	34.4	121.2	38.6						--	343.1	317.4	25.7	8.1%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--	--						--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--						--	--	--	--	--
General State Charges	--	--	--	--	--	--	--						--	--	--	--	--
Capital Projects	35.3	89.9	94.8	115.1	119.6	122.3	139.9						--	716.9	643.3	73.6	11.4%
Total Disbursements	45.5	132.9	151.2	154.4	154.0	243.5	178.5	0.0	0.0	0.0	0.0	0.0	--	1,060.0	960.7	99.3	10.3%
Excess (Deficiency) of Receipts over Disbursements	(14.9)	33.0	26.0	26.0	(8.1)	(46.4)	122.0	0.0	0.0	0.0	0.0	0.0	--	137.6	182.3	(44.7)	-24.5%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Transfers from Other Funds	--	--	--	--	--	--	--						--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--	--	--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--	--	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$14.9)	\$33.0	\$26.0	\$26.0	(\$8.1)	(\$46.4)	\$122.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$ --	\$137.6	\$182.3	(\$44.7)	-24.5%

(\*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State funds.



**STATE OF NEW YORK  
ENTERPRISE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT J**

													<b>7 Months Ended Oct. 31</b>	
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	\$97.1	\$25.3	\$89.6	\$102.4	\$70.9	\$188.8	\$91.2						\$97.1	\$20.9
<b>RECEIPTS:</b>														
Miscellaneous Receipts	2.9	4.5	7.2	33.7	59.5	12.2	4.2						124.2	162.9
Federal Receipts	280.0	329.7	338.6	343.0	272.7	244.1	308.2						2,116.3	2,261.3
Unemployment Taxes	273.2	290.1	245.0	290.6	303.0	229.1	257.3						1,888.3	1,920.4
<b>Total Receipts</b>	<b>556.1</b>	<b>624.3</b>	<b>590.8</b>	<b>667.3</b>	<b>635.2</b>	<b>485.4</b>	<b>569.7</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>4,128.8</b>	<b>4,344.6</b>
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	0.3	0.3	0.3	0.4	0.6	1.7	0.4						4.0	3.8
Non-Personal Service	0.4	3.1	4.0	3.0	4.0	107.7	5.3						127.5	128.6
General State Charges	--	--	0.2	--	--	--	--						0.2	0.4
Unemployment Benefits	627.2	556.6	573.5	695.4	512.7	473.6	581.7						4,020.7	4,222.9
<b>Total Disbursements</b>	<b>627.9</b>	<b>560.0</b>	<b>578.0</b>	<b>698.8</b>	<b>517.3</b>	<b>583.0</b>	<b>587.4</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>4,152.4</b>	<b>4,355.7</b>
Excess (Deficiency) of Receipts over Disbursements	(71.8)	64.3	12.8	(31.5)	117.9	(97.6)	(17.7)	0.0	0.0	0.0	0.0	0.0	(23.6)	(11.1)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	--	--	--	--	--	--						--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
<b>Total Other Financing Sources (Uses)</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>--</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(71.8)	64.3	12.8	(31.5)	117.9	(97.6)	(17.7)	0.0	0.0	0.0	0.0	0.0	(23.6)	(11.1)
<b>CLOSING CASH BALANCE</b>	<b>\$25.3</b>	<b>\$89.6</b>	<b>\$102.4</b>	<b>\$70.9</b>	<b>\$188.8</b>	<b>\$91.2</b>	<b>\$73.5</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$73.5</b>	<b>\$9.8</b>

**STATE OF NEW YORK  
INTERNAL SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT K**

													<u>7 Months Ended Oct. 31</u>	
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH	2012	2011
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	\$41.9	\$33.1	\$4.7	(\$14.9)	(\$50.1)	(\$59.0)	(\$50.9)						\$41.9	\$29.0
<b>RECEIPTS:</b>														
Miscellaneous Receipts	2.5	4.5	18.7	8.3	26.3	49.3	34.3						143.9	232.6
Total Receipts	2.5	4.5	18.7	8.3	26.3	49.3	34.3	0.0	0.0	0.0	0.0	0.0	143.9	232.6
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	8.6	8.8	7.9	8.0	11.4	7.9	7.8						60.4	63.9
Non-Personal Service	2.7	34.6	17.7	57.7	27.2	31.4	30.8						202.1	197.7
General State Charges	--	0.2	12.2	--	0.1	0.1	2.2						14.8	31.1
Total Disbursements	11.3	43.6	37.8	65.7	38.7	39.4	40.8	0.0	0.0	0.0	0.0	0.0	277.3	292.7
Excess (Deficiency) of Receipts over Disbursements	(8.8)	(39.1)	(19.1)	(57.4)	(12.4)	9.9	(6.5)	0.0	0.0	0.0	0.0	0.0	(133.4)	(60.1)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	10.7	1.0	22.2	3.5	2.6	9.1						49.1	55.0
Transfers to Other Funds	--	--	(1.5)	--	--	(4.4)	--						(5.9)	(6.5)
Total Other Financing Sources (Uses)	--	10.7	(0.5)	22.2	3.5	(1.8)	9.1	0.0	0.0	0.0	0.0	0.0	43.2	48.5
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(8.8)	(28.4)	(19.6)	(35.2)	(8.9)	8.1	2.6	0.0	0.0	0.0	0.0	0.0	(90.2)	(11.6)
<b>ENDING FUND EQUITY(DEFICITS)</b>	\$33.1	\$4.7	(\$14.9)	(\$50.1)	(\$59.0)	(\$50.9)	(\$48.3)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$48.3)	\$17.4

**STATE OF NEW YORK  
PRIVATE PURPOSE TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT L**

													<u>7 Months Ended Oct. 31</u>	
	2012						2013						2012	2011
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		
<b>OPENING CASH BALANCE</b>	\$10.2	\$10.5	\$10.5	\$10.6	\$10.6	\$10.6	\$10.7						\$10.2	\$9.3
<b>RECEIPTS:</b>														
Miscellaneous Receipts	0.3	0.1	0.1	--	--	0.1	--						0.6	0.9
Total Receipts	0.3	0.1	0.1	--	--	0.1	--	0.0	0.0	0.0	0.0	0.0	0.6	0.9
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	--	0.1	--	--	--	--	--						0.1	0.2
Non-Personal Service	--	--	--	--	--	--	--						--	--
General State Charges	--	--	--	--	--	--	--						--	--
Total Disbursements	--	0.1	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	0.1	0.2
Excess (Deficiency) of Receipts over Disbursements	0.3	--	0.1	--	--	0.1	--	0.0	0.0	0.0	0.0	0.0	0.5	0.7
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	--	--	--	--	--	--						--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.3	--	0.1	--	--	0.1	--	0.0	0.0	0.0	0.0	0.0	0.5	0.7
<b>CLOSING CASH BALANCE</b>	<u>\$10.5</u>	<u>\$10.5</u>	<u>\$10.6</u>	<u>\$10.6</u>	<u>\$10.6</u>	<u>\$10.7</u>	<u>\$10.7</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$10.7</u>	<u>\$10.0</u>

**STATE OF NEW YORK  
PENSION TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2012-2013  
(amounts in millions)**

**EXHIBIT M**

	7 Months Ended Oct. 31												2012	2011
	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2013 JANUARY	FEBRUARY	MARCH		
<b>OPENING CASH BALANCE</b>	\$0.9	(\$0.3)	(\$1.2)	(\$9.0)	(\$10.3)	(\$4.7)	(\$5.0)						\$0.9	\$1.4
<b>RECEIPTS:</b>														
Miscellaneous Receipts	3.6	4.1	4.7	4.1	15.3	4.3	8.6						44.7	53.6
Total Receipts	3.6	4.1	4.7	4.1	15.3	4.3	8.6	0.0	0.0	0.0	0.0	0.0	44.7	53.6
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	4.4	4.0	4.5	4.0	6.1	4.0	4.2						31.2	31.7
Non-Personal Service	0.4	1.0	1.8	1.4	3.6	0.6	1.0						9.8	10.8
General State Charges	--	--	6.2	--	--	--	6.6						12.8	12.5
Total Disbursements	4.8	5.0	12.5	5.4	9.7	4.6	11.8	0.0	0.0	0.0	0.0	0.0	53.8	55.0
Excess (Deficiency) of Receipts over Disbursements	(1.2)	(0.9)	(7.8)	(1.3)	5.6	(0.3)	(3.2)	0.0	0.0	0.0	0.0	0.0	(9.1)	(1.4)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	--	--	--	--	--	--						--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(1.2)	(0.9)	(7.8)	(1.3)	5.6	(0.3)	(3.2)	0.0	0.0	0.0	0.0	0.0	(9.1)	(1.4)
<b>CLOSING CASH BALANCE</b>	(\$0.3)	(\$1.2)	(\$9.0)	(\$10.3)	(\$4.7)	(\$5.0)	(\$8.2)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$8.2)	\$ --

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF OCTOBER 2012  
(amounts in millions)

SCHEDULE 1

	BALANCE 10/1/12	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/12
<b>GENERAL FUND</b>					
10000-10049-Local Assistance Account	\$ --	\$0.490	\$2,281.837	\$2,281.347	\$ --
10050-10099-State Operations Account	4,186.107	2,679.476	661.386	(2,650.951)	3,553.246
10100-10149-Tax Stabilization Reserve	--	--	--	--	--
10150-10199-Contingency Reserve	--	--	--	--	--
10200-10249-Universal Pre-K Reserve	--	--	--	--	--
10250-10299-Community Projects	96.174	--	0.438	--	95.736
10300-10349-Rainy Day Reserve Fund	--	--	--	--	--
10400-10449-Refund Reserve Account	--	--	--	--	--
10500-10549-Fringe Benefits Escrow	--	305.585	305.585	--	--
10550-10599-Tobacco Revenue Guarantee	--	--	--	--	--
<b>TOTAL GENERAL FUND</b>	<b>4,282.281</b>	<b>2,985.551</b>	<b>3,249.246</b>	<b>(369.604)</b>	<b>3,648.982</b>
<b>SPECIAL REVENUE FUNDS-STATE</b>					
20000-20099-Mental Health Gifts and Donations	2.188	0.010	0.004	--	2.194
20100-20299-Combined Expendable Trust	61.475	1.253	0.790	--	61.938
20300-20349-New York Interest on Lawyer Account	4.201	0.787	0.373	--	4.615
20350-20399-NYS Archives Partnership Trust	0.252	--	0.021	(0.005)	0.226
20400-20449-Child Performer's Protection	0.201	0.006	0.030	--	0.177
20450-20499-Tuition Reimbursement	5.898	0.287	0.342	--	5.843
20500-20549-New York State Local Government Records Management Improvement	3.107	1.055	0.232	--	3.930
20550-20599-School Tax Relief	0.001	6.043	6.043	--	0.001
20600-20649-Charter Schools Stimulus	2.564	0.001	--	--	2.565
20650-20699-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
20700-20749-Hudson River Valley Greenway	--	--	--	--	--
20750-20799-Rehabilitative Alcohol & Substance Abuse Treatment	0.002	--	--	--	0.002
20800-20849-HCRA Resources	333.897	487.207	415.378	(31.481)	374.245
20850-20899-Dedicated Mass Transportation Trust	87.818	37.957	55.687	--	70.088
20900-20949-State Lottery	(700.566)	248.632	134.809	--	(586.743)
20950-20999-Combined Student Loan	27.488	1.601	8.605	--	20.484
21000-21049-Sewage Treatment Program Mgmt. & Administration	(0.136)	0.422	0.187	--	0.099
21050-21149-EnCon Special Revenue	(30.380)	16.843	6.175	--	(19.712)
21150-21199-Conservation	90.167	18.376	2.399	--	106.144
21200-21249-Environmental Protection and Oil Spill Compensation	19.980	5.601	1.685	(2.464)	21.432
21250-21299-Training and Education Program on OSHA	2.370	9.625	4.911	--	7.084
21300-21349-Lawyers' Fund for Client Protection	6.782	1.361	0.417	--	7.726
21350-21399-Equipment Loan for the Disabled	0.504	0.006	0.001	--	0.509
21400-21449-Mass Transportation Operating Assistance	123.602	92.357	164.166	3.000	54.793
21450-21499-Clean Air	(15.476)	2.619	3.749	--	(16.606)
21500-21549-New York State Infrastructure Trust	0.067	0.010	--	--	0.077
21550-21559-Legislative Computer Services	10.066	0.149	0.066	--	10.149
21600-21649-Biodiversity Stewardship and Research	--	--	--	--	--
21650-21699-Combined Non-Expendable Trust	3.483	0.002	--	--	3.485
21700-21749-Winter Sports Education Trust	1.181	0.001	--	--	1.182
21750-21799-Musical Instrument Revolving	0.001	--	--	--	0.001
21800-21849-Rural Housing Assistance	--	--	--	--	--
21850-21899-Arts Capital Revolving	0.796	0.001	--	--	0.797
21900-22499-Miscellaneous State Special Revenue	1,396.982	315.347	1,047.195	286.967	952.101

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF OCTOBER 2012  
(amounts in millions)

SCHEDULE 1  
(continued)

	BALANCE 10/1/12	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/12
<b><u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u></b>					
22500-22549-Court Facilities Incentive Aid	15.771	0.007	7.516	--	8.262
22550-22599-Employment Training	0.049	--	--	--	0.049
22600-22649-Homeless Housing and Assistance	--	--	--	--	--
22650-22699-State University Income	792.758	362.733	444.802	207.319	918.008
22700-22749-Chemical Dependence Service	8.272	0.531	0.001	--	8.802
22750-22799-Lake George Park Trust	0.449	--	0.072	--	0.377
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	19.282	1.676	0.019	(10.000)	10.939
22850-22899-New York Great Lakes Protection	0.311	--	0.006	--	0.305
22900-22949-Federal Revenue Maximization	0.023	--	--	--	0.023
22950-22999-Housing Development	9.280	0.006	0.133	--	9.153
23000-23049-NYS/DOT Highway Safety Program	(3.463)	(0.001)	0.230	--	(3.694)
23050-23099-Vocational Rehabilitation	0.132	0.012	--	--	0.144
23100-23149-Drinking Water Program Management and Administration	(3.121)	--	0.332	--	(3.453)
23150-23199-NYC County Clerks' Operations Offset	(28.025)	--	2.435	--	(30.460)
23200-23249-Judiciary Data Processing Offset	5.338	2.893	1.581	--	6.650
23250-23449-IFR / CUTRA	114.661	5.881	4.690	--	115.852
23450-23499-Supplemental Jury Facilities	--	--	--	--	--
23500-23549-USOC Lake Placid Training	0.038	0.002	--	--	0.040
23550-23599-Indigent Legal Services	66.135	9.663	8.529	--	67.269
23600-23649-Unemployment Insurance Interest and Penalty	8.745	0.947	0.334	--	9.358
23650-23699-MTA Financial Assistance Fund	74.065	130.036	79.909	51.453	175.645
<b>TOTAL SPECIAL REVENUE FUNDS-STATE</b>	<b>2,519.215</b>	<b>1,761.945</b>	<b>2,403.854</b>	<b>504.789</b>	<b>2,382.095</b>
<b><u>SPECIAL REVENUE FUNDS-FEDERAL</u></b>					
25000-25099-Federal USDA / Food and Consumer Services	(74.133)	183.091	119.171	--	(10.213)
25100-25199-Federal Health and Human Services	(185.817)	2,669.646	3,029.025	(362.961)	(908.157)
25200-25249-Federal Education	(33.833)	440.186	419.769	--	(13.416)
25250-25299-Federal DHHS Block Grant	--	--	--	--	--
25300-25899-Federal Miscellaneous Operating Grants	(180.154)	92.896	53.605	--	(140.863)
25900-25949-Unemployment Insurance Administration	68.426	31.769	23.741	--	76.454
25950-25999-Unemployment Insurance Occupational Training	0.346	0.201	0.365	--	0.182
26000-26049-Federal Employment and Training Grants	(0.265)	16.945	18.148	--	(1.468)
<b>TOTAL SPECIAL REVENUE FUNDS-FEDERAL</b>	<b>(405.430)</b>	<b>3,434.734</b>	<b>3,663.824</b>	<b>(362.961)</b>	<b>(997.481)</b>
<b>TOTAL SPECIAL REVENUE FUNDS</b>	<b>2,113.785</b>	<b>5,196.679</b>	<b>6,067.678</b>	<b>141.828</b>	<b>1,384.614</b>
<b><u>DEBT SERVICE FUNDS</u></b>					
40000-40049-Debt Reduction Reserve	--	--	--	--	--
40050-40099-State University Educational Facilities	--	--	--	--	--
40100-40149-Mental Health Services	74.798	21.522	--	215.093	311.413
40150-40199-General Debt Service	0.144	637.960	101.212	212.682	749.574
40200-40249-Grade Crossing Elimination Debt Service	--	--	--	--	--
40250-40299-State Housing Debt Service	--	--	1.452	1.452	--
40300-40349-Department of Health Income	28.327	17.773	--	(8.870)	37.230
40350-40399-State University Dormitory Income	261.622	33.822	--	(18.415)	277.029
40400-40449-Clean Water/Clean Air	19.772	50.004	--	(43.566)	26.210
40450-40499-Local Government Assistance Tax	3.492	212.392	--	(212.579)	3.305
<b>TOTAL DEBT SERVICE FUNDS</b>	<b>388.155</b>	<b>973.473</b>	<b>102.664</b>	<b>145.797</b>	<b>1,404.761</b>

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF OCTOBER 2012  
(amounts in millions)

SCHEDULE 1  
(continued)

	BALANCE 10/1/12	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/12
<b>CAPITAL PROJECTS FUNDS</b>					
30000-30049-State Capital Projects	--	46.700	217.031	170.331	--
30050-30099-Dedicated Highway and Bridge Trust	(353.748)	417.506	200.439	(92.614)	(229.295)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	105.919	0.034	2.672	--	103.281
30300-30349-New York State Canal System Development	2.971	0.001	0.856	--	2.116
30350-30399-Parks Infrastructure	(24.966)	--	4.914	--	(29.880)
30400-30449-Passenger Facility Charge	0.014	--	--	--	0.014
30450-30499-Environmental Protection	64.623	14.166	10.218	--	68.571
30500-30549-Clean Water/Clean Air Implementation	--	--	--	--	--
30550-30599-Hudson River Park	0.088	--	--	--	0.088
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	--	--	--	0.164
30610-30619-Park & Recreation Land Acquisition Bond	--	--	--	--	--
30620-30629-Pure Waters Bond	0.564	--	--	--	0.564
30750-30799-Outdoor Recreation Development Bond	--	--	--	--	--
30630-30639-Transportation Capital Facilities Bond	3.391	--	--	--	3.391
30640-30649-Environmental Quality Protection Bond	1.310	--	--	--	1.310
30900-30949-Rail Preservation and Development Bond	--	--	--	--	--
30700-30749-State Housing Bond	--	--	--	--	--
30650-30659-Rebuild and renew New York Transportation Bond	55.241	--	--	(1.980)	53.261
30660-30669-Transportation Infrastructure Renewal Bond	4.257	--	--	--	4.257
30670-30679-1986 Environmental Quality Bond Act	6.384	--	--	(0.015)	6.369
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.837	--	--	--	2.837
30690-30699-Clean Water/Clean Air Bond	6.160	--	--	(0.161)	5.999
31350-31449-Federal Capital Projects	(121.330)	300.469	178.494	--	0.645
31450-31499-Forest Preserve Expansion	0.895	--	--	--	0.895
31500-31549-Hazardous Waste Remedial	(146.593)	3.735	6.785	(2.626)	(152.269)
31550-31599-Pine Barrens	--	--	--	--	--
31600-31649-Lake Champlain Bridges	--	--	--	--	--
31650-31699-Suburban Transportation	0.504	0.001	--	--	0.505
31700-31749-Division for Youth Facilities Improvement	(3.861)	--	0.856	--	(4.717)
31750-31799-Youth Centers Facility	--	--	--	--	--
31800-31849-Housing Assistance	(20.395)	--	--	--	(20.395)
31850-31899-Housing Program	(166.212)	--	4.775	--	(170.987)
31900-31949-Natural Resource Damage	27.353	(9.792)	0.181	--	17.380
31950-32199-DOT Engineering Services	(12.505)	--	0.120	--	(12.625)
32400-32999-State University Capital Projects	157.898	1.644	6.003	--	153.539
32200-32249-Miscellaneous Capital Projects	15.019	0.095	6.341	--	8.773
32250-32299-CUNY Capital Projects	(0.023)	--	--	--	(0.023)
32300-32349-Mental Hygiene Facilities Capital Improvement	(342.077)	3.146	10.839	--	(349.770)
32350-32399-Correction Facilities Capital Improvement	(38.713)	0.001	15.932	--	(54.644)
<b>TOTAL CAPITAL PROJECTS FUNDS</b>	<b>(774.831)</b>	<b>777.706</b>	<b>666.456</b>	<b>72.935</b>	<b>(590.646)</b>
<b>TOTAL GOVERNMENTAL FUNDS</b>	<b>\$6,009.390</b>	<b>\$9,933.409</b>	<b>\$10,086.044</b>	<b>(\$9.044)</b>	<b>\$5,847.711</b>

STATE OF NEW YORK  
 PROPRIETARY FUNDS

SCHEDULE 2

SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY  
 FOR THE MONTH OF OCTOBER 2012  
 (amounts in millions)

<u>FUND TYPE</u>	<u>FUND EQUITY 10/1/12</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 10/31/12</u>
<b><u>ENTERPRISE FUNDS</u></b>					
50000-50049-Youth Commissary	\$0.213	\$0.006	\$0.007	\$ --	\$0.212
50050-50099-State Exposition Special	12.455	0.389	1.796	--	11.048
50100-50299-Correctional Services Commissary	4.085	2.937	3.326	--	3.696
50300-50399-Agency Enterprise	2.323	0.218	0.202	--	2.339
50400-50449-OMH Sheltered Workshop	1.838	0.091	0.132	--	1.797
50450-50499-OPWDD Sheltered Workshop	1.228	0.113	0.090	--	1.251
50500-50599-Mental Hygiene Community Stores	3.491	0.149	0.163	--	3.477
50650-50699-Unemployment Insurance Benefit	65.566	565.819	581.713	--	49.672
<b>TOTAL ENTERPRISE FUNDS</b>	<b>91.199</b>	<b>569.722</b>	<b>587.429</b>	<b>--</b>	<b>73.492</b>
<b><u>INTERNAL SERVICE FUNDS</u></b>					
55000-55049-O.G.S. Centralized Services	(21.510)	22.936	16.647	(0.064)	(15.285)
55050-55099-Agency Internal Service	(7.413)	5.538	17.530	9.151	(10.254)
55100-55149-Mental Hygiene Revolving	(0.011)	0.038	0.008	--	0.019
55150-55199-Youth Vocational Education	0.058	0.001	--	--	0.059
55200-55249-Joint Labor/Management Administration	1.532	0.003	0.055	(0.010)	1.470
55250-55299-Audit and Control Revolving	1.650	--	0.805	--	0.845
55300-55349-Health Insurance Revolving	(18.975)	1.628	2.049	(0.033)	(19.429)
55350-55399-Correctional Industries Revolving	(6.213)	4.185	3.658	(0.001)	(5.687)
<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>(50.882)</b>	<b>34.329</b>	<b>40.752</b>	<b>9.043</b>	<b>(48.262)</b>
<b>TOTAL PROPRIETARY FUNDS</b>	<b>\$40.317</b>	<b>\$604.051</b>	<b>\$628.181</b>	<b>\$9.043</b>	<b>\$25.230</b>



**STATE OF NEW YORK**  
**FIDUCIARY FUNDS**  
**SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES**  
**FOR THE MONTH OF OCTOBER 2012**  
(amounts in millions)

**SCHEDULE 3**

<u>FUND TYPE</u>	<u>FUND BALANCE 10/1/12</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 10/31/12</u>
<b><u>PENSION TRUST FUNDS</u></b>					
65000-65049-Common Retirement-Administration	(\$5.029)	\$8.628	\$11.802	\$ --	(\$8.203)
<b>TOTAL PENSION TRUST FUNDS</b>	<b>(5.029)</b>	<b>8.628</b>	<b>11.802</b>	<b>--</b>	<b>(8.203)</b>
<b><u>PRIVATE PURPOSE TRUST FUNDS</u></b>					
66000-66049-Agriculture Producers' Security	2.055	--	0.007	--	2.048
66050-66099-Milk Producers' Security	8.638	0.065	0.006	--	8.697
<b>TOTAL PRIVATE PURPOSE TRUST FUNDS</b>	<b>10.693</b>	<b>0.065</b>	<b>0.013</b>	<b>--</b>	<b>10.745</b>
<b><u>AGENCY FUNDS</u></b>					
60000-60049--Private Not-For-Profit School Capital Facilities Financing Reserve	--	--	--	--	--
60050-60149-School Capital Facilities Financing Reserve	20.558	3.060	--	--	23.618
60150-60199-Child Performer's Holding	0.225	0.020	--	--	0.245
60200-60249-Employees Health Insurance	247.300	640.722	680.536	--	207.486
60250-60299-Social Security Contribution	1.006	73.441	73.435	--	1.012
60300-60399-Employee Payroll Withholding Escrow	12.944	420.679	439.365	--	(5.742)
60400-60449-Employees Dental Insurance	6.504	6.268	7.290	--	5.482
60450-60499-Management Confidential Group Insurance	0.679	0.633	0.881	--	0.431
60500-60549-Lottery Prize	301.678	75.382	80.797	--	296.263
60550-60599-Health Insurance Reserve Receipts	0.100	--	--	--	0.100
60600-60799-Miscellaneous New York State Agency	606.703	68.352	37.008	--	638.047
60800-60849-Elderly Pharmaceutical Insurance Coverage Escrow	17.882	8.909	5.525	--	21.266
60850-60899-CUNY Senior College Operating	12.322	165.153	139.080	--	38.395
60900-60949-Medicaid Management Information System Escrow	(55.708)	4,639.226	3,702.100	--	881.418
60950-60999-Special Education	--	--	--	--	--
61000-61099-State University Collection	261.640	(94.964)	--	--	166.676
61100-61199-SUNY Federal Direct Lending Program	(16.665)	12.454	--	--	(4.211)
<b>TOTAL AGENCY FUNDS</b>	<b>1,417.168</b>	<b>6,019.335</b>	<b>5,166.017</b>	<b>--</b>	<b>2,270.486</b>
<b>TOTAL FIDUCIARY FUNDS</b>	<b>\$1,422.832</b>	<b>\$6,028.028</b>	<b>\$5,177.832</b>	<b>\$ --</b>	<b>\$2,273.028</b>

STATE OF NEW YORK  
SOLE CUSTODY AND INVESTMENT ACCOUNTS  
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS  
FOR THE MONTH OF OCTOBER 2012  
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 10/1/12</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 10/31/12</u>
<b><u>ACCOUNTS</u></b>				
70000-70049-Tobacco Settlement	\$2.700	\$0.001	\$ --	\$2.701
70050-70149-Sole Custody Investment (*)	1,872.442	5,798.658	6,103.645	1,567.455
70200-Comptroller's Refund	--	141.584	141.584	--
<b>TOTAL ACCOUNTS</b>	<u>\$1,875.142</u>	<u>\$5,940.243</u>	<u>\$6,245.229</u>	<u>\$1,570.156</u>

**(\*) Includes Public Asset Fund resources**

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. On December 28, 2005, Wellchoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of October 31, 2012, \$11,633,030.56 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resource Fund (20800).

**STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF DIRECT STATE DEBT ACTIVITY  
FISCAL YEAR ENDED MARCH 31, 2013**

**SCHEDULE 5**

PURPOSE	DEBT OUTSTANDING APRIL 1, 2012	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING OCT. 31, 2012	INTEREST DISBURSED	
		MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2012	MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2012		MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2012
<b>GENERAL OBLIGATION BONDED DEBT:</b>								
Accelerated Capacity and Transportation Improvements	\$370,660,421.97	\$ --	\$ --	\$ --	\$74,343,884.21	\$296,316,537.76	\$3,530,044.63	\$12,119,090.25
Clean Water/Clean Air:								
Air Quality	45,022,724.04	--	--	--	6,842,035.57	38,180,688.47	362,498.78	1,227,160.15
Safe Drinking Water	12,564,628.83	--	--	--	3,310,000.00	9,254,628.83	--	249,320.19
Water	466,036,864.14	--	--	--	4,790,301.55	461,246,562.59	900,786.19	9,622,673.41
Solid Waste	69,553,112.20	--	--	--	4,890,188.22	64,662,923.98	359,702.79	1,212,493.32
Environmental Restoration	101,560,841.31	--	--	--	--	101,560,841.31	7,472.27	2,012,439.62
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	12,107,804.13	--	--	--	3,387,414.25	8,720,389.88	74,298.39	304,013.52
Environmental Quality Protection (1972):								
Air	11,103,951.62	--	--	--	3,104,333.54	7,999,618.08	155,497.67	403,449.73
Land and Wetlands	24,794,967.59	--	--	--	5,822,675.41	18,972,292.18	269,257.69	816,579.41
Water	84,023,228.78	--	--	--	16,590,983.19	67,432,245.59	826,988.18	2,446,547.25
Environmental Quality (1986):								
Land and Forests	33,455,683.51	--	--	--	5,703,240.18	27,752,443.33	229,990.95	837,461.98
Solid Waste Management	369,042,581.64	--	--	--	18,638,203.92	350,404,377.72	261,751.32	7,161,787.09
Housing:								
Low Cost	34,360,000.00	--	--	1,060,000.00	5,935,000.00	28,425,000.00	391,500.00	906,700.00
Middle Income	31,160,000.00	--	--	--	4,415,000.00	26,745,000.00	--	349,799.75
Park and Recreation Land Acquisition	17,462.59	--	--	--	--	17,462.59	--	342.28
Pure Waters	67,237,919.66	--	--	--	9,922,974.31	57,314,945.35	623,000.18	1,965,580.34
Rail Preservation Development	4,720,436.05	--	--	--	2,043,406.10	2,677,029.95	33,192.46	118,364.77
Rebuild and Renew New York Transportation:								
Highway Facilities	764,178,426.65	--	--	--	--	764,178,426.65	--	16,765,662.41
Canals and Waterways	15,330,084.29	--	--	--	--	15,330,084.29	--	313,933.76
Aviation	43,456,928.50	--	--	--	--	43,456,928.50	--	937,553.22
Rail and Port	75,742,389.22	--	--	--	--	75,742,389.22	--	1,747,320.69
Mass Transit - Dept. of Transportation	12,764,273.58	--	--	--	--	12,764,273.58	--	280,532.16
Mass Transit - Metropolitan Transportation Authority	805,995,790.27	--	--	--	--	805,995,790.27	--	17,874,633.43
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	2,752,039.77	--	--	--	--	2,752,039.77	--	56,724.58
Ports, Canals, and Waterways	38,396.10	--	--	--	38,396.10	--	--	767.92
Rapid Transit, Rail, and Aviation	16,588,704.01	--	--	--	3,333,691.05	13,255,012.96	199,226.34	549,252.87
Transportation Capital Facilities:								
Aviation	16,250,647.54	--	--	--	2,594,717.63	13,655,929.91	205,313.55	533,047.28
Mass Transportation	3,844,691.47	--	--	--	2,833,554.77	1,011,136.70	4,109.74	55,347.27
<b>Total General Obligation Bonded Debt</b>	<b>\$3,494,364,999.46</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$1,060,000.00</b>	<b>\$178,540,000.00</b>	<b>\$3,315,824,999.46</b>	<b>\$8,434,631.13</b>	<b>\$80,868,578.65</b>

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
FINANCING AGREEMENTS  
FOR THE SEVEN (7) MONTHS ENDED OCTOBER 31, 2012

SCHEDULE 5a

	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	STATE	COMBINED TOTALS		\$ INCREASE / (DECREASE)	
	REDUCTION	DEBT	OF HEALTH	GOVERNMENT	HEALTH	BOND	UNIVERSITY	7 MONTHS ENDED OCT. 31			
	FUND	SERVICE	INCOME	ASSISTANCE	SERVICES	TAX	DORMITORY	2012	2011		
	(40000)	(40151)	(40300)	(40450)	(40100)	(40152)	(40350)				
<b>Special Contractual Financing Obligations:</b>											
<b>Managed by Office of General Services:</b>											
Department of Trans Region 1 Schenectady	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$631,732	(\$631,732)
Hampton Plaza	--	--	--	--	--	--	--	--	--	19,000	(19,000)
<b>Subtotal</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$650,732</b>	<b>(\$650,732)</b>
<b>Payments to Public Authorities:</b>											
City University Construction	--	128,150,815	--	--	--	--	--	--	128,150,815	159,655,436	(31,504,621)
Dormitory Authority:											
Albany County Airport	--	325,332	--	--	--	--	--	325,332	373,194	(47,862)	
Child Care Facilities	--	--	--	--	--	--	--	--	37,669	(37,669)	
Consolidated Service Contract Refunding	--	83,554,102	--	--	--	--	--	83,554,102	59,884,539	23,669,563	
David Axelrod Institute	--	4,664,279	--	--	--	--	--	4,664,279	4,600,029	64,250	
Department of Health Facilities	--	--	14,077,231	--	--	--	--	14,077,231	14,822,755	(745,524)	
Economic Development Housing	--	--	--	--	--	9,549,235	--	9,549,235	11,138,197	(1,588,962)	
Education	--	--	--	--	--	135,782,758	--	135,782,758	136,276,055	(493,297)	
General Purpose	--	--	--	--	--	235,489,106	--	235,489,106	179,035,787	56,453,319	
Health Care	--	--	--	--	--	2,911,369	--	2,911,369	2,973,244	(61,875)	
Judicial Training Institute	--	--	--	--	--	--	--	--	434,793	(434,793)	
Mental Health Facilities	--	--	--	--	110,626,048	--	--	110,626,048	117,514,744	(6,888,696)	
OGS Parking	--	478,125	--	--	--	--	--	478,125	478,125	--	
State Department of Education Facilities	--	982,867	--	--	--	--	--	982,867	1,045,377	(62,510)	
State Facilities and Equipment	--	--	--	--	--	269,379	--	269,379	715,838	(446,459)	
SUNY Community Colleges	--	25,349,483	--	--	--	--	--	25,349,483	23,825,408	1,524,075	
SUNY Dormitory Facilities	--	--	--	--	--	--	67,111,158	67,111,158	58,325,259	8,785,899	
SUNY Educational Facilities	--	150,671,089	--	--	--	--	--	150,671,089	141,466,537	9,204,552	
Environmental Facilities Corporation	--	--	--	--	--	21,081,943	--	21,081,943	22,698,503	(1,616,560)	
Housing Finance Agency	--	27,318,999	--	--	--	41,227,598	--	68,546,597	51,217,663	17,328,934	
Local Government Assistance Corporation	--	--	--	63,816,513	--	--	--	63,816,513	67,324,502	(3,507,989)	
Metropolitan Transportation Authority:											
Transit and Commuter Rail Projects	--	41,732,511	--	--	--	--	--	41,732,511	82,490,224	(40,757,713)	
Triborough Bridge & Tunnel Authority:											
Javits Convention Center Project	--	--	--	--	--	--	--	--	--	--	--
Thruway Authority:											
Dedicated Highway & Bridge	--	615,011,452	--	--	--	--	--	615,011,452	605,039,057	9,972,395	
Local Highway & Bridge	--	101,186,800	--	--	--	--	--	101,186,800	99,639,000	1,547,800	
Transportation	--	--	--	--	--	65,659,250	--	65,659,250	60,353,000	5,306,250	
Urban Development Corporation:											
Center for Industrial Innovation at RPI	--	110,687	--	--	--	--	--	110,687	215,600	(104,913)	
Clarkson University	--	178,200	--	--	--	--	--	178,200	170,525	7,675	
Columbia Univer. Telecommunications Center	--	3,719,000	--	--	--	--	--	3,719,000	3,719,000	--	
Consolidated Service Contract Refunding	--	67,945,314	--	--	--	--	--	67,945,314	72,685,675	(4,740,361)	
Cornell Univer. Supercomputer Center	--	493,000	--	--	--	--	--	493,000	493,000	--	
Correctional Facilities	--	5,400,708	--	--	--	--	--	5,400,708	9,911,756	(4,511,048)	
Economic Development Housing	--	--	--	--	--	26,111,603	--	26,111,603	28,718,931	(2,607,328)	
General Purpose	--	--	--	--	--	69,008,877	--	69,008,877	56,217,715	12,791,162	
State Facilities and Equipment	--	--	--	--	--	41,819,009	--	41,819,009	26,307,575	15,511,434	
Syracuse University Science and											
Technology Center	--	311,025	--	--	--	--	--	311,025	363,963	(52,938)	
University Facilities Grant 95 Refunding	--	317,472	--	--	--	--	--	317,472	346,759	(29,287)	
<b>Subtotal</b>	<b>\$ --</b>	<b>\$1,257,901,260</b>	<b>\$14,077,231</b>	<b>\$63,816,513</b>	<b>\$110,626,048</b>	<b>\$648,910,127</b>	<b>\$67,111,158</b>	<b>\$2,162,442,337</b>	<b>\$2,100,515,434</b>	<b>\$61,926,903</b>	
<b>Total Disbursements for Special Contractual Financing Obligations</b>	<b>\$ --</b>	<b>\$1,257,901,260</b>	<b>\$14,077,231</b>	<b>\$63,816,513</b>	<b>\$110,626,048</b>	<b>\$648,910,127</b>	<b>\$67,111,158</b>	<b>\$2,162,442,337</b>	<b>\$2,101,166,166</b>	<b>\$61,276,171</b>	

**SUMMARY OF THE OPERATING FUND INVESTMENTS  
FOR THE MONTH OF OCTOBER 2012  
AS REQUIRED OF THE STATE COMPTROLLER**  
(amounts in millions)

	<u>OCTOBER 2012</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FYTD OCTOBER 2011</u>
<b><u>SHORT TERM INVESTMENT POOL*</u></b>			
AVERAGE DAILY INVESTMENT BALANCE**	\$5,741.1	\$6,518.2	\$7,479.4
AVERAGE YIELD**	0.188%	0.169%	0.149%
TOTAL INVESTMENT EARNINGS	\$0.911	\$6.442	\$7.029

**Month-End Portfolio Balances**

<u>DESCRIPTION</u>	<u>OCTOBER 2012 PAR AMOUNT</u>	<u>OCTOBER 2011 PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ --	\$ --
REPURCHASE AGREEMENTS	2,762.0	2,274.0
COMMERCIAL PAPER	471.5	1,565.0
CERTIFICATES OF DEPOSIT/SAVINGS	3,081.2	3,820.7
0% COMPENSATING BALANCE CD's	3,400.0	2,005.0
	<u>\$9,714.7</u>	<u>\$9,664.7</u>

\*Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds/subfunds (on deposit in State's general checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the Short Term Investment Pool is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, which ever is shorter. However, it must be noted that certain funds/subfunds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments, public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

\*\*Does not include 0% Compensating Balance CD's.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES  
BUREAU OF STATE ACCOUNTING OPERATIONS

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING**  
**FISCAL YEAR 2012-2013**

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STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT  
 FISCAL YEAR 2012-2013

APPENDIX A

	2012 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER
<b>OPENING CASH BALANCE</b>	<b>\$2,806,101</b>	<b>\$152,197,265</b>	<b>\$234,068,330</b>	<b>\$389,857,308</b>	<b>\$322,200,238</b>	<b>\$302,579,351</b>
<b>RECEIPTS:</b>						
Cigarette Tax	96,498,178	99,397,523	96,905,781	106,198,410	103,474,712	85,776,737
State Share of NYC Cigarette Tax	4,527,000	5,294,000	4,384,000	4,962,000	4,829,000	4,557,000
STIP Interest	46,238	48,125	(48,125)	--	103,152	69,150
Public Asset Transfers	--	--	--	--	--	--
Assessments	320,197,880	395,291,372	380,539,040	360,011,137	352,683,184	321,816,766
Fees	--	--	--	--	--	--
Rebates	--	--	--	--	--	9,246,218
Restitution and Settlements	--	--	--	--	--	6,517,215
Miscellaneous	--	--	3	300	--	250,229
<b>Total Receipts</b>	<b>421,269,296</b>	<b>500,031,020</b>	<b>481,780,699</b>	<b>471,171,847</b>	<b>461,090,048</b>	<b>428,233,315</b>
<b>DISBURSEMENTS:</b>						
Grants	268,619,137	415,634,171	302,829,356	533,039,734	453,600,447	385,965,087
Interest - Late Payments	298	16,320	(10,414)	8,938	16,778	10,981
Personal Service	2,315,981	738,913	447,862	1,026,487	1,148,906	145,503
Non-Personal Service	45,534	974,586	6,816,038	3,029,571	3,627,248	4,023,288
Employee Benefits/Indirect Costs	--	--	--	794,224	--	--
<b>Total Disbursements</b>	<b>270,980,950</b>	<b>417,363,990</b>	<b>310,082,842</b>	<b>537,898,954</b>	<b>458,393,379</b>	<b>390,144,859</b>
<b>OPERATING TRANSFERS:</b>						
Transfers to Capital Projects Fund	--	--	--	--	20,535,726	--
Transfers to General Fund	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	--	--	--	--	1,306,200	5,861,269
Transfers to Miscellaneous Special Revenue Empire State Stem Cell Trust Account	--	--	15,000,000	--	--	--
Transfers to SUNY Income Fund	897,182	795,965	908,879	929,963	475,630	908,861
<b>Total Operating Transfers</b>	<b>897,182</b>	<b>795,965</b>	<b>15,908,879</b>	<b>929,963</b>	<b>22,317,556</b>	<b>6,770,130</b>
<b>Total Disbursements and Transfers</b>	<b>271,878,132</b>	<b>418,159,955</b>	<b>325,991,721</b>	<b>538,828,917</b>	<b>480,710,935</b>	<b>396,914,989</b>
<b>CLOSING CASH BALANCE</b>	<b>\$152,197,265</b>	<b>\$234,068,330</b>	<b>\$389,857,308</b>	<b>\$322,200,238</b>	<b>\$302,579,351</b>	<b>\$333,897,677</b>

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT  
 FISCAL YEAR 2012-2013

APPENDIX A  
 (continued)

	<u>OCTOBER</u>	<u>7 Months Ended October 31, 2012</u>
<b>OPENING CASH BALANCE</b>	<b>\$333,897,677</b>	<b>\$2,806,101</b>
<b>RECEIPTS:</b>		
Cigarette Tax	101,948,898	690,200,239
State share of NYC Cigarette Tax	4,839,000	33,392,000
STIP Interest	135,162	353,702
Public Asset Transfers	--	--
Assessments	371,673,245	2,502,212,624
Fees	4,620,420	4,620,420
Rebates	3,148,012	12,394,230
Restitution and Settlements	227,000	6,744,215
Miscellaneous	613,850	864,382
<b>Total Receipts</b>	<b><u>487,205,587</u></b>	<b><u>3,250,781,812</u></b>
<b>DISBURSEMENTS:</b>		
Grants	408,217,376	2,767,905,308
Interest - Late Payments	63,472	106,373
Personal Service	974,017	6,797,669
Non-Personal Service	6,122,953	24,639,218
Employee Benefits/Indirect Costs	--	794,224
<b>Total Disbursements</b>	<b><u>415,377,818</u></b>	<b><u>2,800,242,792</u></b>
<b>OPERATING TRANSFERS:</b>		
Transfers to Capital Projects Fund	31,000,000	51,535,726
Transfers to General Fund	--	--
Transfers to Revenue Bond Tax Fund	--	7,167,469
Transfers to Miscellaneous Special Revenue Empire State Stem Cell Trust Account	--	15,000,000
Transfers to SUNY Income Fund	480,852	5,397,332
<b>Total Operating Transfers</b>	<b><u>31,480,852</u></b>	<b><u>79,100,527</u></b>
<b>Total Disbursements and Transfers</b>	<b><u>446,858,670</u></b>	<b><u>2,879,343,319</u></b>
<b>CLOSING CASH BALANCE</b>	<b><u>\$374,244,594</u></b>	<b><u>\$374,244,594</u></b>



STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2012-2013

APPENDIX B

Program/Purpose	Appropriation Amount (1)	April - June Disbursements	July - September Disbursements	October Disbursements	Total Disbursements 7 Months Ending October 31, 2012 (2)
<b>ADULT HOMES PROGRAM</b>	\$ 59,736	\$ --	\$ --	\$ --	\$ --
ADULT HOMES					
<b>AIDS INSTITUTE PROGRAM</b>	244,030,323	--	--	--	--
COMMUNITY SERVICE PROG- HIGH RISK		--	--	--	--
HIV CLINICAL & PROVIDER EDUCATION		619,829	598,022	184,649	1,402,500
HIV HEALTH CARE SUPPORTIVE SERVICES		2,799,424	6,170,202	1,655,520	10,625,146
HIV STD HEPATITIS C PREVENTION		5,075,892	7,375,453	1,880,213	14,331,558
INFANTS AND PREGNANT WOMEN		--	--	--	--
REGIONAL AND TARGETED		3,638,235	10,862,995	3,156,223	17,657,453
<b>CENTER FOR COMMUNITY HEALTH PROGRAM</b>	184,744,193	--	--	--	--
ADEPHI UNIVRST CANC SPRT PRG		--	--	--	--
BRST CANCER HOTLINE - ADELPHI		--	--	--	--
CENTER FOR COMMUNITY HLTH GEN		385,294	442,734	121,773	949,801
EVIDENCE BASED CANCER SVC		3,102,980	1,513,666	2,723,357	7,340,003
FAMILY PLANNING		--	--	--	--
HYPERTENSION PREVENTION TREATMENT		82,286	131,218	--	213,504
INDIAN HEALTH PROGRAM		6,215,187	5,288,953	2,320,551	13,824,691
LEAD POISONING PREVENTION		127,151	52,548	--	179,699
MATERNITY & EARLY CHHOOD FOUNDATION		74,657	149,750	74,875	299,282
NUTRITION SERVICES/EDUC - PREG WOMEN, CHILDREN		15,176	3,235,824	26,360	3,277,360
PRENATAL CARE ASSISTANCE PROGRAM		450,071	619,875	163,307	1,233,253
PUBLIC HEALTH CAMPAIGN		1,191,730	247,276	1,154,860	2,593,866
RAPE CRISIS		66,775	21,733	1,975	90,483
SCHOOL BASED HEALTH PROGRAM		793,740	2,642,457	441,623	3,877,820
STATE AID PHYSICALLY HANICAPPED CHILDREN REHAB		226,144	112,281	36,949	375,374
TOBACCO ENFORCEMENT		71,309	554,372	--	625,681
TUBERCULOSIS		--	127,737	38,668	166,405
<b>CHILD HEALTH INSURANCE PROGRAM</b>	979,306,800	36,361,902	91,709,440	34,701,164	162,772,506
CHILD HEALTH INSURANCE					
<b>COMMUNITY SUPPORT PROGRAM</b>	120,000	12,000	24,000	--	36,000
COMMUNITY SUPPORT					
<b>ELDERLY PHARMACEUTICAL INS COVERAGE PRG</b>	329,800,000	10,521,272	25,077,291	13,833,345	49,431,908
EDLERLY PHARMACEUTICAL INSURANCE COV					
<b>HEALTH CARE FINANCING PROGRAM</b>	9,217,600	272,176	330,249	96,640	699,065
HEALTH CARE FINANCING					
<b>HEALTH CARE REFORM ACT PROGRAM</b>	1,776,039,106	--	12,300,000	--	12,300,000
AIDS DRUG ASSISTANCE		--	--	--	--
AMBULATORY CARE TRAINING		--	2,199,723	--	2,199,723
AREA HEALTH EDUCATION CENTER		4,598,105	935,396	--	5,533,501
DIAGNOSTIC & TREATMENT CTR UNCOMPENSATED CARE		--	855,794	--	855,794
DIVERSITY IN MEDICINE		--	4,730,000	--	4,730,000
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)		--	2,399,893	3,685,251	6,085,144
HCRA PAYOR / PROVIDER AUDITS		--	19,600,000	44,665	19,644,665
HEALTH FACILITY RESTRUCTURING DASNY		552,374	2,400,413	--	2,952,787
HEALTH WORKFORCE RETRAINING		405,246	337,608	--	742,854
INFERTILITY SERVICES GRANTING		--	--	--	--
MEDICAL INDEMNITY FUND		212,056	212,418	--	424,474
PART 405_4 HOSPITAL AUDITS		--	--	--	--
PAY FOR PERFORMANCE		--	--	--	--
PHYSICIAN EXCESS MEDICAL MALPRACTICE		--	127,400,000	--	127,400,000
PHYSICIAN LOAN REPAYMENT		64,927	73,111	80,422	218,460
PHYSICIAN PRACTICE SUPPORT		--	138,375	--	138,375
PHYSICIAN WORKFORCE STUDIES		--	--	--	--
POISON CONTROL CENTERS		--	1,250,000	--	1,250,000
POOL ADMINISTRATION		--	1,465,874	364,653	1,830,527
ROSWELL PARK CANCER INSTITUTE		--	17,900,000	--	17,900,000
RPCI CANC RSRCH OPERATING COSTS		--	1,500,000	--	1,500,000
RURAL HEALTH CARE ACCESS		167,146	2,745,776	656,311	3,569,233
RURAL HEALTH NETWORK		128,244	1,718,949	543,651	2,390,844
SCHOOL BASED HEALTH CENTERS		--	--	--	--
SCHOOL BASED HEALTH CLINICS-POOL ADMN		--	--	--	--
TOBACCO USE PREVENTION/CONTROL		7,618,122	9,350,965	2,212,772	19,181,859
TRNSITION ACCT - PRIOR YEAR ALLOCATION		--	--	--	--

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2012-2013

APPENDIX B  
 (continued)

Program/Purpose	Appropriation Amount (1)	April - June Disbursements	July - September Disbursements	October Disbursements	Total Disbursements 7 Months Ending October 31, 2012 (2)
<b>MEDICAL ASSISTANCE PROGRAM</b>	<b>\$ 18,568,492,000</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>
BREAST & CERVICAL CANCER		2,107,000	--	--	2,107,000
DISABLED PERSONS		23,814,000	--	--	23,814,000
FAMILY HEALTH PLUS		52,703,900	203,385,100	85,363,000	341,452,000
FINANCIAL ASSISTANCE		--	--	8,000,000	8,000,000
HOME HEALTH RATE INCREASE		--	--	--	--
INPATIENT NURSING HOME PHARMACIES		553,766,100	472,365,900	155,000,000	1,181,132,000
MEDICAID INDIGENT CARE		197,847,018	189,222,177	63,498,518	450,567,713
MEDICAL ASSISTANCE		12,200,000	48,800,000	12,200,000	73,200,000
NYC MEDICAID		13,320,000	39,960,000	13,320,000	66,600,000
PHYSICIAN SERVICES		45,500,000	40,838,000	--	86,338,000
PRIMARY CARE CASE MANAGEMENT		2,009,000	--	--	2,009,000
PSNL CRE WRKR RECR & RETEN NYC (3)		--	--	--	--
PSNL CRE WRKR RECR & RETEN ROS (4)		--	--	--	--
SUPPLEMENTAL MEDICAL INSURANCE		7,260,000	21,780,000	7,260,000	36,300,000
<b>OFFICE OF HEALTH INSURANCE PROGRAM</b>	<b>19,810,800</b>				
OFFICE OF HEALTH INSURANCE		1,995,988	785,557	654,773	3,436,318
<b>OFFICE OF HEALTH SYSTEMS MANAGEMENT</b>	<b>51,940,100</b>				
OFFICE HEALTH SYSTEMS MANAGEMENT		2,629,283	4,808,708	370,830	7,808,821
<b>OFFICE OF LONG TERM CARE</b>	<b>23,898,240</b>				
ADULT HOME INITIATIVE		--	--	--	--
ENABLE AIR CONDITIONING		--	--	--	--
ENABLE QUALITY OF LIFE		--	--	--	--
QUALITY PROG ADULT CARE FACILITIES		31,949	4,298	--	36,247
<b>TOTAL</b>	<b>22,187,458,898</b>	<b>1,001,033,688</b>	<b>1,388,752,111</b>	<b>415,866,898</b>	<b>2,805,652,697</b>
Transfer to the General Fund - State Purposes Account (for administration of the program)	636,003				
Reclass of SUNY Hospital Disprop Share to Transfer		(2,602,026)	(2,314,454)	(480,852)	(5,397,332)
Reconciling Adjustment (P-Card and T-Card)		(3,880)	(465)	(8,228)	(12,573)
<b>TOTAL APPROPRIATED AMOUNT</b>	<b>\$ 22,188,094,901</b>	<b>\$ 998,427,782</b>	<b>\$ 1,386,437,192</b>	<b>\$ 415,377,818</b>	<b>\$ 2,800,242,792</b>

(1) Includes amounts appropriated in 2012 as well as prior year appropriations that were reappropriated in the SFY 2012 budget chapters.

(2) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(3) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(4) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

STATE OF NEW YORK  
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - OCTOBER 2012  
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009  
 (Disbursement Based)

APPENDIX C

Federal CFDA No.	Federal Agency	Program	October Disbursements	Adjustment*	Life-to-Date Disbursements
<b>Education</b>					
10.579	Department of Agriculture	Child Nutrition Discretionary Grants Limited Availability	\$ --	\$ --	\$ 5,824,761.24
11.557	Department of Commerce	Broadband Technology Opportunities Program (BTOP)	10,811.00	--	8,547,078.34
45.025	National Endowment for the Arts	Promotion of the Arts - Partnership Agreements	--	--	399,900.00
84.033	Department of Education	Federal Work-Study Program	--	--	2,102,760.00
84.063	Department of Education	Federal Pell Grant Program	--	--	147,198,591.00
84.384	Department of Education	Statewide Data Systems, Recovery Act	240,729.32	--	3,501,540.11
84.385	Department of Education	Teacher Incentive Fund, Recovery Act	6,127.14	--	167,599.33
84.386	Department of Education	Education Technology State Grants, Recovery Act	11,875.83	--	50,873,472.78
84.387	Department of Education	Education for Homeless Children and Youth, Recovery Act	--	--	6,039,255.00
84.388	Department of Education	School Improvement Grants, Recovery Act	5,331,520.26	--	92,580,775.40
84.389	Department of Education	Title I Grants to Local Educational Agencies, Recovery Act	38,163.00	--	906,670,598.00
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act	--	--	25,694,044.00
84.391	Department of Education	Special Education Grants to States, Recovery Act	--	--	755,867,980.05
84.392	Department of Education	Special Education - Preschool Grants, Recovery Act	--	--	34,302,395.00
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	--	--	2,468,557,791.00
84.395	Department of Education	State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act	5,173,807.11	--	66,117,003.82
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	--	--	527,364,018.81
84.398	Department of Education	Independent Living State Grants, Recovery Act	--	--	856,884.00
84.399	Department of Education	Independent Living Services for Older Individuals Who are Blind, Recovery Act	--	--	2,297,731.00
84.410	Department of Education	Education Jobs Fund	22,019,611.00	--	601,644,907.00
93.407	Health and Human Services	ARRA - Scholarships for Disadvantaged Students	--	--	411,249.00
<b>Total Education</b>			<b>32,832,644.66</b>	<b>--</b>	<b>5,707,020,334.88</b>
<b>Energy and Environment</b>					
10.086	Department of Agriculture	Aquaculture Grants Program (AGP)	--	--	7,611.86
10.688	Department of Agriculture	Recovery Act of 2009: Wildland Fire Management	--	--	617,163.65
66.039	Environmental Protection Agency	National Clean Diesel Emissions Reduction Program	--	--	1,000,000.00
66.040	Environmental Protection Agency	State Clean Diesel Grant Program	--	--	1,635,086.95
66.454	Environmental Protection Agency	Water Quality Management Planning	--	--	4,132,731.39
66.458	Environmental Protection Agency	Capitalization Grants for Clean Water State Revolving Funds	--	--	353,869,837.43
66.468	Environmental Protection Agency	Capitalization Grants for Drinking Water State Revolving Funds	--	--	83,365,696.72
66.805	Environmental Protection Agency	Leaking Underground Storage Tank Trust Fund Corrective Action Program	--	--	9,212,000.00
81.042	Department of Energy	Weatherization Assistance for Low-Income Persons	724,367.50	--	392,586,174.31
81.122	Department of Energy	Electricity Delivery and Energy Reliability, Research, Development and Analysis:	840.48	--	321,796.55
<b>Total Energy and Environment</b>			<b>725,207.98</b>	<b>--</b>	<b>846,748,098.86</b>
<b>Food and Nutrition Services</b>					
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs)	--	--	4,891,302.00
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States	--	--	2,042,446.00
93.707	Health and Human Services	Aging Congregate Nutrition Services for States	--	--	4,148,718.00
<b>Total Food and Nutrition Services</b>			<b>--</b>	<b>--</b>	<b>11,082,466.00</b>
<b>Health and Social Services</b>					
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants & Children (WIC)	--	--	5,468,978.00
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	--	--	24,402,283.00
10.578	Department of Agriculture	WIC Grants To States (WGS)	6,402.01	--	1,516,067.96
14.257	Department of Housing and Urban Development	Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)	19,660.74	1,671,560.89	26,928,899.15
84.393	Department of Education	Special Education - Grants for Infants and Families, Recovery Act	--	--	26,406,387.04
93.563	Health and Human Services	Child Support Enforcement	--	--	76,378,833.69
93.658	Health and Human Services	Foster Care- Title IV-E	--	--	53,978,181.00
93.659	Health and Human Services	Adoption Assistance	--	--	60,062,684.00
93.708	Health and Human Services	ARRA - Head Start	177,459.37	--	2,205,101.36
93.712	Health and Human Services	ARRA - Immunization	--	--	4,275,750.26
93.713	Health and Human Services	ARRA - Child Care and Development Block Grant	--	--	96,785,640.00
93.714	Health and Human Services	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs	--	--	723,023,290.00
93.725	Health and Human Services	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program	--	--	1,112,548.08
93.778	Health and Human Services	Medical Assistance Program (FMAP)	21,429,730.45	5,222,440.00	13,473,357,880.93
94.006	Corporation for National and Community Service	AmeriCorps	--	--	6,672,738.91
<b>Total Health and Social Services</b>			<b>21,633,252.57</b>	<b>6,894,000.89</b>	<b>14,582,575,263.38</b>

STATE OF NEW YORK  
SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - OCTOBER 2012  
AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009  
(Disbursement Based)

APPENDIX C  
(continued)

Federal CFDA No.	Federal Agency	Program	October Disbursements	Adjustment*	Life-to-Date Disbursements
<b>Housing</b>					
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	\$ --	\$ --	\$ 21,875,000.00
93.710	Health and Human Services	ARRA - Community Services Block Grant	--	--	85,384,063.91
<b>Total Housing</b>			<b>--</b>	<b>--</b>	<b>107,259,063.91</b>
<b>Labor</b>					
17.207	Department of Labor	Employment Service/Wanger-Peyser Funded Activities	--	--	22,855,217.00
17.225	Department of Labor	Unemployment Insurance	317,831,510.65	1,416,816,759.80	14,143,369,032.27
17.235	Department of Labor	Senior Community Service - Employment Program	--	--	1,539,762.38
17.258	Department of Labor	Workforce Investment Act - Adult Program	--	--	31,516,111.00
17.259	Department of Labor	Workforce Investment Act - Youth Activities	--	--	71,526,360.00
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers	110,321.13	379,612.46	70,572,856.71
17.275	Department of Labor	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	--	--	1,112,175.14
<b>Total Labor</b>			<b>317,941,831.78</b>	<b>1,417,196,372.26</b>	<b>14,342,491,514.50</b>
<b>Public Protection</b>					
11.558	Department of Commerce	State Broadband Data and Development Grant Program	36,028.70	--	2,028,555.87
12.401	Department of Defense	National Guard Military Operations and Maintenance (O&M) Projects	--	--	7,416,726.40
16.588	Department of Justice	Violence Against Women Formula Grants	--	(114.00)	7,274,394.35
16.800	Department of Justice	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)	13,561.96	53,268.44	903,521.13
16.801	Department of Justice	Recovery Act - State Victim Assistance Formula Grant Program	--	--	1,788,999.08
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program	--	--	2,828,986.58
16.803	Department of Justice	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories	3,402,094.20	397,166.01	54,722,296.46
<b>Total Public Protection</b>			<b>3,451,684.86</b>	<b>450,320.45</b>	<b>76,963,479.87</b>
<b>Transportation</b>					
20.205	Department of Transportation	Highway Planning and Construction	2,766,076.60	29,780,146.00	881,773,880.37
20.319	Department of Transportation	High-Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants	116,850.03	509,259.72	6,334,501.11
20.509	Department of Transportation	Formula Grants for Other Than Urbanized Areas	--	--	19,888,840.41
<b>Total Transportation</b>			<b>2,882,926.63</b>	<b>30,289,405.72</b>	<b>907,997,221.89</b>
<b>TOTAL ARRA DISBURSEMENTS \$</b>			<b>379,467,548.48 \$</b>	<b>1,454,830,099.32 \$</b>	<b>36,582,137,443.29</b>

\*It was discovered that certain agency-drawn revenue accounts were included in the reported disbursements from April 2012 to September 2012, resulting in a \$1,455 million understatement during this period. Adjustments have been made to correct previously reported disbursements for those CFDA programs that were affected.

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL  
FISCAL YEAR 2012-2013**

	<u>1st Quarter April-June</u>	<u>2nd Quarter July-September</u>	<u>2012 OCTOBER</u>	<u>2012-2013</u>
<b>OPENING CASH BALANCE</b>	<b>\$ 286,758,937.54</b>	<b>\$ 137,093,786.70</b>	<b>\$ 135,948,239.96</b>	<b>\$ 286,758,937.54</b>
<b>RECEIPTS:</b>				
Patient Services	619,218,686.92	660,599,147.59	288,684,935.80	1,568,502,770.31
Covered Lives	218,746,792.16	260,382,115.71	128,573,331.98	607,702,239.85
Provider Assessments	18,222,091.50	19,953,830.51	8,190,595.40	46,366,517.41
1% Assessments	80,320,357.00	81,845,552.06	29,928,067.00	192,093,976.06
DASNY- MOE/Recast receivables	0.00	2,219,958.69	0.00	2,219,958.69
Interest Income	54,777.16	60,101.22	22,347.41	137,225.79
NYPHRM	0.00	0.00	0.00	0.00
Hospital Quality Contribution	0.00	0.00	0.00	0.00
Unassigned	(52,581.62)	1,582,787.00	644,449.00	2,174,654.38
<b>Total Receipts</b>	<b>936,510,123.12</b>	<b>1,026,643,492.78</b>	<b>456,043,726.59</b>	<b>2,419,197,342.49</b>
<b>DISBURSEMENTS:</b>				
<b>Program Disbursements:</b>				
Poison Control Centers	0.00	0.00	(1,250,000.00)	(1,250,000.00)
School Based Health Center Grants	0.00	0.00	0.00	0.00
ECRIP Distributions	0.00	0.00	(4,730,000.00)	(4,730,000.00)
<b>Total Disbursements</b>	<b>0.00</b>	<b>0.00</b>	<b>(5,980,000.00)</b>	<b>(5,980,000.00)</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>936,510,123.12</b>	<b>1,026,643,492.78</b>	<b>450,063,726.59</b>	<b>2,413,217,342.49</b>
<b>OTHER FINANCING SOURCES (USES):</b>				
<b>Transfers from Other Pools:</b>				
Medicaid Disproportionate Share	0.00	13,421.00	1,793,792.00	1,807,213.00
Health Facility Assessment Fund - Hospital Quality Contribution	9,849,087.00	8,925,920.00	2,971,466.34	21,746,473.34
<b>Transfers From State Funds:</b>				
HCRA Resources Fund	0.00	0.00	5,980,000.00	5,980,000.00
HCRA Resources Fund FMAP	0.00	0.00	0.00	0.00
<b>Total Other Financing Sources</b>	<b>9,849,087.00</b>	<b>8,939,341.00</b>	<b>10,745,258.34</b>	<b>29,533,686.34</b>
<b>Transfers to Other Pools:</b>				
Medicaid Disproportionate Share	0.00	(2,219,958.69)	0.00	(2,219,958.69)
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
<b>Transfers to State Funds:</b>				
HCRA Resources Fund	(894,327,322.28)	(841,421,983.04)	(306,880,003.17)	(2,042,629,308.49)
Indigent Care Fund (matched)	(197,593,228.63)	(191,743,563.18)	(63,967,309.14)	(453,304,100.95)
Indigent Care Fund (non-matched)	(4,103,810.05)	(1,342,875.61)	(825,583.86)	(6,272,269.52)
<b>Total Other Financing Uses</b>	<b>(1,096,024,360.96)</b>	<b>(1,036,728,380.52)</b>	<b>(371,672,896.17)</b>	<b>(2,504,425,637.65)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(149,665,150.84)</b>	<b>(1,145,546.74)</b>	<b>89,136,088.76</b>	<b>(61,674,608.82)</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 137,093,786.70</b>	<b>\$ 135,948,239.96</b>	<b>\$ 225,084,328.72</b>	<b>\$ 225,084,328.72</b>

Source: HCRA - Office of Pool Administration

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE  
FISCAL YEAR 2012-2013**

	<u>1st Quarter</u> <u>April-June</u>	<u>2nd Quarter</u> <u>July-September</u>	<u>2012</u> <u>OCTOBER</u>	<u>2012-2013</u>
<b>OPENING CASH BALANCE</b>	\$ 12,566.79	\$ 1,064.46	\$ 348.63	\$ 12,566.79
<b>RECEIPTS:</b>				
Interest Income	2,846.81	1,949.66	1,051.58	5,848.05
<b>Total Receipts</b>	<u>2,846.81</u>	<u>1,949.66</u>	<u>1,051.58</u>	<u>5,848.05</u>
<b>DISBURSEMENTS:</b>				
<b>Program Disbursements:</b>				
Indigent Care	(188,800,520.91)	(189,111,187.20)	(63,092,499.03)	(441,004,207.14)
High Need Indigent Care	(7,655,760.00)	(123,480.00)	0.00	(7,779,240.00)
Other	0.00	(941,267.24)	0.00	(941,267.24)
<b>Total Program Disbursements</b>	<u>(196,456,280.91)</u>	<u>(190,175,934.44)</u>	<u>(63,092,499.03)</u>	<u>(449,724,714.38)</u>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<u>(196,453,434.10)</u>	<u>(190,173,984.78)</u>	<u>(63,091,447.45)</u>	<u>(449,718,866.33)</u>
<b>OTHER FINANCING SOURCES (USES):</b>				
<b>Transfers from Other Pools:</b>				
Public Goods Pool	0.00	2,219,958.69	0.00	2,219,958.69
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
<b>Transfers From State Funds:</b>				
HCRA Resources Indigent Care - Matched	98,796,614.33	95,871,781.60	31,983,654.57	226,652,050.50
HCRA Resources Indigent Care - Unmatched	2,865,696.04	104,587.08	412,791.93	3,383,075.05
HCRA Resources Indigent Care - ATB	(4,013,061.73)	(3,863,616.51)	(1,287,602.04)	(9,164,280.28)
Federal DHHS Fund	98,796,614.30	95,871,781.58	31,983,654.57	226,652,050.45
Other	0.00	0.00	1,793,792.00	1,793,792.00
<b>Total Other Financing Sources</b>	<u>196,445,862.94</u>	<u>190,204,492.44</u>	<u>64,886,291.03</u>	<u>451,536,646.41</u>
<b>Transfers to Other Pools:</b>				
Public Goods Pool	0.00	(13,421.00)	(1,793,792.00)	(1,807,213.00)
Health Facility Assessment Fund	0.00	(15,137.00)	0.00	(15,137.00)
<b>Transfers to State Funds:</b>				
HCRA Resources Fund Indigent Care Acct	(3,931.17)	(2,665.49)	(348.63)	(6,945.29)
<b>Total Other Financing Uses</b>	<u>(3,931.17)</u>	<u>(31,223.49)</u>	<u>(1,794,140.63)</u>	<u>(1,829,295.29)</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<u>(11,502.33)</u>	<u>(715.83)</u>	<u>702.95</u>	<u>(11,515.21)</u>
<b>CLOSING CASH BALANCE</b>	<u>\$ 1,064.46</u>	<u>\$ 348.63</u>	<u>\$ 1,051.58</u>	<u>\$ 1,051.58</u>

Source: HCRA - Office of Pool Administration

SUMMARY OF OFF-BUDGET SPENDING REPORT

	DISBURSED APRIL '12 (000)	DISBURSED MAY '12 (000)	DISBURSED JUNE '12 (000)	DISBURSED JULY '12 (000)	DISBURSED AUG '12 (000)	DISBURSED SEPT '12 (000)	DISBURSED OCT '12 (000)	DISBURSED NOV '12 (000)	DISBURSED DEC '12 (000)	DISBURSED JAN '13 (000)	DISBURSED FEB '13 (000)	DISBURSED MAR '13 (000)	DISBURSED TOTAL 12-13 (000)
<b>DORMITORY AUTHORITY:</b>													
Education - All Other	8	12	--	142	12	--							174
Education - EXCEL	7,243	13,924	9,706	10,267	2,719	761							44,620
Department of Health - All Other	--	--	17	94	96	25							232
CEFAP	1,289	738	234	273	500	177							3,211
Regional Development:													
CCAP/RESTORE	906	734	--	77	73	25							1,815
Multi-modal	--	--	--	3	--	--							3
GenNYsis	--	--	--	--	--	--							--
CUNY Senior Colleges	17,285	23,690	14,687	29,174	25,849	22,446							133,131
CUNY Community Colleges	8,160	6,585	1,720	13,719	6,510	1,685							38,379
SUNY Dormitories	14,723	19,531	14,800	27,368	18,339	13,706							108,467
Upstate Community Colleges	2,213	10,115	6,163	7,139	16,240	7,481							49,351
Mental Health	5,495	5,651	3,145	6,525	5,410	4,552							30,778
Developmental Disabilities	1,569	1,520	1,636	3,755	1,262	933							10,675
Alcoholism & Substance Abuse	89	9	15	45	25	--							183
Brooklyn Court Officer Training Academy	2	--	--	37	8	245							292
<b>TOTAL DORMITORY AUTHORITY:</b>	<b>58,982</b>	<b>82,509</b>	<b>52,123</b>	<b>98,618</b>	<b>77,043</b>	<b>52,036</b>	--	--	--	--	--	--	<b>421,311</b>
<b>EMPIRE STATE DEVELOPMENT CORP:</b>													
Regional Development:													
Centers of Excellence	--	--	--	--	--	--							--
CCAP	54	284	--	212	--	199							749
Empire Opportunity	--	--	--	--	--	--							--
CEFAP	--	20	--	--	--	--							20
State Facilities and Equipment	--	--	--	--	--	--							--
<b>TOTAL EMPIRE STATE DEVELOPMENT CORP:</b>	<b>54</b>	<b>304</b>	<b>--</b>	<b>212</b>	<b>--</b>	<b>199</b>	--	--	--	--	--	--	<b>769</b>
<b>THRUWAY AUTHORITY:</b>													
CHIPS	--	--	57,216	--	--	142,258							199,474
SHIPS	--	--	--	--	--	--							--
Marchiselli	--	--	7,325	--	--	8,993							16,318
Multi-modal	--	5	--	--	236	--							241
<b>TOTAL THRUWAY AUTHORITY:</b>	<b>--</b>	<b>5</b>	<b>64,541</b>	<b>--</b>	<b>236</b>	<b>151,251</b>	--	--	--	--	--	--	<b>216,033</b>
<b>TOTAL OFF-BUDGET:</b>	<b>59,036</b>	<b>82,818</b>	<b>116,664</b>	<b>98,830</b>	<b>77,279</b>	<b>203,486</b>	--	--	--	--	--	--	<b>638,113</b>
TOTAL CEFAP	1,289	758	234	273	500	177							3,231
ECONOMIC DEVELOPMENT:													
Total CCAP	960	1,018	--	289	73	224							2,564
Total Multi-modal	--	--	--	3	--	--							3
Total GenNYsis	--	--	--	--	--	--							--
Total Centers for Excellence	--	--	--	--	--	--							--
Total Empire Opportunity	--	--	--	--	--	--							--
Total Economic Development	960	1,018	--	292	73	224	--	--	--	--	--	--	2,567

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

## Schedule of Month-End Temporary Loans Outstanding October 31, 2012

Temporary Loans are authorizations enacted by the Legislature to allow certain funds/accounts to make appropriated (cash) payments where the funds/accounts do not have sufficient (available) cash on deposit to make such payments. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy **temporary** cash flow needs whenever scheduled disbursements exceed available revenues during the fiscal year. Generally temporary loans are repaid from the first cash receipts of the fund/account. Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and yearly legislation.

It is the prudent and proper practice of the State Comptroller's Office to reduce the (spendable) cash balance of appropriated fund balances at the time that checks and electronic payments are issued. The concept of monitoring 'spendable funds' is employed by the State Comptroller in the central accounting system to ensure that all disbursements (including checks and electronic payments that are future dated) do not exceed the cash available to finance ongoing payments made from the State Treasury. Therefore, the amount of Temporary Loans (from the short-term investment pool) reflects the spendable fund balance, reduced for certain local assistance payments made in the reporting month that had a check or electronic payment date in the following month. The temporary loan balances for the all funds reflect the '**actual**' fund cash balances as of the close of business on the last day of the reporting month and are not adjusted for future-dated check and electronic payments. Furthermore, tax receipts remitted to the State on the last business day of the month are drawn into the State Treasury on the first business day of the next month. These final tax receipts are reported by the Department of Tax and Finance as tax collections for the reporting month but have no impact on the month-end temporary loan balance since such receipts were not available until the first business day of the next month. Post-closing adjustments are taken-on to the State's cash-basis financial statements to count future dated payments in the month of the check or electronic payment and tax revenues in the month that these were received.

Please refer to Schedule 1 for a detailed analysis of the '*reported*' cash balances of the fund groups.



**Office of the State Comptroller**  
**Summary of Month-End Temporary Loans Outstanding**

	June 30, 2012	July 31, 2012	August 31, 2012	September 30, 2012	Change	October 31, 2012
<b>TOTAL GENERAL FUND</b>	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --
<b>TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS</b>	1,070,581,667.12	1,128,437,881.91	1,179,014,808.97	1,412,103,252.27	(217,463,136.67)	1,194,640,115.60
<b>TOTAL STATE SPECIAL REVENUE FUNDS</b>	789,495,516.78	502,516,408.92	679,447,175.37	1,994,656,145.19	(162,786,245.37)	1,831,869,899.82
<b>TOTAL FEDERAL FUNDS</b>	1,181,183,193.39	877,926,671.82	518,581,719.57	749,374,219.48	317,974,865.84	1,067,349,085.32
<b>TOTAL AGENCY FUNDS</b>	--	--	--	79,000,289.66	(79,000,289.66)	--
<b>TOTAL ENTERPRISE FUND</b>	--	--	--	--	--	--
<b>TOTAL INTERNAL SERVICE FUNDS</b>	62,154,778.89	74,630,711.95	82,965,960.65	93,018,265.55	5,719,978.09	98,738,243.64
<b>GRAND TOTAL - TEMPORARY LOANS OUTSTANDING</b>	<b><u>\$3,103,415,156.18</u></b>	<b><u>\$2,583,511,674.60</u></b>	<b><u>\$2,460,009,664.56</u></b>	<b><u>\$4,328,152,172.15</u></b>	<b><u>(\$135,554,827.77)</u></b>	<b><u>\$4,192,597,344.38</u></b>

Office of the State Comptroller  
Schedule of Month-End Temporary Loans Outstanding

SFS Fund	ACCOUNT	ACCOUNT TITLE	June 30, 2012	July 31, 2012	August 31, 2012	September 30, 2012	Change	October 31, 2012
		<b>GENERAL FUND</b>						
10050		State Operations and Local Assistance	0.00	0.00	0.00	0.00	0.00	0.00
		<b>TOTAL GENERAL FUND</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
		<b>CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS</b>						
30051	300	-51 HIGHWAY AND BRIDGE CAPITAL	173,803,008.89	172,490,837.36	283,671,248.48	480,918,955.21	(120,039,133.20)	360,879,822.01
30101	301	-01 REHAB/REPAIR MARITIME	0.00	0.00	0.00	0.00	0.00	0.00
30102		-02 D21RVE- MARITIME	0.00	0.00	0.00	0.00	0.00	0.00
30103		-03 D36RVE- CENTRAL ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
30104		-04 RESIDENCE HALL CAMPUS LET BOND PROCEEDS	860,515.96	1,890,473.74	2,040,473.74	3,268,964.10	437,910.95	3,706,875.05
30105		-05 REHAB/REPAIR ALBANY	0.00	4,652,582.73	0.00	0.00	0.00	0.00
30106		-06 D01RVE- ALBANY	0.00	0.00	0.00	0.00	0.00	0.00
30107		-07 REHAB/REPAIR BINGHAMTON	0.00	0.00	0.00	0.00	0.00	0.00
30108		-08 D07RVE- BINGHAMTON	0.00	0.00	0.00	0.00	0.00	0.00
30109		-09 REHAB/REPAIR BUFFALO UNIVERSITY	0.00	0.00	0.00	0.00	0.00	0.00
30110		-10 D28RVE- SUNY BUFFALO	0.00	0.00	0.00	0.00	0.00	0.00
30111		-11 REHAB/REPAIR STONYBROOK	0.00	0.00	0.00	0.00	0.00	0.00
30112		-12 D13RVE- STONYBROOK	0.00	0.00	0.00	0.00	0.00	0.00
30113		-13 REHAB/REPAIR BROOKLYN	0.00	0.00	0.00	0.00	0.00	0.00
30114		-14 D14RVE- HSC BROOKLYN	0.00	0.00	0.00	0.00	0.00	0.00
30115		-15 REHAB/REPAIR SYRACUSE	0.00	0.00	0.00	0.00	0.00	0.00
30116		-16 D15RVE- HSC SYRACUSE	0.00	0.00	0.00	0.00	0.00	0.00
30117		-17 REHAB/REPAIR BROCKPORT	0.00	0.00	0.00	0.00	0.00	0.00
30118		-18 D02RVE- BROCKPORT	0.00	0.00	0.00	0.00	0.00	0.00
30119		-19 REHAB/REPAIR BUFFALO COLLEGE	0.00	0.00	0.00	0.00	0.00	0.00
30120		-20 D03RVE- SUB BUFFALO	0.00	0.00	0.00	0.00	0.00	0.00
30121		-21 REHAB/REPAIR CORTLAND	0.00	0.00	0.00	0.00	0.00	0.00
30122		-22 D04RVE- CORTLAND	608,820.23	0.00	0.00	0.00	0.00	0.00
30123		-23 REHAB/REPAIR FREDONIA	0.00	0.00	0.00	0.00	0.00	0.00
30124		-24 D05RVE- FREDONIA	0.00	0.00	0.00	0.00	0.00	0.00
30125		-25 REHAB/REPAIR GENESEO	0.00	0.00	0.00	0.00	0.00	0.00
30126		-26 D06RVE- GENESEO	0.00	0.00	0.00	0.00	0.00	0.00
30127		-27 REHAB/REPAIR OLD WESTBURY	0.00	0.00	0.00	0.00	0.00	0.00
30128		-28 D31RVE- OLD WESTBURY	0.00	0.00	0.00	0.00	0.00	0.00
30129		-29 REHAB/REPAIR NEW PALTZ	0.00	0.00	0.00	0.00	0.00	0.00
30130		-30 D08RVE- NEW PALTZ	0.00	0.00	0.00	0.00	0.00	0.00
30131		-31 REHAB/REPAIR ONEONTA	0.00	0.00	0.00	0.00	0.00	0.00
30132		-32 D09RVE- ONEONTA	0.00	0.00	0.00	0.00	0.00	0.00
30133		-33 REHAB/REPAIR OSWEGO	0.00	0.00	0.00	0.00	0.00	0.00
30134		-34 D10RVE- OSWEGO	0.00	0.00	0.00	0.00	0.00	0.00
30135		-35 REHAB/REPAIR PLATTSBURGH	0.00	0.00	0.00	0.00	0.00	0.00
30136		-36 D11RVE- PLATTSBURGH	0.00	0.00	0.00	0.00	0.00	0.00
30137		-37 REHAB/REPAIR POTSDAM	0.00	0.00	0.00	0.00	0.00	0.00
30138		-38 D12RVE- POTSDAM	0.00	0.00	0.00	0.00	0.00	0.00
30139		-39 REHAB/REPAIR PURCHASE	0.00	0.00	0.00	0.00	0.00	0.00
30140		-40 D29RVE- PURCHASE	0.00	0.00	0.00	0.00	0.00	0.00
30141		-41 REHAB/REPAIR FOR UTICA/ROME	0.00	0.00	0.00	0.00	0.00	0.00
30142		-42 D27RVE- CAMPUS RESERVE	0.00	0.00	0.00	0.00	0.00	0.00
30143		-43 REHAB/REPAIR ALFRED	0.00	0.00	0.00	0.00	0.00	0.00
30144		-44 D22RVE- ALFRED	0.00	0.00	0.00	0.00	0.00	0.00
30145		-45 REHAB/REPAIR CANTON	0.00	0.00	0.00	0.00	0.00	0.00
30146		-46 D23RVE- CANTON	0.00	0.00	0.00	0.00	0.00	0.00
30147		-47 REHAB/REPAIR COBLESKILL	0.00	0.00	0.00	0.00	0.00	0.00
30148		-48 D24RVE- COBLESKILL	0.00	0.00	0.00	0.00	0.00	0.00
30149		-49 REHAB/REPAIR DELHI	0.00	0.00	0.00	0.00	0.00	0.00
30150		-50 D25RVE- DELHI	0.00	0.00	0.00	0.00	0.00	0.00
30151		-51 REHAB/REPAIR FARMINGDALE	0.00	0.00	0.00	0.00	0.00	0.00
30152		-52 D26RVE- FARMINGDALE	0.00	0.00	0.00	0.00	0.00	0.00
30153		-53 REHAB/REPAIR MORRISVILLE	0.00	0.00	0.00	0.00	0.00	0.00
30154		-54 D27RVE- MORRISVILLE	0.00	0.00	0.00	0.00	0.00	0.00
30351	303	-51 STATE PARK INFRASTRUCTURE	11,049,260.83	13,311,460.08	19,560,777.13	25,186,859.90	4,798,130.96	29,984,990.86
30501	305	-01 CW/CA IMPLEMENTATION DEC	169.29	169.29	169.29	169.29	0.00	169.29
30502		-02 CW/CA IMPLEMENTATION STATE	0.00	0.00	0.00	0.00	0.00	0.00
30503		-03 CW/CA IMPLEMENTATION ERDA	0.00	0.00	0.00	0.00	0.00	0.00
30504		-04 CW/CA IMPLEMENTATION EFC	0.00	0.00	0.00	0.00	0.00	0.00
31501	315	-01 SITE INVESTIGATION & CONSTRUCTION	0.00	0.00	0.00	0.00	0.00	0.00
31506		-06 HAZARDOUS WASTE CLEAN UP	227,907,185.51	232,830,878.64	160,321,839.73	163,538,456.44	5,873,376.64	169,411,833.08
31701	317	-01 YOUTH FACILITIES IMPROVEMENT	5,722,384.65	6,237,527.30	7,091,528.83	7,598,244.12	(2,881,712.71)	4,716,531.41
31801	318	-01 HOUSING ASSISTANCE	20,395,358.05	20,395,358.05	20,395,358.05	20,395,358.05	0.00	20,395,358.05
31851		-51 HOUSING PROG FD-HSG TR FD CORP	98,763,401.93	117,574,401.93	117,574,401.93	117,574,401.93	(6,137,500.00)	111,436,901.93
31852		-52 HOUSING PROG FD AFFORD HSG CORP	0.00	0.00	0.00	0.00	4,934,454.22	4,934,454.22
31853		-53 HOUSING PROG FD-DEPT OF SOCIAL SERVICES	44,907,661.85	54,907,661.85	54,907,661.85	54,907,661.85	0.00	54,907,661.85
31854		-54 HOUSING PROG FD-HFA	0.00	0.00	0.00	0.00	0.00	0.00
31951	319	-51 HIGHWAY FAC PURPOSE	11,928,172.66	12,091,419.97	12,316,823.32	12,504,704.84	120,792.92	12,625,497.76
32204	322	-04 CLEAN AIR CAPITAL	0.00	0.00	0.00	0.00	0.00	0.00
32213		-13 NY RACING ACCOUNT	0.00	0.00	0.00	6,250,000.00	6,250,000.00	12,500,000.00
32301	323	-01 OPWDD-STATE FACILITIES PRE 12/99	0.00	0.00	0.00	0.00	0.00	0.00

(9)

(7)



Office of the State Comptroller  
Schedule of Month-End Temporary Loans Outstanding

SFS Fund	ACCOUNT	ACCOUNT TITLE	June 30, 2012	July 31, 2012	August 31, 2012	September 30, 2012	Change	October 31, 2012
21994	-94	INSURANCE DEPT	0.00	0.00	0.00	0.00	0.00	0.00
22003	220 -03	BELL JAR COLLECTION ACCOUNT	48,515.05	0.00	0.00	0.00	0.00	0.00
22004	-04	INDUSTRY AND UTILITY SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
22006	-06	REAL PROPERTY DISPOSITION	55,666.05	87,712.49	91,308.70	124,118.64	69,685.27	193,803.91
22007	-07	PARKING ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
22009	-09	ASBESTOS SAFETY TRAINING	161,572.67	196,266.92	162,158.14	88,775.62	13,278.47	102,054.09
22011	-11	PUBLIC SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
22021	-21	REG MANUFACTURED HOUSING	0.00	0.00	0.00	0.00	0.00	0.00
22027	-27	SPECIAL CONSERVATION ACTIVITIES	0.00	0.00	0.00	0.00	0.00	0.00
22032	-32	BATAVIA SCHOOL FOR THE BLIND	5,597,407.60	5,093,416.30	5,242,603.41	5,670,561.49	1,268,289.81	6,938,851.30
22034	-34	INVESTMENT SERVICES	233,048.88	382,295.33	0.00	0.00	0.00	0.00
22036	-36	SURPLUS PROPERTY ACCOUNT	35.25	185,386.68	412,605.85	491,245.50	(265,799.57)	225,445.93
22038	-38	OPWDD DAY SERVICES ACCOUNT	2,178,174.98	2,178,174.98	2,178,738.01	2,179,047.38	644.70	2,179,692.08
22039	-39	FINANCIAL OVERSIGHT	942,574.41	197,852.46	479,404.12	661,640.45	(176,836.83)	484,803.62
22046	-46	REGULATION INDIAN GAMING	97,527,192.48	98,474,908.67	99,783,446.14	99,378,735.39	619,114.56	99,997,849.95
22051	-51	PROFESSIONAL EDUC SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
22053	-53	ROME SCHOOL FOR THE DEAF	1,048,161.68	985,017.45	1,260,855.42	1,584,811.86	1,038,769.63	2,623,581.49
22054	-54	DSP-SEIZED ASSETS	11,331,290.02	11,756,774.82	11,875,965.74	12,037,096.96	(1,985,229.88)	10,051,867.08
22055	-55	ADMINISTRATIVE ADJUDICATION	5,041,854.97	3,890,397.29	3,541,563.93	3,144,723.05	(3,144,723.05)	0.00
22056	-56	FEDERAL SALARY SHARING	0.00	0.00	0.00	0.00	0.00	0.00
22062	-62	NYC ASSESSMENT ACCT	0.00	0.00	0.00	0.00	0.00	0.00
22063	-63	CULTURAL EDUCATION ACCOUNT	10,203,302.50	7,810,202.67	7,354,938.85	6,582,531.74	(1,825,148.44)	4,757,383.30
22065	-65	EXAMINATION & MISC REV	0.00	0.00	0.00	0.00	0.00	0.00
22067	-67	TRANSPORTATION REGULATION	0.00	0.00	0.00	0.00	0.00	0.00
22068	-68	CONSUMER PROTECTION BD.	0.00	0.00	0.00	0.00	0.00	0.00
22078	-78	LOCAL SERVICE ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
22085	-85	DHCR MORTGAGE SERVICES	2,077,133.44	2,426,413.99	1,873,784.29	2,199,660.36	(367,830.99)	1,831,829.37
22087	-87	DMV-COMPULSORY INS PRGM	0.00	0.00	0.00	0.00	0.00	0.00
22090	-90	HOUSING INDIRECT COST RECOVERY	1,107,179.76	1,183,564.74	1,314,670.83	1,387,641.18	201,411.76	1,589,052.94
22100	221 -00	DHCR-HOUSING CREDIT AGENCY APPLY FEE	0.00	0.00	0.00	0.00	0.00	0.00
22101	-01	EPIC PREMIUM	0.00	0.00	0.00	0.00	0.00	0.00
22112	-12	OTDA INCOME ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
22130	-30	LOW INCOME HOUSING CREDIT MONITORING	0.00	0.00	0.00	0.00	0.00	0.00
22133	-33	PROCUREMENT OPPORTUNITY NEWSLETTER	0.00	0.00	0.00	0.00	0.00	0.00
22135	-35	EFC-CORPORATION ADMINISTRATION	39,070.25	39,070.25	39,070.25	39,070.25	(39,070.25)	0.00
22144	-44	MONTRSE VETERAN'S HOME	0.00	0.00	0.00	0.00	0.00	0.00
22149	-49	MOTOR FUEL QUALITY ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
22151	-51	DEFERRED COMPENSATION ADMIN	133,336.13	166,386.03	81,698.79	152,154.18	28,978.09	181,132.27
22156	-56	RENT REVENUE OTHER - NYC	6,431,684.13	8,151,408.02	820,166.48	3,267,796.29	(3,267,796.29)	0.00
22158	-58	RENT REVENUE	633,387.69	409,518.14	374,375.48	385,615.93	27,350.32	412,966.25
22168	-68	TAX REVENUE ARREARAGE ACCOUNT	2,276,864.61	2,276,864.61	2,329,087.51	2,361,201.75	28,282.73	2,389,484.48
22176	-76	OGS-SOLID WASTE MGMT	0.00	0.00	0.00	0.00	0.00	0.00
22177	-77	OCCUPATIONAL HEALTH CLINICS	0.00	0.00	0.00	0.00	0.00	0.00
22193	-93	SALES TAX RE-REG FEE ADMN	0.00	0.00	213,339.26	292,730.88	32,658.21	325,389.09
22195	-95	EQUITABLE SHARING AGMT	0.00	0.00	0.00	0.00	0.00	0.00
22192	-92	TAX RETURN PREPARER REG FEE ADM	8,054.88	27,168.78	34,302.51	68,711.88	7,899.29	76,611.17
22654	226 -54	S.U. NON-RESIDENT REV. OFFSET	44,191,771.08	44,191,771.08	44,191,771.08	44,191,771.08	0.00	44,191,771.08
22802	228 -02	STATE POLICE MV ENFORCE	15,369,720.71	8,923,070.71	0.00	0.00	0.00	0.00
23001	230 -01	DOT - HIGHWAY SAFETY PRGM	3,394,572.78	3,264,741.27	3,604,434.26	3,462,666.65	231,531.83	3,694,198.48
23101	231 -01	EFC DRINKING WATER PROGRAM	97,046.44	97,046.44	97,046.44	97,046.44	0.00	97,046.44
23102	-02	DOH DRINKING WATER PROGRAM	8,233,002.05	2,965,041.16	3,466,949.61	3,024,428.84	331,513.86	3,355,942.70
23151	-51	NYCCC OPERATING OFFSET	20,288,508.13	22,697,469.73	25,612,667.94	28,025,411.59	2,434,666.74	30,460,078.33
<b>TOTAL STATE SPECIAL REVENUE FUNDS</b>			<b>\$789,495,516.78</b>	<b>\$502,516,408.92</b>	<b>\$679,447,175.37</b>	<b>\$1,994,656,145.19</b>	<b>(\$162,786,245.37)</b>	<b>\$1,831,869,899.82</b>
<b>FEDERAL FUNDS</b>								
250	250 -	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	(1) 283,131,590.51	33,981,832.24	3,811,519.69	84,555,333.84	(74,353,587.55)	10,201,746.29 (1)
251	251 -	FEDERAL HEALTH AND HUMAN SERVICES FUND	(2) 73,193,014.18	518,165,015.75	185,697,536.97	163,994,382.35	719,136,909.44	883,131,291.79 (2)
25200-25220	25200-25220 -	FEDERAL EDUCATION GRANTS FUND	(3) 578,486,880.48	22,319,013.48	22,103,385.45	35,310,062.10	(21,898,013.01)	13,412,049.09 (3)
25250-25261	25250-25261 -	FEDERAL BLOCK GRANT FUND	(4) 0.00	0.00	0.00	0.00	0.00	0.00 (4)
25300-25519	25300-25519 -	FEDERAL OPERATING GRANTS FUND	(5) 121,913,436.27	165,308,347.26	212,568,684.88	311,833,629.70	(172,801,704.87)	139,031,924.83 (5)
31351	313 -51	MILITARY AND NAVAL AFFAIRS	8,254,249.83	8,910,717.97	8,710,737.96	8,654,571.42	(240,406.81)	8,414,164.61
31354	-54	DEPARTMENT OF TRANSPORTATION	67,157,525.27	111,327,085.53	57,147,917.96	123,728,137.88	(123,728,137.88)	0.00 (7)
313XX	313 -	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	(6) 23,158,619.18	14,833,177.98	15,164,060.93	18,843,953.78	(7,891,409.04)	10,952,544.74 (6)
25901	259 -01	UI ADMINISTRATION	23,895,223.28	0.00	10,361,992.91	1,136,363.28	(629,573.62)	506,789.66
25950	-50	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	0.00	0.00	0.00	0.00	0.00	0.00
26001	260 -01	DOL WORKFORCE INVESTMENT ACT	1,992,654.39	3,081,481.61	3,015,882.02	1,317,785.13	380,789.18	1,698,574.31
26002	-02	DOL FEDERAL GRANTS	0.00	0.00	0.00	0.00	0.00	0.00
<b>TOTAL FEDERAL FUNDS</b>			<b>\$1,181,183,193.38</b>	<b>\$877,926,671.82</b>	<b>\$518,581,719.57</b>	<b>\$749,374,219.48</b>	<b>\$317,974,865.84</b>	<b>\$1,067,349,085.32</b> (8)

Office of the State Comptroller  
Schedule of Month-End Temporary Loans Outstanding

SFS Fund	ACCOUNT	ACCOUNT TITLE	June 30, 2012	July 31, 2012	August 31, 2012	September 30, 2012	Change	October 31, 2012
<b>AGENCY FUNDS</b>								
60901	609 -01	MMIS - STATE & FEDERAL	0.00	0.00	0.00	79,000,289.66	(79,000,289.66)	0.00
		<b>TOTAL AGENCY FUNDS</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$79,000,289.66</b>	<b>(\$79,000,289.66)</b>	<b>\$0.00</b>
<b>ENTERPRISE FUND</b>								
50051	500 -51	STATE FAIR RECEIPTS FUND	0.00	0.00	0.00	0.00	0.00	0.00
50318	503 -18	OGS CONVENTION CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
		<b>TOTAL ENTERPRISE FUND</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>INTERNAL SERVICE FUNDS</b>								
55001	550 -01	CENTRALIZED SERVICES-FLEET MGMT	1,597,510.44	1,539,948.11	1,135,937.09	944,287.36	(224,525.58)	719,761.78
55002	-02	CENTRALIZED SERVICES-DATA PROCESSING	155,434.54	168,074.53	228,185.45	291,364.55	51,234.33	342,598.88
55003	-03	CENTRALIZED SERVICES-REPRODUCTION	1,593,691.74	1,705,179.99	1,728,586.85	2,271,430.33	136,174.13	2,407,604.46
55004	-04	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	0.00	179,721.50	257,362.87	431,705.49	110,679.76	542,385.25
55005	-05	CENTRALIZED SERVICES-SURPLUS FED FOODS	1,157,384.36	1,236,773.66	1,326,214.81	1,042,393.25	84,491.70	1,126,884.95
55006	-06	CENTRALIZED SERVICES-PERSONAL PROPERTY	0.00	0.00	0.00	0.00	0.00	0.00
55007	-07	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	2,738,487.44	3,086,669.10	3,165,700.41	3,211,601.26	646,877.83	3,858,479.09
55008	-08	CENTRALIZED SERVICES-PASNY	11,444,037.89	25,663,209.80	27,806,173.72	26,994,526.21	1,236,441.39	28,230,967.60
55009	-09	CENTRALIZED SERVICES-ADMIN SUPPORT	0.00	0.00	0.00	0.00	0.00	0.00
55010	-10	CENTRALIZED SERVICES-DESIGN & CONSTR	0.00	0.00	0.00	0.00	0.00	0.00
55011	-11	CENTRALIZED SERVICES-INSURANCE	1,318,427.69	1,497,765.19	1,639,382.78	1,703,277.62	71,477.56	1,774,755.18
55012	-12	CENTRALIZED SERVICES-SECURITY CARD ACCESS	0.00	0.00	0.00	0.00	0.00	0.00
55013	-13	CENTRALIZED SERVICES-COP'S	376,819.93	402,690.33	425,899.83	0.00	0.00	0.00
55014	-14	CENTRALIZED SERVICES-FOOD SERVICES	0.00	0.00	0.00	0.00	0.00	0.00
55015	-15	CENTRALIZED SERVICES-HOMER FOLKS	0.00	0.00	0.00	0.00	0.00	0.00
55016	-16	CENTRALIZED SERVICES-IMMICS	26,961.54	26,961.54	26,961.54	26,961.54	0.00	26,961.54
55017	-17	DOWNSTATE DISTRIBUTION	743,465.90	781,422.54	832,776.93	884,469.54	45,488.78	929,958.32
55018	-18	BUILDING ADMINISTRATION	0.00	0.00	0.00	0.00	0.00	0.00
55019	-19	LEASE SPACE INITIATIVE	0.00	0.00	0.00	0.00	0.00	0.00
55052	-52	ARCHIVES RECORD MGMT I.S.	0.00	0.00	0.00	0.00	0.00	0.00
55053	-53	FEDERAL SINGLE AUDIT	0.00	0.00	0.00	0.00	0.00	0.00
55055	-55	CIVIL SERVICE LAW:SEC. 11 ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
55056	-56	CIVIL SERVICE EHS OCCUP HEALTH PROG	194,415.98	172,714.48	133,516.10	192,404.91	148,127.28	340,532.19
55057	-57	BANKING SERVICES ACCOUNT	517,082.58	0.00	243,726.17	197,971.92	(175,997.78)	21,974.14
55058	-58	CULTURAL RESOURCE SURVEY	2,944,056.86	3,045,367.46	2,442,602.25	2,238,270.87	351,039.10	2,589,309.97
55059	-59	NEIGHBOR WORK PROJECT	7,871,278.51	7,764,532.48	7,590,449.29	7,867,730.77	421,145.30	8,288,876.07
55060	-60	AUTOMATIC/PRINT CHARGBACKS	471,001.81	384,272.83	1,641,820.15	2,389,407.52	(1,106,188.77)	1,283,218.75
55061	-61	OFT NYT ACCT	0.00	0.00	0.00	0.00	0.00	0.00
55062	-62	DATA CENTER ACCOUNT	0.00	0.00	4,779,308.36	13,792,666.19	2,732,178.35	16,524,844.54
55063	-63	HUMAN SVCE TELECOM ACCT	0.00	0.00	0.00	0.00	597,425.84	597,425.84
55065	-65	OPWDD COPY CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
55066	-66	CYBER SECURITY INTRUSION ACCT	818,378.57	818,378.57	1,010,444.32	914,860.54	0.00	914,860.54
55067	-67	DOMESTIC VIOLENCE GRANT	305,203.23	346,083.67	320,787.56	362,741.59	(104,094.01)	258,647.58
55069	-69	CENTRALIZED TECHNOLOGY SERVICES	3,499,757.81	825,601.05	1,363,088.84	1,499,603.41	513,187.41	2,012,790.82
55070	-70	LEARNING MGMT SYSTEM	0.00	0.00	0.00	0.00	0.00	0.00
55201	552 -01	JOINT LABOR MANAGEMENT ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
55251	552 -51	EXECUTIVE DIRECTION INTERNAL AUDIT	243,069.67	331,751.08	477,780.23	572,665.69	256,345.35	829,011.04
55300	553 -00	HEALTH INSURANCE INTERNAL SERVICE	13,922,308.94	14,462,426.42	15,377,254.63	15,987,768.33	1,589,757.89	17,577,526.22
55301	-01	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	3,501,545.12	3,040,788.00	2,897,872.78	2,987,520.34	(1,135,849.58)	1,851,670.76
55350	-50	CORR INDUSTRIES INTERNAL SERVICE	6,714,458.34	7,150,379.62	6,114,127.69	6,212,636.32	(525,438.19)	5,687,198.13
		<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>\$62,154,778.89</b>	<b>\$74,630,711.95</b>	<b>\$82,965,960.65</b>	<b>\$93,018,265.55</b>	<b>\$5,719,978.09</b>	<b>\$98,738,243.64</b>
<b>GRAND TOTAL - TEMPORARY LOANS OUTSTANDING</b>			<b>\$3,103,415,156.18</b>	<b>\$2,583,511,674.60</b>	<b>\$2,460,009,664.56</b>	<b>\$4,328,152,172.15</b>	<b>(\$135,554,827.77)</b>	<b>\$4,192,597,344.38</b>

Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part U, Section 1 and 1A, of the Laws of 2012-13. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

- NOTE:**
- (1) - Includes all negative cash balance Subfunds within fund 25000-25036 (261).
  - (2) - Includes all negative cash balance Subfunds within fund 25100-25183 (265).
  - (3) - Includes all negative cash balance Subfunds within fund 25200-25220 (267).
  - (4) - Includes all negative cash balance Subfunds within fund 25250-25261 (269).
  - (5) - Includes all negative cash balance Subfunds within fund 25300-25519 (290).
  - (6) - Includes all other negative cash balance Subfunds within fund 31350-31376 (291).
  - (7) - The Fund 31354 (291-10) temporary loan balance includes \$69.8 million of costs previously disallowed by the Federal Highway Administration. These costs are systematically being transferred to Fund 30051 (072-01) based upon a realignment plan with the Department of Transportation. A total of \$33.5 million will be transferred to Fund 30051 (072-01) on or before March 31, 2013.
  - (8) - Except for DOT-Highways (see note 7), temporary loans to federal funds are typically reimbursed with in 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.
  - (9) - Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund 10000 (001) and State Purpose Fund 10050 (003).