

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS**

Comptroller's Monthly Report
On State Funds Cash Basis of Accounting
(Pursuant to Sec. 8(9-a) of the State Finance Law)
January 2012



**THOMAS P. DiNAPOLI
STATE COMPTROLLER**

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
CLASSIFIED BY STATE OPERATIONS, FEDERAL SUPPORT AND CAPITAL SPENDING (*)
(amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

	STATE OPERATING FUNDS						TOTAL		FEDERAL		CAPITAL PROJECTS		SPECIAL REVENUE ELIMINATIONS		TOTAL GOVERNMENTAL FUNDS	
	GENERAL		STATE SPECIAL REVENUE		DEBT SERVICE		STATE OPERATING FUNDS		SPECIAL REVENUE							
	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012
RECEIPTS:																
Personal Income Tax	\$4,848.3	\$22,131.2	\$ --	\$3,205.5	\$1,616.1	\$8,445.6	\$6,464.4	\$33,782.3	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --
Consumption/Use Taxes	738.7	7,574.2	187.7	1,866.0	224.1	2,312.8	1,150.5	11,753.0	--	--	41.8	502.2	--	--	1,192.3	12,255.2
Business Taxes	196.9	3,919.7	92.9	1,100.2	--	--	289.8	5,019.9	--	--	54.3	517.5	--	--	344.1	5,537.4
Other Taxes	80.2	949.2	160.2	1,120.8	32.8	438.0	273.2	2,508.0	--	--	11.9	95.3	--	--	285.1	2,603.3
Miscellaneous Receipts (8)	155.6	2,225.8	1,293.7	12,365.4	88.1	737.7	1,537.4	15,329.9	11.3	141.9	482.8	3,154.8	--	--	2,031.5	18,625.6
Federal Receipts (1)	--	46.6	--	0.6	1.7	44.2	1.7	91.4	2,450.6	34,689.7	293.6	1,800.5	--	--	2,745.9	36,581.6
Total Receipts	6,019.7	36,846.7	1,734.5	19,658.5	1,962.8	11,978.3	9,717.0	68,483.5	2,461.9	34,831.6	884.4	6,070.3	--	--	13,063.3	109,385.4
DISBURSEMENTS:																
Local Assistance Grants: (1)(2)(7)																
General Purpose	--	816.8	--	--	--	--	--	816.8	--	--	--	--	--	--	--	816.8
Education	356.9	12,671.0	106.6	5,897.4	--	--	463.5	18,568.4	285.6	3,698.7	2.1	37.6	--	--	751.2	22,304.7
Social Services:																
Medicaid (6)	709.8	9,865.9	446.2	3,810.4	--	--	1,156.0	13,676.3	1,437.3	20,524.5	--	--	--	--	2,593.3	34,200.8
Other Social Services	230.7	2,262.5	0.5	13.9	--	--	231.2	2,276.4	253.5	3,823.8	--	10.8	--	--	484.7	6,111.0
Health and Environment (6)	104.4	1,096.5	90.9	1,328.1	--	--	195.3	2,424.6	72.9	971.3	221.2	545.4	--	--	489.4	3,941.3
Mental Hygiene	0.9	28.5	96.3	1,308.4	--	--	97.2	1,336.9	5.2	130.8	4.7	52.5	--	--	107.1	1,520.2
Transportation	--	88.3	174.7	3,584.5	--	--	174.7	3,672.8	2.4	43.4	40.5	596.7	--	--	217.6	4,312.9
Criminal Justice	12.6	98.3	1.9	46.5	--	--	14.5	144.8	25.3	306.9	--	--	--	--	39.8	451.7
Emergency Management & Security Service	0.8	10.4	--	--	--	--	0.8	10.4	4.8	71.7	--	--	--	--	5.6	82.1
Miscellaneous	39.6	349.0	11.4	163.2	--	--	51.0	512.2	27.7	519.9	41.3	847.2	--	--	120.0	1,879.3
Total Local Assistance Grants	1,455.7	27,287.2	928.5	16,152.4	--	--	2,384.2	43,439.6	2,114.7	30,091.0	309.8	2,090.2	--	--	4,808.7	75,620.8
Departmental Operations:																
Personal Service	348.5	4,981.8	546.1	4,937.6	--	--	894.6	9,919.4	42.1	506.2	--	--	--	--	936.7	10,425.6
Non-Personal Service	152.8	1,346.5	263.0	2,794.1	1.6	38.3	417.4	4,178.9	90.6	750.4	--	--	--	--	508.0	4,929.3
General State Charges	406.1	2,912.3	54.5	1,400.4	--	--	460.6	4,312.7	5.1	203.1	--	--	--	--	465.7	4,515.8
Debt Service, Including Payments on Financing Agreements (3)	--	--	--	--	93.8	3,784.4	93.8	3,784.4	--	--	--	--	--	--	93.8	3,784.4
Capital Projects (4)	--	--	0.7	5.9	--	--	0.7	5.9	--	--	368.6	4,344.9	--	--	369.3	4,350.8
Total Disbursements	2,363.1	36,527.8	1,792.8	25,290.4	95.4	3,822.7	4,251.3	65,640.9	2,252.5	31,550.7	678.4	6,435.1	--	--	7,182.2	103,626.7
Excess (Deficiency) of Receipts over Disbursements	3,656.6	318.9	(58.3)	(5,631.9)	1,867.4	8,155.6	5,465.7	2,842.6	209.4	3,280.9	206.0	(364.8)	--	--	5,881.1	5,758.7
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	352.1	--	--	--	352.1
Transfers from Other Funds (5)	1,218.4	9,628.9	449.2	6,239.1	698.1	5,634.6	2,365.7	21,502.6	--	--	(48.6)	433.0	(60.1)	(580.8)	2,257.0	21,354.8
Transfers to Other Funds (5)	(565.5)	(4,699.6)	(23.5)	(531.7)	(1,486.5)	(12,417.5)	(2,075.5)	(17,648.8)	(144.9)	(3,244.9)	(99.3)	(1,074.3)	60.1	580.8	(2,259.6)	(21,387.2)
Total Other Financing Sources (Uses)	652.9	4,929.3	425.7	5,707.4	(788.4)	(6,782.9)	290.2	3,853.8	(144.9)	(3,244.9)	(147.9)	(289.2)	--	--	(2.6)	319.7
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,309.5	5,248.2	367.4	75.5	1,079.0	1,372.7	5,755.9	6,696.4	64.5	36.0	58.1	(654.0)	--	--	5,878.5	6,078.4
Beginning Fund Balances (Deficit)	2,314.8	1,376.1	1,846.8	2,138.7	747.7	454.0	4,909.3	3,968.8	(17.9)	10.6	(879.2)	(167.1)	--	--	4,012.2	3,812.3
Ending Fund Balances (Deficit)	\$6,624.3	\$6,624.3	\$2,214.2	\$2,214.2	\$1,826.7	\$1,826.7	\$10,665.2	\$10,665.2	\$46.6	\$46.6	(\$821.1)	(\$821.1)	\$ --	\$ --	\$9,890.7	\$9,890.7

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds. Federal Special Revenue Funds account for all non-capital federal operating grants received by the State. Capital Projects Funds includes all capital activities regardless of funding source.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2011	10 MOS. ENDED JAN. 31, 2011	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:														
Personal Income Tax	\$4,848.3	\$22,131.2	\$ --	\$3,205.5	\$1,616.1	\$8,445.6	\$ --	\$ --	\$6,464.4	\$33,782.3	\$6,639.8	\$31,070.7	\$2,711.6	8.7%
Consumption/Use Taxes	738.7	7,574.2	187.7	1,866.0	224.1	2,312.8	41.8	502.2	1,192.3	12,255.2	1,211.7	11,924.8	330.4	2.8%
Business Taxes	196.9	3,919.7	92.9	1,100.2	--	--	54.3	517.5	344.1	5,537.4	234.3	5,082.8	454.6	8.9%
Other Taxes	80.2	949.2	160.2	1,120.8	32.8	438.0	11.9	95.3	285.1	2,603.3	369.1	2,624.0	(20.7)	-0.8%
Miscellaneous Receipts (8)	155.6	2,225.8	1,305.0	12,507.3	88.1	737.7	482.8	3,154.8	2,031.5	18,625.6	1,934.2	18,383.2	242.4	1.3%
Federal Receipts (1)	--	46.6	2,450.6	34,690.3	1.7	44.2	293.6	1,800.5	2,745.9	36,581.6	2,997.7	40,463.1	(3,881.5)	-9.6%
Total Receipts	6,019.7	36,846.7	4,196.4	54,490.1	1,962.8	11,978.3	884.4	6,070.3	13,063.3	109,385.4	13,386.8	109,548.6	(163.2)	-0.1%
DISBURSEMENTS:														
Local Assistance Grants: (1)(2)(7)														
General Purpose	--	816.8	--	--	--	--	--	--	--	816.8	12.7	848.5	(31.7)	-3.7%
Education	356.9	12,671.0	392.2	9,596.1	--	--	2.1	37.6	751.2	22,304.7	3,230.8	24,918.7	(2,614.0)	-10.5%
Social Services:														
Medicaid (6)	709.8	9,865.9	1,883.5	24,334.9	--	--	--	--	2,593.3	34,200.8	2,453.4	34,169.5	31.3	0.1%
Other Social Services	230.7	2,262.5	254.0	3,837.7	--	--	--	10.8	484.7	6,111.0	279.1	5,920.0	191.0	3.2%
Health and Environment (6)	104.4	1,096.5	163.8	2,299.4	--	--	221.2	545.4	489.4	3,941.3	386.8	3,384.0	557.3	16.5%
Mental Hygiene	0.9	28.5	101.5	1,439.2	--	--	4.7	52.5	107.1	1,520.2	247.8	1,594.3	(74.1)	-4.6%
Transportation	--	88.3	177.1	3,627.9	--	--	40.5	596.7	217.6	4,312.9	284.4	4,210.7	102.2	2.4%
Criminal Justice	12.6	98.3	27.2	353.4	--	--	--	--	39.8	451.7	39.9	334.2	117.5	35.2%
Emergency Management & Security Services	0.8	10.4	4.8	71.7	--	--	--	--	5.6	82.1	26.1	133.5	(51.4)	-38.5%
Miscellaneous	39.6	349.0	39.1	683.1	--	--	41.3	847.2	120.0	1,879.3	114.3	1,908.6	(29.3)	-1.5%
Total Local Assistance Grants	1,455.7	27,287.2	3,043.2	46,243.4	--	--	309.8	2,090.2	4,808.7	75,620.8	7,075.3	77,422.0	(1,801.2)	-2.3%
Departmental Operations:														
Personal Service	348.5	4,981.8	588.2	5,443.8	--	--	--	--	936.7	10,425.6	978.2	10,803.1	(377.5)	-3.5%
Non-Personal Service	152.8	1,346.5	353.6	3,544.5	1.6	38.3	--	--	508.0	4,929.3	491.0	4,671.1	258.2	5.5%
General State Charges	406.1	2,912.3	59.6	1,603.5	--	--	--	--	465.7	4,515.8	479.0	4,300.2	215.6	5.0%
Debt Service, Including Payments on														
Financing Agreements (3)	--	--	--	--	93.8	3,784.4	--	--	93.8	3,784.4	119.2	3,661.2	123.2	3.4%
Capital Projects (4)	--	--	0.7	5.9	--	--	368.6	4,344.9	369.3	4,350.8	343.4	4,234.2	116.6	2.8%
Total Disbursements	2,363.1	36,527.8	4,045.3	56,841.1	95.4	3,822.7	678.4	6,435.1	7,182.2	103,626.7	9,486.1	105,091.8	(1,465.1)	-1.4%
Excess (Deficiency) of Receipts over Disbursements	3,656.6	318.9	151.1	(2,351.0)	1,867.4	8,155.6	206.0	(364.8)	5,881.1	5,758.7	3,900.7	4,456.8	1,301.9	29.2%
OTHER FINANCING SOURCES (USES):														
Bond Proceeds (net)	--	--	--	--	--	--	--	352.1	--	352.1	--	--	352.1	100.0%
Transfers from Other Funds (5)	1,218.4	9,628.9	389.1	5,658.3	698.1	5,634.6	(48.6)	433.0	2,257.0	21,354.8	3,076.9	21,777.7	(422.9)	-1.9%
Transfers to Other Funds (5)	(565.5)	(4,699.6)	(108.3)	(3,195.8)	(1,486.5)	(12,417.5)	(99.3)	(1,074.3)	(2,259.6)	(21,387.2)	(3,078.9)	(21,836.5)	(449.3)	-2.1%
Total Other Financing Sources (Uses)	652.9	4,929.3	280.8	2,462.5	(788.4)	(6,782.9)	(147.9)	(289.2)	(2.6)	319.7	(2.0)	(58.8)	378.5	643.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,309.5	5,248.2	431.9	111.5	1,079.0	1,372.7	58.1	(654.0)	5,878.5	6,078.4	3,898.7	4,398.0	1,680.4	38.2%
Beginning Fund Balances (Deficit)	2,314.8	1,376.1	1,828.9	2,149.3	747.7	454.0	(879.2)	(167.1)	4,012.2	3,812.3	5,359.4	4,860.1	(1,047.8)	-21.6%
Ending Fund Balances (Deficit)	\$6,624.3	\$6,624.3	\$2,260.8	\$2,260.8	\$1,826.7	\$1,826.7	(\$821.1)	(\$821.1)	\$9,890.7	\$9,890.7	\$9,258.1	\$9,258.1	\$632.6	6.8%

GOVERNMENTAL FUNDS FOOTNOTES

January 2012 - Exhibit A Notes

1. On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct federal aid for fiscal relief consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Information on state disbursements resulting from ARRA can be found in Appendix C of this report.

2. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in February 2012:

Federal DHHS	\$69.0 million
Federal USDA/Food and Consumer Services	19.3
Federal DHHS/Block Grant	--
Federal Education	26.5
Federal Miscellaneous Operating Grants	--
Federal Employment and Training Grants	0.2

3. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to **Schedule 5 and Schedule 5a**.

4. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$29.6 million
Urban Development Corporation (Youth Facilities)	6.1
Housing Finance Agency (HFA)	135.3
Housing Assistance Fund	20.4
Dormitory Authority (Mental Hygiene)	366.5
Dormitory Authority and State University Income Fund	63.1
Federal Capital Projects	85.3
State bond and note proceeds	27.3

5. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects	\$230.5 million
General Debt Service	1,701.1
MTA Financial Assistance	18.6
MTA Operating Assistance	46.0
Crimes Against Revenue Account	6.0
Financial Management Systems Account	34.0
Housing Debt Fund	5.7
Banking Services	61.2
Alcoholic Beverage Control Account	15.7
Court Facilities Incentive Aid	104.3
State University Income	57.1
Indigent Legal Services	40.3
NYC County Courts Operating	8.6
Centralized Services Fund	7.8

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$17.6m), the State University Income Funds (\$211.9m) and the Mental Hygiene Program Account (\$2,131.8m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of January 31, 2012 - pursuant to a certification of the Budget Director - the reserve amount is \$517.8million, which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service Funds of \$2,663.2m representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities; transfers to Debt Service Funds of \$165.0m representing payments for MTA State Service Contract Bonds; and transfers to Capital Projects Funds of \$148.1m.

Also included in Special Revenue funds are transfers to the General Fund from the following:

SUNY Income Fund	\$28.6 million
Tribal State Compact Revenue	43.6
Revenue Arrearage Account	21.6
Business and Licensing Services Account	35.0
Youth Facilities Per Diem	18.7
Statewide Public Safety Communications	20.0
MTA Operating Assistance	10.0
Miscellaneous State Special Revenue Fund	13.0

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$6,822.3 million
Local Government Assistance Tax	2,237.5
Clean Water/Clean Air	350.0

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$123.1m), Mental Hygiene (\$2,564.2m) and the State University (\$266.0m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$22.7m) the General Debt Service Fund (\$986.6m) and the Revenue Bond Tax Fund (\$60.4m).

6. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. Accounting entries have been made to reduce medical assistance spending and count these monies as financial resources of the funds shown.

	Allocation of Month-End Balances	
	General Fund	Special Revenue- Federal
Medicaid Recoveries - Health Facilities	\$23,453,254	\$4,023,731
Medicaid Recoveries - Audit	--	7,496,983
Medicaid Recoveries - Third Parties	--	5,112,363
Pharmacy Rebates	20,709,320	18,684,479
Medicare Catastrophic Recovery	344,353	--
Medicaid "Windfall" Recovery	20,767	--
Total	<u>\$44,527,694</u>	<u>\$35,317,556</u>

GOVERNMENTAL FUNDS FOOTNOTES (continued)

January 2012 - **Exhibit A Notes**
(Continued)

7. A portion of Personal Income Tax receipts is also transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments total \$391.6m for the month of June, \$178.0m in September, \$6.5m in October, \$35.8m in November and \$2,593.6m in December.

GOVERNMENTAL FUNDS FOOTNOTES (continued)

8. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	10 Months Ended January 31		\$ Increase/ (Decrease)
					2012	2011	
(amounts in millions)							
Abandoned Property							
Abandoned Property	\$524.6	\$ --	\$ --	\$ --	\$524.6	\$391.5	\$133.1
Unclaimed Bottle Deposits	82.0	--	--	--	82.0	94.9	(12.9)
Interest Earnings	4.7	18.7	0.4	0.5	24.3	22.4	1.9
Receipts from Public Authorities:							
Bond Issuance Fees	63.8	7.2	--	--	71.0	92.9	(21.9)
Cost Recovery Assessments	7.1	20.4	--	--	27.5	13.3	14.2
Empire State/Urban Development Corporation	--	1.4	--	--	1.4	3.9	(2.5)
Energy Research and Development Authority	--	--	--	--	--	0.4	(0.4)
Environmental Facilities Corporation	--	9.0	--	--	9.0	6.3	2.7
Genesee Valley Regional Marketing Authority	--	--	--	--	--	12.0	(12.0)
Hudson River Park Trust	--	--	--	--	--	4.3	(4.3)
Power Authority	107.5	0.7	--	0.2	108.4	68.3	40.1
Thruway Authority - Policing the Thruway	--	38.0	--	--	38.0	40.8	(2.8)
Bond Proceeds							
Dormitory Authority	--	38.3	--	1,037.6	1,075.9	898.6	177.3
Empire State/Urban Development Corporation	--	--	--	739.6	739.6	964.3	(224.7)
Environmental Facilities Corporation	--	--	--	1.2	1.2	3.8	(2.6)
Housing Finance Agency	--	--	--	145.7	145.7	108.8	36.9
Thruway Authority	--	--	--	458.4	458.4	271.0	187.4
All Other	--	1.2	--	0.7	1.9	1.0	0.9
Refunds and Reimbursements:							
Receipts from Municipalities	145.5	89.9	8.3	--	243.7	373.7	(130.0)
Women, Infants and Children Rebates	--	89.7	--	--	89.7	84.0	5.7
HESC Student Loan Recoveries	--	61.0	--	--	61.0	62.7	(1.7)
Administrative Recoveries	60.2	78.0	--	--	138.2	138.2	--
Indirect Cost Assessments	108.0	--	--	--	108.0	81.6	26.4
Reimbursements from Cornell University	15.2	--	--	--	15.2	16.6	(1.4)
Hazardous Waste and Oil Spill	--	8.2	--	10.7	18.9	19.1	(0.2)
Third Party Recoveries	--	66.6	--	--	66.6	55.8	10.8
All Other	17.0	9.6	(0.1)	(6.7)	19.8	50.5	(30.7)
Health Care Reform Act:							
Public Goods and Health Care Initiatives Pools	--	3,449.7	--	--	3,449.7	3,481.6	(31.9)
Revenues of State Departments:							
Patient/Client Care Reimbursements	--	1,473.3	371.3	--	1,844.6	1,451.0	393.6
Medical Care Provider Assessments	152.6	704.9	--	--	857.5	771.6	85.9
Industry Assessments - Regular	--	719.7	--	62.9	782.6	919.6	(137.0)
Industry Assessments - Temporary Utility Surcharge	260.0	--	--	--	260.0	220.0	40.0
Student Tuition, Fees and Other SUNY Revenues	--	1,568.2	357.8	--	1,926.0	1,858.4	67.6
Student Tuition, Fees and Other CUNY Revenues	--	114.1	--	--	114.1	83.5	30.6
EPIC Fees and Rebates	--	130.2	--	--	130.2	185.6	(55.4)
Miscellaneous Sales, Rentals and Leases	6.3	23.7	--	9.7	39.7	39.5	0.2
Gifts	0.2	5.7	--	--	5.9	3.6	2.3
All Other	1.8	40.8	--	0.3	42.9	33.8	9.1
Gaming:							
Lottery - Education	--	1,420.3	--	--	1,420.3	1,430.7	(10.4)
Lottery - Administration	--	427.8	--	--	427.8	431.8	(4.0)
Video Lottery Terminal - Education	--	506.6	--	--	506.6	798.6	(292.0)
Video Lottery Terminal - Administration	--	28.8	--	--	28.8	24.5	4.3
Casinos	--	--	--	--	--	4.6	(4.6)
Licenses	16.0	149.9	--	0.4	166.3	172.1	(5.8)
Fees							
Motor Vehicle - Other	48.0	185.6	--	587.2	820.8	795.9	24.9
Motor Vehicle - Metropolitan Transportation Authority	--	157.3	--	--	157.3	153.8	3.5
Alcohol Beverage Control Licensing	49.3	--	--	--	49.3	38.1	11.2
All Other	325.6	745.0	--	95.6	1,166.2	1,172.1	(5.9)
Fines	230.4	117.8	--	10.8	359.0	432.1	(73.1)
TOTAL	\$2,225.8	\$12,507.3	\$737.7	\$3,154.8	\$18,625.6	\$18,383.2	\$242.4

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2011	10 MOS. ENDED JAN. 31, 2011
RECEIPTS:								
Miscellaneous Receipts	\$6.5	\$187.0	\$24.2	\$302.4	\$30.7	\$489.4	\$37.1	\$382.5
Federal Receipts (*)	320.1	3,269.3	--	--	320.1	3,269.3	384.0	4,811.3
Unemployment Taxes	331.0	2,815.9	--	--	331.0	2,815.9	325.8	3,121.3
TOTAL RECEIPTS	657.6	6,272.2	24.2	302.4	681.8	6,574.6	746.9	8,315.1
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	0.3	4.7	7.6	87.8	7.9	92.5	8.9	99.6
Non-Personal Service	3.4	141.1	19.2	271.3	22.6	412.4	25.3	328.3
General State Charges	--	1.1	0.3	40.9	0.3	42.0	2.0	44.1
Unemployment Benefits (*)	724.0	6,124.8	--	--	724.0	6,124.8	808.8	7,946.3
TOTAL DISBURSEMENTS	727.7	6,271.7	27.1	400.0	754.8	6,671.7	845.0	8,418.3
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	(70.1)	0.5	(2.9)	(97.6)	(73.0)	(97.1)	(98.1)	(103.2)
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	2.7	85.5	2.7	85.5	2.1	83.3
Transfers to Other Funds	--	--	--	(28.2)	--	(28.2)	--	(24.3)
NET SOURCES (USES)	--	--	2.7	57.3	2.7	57.3	2.1	59.0
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(70.1)	0.5	(0.2)	(40.3)	(70.3)	(39.8)	(96.0)	(44.2)
BEGINNING FUND EQUITY (DEFICITS)	91.5	20.9	(11.1)	29.0	80.4	49.9	5.8	(46.0)
ENDING FUND EQUITY (DEFICITS)	\$21.4	\$21.4	(\$11.3)	(\$11.3)	\$10.1	\$10.1	(\$90.2)	(\$90.2)

(*) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2011	10 MOS. ENDED JAN. 31, 2011
RECEIPTS:								
Miscellaneous Receipts	\$8.4	\$80.6	\$0.1	\$1.1	\$8.5	\$81.7	\$7.1	\$83.3
TOTAL RECEIPTS	<u>8.4</u>	<u>80.6</u>	<u>0.1</u>	<u>1.1</u>	<u>8.5</u>	<u>81.7</u>	<u>7.1</u>	<u>83.3</u>
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	4.0	43.7	--	0.2	4.0	43.9	4.3	46.0
Non-Personal Service	4.5	18.7	--	--	4.5	18.7	2.7	16.8
General State Charges	--	19.7	--	0.1	--	19.8	--	20.4
TOTAL DISBURSEMENTS	<u>8.5</u>	<u>82.1</u>	<u>--</u>	<u>0.3</u>	<u>8.5</u>	<u>82.4</u>	<u>7.0</u>	<u>83.2</u>
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	<u>(0.1)</u>	<u>(1.5)</u>	<u>0.1</u>	<u>0.8</u>	<u>--</u>	<u>(0.7)</u>	<u>0.1</u>	<u>0.1</u>
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	--	--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(0.1)	(1.5)	0.1	0.8	--	(0.7)	0.1	0.1
BEGINNING FUND EQUITY (DEFICITS)	<u>--</u>	<u>1.4</u>	<u>10.0</u>	<u>9.3</u>	<u>10.0</u>	<u>10.7</u>	<u>9.3</u>	<u>9.3</u>
ENDING FUND EQUITY (DEFICITS)	<u>(\$0.1)</u>	<u>(\$0.1)</u>	<u>\$10.1</u>	<u>\$10.1</u>	<u>\$10.0</u>	<u>\$10.0</u>	<u>\$9.4</u>	<u>\$9.4</u>

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2012
 FOR TEN (10) MONTHS ENDED JANUARY 31, 2012
 (amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:			
Taxes.....	\$54,094	\$54,178.2	\$84.2
Miscellaneous Receipts.....	18,745	18,625.6	(119.4)
Federal Receipts.....	36,389	36,581.6	192.6
Total Receipts.....	109,228	109,385.4	157.4
DISBURSEMENTS:			
Local Assistance Grants.....	75,959	75,620.8	(338.2)
Departmental Operations.....	15,409	15,354.9	(54.1)
General State Charges.....	4,537	4,515.8	(21.2)
Debt Service.....	3,785	3,784.4	(0.6)
Capital Projects.....	4,461	4,350.8	(110.2)
Total Disbursements.....	104,151	103,626.7	(524.3)
Excess (Deficiency) of Receipts over Disbursements.....	5,077	5,758.7	681.7
OTHER FINANCING SOURCES (USES):			
Bond and Note Proceeds, net.....	392	352.1	(39.9)
Transfers from Other Funds.....	21,812	21,354.8	(457.2)
Transfers to Other Funds.....	(21,846)	(21,387.2)	(458.8)
Total Other Financing Sources (Uses).....	358.0	319.7	(38.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	5,435	6,078.4	643.4
Fund Balances (Deficit) at April 1.....	3,812	3,812.3	0.3
Fund Balances (Deficit) at January 31.....	\$9,247	\$9,890.7	\$643.7

(*) Source: DOB 2012-13 Executive Budget issued January 17, 2012.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2012
 FOR TEN (10) MONTHS ENDED JANUARY 31, 2012
 (amounts in millions)

EXHIBIT D
 (continued)

	GENERAL			SPECIAL REVENUE		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes:						
Personal Income	\$22,131	\$22,131.2	\$0.2	\$3,205	\$3,205.5	\$0.5
Consumption/Use	7,570	7,574.2	4.2	1,881	1,866.0	(15.0)
Business.....	3,834	3,919.7	85.7	1,059	1,100.2	41.2
Other.....	983	949.2	(33.8)	1,129	1,120.8	(8.2)
Miscellaneous Receipts	2,234	2,225.8	(8.2)	12,640	12,507.3	(132.7)
Federal Receipts.....	47	46.6	(0.4)	34,626	34,690.3	64.3
Bond and Note Proceeds, net.....	--	--	--	--	--	--
Transfers From:						
PIT in excess of Revenue Bond Debt Service.....	6,823	6,822.3	(0.7)	--	--	--
Sales Tax in excess of LGAC Debt Service.....	2,240	2,237.5	(2.5)	--	--	--
Real Estate Taxes in excess of CW/CA Debt Service.....	347	350.0	3.0	--	--	--
All Other.....	215	219.1	4.1	5,866	5,658.3	(207.7)
Total Receipts.....	46,424	46,475.6	51.6	60,406	60,148.4	(257.6)
DISBURSEMENTS:						
Local Assistance Grants.....	27,438	27,287.2	(150.8)	46,620	46,243.4	(376.6)
Departmental Operations.....	6,306	6,328.3	22.3	9,066	8,988.3	(77.7)
General State Charges.....	2,802	2,912.3	110.3	1,735	1,603.5	(131.5)
Debt Service.....	--	--	--	--	--	--
Capital Projects.....	--	--	--	4	5.9	1.9
Transfers To:						
Debt Service.....	1,699	1,701.1	2.1	--	--	--
Capital Projects.....	311	230.5	(80.5)	--	--	--
State Share Medicaid.....	2,331	2,361.3 (**)	30.3	--	--	--
Other Purposes.....	642	406.7	(235.3)	3,375	3,195.8	(179.2)
Total Disbursements.....	41,529	41,227.4	(301.6)	60,800	60,036.9	(763.1)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	4,895	5,248.2	353.2	(394)	111.5	505.5
Fund Balances (Deficit) at April 1.....	1,376	1,376.1	0.1	2,149	2,149.3	0.3
Fund Balances (Deficit) at January 31.....	\$6,271	\$6,624.3	\$353.3	\$1,755	\$2,260.8	\$505.8

(*) Source: DOB 2012-13 Executive Budget issued January 17, 2012.

(**) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2012
 FOR TEN (10) MONTHS ENDED JANUARY 31, 2012
 (amounts in millions)

EXHIBIT D
 (continued)

	DEBT SERVICE			CAPITAL PROJECTS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
RECEIPTS:						
Taxes	\$11,193	\$11,196.4	\$3.4	\$1,109	\$1,115.0	\$6.0
Miscellaneous Receipts	750	737.7	(12.3)	3,121	3,154.8	33.8
Federal Receipts.....	43	44.2	1.2	1,673	1,800.5	127.5
Bond and Note Proceeds, net.....	--	--	--	392	352.1	(39.9)
Transfers from Other Funds.....	5,815	5,634.6	(180.4)	506	433.0	(73.0)
Total Receipts.....	17,801	17,612.9	(188.1)	6,801	6,855.4	54.4
DISBURSEMENTS:						
Local Assistance Grants.....	--	--	--	1,901	2,090.2	189.2
Departmental Operations.....	37	38.3	1.3	--	--	--
General State Charges.....	--	--	--	--	--	--
Debt Service.....	3,785	3,784.4	(0.6)	--	--	--
Capital Projects.....	--	--	--	4,457	4,344.9	(112.1)
Transfers to Other Funds.....	12,417	12,417.5	0.5	1,071	1,074.3	3.3
Total Disbursements.....	16,239	16,240.2	1.2	7,429	7,509.4	80.4
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....	1,562	1,372.7	(189.3)	(628)	(654.0)	(26.0)
Fund Balances (Deficit) at April 1.....	454	454.0	--	(167)	(167.1)	(0.1)
Fund Balances (Deficit) at January 31.....	\$2,016	\$1,826.7	(\$189.3)	(\$795)	(\$821.1)	(\$26.1)

(*) Source: DOB 2012-13 Executive Budget issued January 17, 2012.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)

EXHIBIT "E"

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2012	10 MOS. ENDED JAN. 31, 2012	MONTH OF JAN. 2011	10 MOS. ENDED JAN. 31, 2011	\$ Increase / (Decrease)	% Increase / Decrease
PERSONAL INCOME TAX														
Withholding	\$3,632.2	\$24,816.0	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$3,632.2	\$24,816.0	\$3,919.6	\$24,600.7	\$215.3	0.9%
Estimated payments	2,727.5	11,496.0	--	--	--	--	--	--	2,727.5	11,496.0	2,641.1	9,632.0	1,864.0	19.4%
Final returns	20.3	1,997.4	--	--	--	--	--	--	20.3	1,997.4	21.7	1,848.8	148.6	8.0%
State/City Offsets	(6.5)	(329.0)	--	--	--	--	--	--	(6.5)	(329.0)	(11.2)	(63.1)	265.9	421.4%
Other (Assessments/LLC)	99.5	795.9	--	--	--	--	--	--	99.5	795.9	118.1	834.9	(39.0)	-4.7%
Gross Receipts	6,473.0	38,776.3	--	--	--	--	--	--	6,473.0	38,776.3	6,689.3	36,853.3	1,923.0	5.2%
Transfers to School Tax Relief Fund	--	(3,205.5)	--	3,205.5	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(1,616.1)	(8,445.6)	--	--	1,616.1	8,445.6	--	--	--	--	--	--	--	--
Less: Refunds Issued	(8.6)	(4,994.0)	--	--	--	--	--	--	(8.6)	(4,994.0)	(49.5)	(5,782.6)	(788.6)	-13.6%
Total	4,848.3	22,131.2	--	3,205.5	1,616.1	8,445.6	--	--	6,464.4	33,782.3	6,639.8	31,070.7	2,711.6	8.7%
CONSUMPTION / USE TAXES														
Sales and Use	672.3	6,955.8	65.4	648.2	224.1	2,312.8	--	--	961.8	9,916.8	985.9	9,643.0	273.8	2.8%
Auto Rental	--	--	--	31.5	--	--	--	52.5	--	84.0	0.1	74.3	9.7	13.1%
Cigarette/Tobacco Products	38.2	411.3	95.0	1,013.0	--	--	--	--	133.2	1,424.3	128.2	1,384.0	40.3	2.9%
Motor Fuel	--	--	7.3	87.9	--	--	29.9	334.5	37.2	422.4	41.3	431.6	(9.2)	-2.1%
Alcoholic Beverage	28.2	207.1	--	--	--	--	--	--	28.2	207.1	25.2	200.1	7.0	3.5%
Highway Use	--	--	--	--	--	--	11.9	115.2	11.9	115.2	11.3	111.2	4.0	3.6%
Metropolitan Commuter Trans. Taxicab Trip	--	--	20.0	85.4	--	--	--	--	20.0	85.4	19.7	80.6	4.8	6.0%
Total	738.7	7,574.2	187.7	1,866.0	224.1	2,312.8	41.8	502.2	1,192.3	12,255.2	1,211.7	11,924.8	330.4	2.8%
BUSINESS TAXES														
Corporation Franchise	168.7	1,927.1	37.7	337.8	--	--	--	--	206.4	2,264.9	120.2	2,006.8	258.1	12.9%
Corporation and Utilities	(2.6)	384.7	2.4	103.1	--	--	0.8	8.6	0.6	496.4	(2.3)	514.3	(17.9)	-3.5%
Insurance	5.5	769.8	2.0	92.7	--	--	--	--	7.5	862.5	8.0	818.3	44.2	5.4%
Bank	24.8	837.6	7.7	160.6	--	--	--	--	32.5	998.2	18.5	834.9	163.3	19.6%
Petroleum Business	0.5	0.5	43.1	406.0	--	--	53.5	508.9	97.1	915.4	89.9	908.5	6.9	0.8%
Total	196.9	3,919.7	92.9	1,100.2	--	--	54.3	517.5	344.1	5,537.4	234.3	5,082.8	454.6	8.9%
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Estate and Gift	79.1	933.8	--	--	--	--	--	--	79.1	933.8	147.7	1,027.4	(93.6)	-9.1%
Pari-Mutuel	1.0	14.6	--	--	--	--	--	--	1.0	14.6	0.9	14.8	(0.2)	-1.4%
Real Estate Transfer	--	--	--	--	32.8	438.0	11.9	95.3	44.7	533.3	54.6	480.7	52.6	10.9%
Racing and Exhibitions	0.1	0.8	--	--	--	--	--	--	0.1	0.8	0.1	0.7	0.1	14.3%
Metropolitan Commuter Trans. Mobility	--	--	160.2	1,120.8	--	--	--	--	160.2	1,120.8	165.8	1,100.4	20.4	1.9%
Total	80.2	949.2	160.2	1,120.8	32.8	438.0	11.9	95.3	285.1	2,603.3	369.1	2,624.0	(20.7)	-0.8%
TOTAL TAX RECEIPTS	\$5,864.1	\$34,574.3	\$440.8	\$7,292.5	\$1,873.0	\$11,196.4	\$108.0	\$1,115.0	\$8,285.9	\$54,178.2	\$8,454.9	\$50,702.3	\$3,475.9	6.9%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

GOVERNMENTAL FUNDS CASH FLOW

													10 Months Ended Jan. 31			
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
BEGINNING CASH BALANCE	\$3,812.3	\$8,749.4	\$6,033.7	\$5,426.5	\$5,987.2	\$5,531.1	\$6,953.9	\$6,275.7	\$5,289.9	\$4,012.2			\$3,812.3	\$4,860.1	(\$1,047.8)	-21.6%
RECEIPTS:																
Personal Income Tax	5,537.9	1,428.8	4,002.8	2,200.3	2,437.4	3,822.3	2,062.0	2,128.9	3,697.5	6,464.4			33,782.3	31,070.7	2,711.6	8.7%
Consumption/Use Taxes	1,140.9	1,074.6	1,421.5	1,172.6	1,121.3	1,421.1	1,142.0	1,103.9	1,465.0	1,192.3			12,255.2	11,924.8	330.4	2.8%
Business Taxes	270.3	128.3	1,467.4	69.9	142.2	1,277.4	161.8	214.5	1,461.5	344.1			5,537.4	5,082.8	454.6	8.9%
Other Taxes	239.1	309.9	198.5	247.6	330.0	245.0	266.3	274.8	207.0	285.1			2,603.3	2,624.0	(20.7)	-0.8%
Miscellaneous Receipts	1,600.5	1,592.4	1,794.2	1,632.0	1,767.0	2,499.3	1,863.9	1,952.3	1,892.5	2,031.5			18,625.6	18,383.2	242.4	1.3%
Federal Receipts	4,110.3	3,072.3	3,986.5	4,135.9	3,511.8	3,797.6	3,225.7	3,694.8	4,300.8	2,745.9			36,581.6	40,463.1	(3,881.5)	-9.6%
Total Receipts	12,899.0	7,606.3	12,870.9	9,458.3	9,309.7	13,062.7	8,721.7	9,369.2	13,024.3	13,063.3	0.0	0.0	109,385.4	109,548.6	(163.2)	-0.1%
DISBURSEMENTS:																
Local Assistance Grants:																
General Purpose	10.7	27.5	474.0	--	2.6	90.3	8.7	--	203.0	--			816.8	848.5	(31.7)	-3.7%
Education	840.7	3,221.4	3,912.5	786.8	1,134.3	3,682.9	1,527.8	1,438.4	5,008.7	751.2			22,304.7	24,918.7	(2,614.0)	-10.5%
Social Services:																
Medicaid	3,606.7	3,086.3	4,338.2	4,037.2	2,926.7	3,305.2	3,204.8	4,185.2	2,917.2	2,593.3			34,200.8	34,169.5	31.3	0.1%
Other Social Services	415.5	225.6	363.8	668.3	1,161.0	215.8	931.7	1,013.0	631.6	484.7			6,111.0	5,920.0	191.0	3.2%
Health and Environment	112.5	387.2	445.2	272.3	632.1	367.4	365.3	315.7	554.2	489.4			3,941.3	3,384.0	557.3	16.5%
Mental Hygiene	94.3	87.8	215.4	156.2	96.8	192.0	178.5	97.4	294.7	107.1			1,520.2	1,594.3	(74.1)	-4.6%
Transportation	193.8	475.7	416.0	289.9	528.6	251.3	441.8	543.8	954.4	217.6			4,312.9	4,210.7	102.2	2.4%
Criminal Justice	45.1	62.7	22.6	28.2	33.5	40.6	58.7	35.5	85.0	39.8			451.7	334.2	117.5	35.2%
Emergency Management & Security Services	2.2	(1.7)	1.1	2.5	11.3	27.8	6.8	8.6	17.9	5.6			82.1	133.5	(51.4)	-38.5%
Miscellaneous	210.9	253.1	147.8	319.3	174.3	185.8	156.7	128.2	183.2	120.0			1,879.3	1,908.6	(29.3)	-1.5%
Total Local Assistance Grants	5,532.4	7,825.6	10,336.6	6,560.7	6,701.2	8,359.1	6,880.8	7,765.8	10,849.9	4,808.7	0.0	0.0	75,620.8	77,422.0	(1,801.2)	-2.3%
Departmental Operations:																
Personal Service	1,046.9	979.3	1,134.5	965.1	1,287.9	995.2	966.4	965.6	1,148.0	936.7			10,425.6	10,803.1	(377.5)	-3.5%
Non-Personal Service	450.1	442.7	527.0	391.9	504.8	520.8	530.8	481.0	572.2	508.0			4,929.3	4,671.1	258.2	5.5%
General State Charges	451.9	424.0	440.5	464.0	483.1	470.2	414.0	479.9	422.5	465.7			4,515.8	4,300.2	215.6	5.0%
Debt Service, Including Payments on																
Financing Agreements	157.4	293.2	566.2	102.2	285.7	877.6	103.7	185.9	1,118.7	93.8			3,784.4	3,661.2	123.2	3.4%
Capital Projects	317.1	350.1	475.0	403.6	500.1	422.9	499.2	472.5	541.0	369.3			4,350.8	4,234.2	116.6	2.8%
Total Disbursements	7,955.8	10,314.9	13,479.8	8,887.5	9,762.8	11,645.8	9,394.9	10,350.7	14,652.3	7,182.2	0.0	0.0	103,626.7	105,091.8	(1,465.1)	-1.4%
Excess (Deficiency) of Receipts over Disbursements	4,943.2	(2,708.6)	(608.9)	570.8	(453.1)	1,416.9	(673.2)	(981.5)	(1,628.0)	5,881.1	0.0	0.0	5,758.7	4,456.8	1,301.9	29.2%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--	--	--	352.1	--			352.1	--	352.1	100.0%
Transfers from Other Funds	3,470.6	1,335.0	2,504.1	1,992.0	1,930.5	2,112.2	1,946.8	1,527.3	2,279.3	2,257.0			21,354.8	21,777.7	(422.9)	-1.9%
Transfers to Other Funds	(3,476.7)	(1,342.1)	(2,502.4)	(2,002.1)	(1,933.5)	(2,106.3)	(1,951.8)	(1,531.6)	(2,281.1)	(2,259.6)			(21,387.2)	(21,836.5)	(449.3)	-2.1%
Total Other Financing Sources (Uses)	(6.1)	(7.1)	1.7	(10.1)	(3.0)	5.9	(5.0)	(4.3)	350.3	(2.6)	0.0	0.0	319.7	(58.8)	378.5	643.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,937.1	(2,715.7)	(607.2)	560.7	(456.1)	1,422.8	(678.2)	(985.8)	(1,277.7)	5,878.5			6,078.4	4,398.0	1,680.4	38.2%
CLOSING CASH BALANCE	\$8,749.4	\$6,033.7	\$5,426.5	\$5,987.2	\$5,531.1	\$6,953.9	\$6,275.7	\$5,289.9	\$4,012.2	\$9,890.7	\$0.0	\$0.0	\$9,890.7	\$9,258.1	\$632.6	6.8%

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2011-2012
(amounts in millions)

GOVERNMENTAL FUNDS CASH FLOW
TAX RECEIPTS

	10 Months Ended Jan. 31												2012	2011	\$ Increase / (Decrease)	% Increase / Decrease
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH				
PERSONAL INCOME TAX																
Withholdings	\$2,303.4	\$2,222.9	\$2,430.2	\$2,156.5	\$2,417.4	\$2,113.7	\$2,127.6	\$2,407.4	\$3,004.7	\$3,632.2			\$24,816.0	\$24,600.7	\$215.3	0.9%
Estimated payments	4,184.4	89.8	1,609.6	78.6	67.8	1,751.2	129.5	126.0	731.6	2,727.5			11,496.0	9,632.0	1,864.0	19.4%
Final returns	1,440.7	50.4	36.7	27.0	27.7	45.1	247.7	80.5	21.3	20.3			1,997.4	1,848.8	148.6	8.0%
State/City Offsets	(56.8)	(2.1)	2.8	(6.9)	(6.4)	(16.0)	(19.3)	(41.5)	(2.5)	(6.5)			(329.0)	(63.1)	265.9	421.4%
Other (Assessments/LLC)	123.7	99.2	91.9	55.7	49.7	50.2	61.1	67.6	97.3	99.5			795.9	834.9	(39.0)	-4.7%
Gross Receipts	7,995.4	2,460.2	4,171.2	2,310.9	2,556.2	3,944.2	2,372.8	2,640.0	3,852.4	6,473.0	0.0	0.0	38,776.3	36,853.3	1,923.0	5.2%
Transfers to School Tax Relief Fund	--	--	--	--	--	--	--	--	--	--			--	--	--	--
Transfers to Revenue Bond Tax Fund	--	--	--	--	--	--	--	--	--	--			--	--	--	--
Refunds issued	(2,457.5)	(1,031.4)	(168.4)	(110.6)	(118.8)	(121.9)	(310.8)	(511.1)	(154.9)	(8.6)			(4,994.0)	(5,782.6)	(788.6)	-13.6%
Total Personal Income Tax	5,537.9	1,428.8	4,002.8	2,200.3	2,437.4	3,822.3	2,062.0	2,128.9	3,697.5	6,464.4	0.0	0.0	33,782.3	31,070.7	2,711.6	8.7%
CONSUMPTION/USE TAXES																
Sales and Use	922.1	869.1	1,179.3	913.1	893.1	1,161.3	910.4	903.8	1,202.8	961.8			9,916.8	9,643.0	273.8	2.8%
Auto Rental	(0.4)	--	23.1	--	--	33.1	--	0.1	28.1	--			84.0	74.3	9.7	13.1%
Cigarette/Tobacco Products	132.5	136.2	148.3	153.9	155.3	149.6	138.9	140.8	135.6	133.2			1,424.3	1,384.0	40.3	2.9%
Motor Fuel	36.0	37.7	42.8	46.3	44.4	44.2	42.3	32.7	58.8	37.2			422.4	431.6	(9.2)	-2.1%
Alcoholic Beverage	18.6	18.5	19.0	26.1	15.7	21.5	19.1	13.3	27.1	28.2			207.1	200.1	7.0	3.5%
Highway Use	12.1	12.1	8.6	12.7	12.1	10.1	12.8	11.2	11.6	11.9			115.2	111.2	4.0	3.6%
Metropolitan Commuter Trans. Taxicab Trip	20.0	1.0	0.4	20.5	0.7	1.3	18.5	2.0	1.0	20.0			85.4	80.6	4.8	6.0%
Total Consumption/Use Taxes and Fees	1,140.9	1,074.6	1,421.5	1,172.6	1,121.3	1,421.1	1,142.0	1,103.9	1,465.0	1,192.3	0.0	0.0	12,255.2	11,924.8	330.4	2.8%
BUSINESS TAXES																
Corporation Franchise	159.9	35.4	629.6	12.8	39.2	492.2	58.1	84.5	546.8	206.4			2,264.9	2,006.8	258.1	12.9%
Corporation and Utilities	(3.3)	1.8	113.2	7.7	1.4	189.6	0.3	6.2	178.9	0.6			496.4	514.3	(17.9)	-3.5%
Insurance	1.5	6.3	311.8	2.0	8.5	272.1	3.3	1.0	248.5	7.5			862.5	818.3	44.2	5.4%
Bank	25.7	2.9	317.7	(50.1)	0.7	227.1	11.8	48.3	381.6	32.5			998.2	834.9	163.3	19.6%
Petroleum Business	86.5	81.9	95.1	97.5	92.4	96.4	88.3	74.5	105.7	97.1			915.4	908.5	6.9	0.8%
Total Business Taxes	270.3	128.3	1,467.4	69.9	142.2	1,277.4	161.8	214.5	1,461.5	344.1	0.0	0.0	5,537.4	5,082.8	454.6	8.9%
OTHER TAXES																
Real Property Gains	--	--	--	--	--	--	--	--	--	--			--	--	--	--
Estate and Gift	65.6	131.2	72.9	87.0	106.6	114.5	96.4	104.3	76.2	79.1			933.8	1,027.4	(93.6)	-9.1%
Pari-Mutuel	0.9	1.2	1.8	1.4	2.2	2.2	1.4	1.2	1.3	1.0			14.6	14.8	(0.2)	-1.4%
Real Estate Transfer	48.0	49.4	39.2	62.6	86.6	56.9	54.9	43.6	47.4	44.7			533.3	480.7	52.6	10.9%
Racing and Exhibitions	--	--	0.1	--	0.1	0.2	--	0.2	0.1	0.1			0.8	0.7	0.1	14.3%
Metropolitan Commuter Trans. Mobility	124.6	128.1	84.5	96.6	134.5	71.2	113.6	125.5	82.0	160.2			1,120.8	1,100.4	20.4	1.9%
Total Other Taxes	239.1	309.9	198.5	247.6	330.0	245.0	266.3	274.8	207.0	285.1	0.0	0.0	2,603.3	2,624.0	(20.7)	-0.8%
TOTAL TAX RECEIPTS	<u>\$7,188.2</u>	<u>\$2,941.6</u>	<u>\$7,090.2</u>	<u>\$3,690.4</u>	<u>\$4,030.9</u>	<u>\$6,765.8</u>	<u>\$3,632.1</u>	<u>\$3,722.1</u>	<u>\$6,831.0</u>	<u>\$8,285.9</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$54,178.2</u>	<u>\$50,702.3</u>	<u>\$3,475.9</u>	<u>6.9%</u>

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

EXHIBIT "F"

												10 Months Ended Jan. 31				
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$1,376.1	\$4,510.3	\$1,809.2	\$2,492.2	\$1,884.4	\$1,571.3	\$4,948.0	\$3,394.0	\$3,264.0	\$2,314.8			\$1,376.1	\$2,301.7	(\$925.6)	-40.2%
RECEIPTS:																
Personal Income Tax	4,153.4	1,071.6	2,610.5	1,661.2	1,817.1	2,688.7	1,540.0	1,560.9	179.5	4,848.3			22,131.2	20,076.7	2,054.5	10.2%
Consumption/Use Taxes	689.1	667.2	891.7	716.0	688.2	881.3	697.6	688.9	915.5	738.7			7,574.2	7,353.7	220.5	3.0%
Business Taxes	161.2	28.1	1,173.3	(35.9)	42.8	1,021.4	58.5	110.0	1,163.4	198.9			3,919.7	3,551.2	368.5	10.4%
Other Taxes	66.5	132.4	74.8	88.4	108.9	116.9	97.8	105.7	77.6	80.2			949.2	1,042.9	(93.7)	-9.0%
Miscellaneous Receipts	77.4	91.9	316.0	115.0	122.4	504.5	146.1	458.8	238.1	155.6			2,225.8	2,111.7	114.1	5.4%
Federal Receipts	1.6	13.2	--	--	--	17.0	--	--	14.8	--			46.6	42.8	3.8	8.9%
Total Receipts	5,149.2	2,004.4	5,066.3	2,544.7	2,779.4	5,229.8	2,540.0	2,924.3	2,588.9	6,019.7	0.0	0.0	36,846.7	34,179.0	2,667.7	7.81%
DISBURSEMENTS:																
Local Assistance Grants:																
General Purpose	10.7	27.5	474.0	--	2.6	90.3	8.7	--	203.0	--			816.8	848.5	(31.7)	-3.7%
Education	277.3	2,608.2	2,631.7	504.4	901.8	1,287.6	1,272.9	1,005.9	1,824.3	356.9			12,671.0	14,507.4	(1,836.4)	-12.7%
Social Services:																
Medicaid	897.9	836.0	1,290.0	1,285.1	647.8	772.9	1,193.1	1,186.7	1,046.6	709.8			9,865.9	6,911.4	2,954.5	42.7%
Other Social Services	333.3	176.3	293.4	222.3	265.3	107.1	346.1	155.2	132.8	230.7			2,262.5	2,303.2	(40.7)	-1.8%
Health and Environment	16.9	78.7	104.5	100.2	216.0	110.4	124.3	109.5	131.6	104.4			1,096.5	853.3	243.2	28.5%
Mental Hygiene	16.5	2.6	9.5	1.4	3.6	(7.3)	0.1	0.3	0.9	0.9			28.5	247.0	(218.5)	-88.5%
Transportation	--	23.8	0.3	0.5	24.2	--	0.2	24.7	14.6	--			88.3	84.7	3.6	4.3%
Criminal Justice	12.0	10.7	2.5	8.2	11.7	7.6	17.7	9.3	6.0	12.6			98.3	107.0	(8.7)	-8.1%
Emergency Management & Security Services	0.4	(4.1)	0.3	0.5	4.6	2.8	0.1	1.9	3.1	0.8			10.4	13.7	(3.3)	-24.1%
Miscellaneous	24.4	12.0	26.1	38.3	31.2	31.7	59.3	28.2	58.2	39.6			349.0	339.1	9.9	2.9%
Total Local Assistance Grants	1,589.4	3,771.7	4,832.3	2,160.9	2,108.8	2,403.1	3,022.5	2,521.7	3,421.1	1,455.7	0.0	0.0	27,287.2	26,215.3	1,071.9	4.1%
Departmental Operations:																
Personal Service	602.1	525.2	597.9	554.3	667.4	356.0	370.3	404.3	555.8	348.5			4,981.8	5,164.3	(182.5)	-3.5%
Non-Personal Service	199.1	124.8	89.9	142.5	164.9	119.2	89.6	109.4	154.3	152.8			1,346.5	1,433.0	(86.5)	-6.0%
General State Charges	404.1	321.5	119.0	419.4	240.9	247.7	358.3	72.3	323.0	406.1			2,912.3	2,709.4	202.9	7.5%
Total Disbursements	2,794.7	4,743.2	5,639.1	3,277.1	3,182.0	3,126.0	3,840.7	3,107.7	4,454.2	2,363.1	0.0	0.0	36,527.8	35,522.0	1,005.8	2.8%
Excess (Deficiency) of Receipts over Disbursements	2,354.5	(2,738.8)	(572.8)	(732.4)	(402.6)	2,103.8	(1,300.7)	(183.4)	(1,865.3)	3,656.6	0.0	0.0	318.9	(1,343.0)	1,661.9	123.7%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1,720.3	350.8	1,414.2	759.6	463.9	1,418.1	539.2	474.6	1,269.8	1,218.4			9,628.9	9,418.8	210.1	2.2%
Transfers to State Capital Projects	22.9	(52.2)	(51.7)	(15.5)	(64.1)	12.5	(36.0)	(46.3)	(51.5)	51.4			(230.5)	(306.0)	(75.5)	-24.7%
Transfers to Federal Capital Projects	--	--	--	--	--	--	--	--	--	--			--	--	--	--
Transfers to General Debt Service	(521.9)	(22.0)	128.7	(376.5)	(37.7)	110.7	(469.3)	1.8	4.1	(519.0)			(1,701.1)	(1,790.2)	(89.1)	-5.0%
Transfers to All Other State Funds	(441.6)	(238.9)	(235.4)	(243.0)	(272.6)	(268.4)	(287.2)	(376.7)	(306.3)	(97.9)			(2,768.0)	(2,665.7)	102.3	3.8%
Total Other Financing Sources (Uses)	779.7	37.7	1,255.8	124.6	89.5	1,272.9	(253.3)	53.4	916.1	652.9	0.0	0.0	4,929.3	4,656.9	272.4	5.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,134.2	(2,701.1)	683.0	(607.8)	(313.1)	3,376.7	(1,554.0)	(130.0)	(949.2)	4,309.5	0.0	0.0	5,248.2	3,313.9	1,934.3	58.4%
CLOSING CASH BALANCE	<u>\$4,510.3</u>	<u>\$1,809.2</u>	<u>\$2,492.2</u>	<u>\$1,884.4</u>	<u>\$1,571.3</u>	<u>\$4,948.0</u>	<u>\$3,394.0</u>	<u>\$3,264.0</u>	<u>\$2,314.8</u>	<u>\$6,624.3</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$6,624.3</u>	<u>\$5,615.6</u>	<u>\$1,008.7</u>	<u>18.0%</u>

STATE OF NEW YORK
GENERAL FUND
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2011-2012
(amounts in millions)

EXHIBIT "F"
TAX RECEIPTS

	10 Months Ended Jan. 31													
	2011									2012			2012	2011
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		
PERSONAL INCOME TAX														
Withholdings	\$2,303.4	\$2,222.9	\$2,430.2	\$2,156.5	\$2,417.4	\$2,113.7	\$2,127.6	\$2,407.4	\$3,004.7	\$3,632.2			\$24,816.0	\$24,600.7
Estimated payments	4,184.4	89.8	1,609.6	78.6	67.8	1,751.2	129.5	126.0	731.6	2,727.5			11,496.0	9,632.0
Final returns	1,440.7	50.4	36.7	27.0	27.7	45.1	247.7	80.5	21.3	20.3			1,997.4	1,848.8
State/City Offsets	(56.8)	(2.1)	2.8	(6.9)	(6.4)	(16.0)	(193.1)	(41.5)	(2.5)	(6.5)			(329.0)	(63.1)
Other (Assessments/LLC)	123.7	99.2	91.9	55.7	49.7	50.2	61.1	67.6	97.3	99.5			795.9	834.9
Gross Receipts	7,995.4	2,460.2	4,171.2	2,310.9	2,556.2	3,944.2	2,372.8	2,640.0	3,852.4	6,473.0	0.0	0.0	38,776.3	36,853.3
Transfers to School Tax Relief Fund	--	--	(391.6)	--	--	(178.0)	(6.5)	(35.8)	(2,593.6)	--			(3,205.5)	(3,226.3)
Transfers to Revenue Bond Tax Fund	(1,384.5)	(357.2)	(1,000.7)	(539.1)	(620.3)	(955.6)	(515.5)	(532.2)	(924.4)	(1,616.1)			(8,445.6)	(7,767.7)
Refunds issued	(2,457.5)	(1,031.4)	(168.4)	(110.6)	(118.8)	(121.9)	(310.8)	(511.1)	(154.9)	(8.6)			(4,994.0)	(5,782.6)
Total Personal Income Tax	4,153.4	1,071.6	2,610.5	1,661.2	1,817.1	2,688.7	1,540.0	1,560.9	179.5	4,848.3	0.0	0.0	22,131.2	20,076.7
CONSUMPTION/USE TAXES														
Sales and Use	633.3	610.6	830.1	641.8	627.8	818.5	637.3	632.6	851.5	672.3			6,955.8	6,738.3
Auto Rental	--	--	--	--	--	--	--	--	--	--			--	--
Cigarette/Tobacco Products	37.2	38.1	42.6	48.1	44.7	41.3	41.2	43.0	36.9	38.2			411.3	415.3
Motor Fuel	--	--	--	--	--	--	--	--	--	--			--	--
Alcoholic Beverage	18.6	18.5	19.0	26.1	15.7	21.5	19.1	13.3	27.1	28.2			207.1	200.1
Highway Use	--	--	--	--	--	--	--	--	--	--			--	--
Metropolitan Commuter Trans. Taxicab Trip	--	--	--	--	--	--	--	--	--	--			--	--
Total Consumption/Use Taxes and Fees	689.1	667.2	891.7	716.0	688.2	881.3	697.6	688.9	915.5	738.7	0.0	0.0	7,574.2	7,353.7
BUSINESS TAXES														
Corporation Franchise	141.8	19.1	541.0	(2.6)	28.8	433.2	45.8	67.8	483.5	168.7			1,927.1	1,728.7
Corporation and Utilities	(3.8)	1.2	89.1	6.6	1.1	151.1	(0.4)	0.6	141.8	(2.6)			384.7	395.0
Insurance	0.2	4.6	279.7	1.7	8.0	246.3	2.4	(1.2)	222.6	5.5			769.8	734.2
Bank	23.0	3.2	263.5	(41.6)	4.9	190.8	10.7	42.8	315.5	24.8			837.6	693.3
Petroleum Business	--	--	--	--	--	--	--	--	--	0.5			0.5	--
Total Business Taxes	161.2	28.1	1,173.3	(35.9)	42.8	1,021.4	58.5	110.0	1,163.4	196.9	0.0	0.0	3,919.7	3,551.2
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--	--	--	--			--	--
Estate and Gift	65.6	131.2	72.9	87.0	106.6	114.5	96.4	104.3	76.2	79.1			933.8	1,027.4
Pari-Mutuel	0.9	1.2	1.8	1.4	2.2	2.2	1.4	1.2	1.3	1.0			14.6	14.8
Real Estate Transfer	--	--	--	--	--	--	--	--	--	--			--	--
Racing and Exhibitions	--	--	0.1	--	0.1	0.2	--	0.2	0.1	0.1			0.8	0.7
Metropolitan Commuter Trans. Mobility	--	--	--	--	--	--	--	--	--	--			--	--
Total Other Taxes	66.5	132.4	74.8	88.4	108.9	116.9	97.8	105.7	77.6	80.2	0.0	0.0	949.2	1,042.9
TOTAL TAX RECEIPTS	\$5,070.2	\$1,899.3	\$4,750.3	\$2,429.7	\$2,657.0	\$4,708.3	\$2,393.9	\$2,465.5	\$2,336.0	\$5,864.1	\$0.0	\$0.0	\$34,574.3	\$32,024.5

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

**EXHIBIT "G"
COMBINED**

	2011										2012		10 Months Ended Jan. 31		\$ Increase/ (Decrease)	% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2012	2011		
OPENING CASH BALANCE	\$2,149.3	\$3,397.8	\$3,362.9	\$2,865.7	\$3,636.5	\$3,450.2	\$2,323.0	\$2,357.6	\$1,339.6	\$1,828.9			\$2,149.3	\$2,400.8	(\$251.5)	-10.5%
RECEIPTS:																
Personal Income Tax	--	--	391.6	--	--	178.0	6.5	35.8	2,593.6	--			3,205.5	3,226.3	(20.8)	-0.6%
Consumption/Use Taxes	206.1	162.3	196.0	193.3	176.8	201.3	185.9	166.9	189.7	187.7			1,866.0	1,829.1	36.9	2.0%
Business Taxes	61.1	55.7	239.6	51.5	48.1	199.7	53.9	62.5	235.2	92.9			1,100.2	1,017.5	82.7	8.1%
Other Taxes	124.6	128.1	84.5	96.6	134.5	71.2	113.6	125.5	82.0	160.2			1,120.8	1,100.4	20.4	1.9%
Miscellaneous Receipts	1,113.4	1,139.7	1,174.3	1,085.0	1,343.5	1,624.8	1,245.9	1,245.9	1,229.8	1,305.0			12,507.3	12,598.3	(91.0)	-0.7%
Federal Receipts	3,978.4	2,926.9	3,757.9	4,017.4	3,242.8	3,620.8	3,077.1	3,528.2	4,090.2	2,450.6			34,690.3	38,433.6	(3,743.3)	-9.7%
Total Receipts	5,483.6	4,412.7	5,843.9	5,443.8	4,945.7	5,895.8	4,682.9	5,164.8	8,420.5	4,196.4	0.0	0.0	54,490.1	58,205.2	(3,715.1)	-6.4%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	556.2	606.9	1,265.8	281.4	231.2	2,394.0	254.0	430.4	3,184.0	392.2			9,596.1	10,373.6	(777.5)	-7.5%
Social Services:																
Medicaid	2,708.8	2,250.3	3,048.2	2,752.1	2,278.9	2,532.3	2,011.7	2,998.5	1,870.6	1,883.5			24,334.9	27,258.1	(2,923.2)	-10.7%
Other Social Services	71.4	49.3	70.5	445.9	895.7	108.7	585.6	857.8	498.8	254.0			3,837.7	3,577.8	259.9	7.3%
Health and Environment	90.4	242.8	285.8	161.4	372.5	209.4	218.3	173.3	381.7	163.8			2,299.4	2,186.5	112.9	5.2%
Mental Hygiene	71.5	76.0	201.8	151.2	89.2	195.9	172.8	91.1	288.2	101.5			1,439.2	1,260.8	178.4	14.1%
Transportation	165.9	425.9	355.1	263.8	456.4	220.7	406.8	480.2	676.0	177.1			3,627.9	3,649.3	(21.4)	-0.6%
Criminal Justice	33.1	52.0	20.1	20.0	21.8	33.0	41.0	26.2	79.0	27.2			353.4	227.2	126.2	55.5%
Emergency Management & Security Services	1.8	2.4	0.8	2.0	6.7	25.0	6.7	6.7	14.8	4.8			71.7	119.8	(48.1)	-40.2%
Miscellaneous	52.5	54.9	55.2	54.7	119.0	83.6	61.3	66.6	96.2	39.1			683.1	636.7	46.4	7.3%
Total Local Assistance Grants	3,751.6	3,760.5	5,303.3	4,132.5	4,471.4	5,802.6	3,758.2	5,130.8	7,089.3	3,043.2	0.0	0.0	46,243.4	49,289.8	(3,046.4)	-6.2%
Departmental Operations:																
Personal Service	444.8	454.1	536.6	410.8	620.5	639.2	596.1	561.3	592.2	588.2			5,443.8	5,638.8	(195.0)	-3.5%
Non-Personal Service	249.6	316.5	430.7	244.8	335.5	398.1	440.1	370.9	404.7	353.6			3,544.5	3,184.5	360.0	11.3%
General State Charges	47.8	102.5	321.5	44.6	242.2	222.5	55.7	407.6	99.5	59.6			1,603.5	1,590.8	12.7	0.8%
Capital Projects	0.5	0.6	0.3	0.5	1.1	0.5	0.3	0.5	0.9	0.7			5.9	17.9	(12.0)	-67.0%
Total Disbursements	4,494.3	4,634.2	6,592.4	4,833.2	5,670.7	7,062.9	4,850.4	6,471.1	8,186.6	4,045.3	0.0	0.0	56,841.1	59,721.8	(2,880.7)	-4.8%
Excess (Deficiency) of Receipts over Disbursements	989.3	(221.5)	(748.5)	610.6	(725.0)	(1,167.1)	(167.5)	(1,306.3)	233.9	151.1	0.0	0.0	(2,351.0)	(1,516.6)	(834.4)	-55.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	748.3	502.0	658.0	454.8	908.0	321.1	515.5	604.9	556.6	389.1			5,658.3	5,887.1	(228.8)	-3.9%
Transfers to Other Funds	(489.1)	(315.4)	(406.7)	(294.6)	(369.3)	(281.2)	(313.4)	(316.6)	(301.2)	(108.3)			(3,195.8)	(3,681.0)	(485.2)	-13.2%
Total Other Financing Sources (Uses)	259.2	186.6	251.3	160.2	538.7	39.9	202.1	288.3	255.4	280.8	0.0	0.0	2,462.5	2,206.1	256.4	11.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,248.5	(34.9)	(497.2)	770.8	(186.3)	(1,127.2)	34.6	(1,018.0)	489.3	431.9	0.0	0.0	111.5	689.5	(578.0)	-83.8%
CLOSING CASH BALANCE	\$3,397.8	\$3,362.9	\$2,865.7	\$3,636.5	\$3,450.2	\$2,323.0	\$2,357.6	\$1,339.6	\$1,828.9	\$2,260.8	\$0.0	\$0.0	\$2,260.8	\$3,090.3	(\$829.5)	-26.8%

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2011-2012
(amounts in millions)**

**EXHIBIT "G"
STATE**

													10 Months Ended Jan. 31				
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Personal Income Tax	\$ --	\$ --	\$391.6	\$ --	\$ --	\$ 178.0	\$ 6.5	\$ 35.8	\$ 2,593.6	\$ --			\$ --	\$3,205.5	\$3,226.3	(\$20.8)	-0.6%
Consumption/Use Taxes	206.1	162.3	196.0	193.3	176.8	201.3	185.9	166.9	189.7	187.7			--	1,866.0	1,829.1	36.9	2.0%
Business Taxes	61.1	55.7	239.6	51.5	48.1	199.7	53.9	62.5	235.2	92.9			--	1,100.2	1,017.5	82.7	8.1%
Other Taxes	124.6	128.1	84.5	96.6	134.5	71.2	113.6	125.5	82.0	160.2			--	1,120.8	1,100.4	20.4	1.9%
Miscellaneous Receipts	1,101.2	1,104.6	1,165.8	1,073.7	1,326.9	1,615.3	1,233.2	1,231.3	1,219.7	1,293.7			--	12,365.4	12,468.9	(103.5)	-0.8%
Federal Receipts	0.1	0.1	0.3	--	--	0.1	--	--	--	--			--	0.6	0.4	0.2	50.0%
Total Receipts	1,493.1	1,450.8	2,077.8	1,415.1	1,686.3	2,265.6	1,593.1	1,622.0	4,320.2	1,734.5	0.0	0.0	--	19,658.5	19,642.6	15.9	0.1%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	0.6	1.5	711.3	0.7	0.7	2,129.2	109.3	139.3	2,698.2	106.6			--	5,897.4	5,959.2	(61.8)	-1.0%
Social Services:																	
Medicaid	394.0	461.4	287.0	290.0	488.2	390.6	334.4	485.9	232.7	446.2			--	3,810.4	3,770.5	39.9	1.1%
Other Social Services	1.5	(0.1)	1.3	0.4	3.3	1.3	3.2	(0.4)	2.9	0.5			--	13.9	11.2	2.7	24.1%
Health and Environment	25.3	119.9	194.6	67.2	266.2	112.5	152.6	84.3	214.6	90.9			--	1,328.1	1,195.9	132.2	11.1%
Mental Hygiene	51.2	65.5	183.3	141.0	69.7	184.1	158.4	75.9	283.0	96.3			--	1,308.4	1,075.0	233.4	21.7%
Transportation	161.0	421.1	353.1	261.6	451.5	214.6	401.6	474.9	670.4	174.7			--	3,584.5	3,600.4	(15.9)	-0.4%
Criminal Justice	5.8	7.4	5.2	5.6	5.6	4.4	5.7	2.6	2.3	1.9			--	46.5	41.3	5.2	12.6%
Emergency Management & Security Services	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Miscellaneous	3.9	10.4	3.8	13.7	43.9	26.3	18.2	23.0	8.6	11.4			--	163.2	123.2	40.0	32.5%
Total Local Assistance Grants	643.3	1,087.1	1,739.6	780.2	1,329.1	3,063.0	1,183.4	1,285.5	4,112.7	928.5	0.0	0.0	--	16,152.4	15,776.7	375.7	2.4%
Departmental Operations:																	
Personal Service	391.4	397.5	489.3	363.2	550.1	591.7	548.2	516.0	544.1	546.1			--	4,937.6	5,076.9	(139.3)	-2.7%
Non-Personal Service	191.7	266.5	369.1	193.8	263.5	274.0	340.6	301.3	330.6	263.0			--	2,794.1	2,408.5	385.6	16.0%
General State Charges	44.5	77.4	281.5	41.5	220.3	193.5	43.2	347.1	96.9	54.5			--	1,400.4	1,394.2	6.2	0.4%
Capital Projects	0.5	0.6	0.3	0.5	1.1	0.5	0.3	0.5	0.9	0.7			--	5.9	17.9	(12.0)	-67.0%
Total Disbursements	1,271.4	1,829.1	2,879.8	1,379.2	2,364.1	4,122.7	2,115.7	2,450.4	5,085.2	1,792.8	0.0	0.0	--	25,290.4	24,674.2	616.2	2.5%
Excess (Deficiency) of Receipts over Disbursements	221.7	(378.3)	(802.0)	35.9	(677.8)	(1,857.1)	(522.6)	(828.4)	(765.0)	(58.3)	0.0	0.0	--	(5,631.9)	(5,031.6)	(600.3)	-11.9%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	819.9	556.6	691.4	485.2	938.4	394.6	594.3	718.0	591.5	449.2			(580.8)	5,658.3	5,887.1	(228.8)	-3.9%
Transfers to Other Funds	(94.5)	(25.2)	(132.7)	(10.9)	(8.6)	(58.2)	(106.0)	(39.8)	(32.3)	(23.5)			--	(531.7)	(554.8)	(23.1)	-4.2%
Total Other Financing Sources (Uses)	725.4	531.4	558.7	474.3	929.8	336.4	488.3	678.2	559.2	425.7	0.0	0.0	(580.8)	5,126.6	5,332.3	(205.7)	-3.9%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$947.1	\$153.1	(\$243.3)	\$510.2	\$252.0	(\$1,520.7)	(\$34.3)	(\$150.2)	(\$205.8)	\$367.4	\$0.0	\$0.0	(\$580.8)	(\$505.3)	\$300.7	(\$806.0)	-268.0%

(*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal funds.

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2011-2012
(amounts in millions)**

**EXHIBIT "G"
FEDERAL**

													10 Months Ended Jan. 31				
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Personal Income Tax	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --			\$ --	\$ --	\$ --	--	
Consumption/Use Taxes	--	--	--	--	--	--	--	--	--	--			--	--	--	--	
Business Taxes	--	--	--	--	--	--	--	--	--	--			--	--	--	--	
Other Taxes	--	--	--	--	--	--	--	--	--	--			--	--	--	--	
Miscellaneous Receipts	12.2	35.1	8.5	11.3	16.6	9.5	12.7	14.6	10.1	11.3			--	141.9	129.4	12.5	9.7%
Federal Receipts	3,978.3	2,926.8	3,757.6	4,017.4	3,242.8	3,620.7	3,077.1	3,528.2	4,090.2	2,450.6			--	34,689.7	38,433.2	(3,743.5)	-9.7%
Total Receipts	3,990.5	2,961.9	3,766.1	4,028.7	3,259.4	3,630.2	3,089.8	3,542.8	4,100.3	2,461.9	0.0	0.0	--	34,831.6	38,562.6	(3,731.0)	-9.7%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	555.6	605.4	554.5	280.7	230.5	264.8	144.7	291.1	485.8	285.6			--	3,698.7	4,414.4	(715.7)	-16.2%
Social Services:																	
Medicaid	2,314.8	1,788.9	2,761.2	2,462.1	1,790.7	2,141.7	1,677.3	2,512.6	1,637.9	1,437.3			--	20,524.5	23,487.6	(2,963.1)	-12.6%
Other Social Services	69.9	49.4	69.2	445.5	892.4	107.4	582.4	858.2	495.9	253.5			--	3,823.8	3,566.6	257.2	7.2%
Health and Environment	65.1	122.9	91.2	94.2	106.3	96.9	65.7	89.0	167.1	72.9			--	971.3	990.6	(19.3)	-1.9%
Mental Hygiene	20.3	10.5	18.5	10.2	19.5	11.8	14.4	15.2	5.2	5.2			--	130.8	185.8	(55.0)	-29.6%
Transportation	4.9	4.8	2.0	2.2	4.9	6.1	5.2	5.3	5.6	2.4			--	43.4	48.9	(5.5)	-11.2%
Criminal Justice	27.3	44.6	14.9	14.4	16.2	28.6	35.3	23.6	76.7	25.3			--	306.9	185.9	121.0	65.1%
Emergency Management & Security Services	1.8	2.4	0.8	2.0	6.7	25.0	6.7	6.7	14.8	4.8			--	71.7	119.8	(48.1)	-40.2%
Miscellaneous	48.6	44.5	51.4	41.0	75.1	57.3	43.1	43.6	87.6	27.7			--	519.9	513.5	6.4	1.2%
Total Local Assistance Grants	3,108.3	2,673.4	3,563.7	3,352.3	3,142.3	2,739.6	2,574.8	3,845.3	2,976.6	2,114.7	0.0	0.0	--	30,091.0	33,513.1	(3,422.1)	-10.2%
Departmental Operations:																	
Personal Service	53.4	56.6	47.3	47.6	70.4	47.5	47.9	45.3	48.1	42.1			--	506.2	561.9	(55.7)	-9.9%
Non-Personal Service	57.9	50.0	61.6	51.0	72.0	124.1	99.5	69.6	74.1	90.6			--	750.4	776.0	(25.6)	-3.3%
General State Charges	3.3	25.1	40.0	3.1	21.9	29.0	12.5	60.5	2.6	5.1			--	203.1	196.6	6.5	3.3%
Capital Projects	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Total Disbursements	3,222.9	2,805.1	3,712.6	3,454.0	3,306.6	2,940.2	2,734.7	4,020.7	3,101.4	2,252.5	0.0	0.0	--	31,550.7	35,047.6	(3,496.9)	-10.0%
Excess (Deficiency) of Receipts over Disbursements	767.6	156.8	53.5	574.7	(47.2)	690.0	355.1	(477.9)	998.9	209.4	0.0	0.0	--	3,280.9	3,515.0	(234.1)	-6.7%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Transfers to Other Funds	(466.2)	(344.8)	(307.4)	(314.1)	(391.1)	(296.5)	(286.2)	(389.9)	(303.8)	(144.9)			580.8	(2,664.1)	(3,126.2)	(462.1)	-14.8%
Total Other Financing Sources (Uses)	(466.2)	(344.8)	(307.4)	(314.1)	(391.1)	(296.5)	(286.2)	(389.9)	(303.8)	(144.9)	0.0	0.0	580.8	(2,664.1)	(3,126.2)	(462.1)	-14.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$301.4	(\$188.0)	(\$253.9)	\$260.6	(\$438.3)	\$393.5	\$68.9	(\$867.8)	\$695.1	\$64.5	\$0.0	\$0.0	\$580.8	\$616.8	\$388.8	\$228.0	58.6%

(*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2011-2012
(amounts in millions)

EXHIBIT "G"
TAX RECEIPTS

	10 Months Ended Jan. 31												2012	2011
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH		
PERSONAL INCOME TAX	\$ --	\$ --	\$391.6	\$ --	\$ --	\$178.0	\$6.5	\$35.8	\$2,593.6	\$ --			\$3,205.5	\$3,226.3
Total Personal Income Tax	--	--	391.6	--	--	178.0	6.5	35.8	2,593.6	--	0.0	0.0	3,205.5	3,226.3
CONSUMPTION/USE TAXES														
Sales and Use	83.3	55.0	72.5	57.4	56.1	69.9	60.8	60.4	67.4	65.4			648.2	661.8
Auto Rental	--	--	8.6	--	--	12.3	--	0.1	10.5	--			31.5	27.4
Cigarette/Tobacco Products	95.3	98.1	105.7	105.8	110.6	108.3	97.7	97.8	98.7	95.0			1,013.0	968.7
Motor Fuel	7.5	8.2	8.8	9.6	9.4	9.5	8.9	6.6	12.1	7.3			87.9	90.6
Alcoholic Beverage	--	--	--	--	--	--	--	--	--	--			--	--
Highway Use	--	--	--	--	--	--	--	--	--	--			--	--
Metropolitan Commuter Trans. Taxicab Trip	20.0	1.0	0.4	20.5	0.7	1.3	18.5	2.0	1.0	20.0			85.4	80.6
Total Consumption/Use Taxes and Fees	206.1	162.3	196.0	193.3	176.8	201.3	185.9	166.9	189.7	187.7	0.0	0.0	1,866.0	1,829.1
BUSINESS TAXES														
Corporation Franchise	18.1	16.3	88.6	15.4	10.4	59.0	12.3	16.7	63.3	37.7			337.8	278.1
Corporation and Utilities	0.5	1.6	22.3	1.1	0.3	35.8	0.5	4.8	33.8	2.4			103.1	110.2
Insurance	1.3	1.7	32.1	0.3	0.5	25.8	0.9	2.2	25.9	2.0			92.7	84.1
Bank	2.7	(0.3)	54.2	(8.5)	(4.2)	36.3	1.1	5.5	66.1	7.7			160.6	141.6
Petroleum Business	38.5	36.4	42.4	43.2	41.1	42.8	39.1	33.3	46.1	43.1			406.0	403.5
Total Business Taxes	61.1	55.7	239.6	51.5	48.1	199.7	53.9	62.5	235.2	92.9	0.0	0.0	1,100.2	1,017.5
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--	--	--	--			--	--
Estate and Gift	--	--	--	--	--	--	--	--	--	--			--	--
Pari-Mutuel	--	--	--	--	--	--	--	--	--	--			--	--
Real Estate Transfer	--	--	--	--	--	--	--	--	--	--			--	--
Racing and Exhibitions	--	--	--	--	--	--	--	--	--	--			--	--
Metropolitan Commuter Trans. Mobility	124.6	128.1	84.5	96.6	134.5	71.2	113.6	125.5	82.0	160.2			1,120.8	1,100.4
Total Other Taxes	124.6	128.1	84.5	96.6	134.5	71.2	113.6	125.5	82.0	160.2	0.0	0.0	1,120.8	1,100.4
TOTAL TAX RECEIPTS	\$391.8	\$346.1	\$911.7	\$341.4	\$359.4	\$650.2	\$359.9	\$390.7	\$3,100.5	\$440.8	\$0.0	\$0.0	\$7,292.5	\$7,173.3

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

EXHIBIT "H"

													10 Months Ended Jan. 31			
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE	\$454.0	\$1,055.6	\$1,191.0	\$471.5	\$1,003.2	\$1,129.3	\$528.3	\$1,385.8	\$1,700.0	\$747.7			\$454.0	\$410.9	\$43.1	10.5%
RECEIPTS:																
Personal Income Tax	1,384.5	357.2	1,000.7	539.1	620.3	955.6	515.5	532.2	924.4	1,616.1			8,445.6	7,767.7	677.9	8.7%
Consumption/Use Taxes																
Sales and Use	205.5	203.5	276.7	213.9	209.2	272.9	212.3	210.8	283.9	224.1			2,312.8	2,242.9	69.9	3.1%
Other Taxes	48.0	49.4	27.3	50.7	74.6	45.1	42.9	31.7	35.5	32.8			438.0	385.4	52.6	13.6%
Miscellaneous Receipts	66.7	40.5	66.3	49.7	74.7	123.8	87.2	53.5	87.2	88.1			737.7	682.2	55.5	8.1%
Federal Receipts (*)	3.2	--	--	1.8	35.7	1.8	--	--	--	1.7			44.2	23.4	20.8	88.9%
Total Receipts	1,707.9	650.6	1,371.0	855.2	1,014.5	1,399.2	857.9	828.2	1,331.0	1,962.8	0.0	0.0	11,978.3	11,101.6	876.7	7.9%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	1.4	1.4	6.4	4.6	4.4	3.5	1.1	0.7	13.2	1.6			38.3	53.6	(15.3)	-28.5%
Debt Service, including payments on financing agreements	157.4	293.2	566.2	102.2	285.7	877.6	103.7	185.9	1,118.7	93.8			3,784.4	3,661.2	123.2	3.4%
Total Disbursements	158.8	294.6	572.6	106.8	290.1	881.1	104.8	186.6	1,131.9	95.4	0.0	0.0	3,822.7	3,714.8	107.9	2.9%
Excess (Deficiency) of Receipts over Disbursements	1,549.1	356.0	798.4	748.4	724.4	518.1	753.1	641.6	199.1	1,867.4	0.0	0.0	8,155.6	7,386.8	768.8	10.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	1,009.1	402.8	325.1	758.4	494.1	342.7	854.1	369.9	380.3	698.1			5,634.6	5,996.4	(361.8)	-6.0%
Transfers to Other Funds	(1,956.6)	(623.4)	(1,843.0)	(975.1)	(1,092.4)	(1,461.8)	(749.7)	(697.3)	(1,531.7)	(1,486.5)			(12,417.5)	(12,326.3)	91.2	0.7%
Total Other Financing Sources (Uses)	(947.5)	(220.6)	(1,517.9)	(216.7)	(598.3)	(1,119.1)	104.4	(327.4)	(1,151.4)	(788.4)	0.0	0.0	(6,782.9)	(6,329.9)	(453.0)	-7.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	601.6	135.4	(719.5)	531.7	126.1	(601.0)	857.5	314.2	(952.3)	1,079.0	0.0	0.0	1,372.7	1,056.9	315.8	29.9%
CLOSING CASH BALANCE	\$1,055.6	\$1,191.0	\$471.5	\$1,003.2	\$1,129.3	\$528.3	\$1,385.8	\$1,700.0	\$747.7	\$1,826.7	\$0.0	\$0.0	\$1,826.7	\$1,467.8	\$358.9	24.5%

(*) Federal receipts includes credit payments for interest paid on Build America Bonds and Qualified School Construction Bonds.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS-COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

**EXHIBIT "I"
COMBINED**

													10 Months Ended Jan. 31			
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
OPENING CASH BALANCE (DEFICITS)	(\$167.1)	(\$214.3)	(\$329.4)	(\$402.9)	(\$536.9)	(\$619.7)	(\$845.4)	(\$861.7)	(\$1,013.7)	(\$879.2)			(\$167.1)	(\$253.3)	\$86.2	34.0%
RECEIPTS:																
Consumption/Use Taxes																
Auto Rental	(0.4)	--	14.5	--	--	20.8	--	--	17.6	--			52.5	46.9	5.6	11.9%
Motor Fuel	28.5	29.5	34.0	36.7	35.0	34.7	33.4	26.1	46.7	29.9			334.5	341.0	(6.5)	-1.9%
Highway Use	12.1	12.1	8.6	12.7	12.1	10.1	12.8	11.2	11.6	11.9			115.2	111.2	4.0	3.6%
Business Taxes																
Petroleum Business	48.0	45.5	52.7	54.3	51.3	53.6	49.2	41.2	59.6	53.5			508.9	505.0	3.9	0.8%
Transmission	--	(1.0)	1.8	--	--	2.7	0.2	0.8	3.3	0.8			8.6	9.1	(0.5)	-5.5%
Other Taxes	--	--	11.9	11.9	12.0	11.8	12.0	11.9	11.9	11.9			95.3	95.3	--	--
Miscellaneous Receipts	343.0	320.3	237.6	382.3	226.4	246.2	384.7	194.1	337.4	482.8			3,154.8	2,991.0	163.8	5.5%
Federal Receipts	127.1	132.2	228.6	116.7	233.3	158.0	148.6	166.6	195.8	293.6			1,800.5	1,963.3	(162.8)	-8.3%
Total Receipts	558.3	538.6	589.7	614.6	570.1	537.9	640.9	451.9	683.9	884.4	0.0	0.0	6,070.3	6,062.8	7.5	0.1%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	7.2	6.3	15.0	1.0	1.3	1.3	0.9	2.1	0.4	2.1			37.6	37.7	(0.1)	-0.3%
Social Services	10.8	--	(0.1)	0.1	--	--	--	--	--	--			10.8	39.0	(28.2)	-72.3%
Health and Environment	5.2	65.7	54.9	10.7	43.6	47.6	22.7	32.9	40.9	221.2			545.4	344.2	201.2	58.5%
Mental Hygiene	6.3	9.2	4.1	3.6	4.0	3.4	5.6	6.0	5.6	4.7			52.5	86.5	(34.0)	-39.3%
Transportation	27.9	26.0	60.6	25.6	48.0	30.6	34.8	38.9	263.8	40.5			596.7	476.7	120.0	25.2%
Miscellaneous	134.0	186.2	66.5	226.3	24.1	70.5	36.1	33.4	28.8	41.3			847.2	932.8	(85.6)	-9.2%
Total Local Assistance Grants	191.4	293.4	201.0	267.3	121.0	153.4	100.1	113.3	339.5	309.8	0.0	0.0	2,090.2	1,916.9	173.3	9.0%
Departmental Operations:																
Personal Service	--	--	--	--	--	--	--	--	--	--			--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--	--	--	--			--	--	--	--
General State Charges	--	--	--	--	--	--	--	--	--	--			--	--	--	--
Capital Projects	316.6	349.5	474.7	403.1	499.0	422.4	498.9	472.0	540.1	368.6			4,344.9	4,216.3	128.6	3.1%
Total Disbursements	508.0	642.9	675.7	670.4	620.0	575.8	599.0	585.3	879.6	678.4	0.0	0.0	6,435.1	6,133.2	301.9	4.9%
Excess (Deficiency) of Receipts over Disbursements	50.3	(104.3)	(86.0)	(55.8)	(49.9)	(37.9)	41.9	(133.4)	(195.7)	206.0	0.0	0.0	(364.8)	(70.4)	(294.4)	-418.2%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	--	--	--	--	--	--	352.1	--			352.1	--	352.1	100.0%
Transfers from Other Funds	(7.1)	79.4	106.8	19.2	64.5	30.3	38.0	77.9	72.6	(48.6)			433.0	475.4	(42.4)	-8.9%
Transfers to Other Funds	(90.4)	(90.2)	(94.3)	(97.4)	(97.4)	(218.1)	(96.2)	(96.5)	(94.5)	(99.3)			(1,074.3)	(1,067.3)	7.0	0.7%
Total Other Financing Sources (Uses)	(97.5)	(10.8)	12.5	(78.2)	(32.9)	(187.8)	(58.2)	(18.6)	330.2	(147.9)	0.0	0.0	(289.2)	(591.9)	302.7	51.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(47.2)	(115.1)	(73.5)	(134.0)	(82.8)	(225.7)	(16.3)	(152.0)	134.5	58.1	0.0	0.0	(654.0)	(662.3)	8.3	1.3%
CLOSING CASH BALANCE (DEFICITS)	(\$214.3)	(\$329.4)	(\$402.9)	(\$536.9)	(\$619.7)	(\$845.4)	(\$861.7)	(\$1,013.7)	(\$879.2)	(\$821.1)	\$0.0	\$0.0	(\$821.1)	(\$915.6)	\$94.5	10.3%

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2011-2012
(amounts in millions)**

**EXHIBIT "I"
STATE**

													10 Months Ended Jan. 31				
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Consumption/Use Taxes																	
Auto Rental	(\$0.4)	\$ --	\$14.5	\$ --	\$ --	\$20.8	\$ --	\$ --	\$17.6	\$ --			\$ --	\$52.5	\$46.9	\$5.6	11.9%
Motor Fuel	28.5	29.5	34.0	36.7	35.0	34.7	33.4	26.1	46.7	29.9			--	334.5	341.0	(6.5)	-1.9%
Highway Use	12.1	12.1	8.6	12.7	12.1	10.1	12.8	11.2	11.6	11.9			--	115.2	111.2	4.0	3.6%
Business Taxes																	
Petroleum Business	48.0	45.5	52.7	54.3	51.3	53.6	49.2	41.2	59.6	53.5			--	508.9	505.0	3.9	0.8%
Transmission	--	(1.0)	1.8	--	--	2.7	0.2	0.8	3.3	0.8			--	8.6	9.1	(0.5)	-5.5%
Other Taxes	--	--	11.9	11.9	12.0	11.8	12.0	11.9	11.9	11.9			--	95.3	95.3	--	--
Miscellaneous Receipts	343.0	320.1	237.5	382.3	226.1	245.8	384.5	194.1	337.0	482.4			--	3,152.8	2,988.7	164.1	5.5%
Federal Receipts	--	--	--	--	--	2.7	--	--	--	--			--	2.7	2.9	(0.2)	-6.9%
Total Receipts	431.2	406.2	361.0	497.9	336.5	382.2	492.1	285.3	487.7	590.4	0.0	0.0	--	4,270.5	4,100.1	170.4	4.2%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	7.2	6.3	15.0	1.0	1.3	1.3	0.9	2.1	0.4	2.1			--	37.6	37.7	(0.1)	-0.3%
Social Services	10.8	--	(0.1)	0.1	--	--	--	--	--	--			--	10.8	39.0	(28.2)	-72.3%
Health and Environment	5.2	65.7	15.1	10.7	31.9	26.2	22.7	32.9	22.3	34.1			--	266.8	159.1	107.7	67.7%
Mental Hygiene	6.3	9.2	4.1	3.6	4.0	3.4	5.6	6.0	5.6	4.7			--	52.5	86.5	(34.0)	-39.3%
Transportation	1.9	2.0	0.6	0.8	0.4	3.0	0.3	0.3	197.1	0.8			--	207.2	24.9	182.3	732.1%
Miscellaneous	134.0	186.2	66.5	226.3	24.1	70.5	36.1	33.4	28.8	41.3			--	847.2	932.8	(85.6)	-9.2%
Total Local Assistance Grants	165.4	269.4	101.2	242.5	61.7	104.4	65.6	74.7	254.2	83.0	0.0	0.0	--	1,422.1	1,280.0	142.1	11.1%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
General State Charges	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Capital Projects	254.3	275.0	378.8	307.2	387.0	329.5	389.1	375.1	431.8	294.5			--	3,422.3	3,130.9	291.4	9.3%
Total Disbursements	419.7	544.4	480.0	549.7	448.7	433.9	454.7	449.8	686.0	377.5	0.0	0.0	--	4,844.4	4,410.9	433.5	9.8%
Excess (Deficiency) of Receipts over Disbursements	11.5	(138.2)	(119.0)	(51.8)	(112.2)	(51.7)	37.4	(164.5)	(198.3)	212.9	0.0	0.0	--	(573.9)	(310.8)	(263.1)	-84.7%
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	--	--	--	--	--	--	--	--	352.1	--			--	352.1	--	352.1	100.0%
Transfers from Other Funds	(7.1)	79.4	106.8	19.2	64.5	30.3	38.0	288.8	72.6	(48.6)			(210.9)	433.0	475.4	(42.4)	-8.9%
Transfers to Other Funds	(90.4)	(90.2)	(94.3)	(97.4)	(97.4)	(218.1)	(96.2)	(93.3)	(94.5)	(99.3)			--	(1,071.1)	(1,067.3)	3.8	0.4%
Total Other Financing Sources (Uses)	(97.5)	(10.8)	12.5	(78.2)	(32.9)	(187.8)	(58.2)	195.5	330.2	(147.9)	0.0	0.0	(210.9)	(286.0)	(591.9)	305.9	51.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$86.0)	(\$149.0)	(\$106.5)	(\$130.0)	(\$145.1)	(\$239.5)	(\$20.8)	\$31.0	\$131.9	\$65.0	\$0.0	\$0.0	(\$210.9)	(\$859.9)	(\$902.7)	\$42.8	4.7%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal funds.

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2011-2012
(amounts in millions)**

**EXHIBIT "I"
FEDERAL**

													10 Months Ended Jan. 31				
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2012	2011	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:																	
Miscellaneous Receipts	\$ --	\$0.2	\$0.1	\$ --	\$0.3	\$0.4	\$0.2	\$ --	\$0.4	\$0.4			\$ --	\$2.0	\$2.3	(\$0.3)	-13.0%
Federal Receipts	127.1	132.2	228.6	116.7	233.3	155.3	148.6	166.6	195.8	293.6			--	1,797.8	1,960.4	(162.6)	-8.3%
Total Receipts	127.1	132.4	228.7	116.7	233.6	155.7	148.8	166.6	196.2	294.0	0.0	0.0	--	1,799.8	1,962.7	(162.9)	-8.3%
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Social Services	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Health and Environment	--	--	39.8	--	11.7	21.4	--	--	18.6	187.1			--	278.6	185.1	93.5	50.5%
Mental Hygiene	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Transportation	26.0	24.0	60.0	24.8	47.6	27.6	34.5	38.6	66.7	39.7			--	389.5	451.8	(62.3)	-13.8%
Miscellaneous	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Total Local Assistance Grants	26.0	24.0	99.8	24.8	59.3	49.0	34.5	38.6	85.3	226.8	0.0	0.0	--	668.1	636.9	31.2	4.9%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
General State Charges	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Capital Projects	62.3	74.5	95.9	95.9	112.0	92.9	109.8	96.9	108.3	74.1			--	922.6	1,085.4	(162.8)	-15.0%
Total Disbursements	88.3	98.5	195.7	120.7	171.3	141.9	144.3	135.5	193.6	300.9	0.0	0.0	--	1,590.7	1,722.3	(131.6)	-7.6%
Excess (Deficiency) of Receipts over Disbursements	38.8	33.9	33.0	(4.0)	62.3	13.8	4.5	31.1	2.6	(6.9)	0.0	0.0	--	209.1	240.4	(31.3)	-13.0%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	--	--	--	--	--	--	--	--	--	--			--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	(214.1)	--	--			210.9	(3.2)	--	3.2	100.0%
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	(214.1)	--	--	0.0	0.0	210.9	(3.2)	--	(3.2)	-100.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$38.8	\$33.9	\$33.0	(\$4.0)	\$62.3	\$13.8	\$4.5	(\$183.0)	\$2.6	(\$6.9)	\$0.0	\$0.0	\$210.9	\$205.9	\$240.4	(\$34.5)	-14.4%

(*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State funds.

**STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

EXHIBIT J

													10 Months Ended Jan. 31	
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2012	2011
BEGINNING FUND EQUITY (DEFICITS)	\$20.9	\$25.4	(\$74.1)	\$23.4	\$61.4	\$136.1	\$69.5	\$9.8	\$69.1	\$91.5			\$20.9	(\$64.1)
RECEIPTS:														
Miscellaneous Receipts	4.4	5.7	4.8	18.0	102.8	16.0	11.2	10.4	7.2	6.5			187.0	53.7
Federal Receipts (*)	330.5	316.6	381.0	297.5	341.4	294.9	299.4	388.3	299.6	320.1			3,269.3	4,811.3
Unemployment Taxes	279.9	258.6	295.2	283.2	306.5	260.0	237.0	272.4	292.1	331.0			2,815.9	3,121.3
Total Receipts	614.8	580.9	681.0	598.7	750.7	570.9	547.6	671.1	598.9	657.6	0.0	0.0	6,272.2	7,986.3
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	0.3	0.3	0.4	0.3	0.6	1.5	0.4	0.3	0.3	0.3			4.7	5.1
Non-Personal Service	3.6	4.5	4.3	3.4	5.2	103.9	3.7	4.5	4.6	3.4			141.1	45.1
General State Charges	--	0.1	--	--	0.3	--	--	0.7	--	--			1.1	1.4
Unemployment Benefits (*)	606.4	675.5	578.8	557.0	669.9	532.1	603.2	606.3	571.6	724.0			6,124.8	7,946.3
Total Disbursements	610.3	680.4	583.5	560.7	676.0	637.5	607.3	611.8	576.5	727.7	0.0	0.0	6,271.7	7,997.9
Excess (Deficiency) of Receipts over Disbursements	4.5	(99.5)	97.5	38.0	74.7	(66.6)	(59.7)	59.3	22.4	(70.1)	0.0	0.0	0.5	(11.6)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--	--	--	--			--	0.1
Transfers to Other Funds	--	--	--	--	--	--	--	--	--	--			--	(1.0)
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	--	--	0.0	0.0	--	(0.9)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4.5	(99.5)	97.5	38.0	74.7	(66.6)	(59.7)	59.3	22.4	(70.1)	0.0	0.0	0.5	(12.5)
CLOSING CASH BALANCE	\$25.4	(\$74.1)	\$23.4	\$61.4	\$136.1	\$69.5	\$9.8	\$69.1	\$91.5	\$21.4	\$0.0	\$0.0	\$21.4	(\$76.6)

(*) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

EXHIBIT K

													10 Months Ended Jan. 31	
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2012	2011
BEGINNING FUND EQUITY (DEFICITS)	\$29.0	\$32.3	\$29.2	\$32.2	\$16.4	\$20.8	\$21.7	\$17.4	\$12.4	(\$11.1)			\$29.0	\$18.1
RECEIPTS:														
Miscellaneous Receipts	27.6	33.2	32.6	17.5	44.3	40.8	36.6	36.3	9.3	24.2			302.4	328.8
Total Receipts	27.6	33.2	32.6	17.5	44.3	40.8	36.6	36.3	9.3	24.2	0.0	0.0	302.4	328.8
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	9.0	8.7	9.2	8.5	12.1	8.2	8.2	7.9	8.4	7.6			87.8	94.5
Non-Personal Service	21.4	24.2	26.5	33.4	24.4	36.8	31.0	30.0	24.4	19.2			271.3	283.2
General State Charges	0.1	10.5	2.7	1.6	9.9	--	6.3	7.8	1.7	0.3			40.9	42.7
Total Disbursements	30.5	43.4	38.4	43.5	46.4	45.0	45.5	45.7	34.5	27.1	0.0	0.0	400.0	420.4
Excess (Deficiency) of Receipts over Disbursements	(2.9)	(10.2)	(5.8)	(26.0)	(2.1)	(4.2)	(8.9)	(9.4)	(25.2)	(2.9)	0.0	0.0	(97.6)	(91.6)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	6.2	7.1	10.7	10.2	6.6	9.5	4.7	4.4	23.4	2.7			85.5	83.2
Transfers to Other Funds	--	--	(1.9)	--	(0.1)	(4.4)	(0.1)	--	(21.7)	--			(28.2)	(23.3)
Total Other Financing Sources (Uses)	6.2	7.1	8.8	10.2	6.5	5.1	4.6	4.4	1.7	2.7	0.0	0.0	57.3	59.9
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3.3	(3.1)	3.0	(15.8)	4.4	0.9	(4.3)	(5.0)	(23.5)	(0.2)	0.0	0.0	(40.3)	(31.7)
ENDING FUND EQUITY(DEFICITS)	<u>\$32.3</u>	<u>\$29.2</u>	<u>\$32.2</u>	<u>\$16.4</u>	<u>\$20.8</u>	<u>\$21.7</u>	<u>\$17.4</u>	<u>\$12.4</u>	<u>(\$11.1)</u>	<u>(\$11.3)</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>(\$11.3)</u>	<u>(\$13.6)</u>

**STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

EXHIBIT L

													<u>10 Months Ended Jan. 31</u>	
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2012	2011
OPENING CASH BALANCE	\$9.3	\$9.3	\$9.3	\$9.5	\$9.9	\$10.0	\$9.9	\$10.0	\$10.0	\$10.0			\$9.3	\$9.3
RECEIPTS:														
Miscellaneous Receipts	--	0.1	0.2	0.4	0.1	--	0.1	--	0.1	0.1			1.1	0.7
Total Receipts	--	0.1	0.2	0.4	0.1	--	0.1	--	0.1	0.1	0.0	0.0	1.1	0.7
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	--	0.1	--	--	--	0.1	--	--	--	--			0.2	0.3
Non-Personal Service	--	--	--	--	--	--	--	--	--	--			--	--
General State Charges	--	--	--	--	--	--	--	--	0.1	--			0.1	0.1
Total Disbursements	--	0.1	--	--	--	0.1	--	--	0.1	--	0.0	0.0	0.3	0.4
Excess (Deficiency) of Receipts over Disbursements	--	--	0.2	0.4	0.1	(0.1)	0.1	--	--	0.1	0.0	0.0	0.8	0.3
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--	--	--	--			--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--	--	--			--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	--	--	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	--	--	0.2	0.4	0.1	(0.1)	0.1	--	--	0.1	0.0	0.0	0.8	0.3
CLOSING CASH BALANCE	<u>\$9.3</u>	<u>\$9.3</u>	<u>\$9.5</u>	<u>\$9.9</u>	<u>\$10.0</u>	<u>\$9.9</u>	<u>\$10.0</u>	<u>\$10.0</u>	<u>\$10.0</u>	<u>\$10.1</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$10.1</u>	<u>\$9.6</u>

**STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2011-2012
(amounts in millions)**

EXHIBIT M

	<u>10 Months Ended Jan. 31</u>												2012	2011
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH		
OPENING CASH BALANCE	\$1.4	(\$7.2)	(\$0.5)	(\$0.1)	(\$6.1)	(\$2.1)	\$ --	\$ --	\$0.1	\$ --			\$1.4	\$ --
RECEIPTS:														
Miscellaneous Receipts	4.0	12.1	5.5	5.3	13.1	7.0	6.6	13.7	4.9	8.4			80.6	82.6
Total Receipts	4.0	12.1	5.5	5.3	13.1	7.0	6.6	13.7	4.9	8.4	0.0	0.0	80.6	82.6
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	4.6	4.0	4.0	4.2	6.4	4.3	4.2	3.9	4.1	4.0			43.7	45.7
Non-Personal Service	1.4	1.4	0.9	1.4	2.7	0.6	2.4	2.5	0.9	4.5			18.7	16.8
General State Charges	6.6	--	0.2	5.7	--	--	--	7.2	--	--			19.7	20.3
Total Disbursements	12.6	5.4	5.1	11.3	9.1	4.9	6.6	13.6	5.0	8.5	0.0	0.0	82.1	82.8
Excess (Deficiency) of Receipts over Disbursements	(8.6)	6.7	0.4	(6.0)	4.0	2.1	--	0.1	(0.1)	(0.1)	0.0	0.0	(1.5)	(0.2)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--	--	--	--			--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--	--	--			--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	--	--	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(8.6)	6.7	0.4	(6.0)	4.0	2.1	--	0.1	(0.1)	(0.1)	0.0	0.0	(1.5)	(0.2)
CLOSING CASH BALANCE	(\$7.2)	(\$0.5)	(\$0.1)	(\$6.1)	(\$2.1)	\$ --	\$ --	\$0.1	\$ --	(\$0.1)	\$0.0	\$0.0	(\$0.1)	(\$0.2)

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF JANUARY 2012
(amounts in millions)**

SCHEDULE 1

	<u>BALANCE 1/1/12</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE 1/31/12</u>
GENERAL FUND					
001-Local Assistance Account	\$ --	\$0.068	\$1,452.615	\$1,452.547	\$ --
003-State Operations Account	2,212.865	5,971.075	858.796	(805.749)	6,519.395
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	--	--	--	--	--
006-Universal Pre-K Reserve	--	--	--	--	--
007-Community Projects	101.974	--	3.092	6.000	104.882
008-Rainy Day Reserve Fund	--	--	--	--	--
017-Refund Reserve Account	--	--	--	--	--
166-Fringe Benefits Escrow	--	48.513	48.513	--	--
348-Tobacco Revenue Guarantee	--	--	--	--	--
TOTAL GENERAL FUND	2,314.839	6,019.656	2,363.016	652.798	6,624.277
SPECIAL REVENUE FUNDS-STATE					
019-Mental Health Gifts and Donations	2.200	0.016	0.020	--	2.196
020-Combined Expendable Trust	58.868	0.715	2.975	(0.116)	56.492
023-New York Interest on Lawyer Account	6.225	0.632	0.076	--	6.781
024-NYS Archives Partnership Trust	0.068	--	0.025	(0.010)	0.033
025-Child Performer's Protection	0.131	0.004	0.024	--	0.111
050-Tuition Reimbursement	5.685	0.060	0.244	--	5.501
052-New York State Local Government Records Management Improvement	2.905	0.668	1.228	(0.068)	2.277
053-School Tax Relief	0.019	--	--	--	0.019
054-Charter Schools Stimulus	1.330	--	0.133	--	1.197
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
056-Hudson River Valley Greenway	0.001	--	--	--	0.001
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.021	--	--	--	0.021
061-HCRA Resources	421.588	458.860	442.676	(0.681)	437.091
073-Dedicated Mass Transportation Trust	88.730	48.651	49.299	--	88.082
160-State Lottery	(498.877)	233.746	113.376	--	(378.507)
221-Combined Student Loan	23.731	1.925	2.932	--	22.724
225-MTA Financial Assistance Fund	83.469	194.441	125.344	0.944	153.510
300-Sewage Treatment Program Mgmt. & Administration	(0.877)	--	0.687	--	(1.564)
301-EnCon Special Revenue	(19.922)	6.095	6.320	--	(20.147)
302-Conservation	92.515	0.853	2.906	--	90.462
303-Environmental Protection and Oil Spill Compensation	14.935	4.403	2.474	(2.691)	14.173
305-Training and Education Program on OSHA	9.152	9.218	0.851	--	17.519
306-Lawyers' Fund for Client Protection	3.852	0.479	0.141	--	4.190
307-Equipment Loan for the Disabled	0.471	0.006	--	--	0.477
313-Mass Transportation Operating Assistance	(473.347)	126.782	0.220	--	(346.785)
314-Clean Air	(11.266)	2.720	3.472	--	(12.018)
318-New York State Infrastructure Trust	0.067	--	--	--	0.067
321-Legislative Computer Services	9.809	0.105	0.068	--	9.846
328-Biodiversity Stewardship and Research	--	--	--	--	--
332-Combined Non-Expendable Trust	3.479	--	--	--	3.479
333-Winter Sports Education Trust	1.181	--	--	--	1.181
335-Musical Instrument Revolving	0.001	--	--	--	0.001
337-Rural Housing Assistance	--	--	--	--	--
338-Arts Capital Revolving	0.741	0.027	--	--	0.768
339-Miscellaneous State Special Revenue	1,074.491	250.592	566.626	399.747	1,158.204

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF JANUARY 2012
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 1/1/12	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 1/31/12
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
340-Court Facilities Incentive Aid	15.553	0.001	9.003	--	6.551
341-Employment Training	0.041	--	--	--	0.041
342-Homeless Housing and Assistance	--	--	--	--	--
345-State University Income	754.982	373.457	452.926	28.620	704.133
346-Chemical Dependence Service	5.708	0.477	0.020	--	6.165
349-Lake George Park Trust	1.622	0.004	0.071	--	1.555
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	(48.517)	6.151	0.104	--	(42.470)
355-New York Great Lakes Protection	0.606	--	0.007	--	0.599
359-Federal Revenue Maximization	0.023	--	--	--	0.023
360-Housing Development	8.033	0.004	(1.089)	--	9.126
362-NYS/DOT Highway Safety Program	(2.585)	--	0.252	--	(2.837)
365-Vocational Rehabilitation	0.099	0.002	--	--	0.101
366-Drinking Water Program Management and Administration	(8.083)	2.039	0.484	--	(6.528)
368-NYC County Clerks' Operations Offset	(31.298)	--	2.664	--	(33.962)
369-Judiciary Data Processing Offset	8.569	1.082	1.547	--	8.104
377-IFR / CUTRA	140.448	5.307	4.548	--	141.207
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.007	0.001	--	--	0.008
390-Indigent Legal Services	89.556	4.416	0.085	--	93.887
482-Unemployment Insurance Interest and Penalty	10.697	0.581	0.119	--	11.159
TOTAL SPECIAL REVENUE FUNDS-STATE	1,846.837	1,734.520	1,792.858	425.745	2,214.244
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
261-Federal USDA / Food and Consumer Services	(38.424)	178.053	158.976	--	(19.347)
265-Federal Health and Human Services	(145.179)	1,953.645	1,736.500	(140.976)	(69.010)
267-Federal Education	(15.131)	252.272	259.704	(3.914)	(26.477)
269-Federal DHHS Block Grant	--	0.003	--	--	0.003
290-Federal Miscellaneous Operating Grants	117.759	41.683	56.981	--	102.461
480-Unemployment Insurance Administration	62.806	23.569	27.501	--	58.874
484-Unemployment Insurance Occupational Training	0.224	0.699	0.674	--	0.249
486-Federal Employment and Training Grants	(0.001)	12.017	12.179	--	(0.163)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	(17.946)	2,461.941	2,252.515	(144.890)	46.590
TOTAL SPECIAL REVENUE FUNDS	1,828.891	4,196.461	4,045.373	280.855	2,260.834
<u>DEBT SERVICE FUNDS</u>					
064-Debt Reduction Reserve	--	--	--	--	--
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	468.233	16.983	--	(170.195)	315.021
311-General Debt Service	0.456	1,617.830	94.055	(330.418)	1,193.813
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	0.528	1.357	0.829	--
319-Department of Health Income	20.534	13.266	--	(9.690)	24.110
330-State University Dormitory Income	219.061	57.307	--	(30.027)	246.341
361-Clean Water/Clean Air	36.077	32.835	--	(24.891)	44.021
364-Local Government Assistance Tax	3.379	224.050	--	(224.006)	3.423
TOTAL DEBT SERVICE FUNDS	747.740	1,962.799	95.412	(788.398)	1,826.729

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF JANUARY 2012
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 1/1/12	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 1/31/12
CAPITAL PROJECTS FUNDS					
002-State Capital Projects	--	141.718	157.353	15.635	--
072-Dedicated Highway and Bridge Trust	(440.102)	363.885	132.014	(92.800)	(301.031)
074-SUNY Residence Halls Rehabilitation and Repair	122.473	0.013	1.921	0.094	120.659
075-New York State Canal System Development	2.437	0.377	--	--	2.814
076-Parks Infrastructure	(24.829)	0.008	1.245	--	(26.066)
077-Passenger Facility Charge	0.014	--	--	--	0.014
078-Environmental Protection	53.608	13.605	12.906	--	54.307
079-Clean Water/Clean Air Implementation	--	--	0.274	--	(0.274)
080-Hudson River Park	0.088	--	--	--	0.088
101-Energy Conservation Thru Improved Transportation Bond	0.164	--	--	--	0.164
103-Park & Recreation Land Acquisition Bond	--	--	--	--	--
105-Pure Waters Bond	1.983	--	--	(1.270)	0.713
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	3.391	--	--	--	3.391
115-Environmental Quality Protection Bond	1.935	--	--	(0.334)	1.601
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
121-Rebuild and Renew New York Transportation Bond	198.760	--	--	(39.418)	159.342
123-Transportation Infrastructure Renewal Bond	4.448	--	--	(0.167)	4.281
124-1986 Environmental Quality Bond Act	9.361	--	--	(3.552)	5.809
126-Accelerated Capacity and Transportation Improvement Bond	2.989	--	--	(0.187)	2.802
127-Clean Water/Clean Air Bond	35.163	--	--	(22.062)	13.101
291-Federal Capital Projects	(78.347)	293.935	300.931	--	(85.343)
310-Forest Preserve Expansion	0.894	--	--	--	0.894
312-Hazardous Waste Remedial	(167.624)	5.009	5.155	(3.843)	(171.613)
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	--	--	--	--	--
327-Suburban Transportation	0.504	--	--	--	0.504
357-Division for Youth Facilities Improvement	(5.765)	1.115	1.429	--	(6.079)
358-Youth Centers Facility	--	--	--	--	--
374-Housing Assistance	(20.951)	0.556	--	--	(20.395)
376-Housing Program	(165.933)	63.445	32.822	--	(135.310)
378-Natural Resource Damage	19.632	0.013	1.520	--	18.125
380-DOT Engineering Services	(12.855)	--	0.147	--	(13.002)
384-State University Capital Projects	152.327	0.626	4.695	--	148.258
387-Miscellaneous Capital Projects	(202.684)	0.104	0.161	--	(202.741)
388-CUNY Capital Projects	(0.023)	--	--	--	(0.023)
389-Mental Hygiene Facilities Capital Improvement	(356.056)	0.048	10.448	--	(366.456)
399-Correction Facilities Capital Improvement	(14.260)	--	15.335	--	(29.595)
TOTAL CAPITAL PROJECTS FUNDS	(879.258)	884.457	678.356	(147.904)	(821.061)
TOTAL GOVERNMENTAL FUNDS	\$4,012.212	\$13,063.373	\$7,182.157	(\$2.649)	\$9,890.779

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY
 FOR THE MONTH OF JANUARY 2012
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>FUND EQUITY 1/1/12</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 1/31/12</u>
<u>ENTERPRISE FUNDS</u>					
324-Youth Commissary	\$0.205	\$0.005	\$0.002	\$ --	\$0.208
325-State Exposition Special	4.174	0.170	0.199	--	4.145
326-Correctional Services Commissary	1.901	3.287	3.010	--	2.178
331-Agency Enterprise	2.433	0.213	0.215	--	2.431
351-OMH Sheltered Workshop	1.814	0.058	0.123	--	1.749
352-OPWDD Sheltered Workshop	1.155	0.097	0.087	--	1.165
353-Mental Hygiene Community Stores	2.954	0.165	0.114	--	3.005
481-Unemployment Insurance Benefit	76.817	653.600	723.924	--	6.493
TOTAL ENTERPRISE FUNDS	91.453	657.595	727.674	--	21.374
<u>INTERNAL SERVICE FUNDS</u>					
323-O.G.S. Centralized Services	37.027	3.247	6.581	0.068	33.761
334-Agency Internal Service	(23.722)	17.246	15.417	2.586	(19.307)
343-Mental Hygiene Revolving	0.073	0.093	0.074	--	0.092
347-Youth Vocational Education	0.056	--	--	--	0.056
394-Joint Labor/Management Administration	2.027	0.001	0.159	(0.004)	1.865
395-Audit and Control Revolving	0.592	--	0.413	--	0.179
396-Health Insurance Revolving	(19.879)	0.526	1.047	--	(20.400)
397-Correctional Industries Revolving	(7.257)	3.132	3.451	--	(7.576)
TOTAL INTERNAL SERVICE FUNDS	(11.083)	24.245	27.142	2.650	(11.330)
TOTAL PROPRIETARY FUNDS	\$80.370	\$681.840	\$754.816	\$2.650	\$10.044

STATE OF NEW YORK
FIDUCIARY FUNDS

SCHEDULE 3

SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FOR THE MONTH OF JANUARY 2012

(amounts in millions)

<u>FUND TYPE</u>	<u>FUND BALANCE 1/1/12</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 1/31/12</u>
<u>PENSION TRUST FUNDS</u>					
400-Common Retirement-Administration	\$ --	\$8.400	\$8.495	\$ --	(\$0.095)
TOTAL PENSION TRUST FUNDS	--	8.400	8.495	--	(0.095)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
021-Agriculture Producers' Security	1.847	--	0.006	--	1.841
022-Milk Producers' Security	8.203	0.046	0.009	--	8.240
TOTAL PRIVATE PURPOSE TRUST FUNDS	10.050	0.046	0.015	--	10.081
<u>AGENCY FUNDS</u>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	--	--	--	--	--
130-School Capital Facilities Financing Reserve	25.648	1.050	--	--	26.698
135-Child Performer's Holding	0.055	0.001	--	--	0.056
136-Child Performer's Holding II	0.075	--	--	--	0.075
137-Child Performer's Holding III	0.052	0.017	0.001	--	0.068
152-Employees Health Insurance	323.174	565.935	638.968	--	250.141
153-Social Security Contribution	19.315	59.711	60.146	--	18.880
154-Employee Payroll Withholding Escrow	106.576	306.513	309.018	--	104.071
162-Employees Dental Insurance	6.854	6.508	5.938	--	7.424
163-Management Confidential Group Insurance	1.291	0.597	0.595	--	1.293
165-Lottery Prize	307.103	188.465	224.687	--	270.881
167-Health Insurance Reserve Receipts	0.097	--	--	--	0.097
169-Miscellaneous New York State Agency	575.550	(21.296)	15.548	--	538.706
175-Elderly Pharmaceutical Insurance Coverage Escrow	13.524	13.167	13.000	--	13.691
176-CUNY Senior College Operating	38.860	110.000	109.544	--	39.316
179-Medicaid Management Information System Escrow	202.422	3,539.953	3,609.797	--	132.578
309-Special Education	--	--	--	--	--
344-State University Collection	141.710	267.566	--	--	409.276
382-SUNY Federal Direct Lending Program	(0.205)	(51.099)	--	--	(51.304)
TOTAL AGENCY FUNDS	1,762.101	4,987.088	4,987.242	--	1,761.947
TOTAL FIDUCIARY FUNDS	\$1,772.151	\$4,995.534	\$4,995.752	\$ --	\$1,771.933

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF JANUARY 2012
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 1/1/12</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 1/31/12</u>
<u>ACCOUNTS</u>				
060-Tobacco Settlement	\$2.698	\$ --	\$ --	\$2.698
149-Sole Custody Investment (*)	1,514.189	1,410.038	1,402.924	1,521.303
650-Comptroller's Refund	--	159.681	159.681	--
TOTAL ACCOUNTS	<u>\$1,516.887</u>	<u>\$1,569.719</u>	<u>\$1,562.605</u>	<u>\$1,524.001</u>

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. On December 28, 2005, Wellchoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of January 31, 2012, \$11,926,570.68 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resource Fund (061).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2012

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2011	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING JAN. 31, 2012	INTEREST DISBURSED	
		MONTH OF JANUARY	10 MONTHS ENDED JAN. 31, 2012	MONTH OF JANUARY	10 MONTHS ENDED JAN. 31, 2012		MONTH OF JANUARY	10 MONTHS ENDED JAN. 31, 2012
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$458,465,328.60	\$ --	\$281,950.31	\$ --	\$82,481,683.89	\$376,265,595.02	\$ --	\$15,023,990.34
Clean Water/Clean Air:								
Air Quality	59,447,800.41	--	311,174.82	--	9,193,360.34	50,565,614.89	407.09	1,610,830.73
Safe Drinking Water	27,760,083.89	--	--	--	9,622,255.98	18,137,827.91	--	744,443.82
Water	483,211,725.68	--	8,409,844.21	--	4,212,495.72	487,409,074.17	2,537.98	9,889,279.58
Solid Waste	81,325,655.84	--	7,817.17	--	5,362,107.84	75,971,365.17	1,403.79	1,534,857.53
Environmental Restoration	94,616,438.59	--	17,071,832.76	--	--	111,688,271.35	179.66	1,824,008.39
Energy Conservation Through Improved Transportation: Rapid Transit and Rail Freight	15,810,966.39	--	--	--	3,597,325.89	12,213,640.50	--	381,922.24
Environmental Quality Protection (1972):								
Air	14,737,138.07	--	--	--	3,595,839.37	11,141,298.70	--	558,938.38
Land and Wetlands	31,631,949.01	--	284,213.34	--	5,993,271.79	25,922,890.56	--	1,071,562.92
Water	97,808,886.94	--	--	--	13,708,150.83	84,100,736.11	--	3,060,608.83
Environmental Quality (1986):								
Land and Forests	39,409,145.15	--	85,179.30	--	4,849,785.33	34,644,539.12	220.52	1,012,789.76
Solid Waste Management	422,127,588.73	--	8,433,949.78	--	31,393,728.65	399,167,809.86	704.22	8,999,406.85
Housing:								
Low Cost	41,509,471.89	--	--	--	7,149,471.89	34,360,000.00	--	1,079,810.22
Middle Income	36,504,000.00	--	--	1,334,000.00	5,344,000.00	31,160,000.00	23,345.00	401,358.54
Park and Recreation Land Acquisition	30,067.39	--	--	--	10,000.00	20,067.39	--	492.51
Pure Waters	74,926,009.63	--	1,897,370.24	--	9,368,983.57	67,454,396.30	--	2,352,867.90
Rail Preservation Development	7,605,848.10	--	--	--	2,885,412.05	4,720,436.05	--	220,841.52
Rebuild and Renew New York Transportation:								
Highway Facilities	697,748,567.59	--	101,186,523.97	--	--	798,935,091.56	--	13,885,255.26
Canals and Waterways	12,284,051.56	--	4,334,505.57	--	--	16,618,557.13	--	227,506.34
Aviation	45,439,835.48	--	--	--	--	45,439,835.48	--	880,714.02
Rail and Port	77,979,040.83	--	--	--	--	77,979,040.83	--	1,609,392.16
Mass Transit - Dept. of Transportation	14,255,465.90	--	--	--	--	14,255,465.90	--	276,362.04
Mass Transit - Metropolitan Transportation Authority	640,297,346.72	--	187,628,637.32	--	--	827,925,984.04	--	13,388,582.36
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	3,288,021.09	--	142,001.21	--	--	3,430,022.30	--	65,276.46
Ports, Canals, and Waterways	75,831.26	--	--	--	37,435.16	38,396.10	--	2,284.55
Rapid Transit, Rail, and Aviation	18,976,602.80	--	--	--	2,387,898.79	16,588,704.01	--	661,632.60
Transportation Capital Facilities:								
Aviation	19,475,404.69	--	--	--	3,224,757.15	16,250,647.54	--	673,679.72
Mass Transportation	8,539,727.23	--	--	--	4,695,035.76	3,844,691.47	--	240,672.63
Total General Obligation Bonded Debt	\$3,525,287,999.46	\$ --	\$330,075,000.00	\$1,334,000.00	\$209,113,000.00	\$3,646,249,999.46	\$28,798.26	\$81,679,368.20

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE TEN (10) MONTHS ENDED JANUARY 31, 2012

SCHEDULE 5a

	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	STATE	COMBINED TOTALS		\$ INCREASE / (DECREASE)
	REDUCTION	DEBT	OF HEALTH	GOVERNMENT	HEALTH	BOND	UNIVERSITY	10 MONTHS ENDED JAN. 31		
	FUND	SERVICE	INCOME	ASSISTANCE	SERVICES	TAX	DORMITORY	2012	2011	
	(064)	(311-01)	(319)	(364)	(304)	(311-02)	(330)			
Special Contractual Financing Obligations:										
Managed by Office of General Services:										
Department of Trans Region 1 Schenectady	\$ --	\$631,732	\$ --	\$ --	\$ --	\$ --	\$ --	\$631,732	\$427,769	\$203,963
Hampton Plaza	--	19,000	--	--	--	--	--	19,000	150,656	(131,656)
Subtotal	\$ --	\$650,732	\$ --	\$ --	\$ --	\$ --	\$ --	\$650,732	\$578,425	\$72,307
Payments to Public Authorities:										
City University Construction	--	235,826,146	--	--	--	--	--	235,826,146	215,913,272	19,912,874
Dormitory Authority:										
Albany County Airport	--	373,194	--	--	--	--	--	373,194	407,257	(34,063)
Child Care Facilities	--	37,669	--	--	--	--	--	37,669	106,066	(68,397)
Consolidated Service Contract Refunding	--	75,913,441	--	--	--	--	--	75,913,441	59,359,768	16,553,673
David Axelrod Institute	--	5,603,308	--	--	--	--	--	5,603,308	5,614,933	(11,625)
Department of Health Facilities	--	--	28,805,442	--	--	--	--	28,805,442	29,526,375	(720,933)
Economic Development Housing	--	--	--	--	--	90,556,723	--	90,556,723	90,758,209	(201,486)
Education	--	--	--	--	--	168,796,822	--	168,796,822	168,554,179	242,643
General Purpose	--	--	--	--	--	188,567,278	--	188,567,278	132,964,303	55,602,975
Health Care	--	--	--	--	--	2,973,244	--	2,973,244	3,200,144	(226,900)
Judicial Training Institute	--	434,793	--	--	--	--	--	434,793	428,018	6,775
Library for the Blind	--	--	--	--	--	--	--	--	489,719	(489,719)
Mental Health Facilities	--	--	--	--	117,514,744	--	--	117,514,744	107,191,572	10,323,172
OGS Parking	--	478,125	--	--	--	--	--	478,125	445,125	33,000
RESCUE	--	--	--	--	--	--	--	--	211,263	(211,263)
State Department of Education Facilities	--	1,045,377	--	--	--	--	--	1,045,377	1,052,887	(7,510)
State Facilities and Equipment	--	--	--	--	--	715,838	--	715,838	937,801	(221,963)
SUNY Community Colleges	--	25,454,834	--	--	--	--	--	25,454,834	18,536,069	6,918,765
SUNY Dormitory Facilities:	--	--	--	--	--	--	83,510,950	83,510,950	71,864,718	11,646,232
SUNY Educational Facilities	--	141,466,537	--	--	--	--	--	141,466,537	169,944,417	(28,477,880)
Environmental Facilities Corporation	--	3,460,965	--	--	--	90,071,733	--	93,532,698	95,507,722	(1,975,024)
Housing Finance Agency	--	12,081,059	--	--	--	39,136,604	--	51,217,663	52,010,464	(792,801)
Local Government Assistance Corporation	--	--	--	67,657,264	--	--	--	67,657,264	65,077,925	2,579,339
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	--	164,986,546	--	--	--	--	--	164,986,546	164,965,466	21,080
Triborough Bridge & Tunnel Authority:										
Javits Convention Center Project	--	41,845,000	--	--	--	--	--	41,845,000	41,843,500	1,500
Thruway Authority:										
Dedicated Highway & Bridge	--	882,404,913	--	--	--	--	--	882,404,913	864,962,485	17,442,428
Local Highway & Bridge	--	99,639,000	--	--	--	--	--	99,639,000	120,297,286	(20,658,286)
Transportation	--	--	--	--	--	60,353,000	--	60,353,000	54,138,953	6,214,047
Urban Development Corporation:										
Center for Industrial Innovation at RPI	--	4,246,200	--	--	--	--	--	4,246,200	8,452,025	(4,205,825)
Clarkson University	--	996,050	--	--	--	--	--	996,050	1,004,325	(8,275)
Columbia Univer. Telecommunications Center	--	3,719,000	--	--	--	--	--	3,719,000	7,677,672	(3,958,672)
Consolidated Service Contract Refunding	--	327,776,389	--	--	--	--	--	327,776,389	185,633,911	142,142,478
Cornell Univer. Supercomputer Center	--	493,000	--	--	--	--	--	493,000	1,053,365	(560,365)
Correctional Facilities	--	61,546,530	--	--	--	--	--	61,546,530	200,389,858	(138,843,328)
Economic Development Housing	--	--	--	--	--	186,724,580	--	186,724,580	200,237,585	(13,513,005)
General Purpose	--	--	--	--	--	247,153,800	--	247,153,800	130,404,994	116,748,806
South Mall	--	--	--	--	--	--	--	--	34,430,000	(34,430,000)
State Facilities and Equipment	--	--	--	--	--	26,307,575	--	26,307,575	51,661,195	(25,353,620)
Syracuse University Science and										
Technology Center	--	2,652,925	--	--	--	--	--	2,652,925	5,294,750	(2,641,825)
University Facilities Grant 95 Refunding	--	1,587,519	--	--	--	--	--	1,587,519	1,764,344	(176,825)
Youth Facilities	--	--	--	--	--	--	--	--	19,002,000	(19,002,000)
Subtotal	\$ --	\$2,094,068,520	\$28,805,442	\$67,657,264	\$117,514,744	\$1,101,357,197	\$83,510,950	\$3,492,914,117	\$3,383,315,920	\$109,598,197
Total Disbursements for Special Contractual Financing Obligations	\$ --	\$2,094,719,252	\$28,805,442	\$67,657,264	\$117,514,744	\$1,101,357,197	\$83,510,950	\$3,493,564,849	\$3,383,894,345	\$109,670,504

**SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF JANUARY 2012
AS REQUIRED OF THE STATE COMPTROLLER**
(amounts in millions)

	<u>JANUARY 2012</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FYTD JANUARY 2011</u>
<u>SHORT TERM INVESTMENT POOL*</u>			
AVERAGE DAILY INVESTMENT BALANCE**	\$8,334.1	\$7,493.5	\$6,796.3
AVERAGE YIELD**	0.121%	0.141%	0.235%
TOTAL INVESTMENT EARNINGS	\$0.873	\$9.452	\$14.910

Month-End Portfolio Balances

<u>DESCRIPTION</u>	<u>JANUARY 2012</u>		<u>JANUARY 2011</u>	
	<u>PAR</u>	<u>AMOUNT</u>	<u>PAR</u>	<u>AMOUNT</u>
GOVT. AGENCY BILLS/NOTES		\$250.0		\$ --
REPURCHASE AGREEMENTS		5,037.4		3,125.0
COMMERCIAL PAPER		1,743.9		4,622.0
CERTIFICATES OF DEPOSIT/SAVINGS		4,111.1		3,137.6
0% COMPENSATING BALANCE CD's		2,050.0		1,900.0
		<u>\$13,192.4</u>		<u>\$12,784.6</u>

*Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds/subfunds (on deposit in State's general checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the Short Term Investment Pool is authorized to temporarily loan to the General Fund-State Operations Account (003) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds/subfunds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments, public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

**Does not include 0% Compensating Balance CD's.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES
BUREAU OF STATE ACCOUNTING OPERATIONS

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
FISCAL YEAR 2011-2012

APPENDIX - TABLE OF CONTENTS

<u>HCRA Resources Fund - Statement of Receipts and Disbursements by Object</u>	Appendix A
<u>HCRA Resources Fund - Statement of Program Disbursements</u>	Appendix B
<u>American Recovery and Reinvestment Act of 2009 - Schedule of Disbursements of Federal Awards</u>	Appendix C
<u>HCRA Public Goods Pool - Statement of Cash Flow</u>	Appendix D
<u>HCRA Medicaid Disproportionate Share - Statement of Cash Flow</u>	Appendix E
<u>Public Authority Off Budget Spending Report</u>	Appendix F
<u>Schedule of Month-End Temporary Loans Outstanding</u>	Appendix G

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT
 FISCAL YEAR 2011-2012

APPENDIX A

	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER
OPENING CASH BALANCE	\$159,230,134	\$233,568,698	\$195,517,861	\$220,212,742	\$434,477,295	\$406,776,002
RECEIPTS:						
Cigarette Tax	95,292,088	98,024,053	105,751,635	105,879,252	110,699,843	108,260,913
State Share of NYC Cigarette Tax	4,880,000	5,053,000	5,467,000	5,041,000	5,817,000	4,911,000
STIP Interest	81,083	50,667	45,849	46,560	54,699	65,642
Public Asset Transfers	--	--	--	--	--	--
Indigent Care Pool	2,926	--	3,416	546	529	602
Public Goods Pool	333,645,171	344,050,332	339,765,810	358,676,159	344,517,688	290,102,945
Miscellaneous	--	3,292	--	22,466	3,708,517	10,541
Total Receipts	433,901,268	447,181,344	451,033,710	469,665,983	464,798,276	403,351,643
DISBURSEMENTS:						
Grants - Social Service	98	559	--	--	2,241,704	1,201,092
Medical Assistance Payments	334,194,941	398,830,277	224,473,884	214,207,931	412,446,905	314,820,027
Grants - Health	20,109,283	56,816,688	157,388,232	36,906,330	70,281,125	89,462,542
Grants - Mental Hygiene	--	12,000	--	--	--	24,000
Grants - Miscellaneous	--	--	--	--	--	--
Interest - Late Payments	7,469	13,691	21,599	23,448	81,479	24,556
Personal Service	539,959	897,472	795,142	789,290	1,246,204	800,145
Non-Personal Service	3,777,687	3,160,359	10,690,401	2,822,833	3,018,817	2,717,182
Employee Benefits/Indirect Costs	--	1,611,903	141,973	--	1,052,477	--
Total Disbursements	358,629,437	461,342,949	393,511,231	254,749,832	490,368,711	409,049,544
OPERATING TRANSFERS:						
Transfers to 002	--	23,000,000	32,176,000	--	--	40,500,000
Transfers to 003	--	--	--	--	--	--
Transfers to 311-02	--	--	--	--	1,306,200	4,635,210
Transfers to 339-AP	--	--	--	--	--	--
Transfers to 339-SR	--	--	--	--	--	15,000,000
Transfers to 345	933,267	889,232	651,598	651,598	824,658	878,452
Total Operating Transfers	933,267	23,889,232	32,827,598	651,598	2,130,858	61,013,662
Total Disbursements and Transfers	359,562,704	485,232,181	426,338,829	255,401,430	492,499,569	470,063,206
CLOSING CASH BALANCE	\$233,568,698	\$195,517,861	\$220,212,742	\$434,477,295	\$406,776,002	\$340,064,439

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT
 FISCAL YEAR 2011-2012

APPENDIX A
 (continued)

	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	10 Months Ended January 31, 2012
OPENING CASH BALANCE	\$340,064,439	\$420,158,483	\$423,212,777	\$421,587,613	\$159,230,134
RECEIPTS:					
Cigarette Tax	97,585,842	97,935,679	98,584,201	94,983,624	1,012,997,130
State share of NYC Cigarette Tax	4,841,000	4,766,000	5,057,000	5,279,000	51,112,000
STIP Interest	71,346	62,734	65,416	66,293	610,289
Public Asset Transfers	--	--	--	--	--
Indigent Care Pool	526	655	2,986	1,024	13,210
Public Goods Pool	386,096,546	343,757,381	350,605,013	358,530,288	3,449,747,333
Miscellaneous	--	(3,660,213)	--	--	84,603
Total Receipts	488,595,260	442,862,236	454,314,616	458,860,229	4,514,564,565
DISBURSEMENTS:					
Grants - Social Service	75,617	--	--	--	3,519,070
Medical Assistance Payments	311,564,841	357,182,444	232,546,882	374,162,839	3,174,430,971
Grants - Health	93,999,718	54,744,235	192,929,234	65,696,801	838,334,188
Grants - Mental Hygiene	--	--	12,000	12,000	60,000
Grants - Miscellaneous	--	--	--	--	--
Interest - Late Payments	13,765	28,817	53,591	38,395	306,810
Personal Service	783,219	756,996	79,863	735,920	7,424,210
Non-Personal Service	1,530,674	3,747,284	6,060,577	2,029,733	39,555,547
Employee Benefits/Indirect Costs	--	784,936	--	--	3,591,289
Total Disbursements	407,967,834	417,244,712	431,682,147	442,675,688	4,067,222,085
OPERATING TRANSFERS:					
Transfers to 002	--	21,700,000	8,800,000	--	126,176,000
Transfers to 003	--	--	--	--	--
Transfers to 311-02	--	--	--	--	5,941,410
Transfers to 339-AP	--	--	--	--	--
Transfers to 339-SR	--	--	15,000,000	--	30,000,000
Transfers to 345	533,382	863,230	457,633	681,005	7,364,055
Total Operating Transfers	533,382	22,563,230	24,257,633	681,005	169,481,465
Total Disbursements and Transfers	408,501,216	439,807,942	455,939,780	443,356,693	4,236,703,550
CLOSING CASH BALANCE	\$420,158,483	\$423,212,777	\$421,587,613	\$437,091,149	\$437,091,149

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2011-2012

APPENDIX B

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July - September Disbursements	October - December Disbursements	January Disbursements	Total Disbursements 10 Months Ending January 31, 2012 (3)
ADULT HOMES PROGRAM	\$ 59,736	\$	\$	\$	\$	\$	\$
ADULT HOME RESIDENT COUNCIL PROJECT		59,736	12,000	12,000	--	--	24,000
AIDS INSTITUTE PROGRAM	239,382,673						
HEALTH CARE SERVICES ACCOUNT		158,075,380	14,596,427	33,935,859	12,710,505	3,818,774	65,061,565
OPERATIONAL SUPPORT FOR AIDS HOUSING		1,833,500	657	44,082	--	--	44,739
CENTER FOR COMMUNITY HEALTH PROGRAM	195,015,346						
EVIDENCE BASED CANCER SERVICES		35,450,907	3,933,989	1,204,123	2,588,171	3,750,910	11,477,193
HEALTH CARE SERVICES ACCOUNT		68,566,050	7,601,171	10,261,387	10,015,936	472,423	28,350,917
HOSPITAL BASED GRANTS PROGRAM		25,756,074	2,559,540	3,891,101	2,421,548	1,640,675	10,512,864
TOBACCO CONTROL & CANCER SERVICES		4,583,434	718,131	729,575	432,786	133,731	2,014,223
OFFICE OF HEALTH SYSTEMS MANAGEMENT	54,368,668						
EMERGENCY MEDICAL SERVICES ACCOUNT		32,354,821	4,798,406	4,109,582	3,772,339	717,583	13,397,910
HEALTH CARE DELIVERY ADMINISTRATION		689,910	89,841	96,398	96,242	19,504	301,985
HEALTH CARE SERVICES ACCOUNT		6,680,268	93,426	--	--	--	93,426
HEALTH OCCUPATION DEVELOP/WORK DEMO		1,130,194	135,244	138,540	130,982	26,728	431,494
HEALTH WORKFORCE RETRAINING PROGRAM		1,083,000	195,548	--	--	--	195,548
PRIMARY CARE INITIATIVES MONITORING		947,407	113,951	116,402	107,606	22,343	360,302
HEALTH CARE FINANCING PROGRAM	9,217,600						
PROVIDER COLLECTION MONITORING ACCOUNT		3,914,100	780,245	521,659	459,945	94,254	1,856,103
OFFICE OF HEALTH INSURANCE PROGRAM	19,810,800						
FAMILY HEALTH PLUS		10,508,000	1,741,965	672,878	1,777,056	381,357	4,573,256
MEDICAID FRAUD HOTLINE/ADMIN.		412,600	29,656	19,403	36,594	3,920	89,573
PILOT HEALTH INSURANCE ACCOUNT		1,580,580	498,416	236,614	158,535	42,615	936,180
MEDICAL ASSISTANCE PROGRAM	13,139,296,876						
BREAST & CERVICAL CANCER GRANTS		4,057,200	1,957,200	--	--	--	1,957,200
D&TC RATES FOR R&R GRANTS (4)		890,100	--	--	--	--	--
DISABLED PERSONS GRANTS		45,402,000	21,902,000	--	--	--	21,902,000
FAMILY HEALTH PLUS GRANTS		1,133,421,000	159,921,000	--	--	--	159,921,000
HOME HEALTH R&R RATES GRANTS (5)		96,050,000	--	--	--	--	--
MEDICAL ASSISTANCE - INDIGENT CARE FUND		1,663,230,848	200,702,899	195,384,770	195,748,412	62,743,845	654,579,926
MEDICAL ASSISTANCE - PAYMENTS GRANTS		266,544,800	120,800,000	15,644,800	--	--	136,444,800
NURSING HOME FINANCIAL ASSIST GRANTS		28,815,000	13,980,000	--	--	--	13,980,000
NYC MEDICAID GRANTS		240,920,400	116,220,400	--	--	--	116,220,400
NYC PERSONAL CARE WRR RATES GRANTS (6)		261,256,000	--	--	--	--	--
PERSONAL CARE WRR RATES GRANTS (7)		21,515,200	--	--	--	--	--
PHARMACY SERVICES GRANT		3,769,711,028	179,843,700	732,800,000	707,400,000	312,100,000	1,932,143,700
PHYSICIAN SERVICES GRANT		164,606,400	79,406,000	--	--	--	79,406,000
PRIMARY CARE CASE MANAGEMENT		3,842,000	1,864,000	--	--	--	1,864,000
SUPPLEMENTAL MED INS PAYMENTS GRANTS		131,376,000	63,376,000	--	--	--	63,376,000
COMMUNITY SUPPORT PROGRAM	60,000						
ADULT HOMES RESIDENT COUNCIL		54,000	--	12,000	12,000	12,000	36,000
OFFICE OF LONG TERM CARE	21,469,672						
ADULT HOME INITIATIVES		3,571,041	121,213	--	--	--	121,213
ENHANCING ABILITIES & LIFE EXPERIENCE		2,639,525	--	--	--	--	--
ENRICHED HOUSING FACILITIES		4,311,700	--	3,401,346	76,245	--	3,477,591
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	365,772,140						
ELDERLY PHARMACEUTICAL INSURANCE COVER		349,227,140	2,594,342	23,083,400	49,792,047	18,812,640	94,282,429
CHILD HEALTH INSURANCE PROGRAM	977,775,261						
CHILD HEALTH INSURANCE		638,940,869	61,686,301	80,396,442	118,487,754	5,262,515	265,833,012

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2011-2012

APPENDIX B
 (continued)

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July - September Disbursements	October - December Disbursements	January Disbursements	Total Disbursements 10 Months Ending January 31, 2012 (3)
HEALTH CARE REFORM ACT PROGRAM	\$ 1,573,604,993						
ADAP/HIV UNINSURED CARE (HRI) (8)		70,150,000	--	--	20,000,000	10,000,000	30,000,000
AMBULATORY CARE TRAINING PROGRAM		2,150,000	--	--	--	--	--
AREA HEALTH CARE CENTERS		786,934	--	--	--	--	--
ASSEMBLY PRIORITY DISTRIBUTIONS		4,202,519	--	--	--	--	--
AUDIT SERVICE PAYER/PROVIDER COMPLIANCE		21,541,061	3,568,458	4,029,477	4,611,678	338,036	12,547,649
CANCER RELATED SERVICES		10,349,162	--	--	--	--	--
CATASTROPHIC HEALTH CARE EXPENSE		3,111,420	--	--	--	--	--
COMMISSIONER EMERGENCY DISTRIBUTIONS		1,450,000	--	--	--	--	--
COMMISSIONER'S PRIORITY POOL DISTRIB.		13,638,892	--	--	--	--	--
DIAGNOSTIC & TREAT CTR UNCOMPENSAT CARE		177,523,288	2,683,470	195,300	30,884,830	--	33,763,600
DIVERSITY IN MEDICINE/POST BACCALAUREA1		3,383,001	635,553	448,787	--	--	1,084,340
HEALTH CARE STABILIZATION PROGRAM		26,995,288	--	--	--	--	--
HEALTH FACILITY RESTRUCTURING		38,984,400	--	--	9,800,000	--	9,800,000
HEALTH WORKFORCE RETRAINING		91,279,920	1,031,677	3,180,872	2,051,063	48,127	6,311,739
INDIVIDUAL SUBSIDY PROGRAM		357,330	--	--	--	--	--
INFERTILITY GRANT PROGRAM		2,345,602	--	--	--	--	--
INFERTILITY SRVCS TREATMENTS & PROC		9,614,046	790,529	591,804	--	36,860	1,419,193
LONG TERM CARE DEMO PROJECTS		750,000	--	--	--	--	--
LONG TERM CARE INSUR EDUC/OUTREACH		450,000	--	--	--	--	--
MEDICAL INDEMNITY		30,000,000	--	--	30,000,000	--	30,000,000
MINORITY PARTICIPATION MED EDUC		192,625	--	--	--	--	--
NYS AREA HEALTH EDUCATION CENTER (AHEC)		5,477,043	1,838,076	95,367	85,843	--	2,019,286
OTHER MEDICAL SCHOOL		945,101	--	--	--	--	--
PAY FOR PERFORMANCE INITIATIVES		9,406,209	--	--	--	--	--
PHYSICIAN LOAN REPAYMENT PROGRAM		3,381,470	184,298	69,355	343,738	30,821	628,212
PHYSICIAN PRACTICE SUPPORT PROGRAM		6,407,786	558,685	702,273	308,035	89,452	1,658,445
PHYSICIAN WORKFORCE STUDIES PROGRAM		258,000	--	--	--	--	--
PHYSICIAN'S EXCESS MEDICAL MALPRACTICE		127,400,000	127,399,786	--	--	--	127,399,786
POISON CONTROL CENTERS		4,972,500	--	1,250,000	--	--	1,250,000
POOL ADMINISTRATOR-SERVICES & EXPENSES		7,953,421	399,820	480,972	819,648	173,204	1,873,644
PRIMARY HEALTH CARE SERVICES		2,915,430	--	--	--	--	--
ROSWELL PARK CANCER INSTITUTE		154,559,800	--	19,400,000	38,800,000	19,400,000	77,600,000
RURAL HEALTH CARE ACCESS DEVELOP		24,963,408	855,598	2,295,242	2,399,169	781,972	6,331,981
RURAL HEALTH CARE DELIVERY DEVELOP		5,480,367	--	--	--	--	--
RURAL HEALTH NETWORK DEVELOPMENT		11,689,478	1,763,642	2,139,492	1,536,581	197,131	5,636,846
SCHOOL BASED HEALTH CENTERS		2,777,080	--	--	--	--	--
SCHOOL BASED HEALTH CLINICS		5,538,400	--	--	--	--	--
SECTION 405.4 HOSPITAL AUDITS		2,340,000	233,341	91,099	--	272,604	597,044
SENATE PRIORITY DISTRIBUTIONS		4,099,177	--	--	--	--	--
SUPPLEMENTAL GRADUATE MEDICAL EDUCATION		18,147,322	--	5,170,000	770,000	--	5,940,000
TOBACCO USE PREVENTION & CONTROL		105,969,499	11,741,113	9,670,394	10,113,650	1,932,669	33,457,826
TOTAL	16,595,833,765 (2)	10,197,945,193	1,215,957,714	1,156,522,795	1,258,748,938	443,356,693	4,074,586,140
Transfer to the General Fund - State Purposes Account (for administration of the program)	636,003						
Reclass of SUNY Hospital Disprop Share to Transfer			(2,474,097)	(2,354,708)	(1,854,245)	(681,005)	(7,364,055)
TOTAL APPROPRIATED AMOUNT	\$ 16,596,469,768	\$ 10,197,945,193	\$ 1,213,483,617	\$ 1,154,168,087	\$ 1,256,894,693	\$ 442,675,688	\$ 4,067,222,085

(1) Includes amounts appropriated in 2011 as well as prior year appropriations that were reappropriated in the SFY 2011 budget chapters.

(2) Unsegregated appropriation total is \$6,397,888,572.

(3) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(4) Full title is: Diagnostic and Treatment Center Rates Increase for Recruitment and Retention of Health Care Workers.

(5) Full title is: Home Health Recruitment and Retention Rates Grants.

(6) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(7) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

(8) Full title is: Aids Drug Assistance Program/Human Immunodeficiency Virus - Uninsured Care - Health Research Incorporated.

STATE OF NEW YORK
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - JANUARY 2012
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
 (Disbursement Based)

APPENDIX C

Federal CFDA No.	Federal Agency	Program	January Disbursements	Life-to-Date Disbursements
Education				
10.579	Department of Agriculture	Child Nutrition Discretionary Grants Limited Availability	\$ --	\$ 5,824,761.24
11.557	Department of Commerce	Broadband Technology Opportunities Program (BTOP)	411,883.63	6,038,282.67
45.025	National Endowment for the Arts	Promotion of the Arts - Partnership Agreements	--	399,900.00
84.033	Department of Education	Federal Work-Study Program	--	2,102,760.00
84.063	Department of Education	Federal Pell Grant Program	--	147,198,591.00
84.384	Department of Education	Statewide Data Systems, Recovery Act	27,732.37	1,990,595.86
84.385	Department of Education	Teacher Incentive Fund, Recovery Act	10,819.96	116,559.15
84.386	Department of Education	Education Technology State Grants, Recovery Act	--	29,152,719.05
84.387	Department of Education	Education for Homeless Children and Youth, Recovery Act	--	6,039,255.00
84.388	Department of Education	School Improvement Grants, Recovery Act	237,715.49	43,711,962.91
84.389	Department of Education	Title I Grants to Local Education Agencies, Recovery Act	--	905,644,335.00
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act	--	25,694,044.00
84.391	Department of Education	Special Education Grants to States, Recovery Act	--	755,867,980.05
84.392	Department of Education	Special Education - Preschool Grants, Recovery Act	--	34,302,395.00
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	--	2,468,557,791.00
84.395	Department of Education	State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act	381,461.51	4,293,159.44
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	--	527,364,018.81
84.398	Department of Education	Independent Living State Grants, Recovery Act	--	856,884.00
84.399	Department of Education	Independent Living Services for Older Individuals Who are Blind, Recovery Act	--	2,297,731.00
84.410	Department of Education	Education Jobs Fund	35,972,140.00	317,808,503.00
93.407	Health and Human Services	ARRA - Scholarships for Disadvantaged Students	--	411,249.00
Total Education			37,041,752.96	5,285,673,477.18
Energy and Environment				
10.086	Department of Agriculture	Aquaculture Grants Program (AGP)	--	7,611.86
10.688	Department of Agriculture	Recovery Act of 2009: Wildland Fire Management	--	617,163.65
66.039	Environmental Protection Agency	National Clean Diesel Emissions Reduction Program	--	1,000,000.00
66.040	Environmental Protection Agency	State Clean Diesel Grant Program	--	1,579,112.95
66.454	Environmental Protection Agency	Water Quality Management Planning	61,758.43	3,700,581.23
66.458	Environmental Protection Agency	Capitalization Grants for Clean Water State Revolving Funds	--	267,781,501.50
66.468	Environmental Protection Agency	Capitalization Grants for Drinking Water State Revolving Funds	524,412.67	77,998,013.23
66.805	Environmental Protection Agency	Leaking Underground Storage Tank Trust Fund Corrective Action Program	2,970.40	9,207,686.35
81.042	Department of Energy	Weatherization Assistance for Low-Income Persons	4,356,005.20	346,283,632.82
81.122	Department of Energy	Electricity Delivery and Energy Reliability, Research, Development and Analysis	12,563.68	269,475.62
Total Energy and Environment			4,957,710.38	708,444,779.21
Food and Nutrition Services				
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs)	--	4,891,302.00
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States	--	2,042,446.00
93.707	Health and Human Services	Aging Congregate Nutrition Services for States	--	4,148,718.00
Total Food and Nutrition Services			--	11,082,466.00
Health and Social Services				
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants & Children (WIC)	--	5,468,978.00
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	--	24,402,283.00
10.578	Department of Agriculture	WIC Grants To States (WGS)	196,801.23	1,112,647.28
14.257	Department of Housing and Urban Development	Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)	666,341.71	24,304,403.04
84.393	Department of Education	Special Education - Grants for Infants and Families, Recovery Act	--	26,028,811.83
93.563	Health and Human Services	Child Support Enforcement	--	58,065,710.69
93.658	Health and Human Services	Foster Care- Title IV-E	--	48,775,666.00
93.659	Health and Human Services	Adoption Assistance	--	55,874,433.00
93.708	Health and Human Services	ARRA - Head Start	57,655.40	898,701.14
93.712	Health and Human Services	ARRA - Immunization	20,052.40	3,711,741.10
93.713	Health and Human Services	ARRA - Child Care and Development Block Grant	--	96,785,640.00
93.714	Health and Human Services	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs	11,349.00	723,023,290.00
93.725	Health and Human Services	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program	22,174.97	725,195.25
93.778	Health and Human Services	Medical Assistance Program (FMAP)	11,179,351.00	13,243,094,861.52
94.006	Corporation for National and Community Service	AmeriCorps	--	6,672,738.91
Total Health and Social Services			12,153,725.71	14,318,945,100.76

STATE OF NEW YORK
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - JANUARY 2012
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
 (Disbursement Based)

APPENDIX C
 (continued)

Federal CFDA No.	Federal Agency	Program	January Disbursements	Life-to-Date Disbursements
Housing				
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	\$ --	\$ 21,875,000.00
93.710	Health and Human Services	ARRA - Community Services Block Grant	--	85,384,063.91
		Total Housing	--	107,259,063.91
Labor				
17.207	Department of Labor	Employment Service/Wanger-Peyser Funded Activities	--	22,855,217.00
17.225	Department of Labor	Unemployment Insurance	235,936,340.17	11,570,273,996.05
17.235	Department of Labor	Senior Community Service - Employment Program	--	1,539,762.38
17.258	Department of Labor	Workforce Investment Act - Adult Program	--	31,516,111.00
17.259	Department of Labor	Workforce Investment Act - Youth Activities	--	71,526,360.00
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers	278,014.09	69,634,661.09
17.275	Department of Labor	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	1,651.02	1,112,175.14
		Total Labor	236,216,005.28	11,768,458,282.66
Public Protection				
11.558	Department of Commerce	State Broadband Data and Development Grant Program	29,118.65	1,248,734.15
12.401	Department of Defense	National Guard Military Operations and Maintenance (O&M) Projects	--	7,416,726.40
16.588	Department of Justice	Violence Against Women Formula Grants	109,252.61	6,646,842.22
16.800	Department of Justice	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)	26,039.83	802,096.62
16.801	Department of Justice	Recovery Act - State Victim Assistance Formula Grant Program	133,908.00	1,788,999.08
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program	--	2,828,986.58
16.803	Department of Justice	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories	586,576.04	37,812,609.69
		Total Public Protection	884,895.13	58,544,994.74
Transportation				
20.205	Department of Transportation	Highway Planning and Construction	12,948,253.56	813,705,773.42
20.319	Department of Transportation	High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grants	1,440,776.69	1,897,769.01
20.509	Department of Transportation	Formula Grants for Other Than Urbanized Areas	907,761.32	19,692,235.42
		Total Transportation	15,296,791.57	835,295,777.85
		TOTAL ARRA DISBURSEMENTS	\$ 306,550,881.03	\$ 33,093,703,942.31

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2011-2012**

	<u>1st Quarter</u> <u>April-June</u>	<u>2nd Quarter</u> <u>July-September</u>	<u>3rd Quarter</u> <u>October-December</u>	<u>2012</u> <u>JANUARY</u>	<u>2011-2012</u>
OPENING CASH BALANCE	\$ 258,518,559.21	\$ 250,290,079.85	\$ 317,881,788.82	\$ 203,240,775.40	\$ 258,518,559.21
RECEIPTS:					
Patient Services	652,953,014.95	700,887,795.21	620,209,467.83	269,735,563.09	2,243,785,841.08
Covered Lives	252,804,495.65	254,527,327.88	221,645,310.15	115,496,356.06	844,473,489.74
Provider Assessments	18,506,742.05	18,551,920.62	27,275,043.91	5,481,406.13	69,815,112.71
1% Assessments	85,326,136.00	80,607,052.00	81,290,213.00	30,945,496.00	278,168,897.00
DASNY- MOE/Recast receivables	0.00	48,494.19	575,935.00	0.00	624,429.19
Interest Income	77,626.76	82,747.64	86,917.66	22,204.51	269,496.57
NYPHRM	356.32	244.90	250.80	114.00	966.02
Hospital Quality Contribution	0.00	0.00	0.00	0.00	0.00
Unassigned	112,360.36	1,644,860.07	157,663.38	(1,904,735.81)	10,148.00
Total Receipts	1,009,780,732.09	1,056,350,442.51	951,240,801.73	419,776,403.98	3,437,148,380.31
DISBURSEMENTS:					
Program Disbursements:					
Poison Control Centers	0.00	(1,250,000.00)	0.00	0.00	(1,250,000.00)
School Based Health Center Grants	0.00	0.00	0.00	0.00	0.00
ECRIP Distributions	0.00	(5,140,181.22)	(770,000.00)	0.00	(5,910,181.22)
Total Disbursements	0.00	(6,390,181.22)	(770,000.00)	0.00	(7,160,181.22)
Excess (Deficiency) of Receipts over Disbursements	1,009,780,732.09	1,049,960,261.29	950,470,801.73	419,776,403.98	3,429,988,199.09
OTHER FINANCING SOURCES (USES):					
Transfers from Other Pools:					
Medicaid Disproportionate Share	0.00	0.00	0.00	0.00	0.00
Health Facility Assessment Fund - Hospital Quality Contribution	0.00	0.00	21,260,642.41	4,576,338.00	25,836,980.41
Transfers From State Funds:					
061-HCRA Resources Fund	0.00	6,420,000.00	770,000.00	0.00	7,190,000.00
061-HCRA Resources Fund FMAP	0.00	0.00	0.00	0.00	0.00
Total Other Financing Sources	0.00	6,420,000.00	22,030,642.41	4,576,338.00	33,026,980.41
Transfers to Other Pools:					
Medicaid Disproportionate Share	0.00	(48,494.19)	(575,935.00)	0.00	(624,429.19)
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
Transfers to State Funds:					
061-HCRA Resources Fund	(813,804,180.32)	(795,970,516.85)	(885,335,439.08)	(296,019,317.16)	(2,791,129,453.41)
061-IN Indigent Care Fund (matched)	(200,499,608.89)	(190,353,870.54)	(198,816,373.58)	(62,269,870.21)	(651,939,723.22)
061-IN Indigent Care Fund (non-matched)	(3,705,422.24)	(2,415,670.74)	(2,414,709.90)	(241,100.86)	(8,776,903.74)
Total Other Financing Uses	(1,018,009,211.45)	(988,788,552.32)	(1,087,142,457.56)	(358,530,288.23)	(3,452,470,509.56)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(8,228,479.36)	67,591,708.97	(114,641,013.42)	65,822,453.75	10,544,669.94
CLOSING CASH BALANCE	\$ 250,290,079.85	\$ 317,881,788.82	\$ 203,240,775.40	\$ 269,063,229.15	\$ 269,063,229.15

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2011-2012

	1st Quarter April-June	2nd Quarter July-September	3rd Quarter October-December	2012 JANUARY	2011-2012
OPENING CASH BALANCE	\$ 2,926.20	\$ 545.94	\$ 49,019.84	\$ 591,958.67	\$ 2,926.20
RECEIPTS:					
Interest Income	3,961.83	1,655.91	4,665.05	1,436.17	11,718.96
Total Receipts	<u>3,961.83</u>	<u>1,655.91</u>	<u>4,665.05</u>	<u>1,436.17</u>	<u>11,718.96</u>
DISBURSEMENTS:					
Program Disbursements:					
Indigent Care	(192,658,013.02)	(191,561,705.91)	(192,322,733.05)	(60,140,597.10)	(636,683,049.08)
High Need Indigent Care	(7,812,000.00)	0.00	0.00	0.00	(7,812,000.00)
Other	(1,482,690.74)	0.00	527,440.81	0.00	(955,249.93)
Total Program Disbursements	<u>(201,952,703.76)</u>	<u>(191,561,705.91)</u>	<u>(191,795,292.24)</u>	<u>(60,140,597.10)</u>	<u>(645,450,299.01)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(201,948,741.93)</u>	<u>(191,560,050.00)</u>	<u>(191,790,627.19)</u>	<u>(60,139,160.93)</u>	<u>(645,438,580.05)</u>
OTHER FINANCING SOURCES (USES):					
Transfers from Other Pools:					
Public Goods Pool	0.00	48,494.19	0.00	0.00	48,494.19
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
Transfers From State Funds:					
061-IN HCRA Resources Indigent Care - Matched	100,249,804.45	95,176,935.28	99,408,186.80	31,134,935.11	325,969,861.64
061-IN HCRA Resources Indigent Care - Unmatched	1,453,094.87	1,207,835.37	1,207,354.95	(167,417.07)	3,700,868.12
061-IN HCRA Resources Indigent Care - FMAP	0.00	0.00	0.00	0.00	0.00
061-IN HCRA Resources Indigent Care - ATB	0.00	0.00	(7,685,995.48)	(2,431,024.12)	(10,117,019.60)
265-Federal DHHS Fund	100,249,804.44	95,176,935.26	99,408,186.78	31,134,935.10	325,969,861.58
Total Other Financing Sources	<u>201,952,703.76</u>	<u>191,610,200.10</u>	<u>192,337,733.05</u>	<u>59,671,429.02</u>	<u>645,572,065.93</u>
Transfers to Other Pools:					
Public Goods Pool	0.00	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00
Transfers to State Funds:					
061-IN -HCRA Resources Fund Indigent Care Acct	(6,342.09)	(1,676.20)	(4,167.03)	(1,023.67)	(13,208.99)
Total Other Financing Uses	<u>(6,342.09)</u>	<u>(1,676.20)</u>	<u>(4,167.03)</u>	<u>(1,023.67)</u>	<u>(13,208.99)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>(2,380.26)</u>	<u>48,473.90</u>	<u>542,938.83</u>	<u>(468,755.58)</u>	<u>120,276.89</u>
CLOSING CASH BALANCE	<u>\$ 545.94</u>	<u>\$ 49,019.84</u>	<u>\$ 591,958.67</u>	<u>\$ 123,203.09</u>	<u>\$ 123,203.09</u>

Source: HCRA - Office of Pool Administration

APPENDIX F

SUMMARY OF OFF-BUDGET SPENDING REPORT

	DISBURSED APRIL '11 (000)	DISBURSED MAY '11 (000)	DISBURSED JUNE '11 (000)	DISBURSED JULY '11 (000)	DISBURSED AUG '11 (000)	DISBURSED SEPT '11 (000)	DISBURSED OCT '11 (000)	DISBURSED NOV '11 (000)	DISBURSED DEC '11 (000)	DISBURSED JAN '12 (000)	DISBURSED FEB '12 (000)	DISBURSED MAR '12 (000)	DISBURSED TOTAL 11-12 (000)
DORMITORY AUTHORITY:													
Education - All Other	1	6	30	5	25	--	1	--	--				68
Education - EXCEL	3,713	7,761	23,050	2,305	21,200	2,784	13,002	12,430	6,274				92,519
Department of Health - All Other	12	1	(19)	38	355	6	--	2	--				395
CEFAP	--	91	694	32	507	23	125	21	125				1,618
Regional Development:													
CCAP/RESTORE	496	2,021	1,238	216	3,109	1,916	993	2,735	202				12,926
Multi-modal	--	14	--	27	--	64	--	414	17				536
GenNYsis	--	--	--	--	--	--	--	--	10				10
CUNY Senior Colleges	8,485	41,573	24,490	11,592	43,172	20,282	22,634	19,760	14,769				206,757
CUNY Community Colleges	2,861	2,262	2,944	1,322	3,809	5,592	6,042	5,128	1,429				31,389
SUNY Dormitories	14,859	18,346	10,574	11,262	24,920	20,206	11,591	11,430	10,906				134,094
Upstate Community Colleges	8,902	5,671	7,669	756	5,466	4,157	6,982	5,845	5,338				50,786
Mental Health	2,518	14,298	4,194	4,291	21,337	2,860	3,131	5,512	4,009				62,150
Developmental Disabilities	1,968	2,791	862	3,337	3,017	739	2,084	2,113	1,870				18,781
Alcoholism & Substance Abuse	--	216	4	1,471	4,290	3,092	19,397	11	25				28,506
Brooklyn Court Officer Training Academy	7	636	366	--	1,085	101	73	27	--				2,295
TOTAL DORMITORY AUTHORITY:	43,822	95,687	76,096	36,654	132,292	61,822	86,055	65,428	44,974	--	--	--	642,830
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	--	554	(172)	(382)	--	--	--	--	--				--
CCAP	150	560	801	628	--	187	--	230	111				2,667
Empire Opportunity	--	--	2,761	--	--	--	--	--	--				2,761
CEFAP	--	84	(1)	161	--	15	--	50	1,104				1,413
State Facilities and Equipment	--	--	22	(14)	--	191	--	--	32				231
TOTAL EMPIRE STATE DEVELOPMENT CORP:	150	1,198	3,411	393	--	393	--	280	1,247	--	--	--	7,072
THRUWAY AUTHORITY:													
CHIPS	53,155	--	28,977	--	--	112,936	--	--	157,294				352,362
SHIPS	--	11,473	--	--	27	--	--	9	--				11,509
Marchiselli	--	--	7,332	--	--	15,408	--	--	5,452				28,192
Multi-modal	--	5,498	--	--	7,104	--	--	2,132	--				14,734
TOTAL THRUWAY AUTHORITY:	53,155	16,971	36,309	--	7,131	128,344	--	2,141	162,746	--	--	--	406,797
TOTAL OFF-BUDGET:	97,127	113,856	115,816	37,047	139,423	190,559	86,055	67,849	208,967	--	--	--	1,056,699
TOTAL CEFAP	--	175	693	193	507	38	125	71	1,229				3,031
ECONOMIC DEVELOPMENT:													
Total CCAP	646	2,581	2,039	844	3,109	2,103	993	2,965	313				15,593
Total Multi-modal	--	14	--	27	--	64	--	414	17				536
Total GenNYsis	--	--	--	--	--	--	--	--	10				10
Total Centers for Excellence	--	554	(172)	(382)	--	--	--	--	--				--
Total Empire Opportunity	--	--	2,761	--	--	--	--	--	--				2,761
Total Economic Development	646	3,149	4,628	489	3,109	2,167	993	3,379	340	--	--	--	18,900

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

Schedule of Month-End Temporary Loans Outstanding January 31, 2012

Temporary Loans are authorizations enacted by the Legislature to allow certain funds/accounts to make appropriated (cash) payments where the funds/accounts do not have sufficient (available) cash on deposit to make such payments. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy **temporary** cash flow needs whenever scheduled disbursements exceed available revenues during the fiscal year. Generally temporary loans are repaid from the first cash receipts of the fund/account. Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and yearly legislation.

It is the prudent and proper practice of the State Comptroller's Office to reduce the (spendable) cash balance of appropriated fund balances at the time that checks and electronic payments are issued. The concept of monitoring 'spendable funds' is employed by the State Comptroller in the central accounting system to ensure that all disbursements (including checks and electronic payments that are future dated) do not exceed the cash available to finance ongoing payments made from the State Treasury. Therefore, the amount of Temporary Loans (from the short-term investment pool) reflects the spendable fund balance, reduced for certain local assistance payments made in the reporting month that had a check or electronic payment date in the following month. The temporary loan balances for the all funds reflect the '**actual**' fund cash balances as of the close of business on the last day of the reporting month and are not adjusted for future-dated check and electronic payments. Furthermore, tax receipts remitted to the State on the last business day of the month are drawn into the State Treasury on the first business day of the next month. These final tax receipts are reported by the Department of Tax and Finance as tax collections for the reporting month but have no impact on the month-end temporary loan balance since such receipts were not available until the first business day of the next month. Post-closing adjustments are taken-on to the State's cash-basis financial statements to count future dated payments in the month of the check or electronic payment and tax revenues in the month that these were received.

Please refer to Schedule 1 for a detailed analysis of the '*reported*' cash balances of the fund groups.

**Office of the State Comptroller
Summary of Month-End Temporary Loans Outstanding**

	September 30, 2011	October 31, 2011	November 30, 2011	December 31, 2011	Change	January 31, 2012
TOTAL GENERAL FUND	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --
TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,621,060,357.74	1,583,907,989.14	1,542,090,339.52	1,589,007,776.01	(227,222,750.37)	1,361,785,025.64
TOTAL STATE SPECIAL REVENUE FUNDS	2,067,315,726.25	2,232,778,332.79	2,312,639,971.95	2,464,493,210.61	(482,622,761.57)	1,981,870,449.04
TOTAL FEDERAL FUNDS	181,104,214.63	186,758,924.66	969,693,382.67	348,464,639.33	194,667,109.94	543,131,749.27
TOTAL AGENCY FUNDS	--	--	--	--	--	--
TOTAL ENTERPRISE FUND	--	--	--	--	--	--
TOTAL INTERNAL SERVICE FUNDS	73,055,268.22	83,074,119.25	92,415,502.88	94,588,385.48	(2,033,799.25)	92,554,586.23
GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$3,942,535,566.84	\$4,086,519,365.84	\$4,916,839,197.02	\$4,496,554,011.43	(\$517,212,201.25)	\$3,979,341,810.18

Office of the State Comptroller
Schedule of Month-End Temporary Loans Outstanding

FUND/ ACCOUNT	ACCOUNT TITLE	September 30, 2011	October 31, 2011	November 30, 2011	December 31, 2011	Change	January 31, 2012
339 -B6	INSURANCE DEPT	0.00	0.00	0.00	0.00	0.00	0.00
-BJ	BELL JAR COLLECTION ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-BK	INDUSTRY AND UTILITY SERVICE	0.00	0.00	53,208.42	162,292.06	(162,292.06)	0.00
-BP	REAL PROPERTY DISPOSITION	0.00	0.00	0.00	0.00	0.00	0.00
-BQ	PARKING ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-BW	ASBESTOS SAFETY TRAINING	113,163.27	84,225.15	97,594.14	88,861.78	(18,195.22)	70,666.56
-C3	PUBLIC SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
-CM	REG MANUFACTURED HOUSING	0.00	0.00	0.00	0.00	0.00	0.00
-CU	SPECIAL CONSERVATION ACTIVITIES	0.00	0.00	0.00	0.00	0.00	0.00
-D9	BATAVIA SCHOOL FOR THE BLIND	6,739,101.17	7,913,417.80	8,880,485.25	9,529,853.89	317,176.71	9,847,030.60
-DC	INVESTMENT SERVICES	195,871.86	305,829.94	390,064.79	4,074.48	115,227.88	119,302.36
-DE	SURPLUS PROPERTY ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-DH	OPWDD DAY SERVICES ACCOUNT	0.00	0.00	3,587,151.30	9,586,201.27	(2,962,977.69)	6,623,223.58
-DI	FINANCIAL OVERSIGHT	960,770.08	203,421.35	743,584.59	946,047.40	(748,198.06)	197,849.34
-DT	REGULATION INDIAN GAMING	103,326,750.48	104,748,480.03	106,713,025.13	107,212,446.85	(12,313,520.46)	94,898,926.39
-E3	PROFESSIONAL EDUC SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
-E6	ROME SCHOOL FOR THE DEAF	1,715,000.53	2,759,760.69	3,347,530.16	3,582,389.42	45,695.46	3,628,084.88
-E8	DSP-SEIZED ASSETS	13,661,409.78	14,299,453.89	13,248,142.57	12,436,050.28	1,107,910.30	13,543,960.58
-E9	ADMINISTRATIVE ADJUDICATION	0.00	0.00	3,815,285.71	2,716,979.04	(1,944,130.14)	772,848.90
-EC	FEDERAL SALARY SHARING	0.00	0.00	0.00	0.00	0.00	0.00
-EM	NYC ASSESSMENT ACCT	0.00	0.00	0.00	0.00	0.00	0.00
-EN	CULTURAL EDUCATION ACCOUNT	11,001,928.20	11,333,682.62	12,747,758.88	11,409,316.32	(812,504.73)	10,596,811.59
-ER	EXAMINATION & MISC REV	0.00	0.00	0.00	0.00	0.00	0.00
-F1	TRANSPORTATION REGULATION	0.00	0.00	0.00	0.00	0.00	0.00
-F2	CONSUMER PROTECTION BD.	0.00	0.00	0.00	0.00	0.00	0.00
-G3	LOCAL SERVICE ACCOUNT	95,147.21	0.00	0.00	0.00	0.00	0.00
-H2	DHCR MORTGAGE SERVICES	2,099,466.32	2,069,097.66	2,871,928.85	3,160,191.46	(178,443.61)	2,981,747.85
-H7	DMV-COMPULSORY INS PRGM	0.00	0.00	0.00	0.00	0.00	0.00
-HI	HOUSING INDIRECT COST RECOVERY	83,064.90	339,365.66	907,854.12	1,221,591.50	299,587.64	1,521,179.14
-J5	DHCR-HOUSING CREDIT AGENCY APPLY FEE	0.00	0.00	0.00	0.00	0.00	0.00
-J6	EPIC PREMIUM	0.00	0.00	0.00	0.00	0.00	0.00
-L7	OTDA INCOME ACCOUNT	13,040,530.59	15,597,763.79	1,914,571.70	2,099,473.26	(2,099,473.26)	0.00
-NG	LOW INCOME HOUSING CREDIT MONITORING	0.00	0.00	0.00	0.00	0.00	0.00
-P4	PROCUREMENT OPPORTUNITY NEWSLETTER	0.00	0.00	0.00	0.00	0.00	0.00
-P6	EFC-CORPORATION ADMINISTRATION	707,733.52	92,573.49	186,934.98	476,167.77	(172,741.33)	303,426.44
-Q6	MONTROSE VETERAN'S HOME	0.00	0.00	0.00	0.00	0.00	0.00
-R4	MOTOR FUEL QUALITY ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-R7	DEFERRED COMPENSATION ADMIN	147,743.27	226,380.94	113,743.61	139,732.32	79,949.91	219,682.23
-RR	RENT REVENUE OTHER - NYC	8,277,409.60	10,228,537.02	5,138,713.50	7,132,654.69	(7,132,654.69)	0.00
-S8	RENT REVENUE	236,569.38	256,425.43	348,819.27	345,379.55	30,131.94	375,511.49
-TR	TAX REVENUE ARREARAGE ACCOUNT	1,947,031.52	1,975,961.39	2,015,803.66	2,053,910.65	42,662.62	2,096,573.27
-W3	OGS-SOLID WASTE MGMT	0.00	0.00	0.00	0.00	0.00	0.00
-W4	OCCUPATIONAL HEALTH CLINICS	0.00	0.00	0.00	0.00	0.00	0.00
-YD	SALES TAX RE-REG FEE ADMN	0.00	0.00	0.00	0.00	0.00	0.00
-YP	EQUITABLE SHARING AGMT	0.00	0.00	0.00	0.00	0.00	0.00
-Y8	TAX RETURN PREPARER REG FEE ADM	0.00	0.00	0.00	0.00	0.00	0.00
345 -11	S.U. NON-RESIDENT REV. OFFSET	52,476,425.57	52,483,026.74	52,488,731.33	52,494,210.91	5,574.66	52,499,785.57
354 -02	STATE POLICE MV ENFORCE	64,646,651.62	49,167,635.62	39,428,138.62	55,170,710.62	(6,149,275.00)	49,021,435.62
362 -01	DOT - HIGHWAY SAFETY PRGM	2,415,592.17	2,659,705.50	2,499,886.41	2,584,809.11	252,485.87	2,837,294.98
366 -01	EFC DRINKING WATER PROGRAM	0.00	0.00	0.00	0.00	147,946.78	147,946.78
366 -02	DOH DRINKING WATER PROGRAM	6,651,761.91	6,997,084.51	7,700,786.13	8,087,540.74	(1,707,190.11)	6,380,350.63
368 -01	NYCCC OPERATING OFFSET	24,665,756.93	26,426,716.57	28,988,346.35	31,297,184.60	2,664,483.74	33,961,668.34
	TOTAL STATE SPECIAL REVENUE FUNDS	\$2,067,315,726.25	\$2,232,778,332.79	\$2,312,639,971.95	\$2,464,493,210.61	(\$482,622,761.57)	\$1,981,870,449.04
	FEDERAL FUNDS						
261 -	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND (1)	14,793,496.33	5,887,507.69	8,788,684.34	46,427,768.42	(19,834,307.76)	26,593,460.66 (1)
265 -	FEDERAL HEALTH AND HUMAN SERVICES FUND (2)	77,342,817.82	54,386,903.27	788,139,883.46	128,634,009.92	187,395,060.33	316,029,070.25 (2)
267 -	FEDERAL EDUCATION GRANTS FUND (3)	13,810,258.85	7,039,222.58	19,083,768.16	15,438,270.74	11,590,461.54	27,028,732.28 (3)
269 -	FEDERAL BLOCK GRANT FUND (4)	0.00	0.00	0.00	0.00	0.00	0.00 (4)
290 -	FEDERAL OPERATING GRANTS FUND (5)	58,192,527.23	99,424,241.23	55,171,761.71	63,422,769.21	10,085,975.36	73,508,744.57 (5)
291 -04	MILITARY AND NAVAL AFFAIRS	9,779,787.88	10,189,778.65	10,173,149.79	10,206,896.45	(1,153,181.86)	9,053,714.59
291 -10	DEPARTMENT OF TRANSPORTATION	0.00	0.00	80,726,602.15	77,246,620.37	4,606,099.13	81,852,719.50 (7)
291 -	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER) (6)	4,739,148.78	7,885,544.13	6,793,342.17	5,495,266.00	1,165,441.85	6,660,707.85 (6)
480 -01	UI ADMINISTRATION	1,914,442.03	0.00	438,717.40	853,163.23	1,388,277.43	2,241,440.66
484 -00	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	0.00	0.00	0.00	0.00	0.00	0.00
484 -09	DOL WORKFORCE INVESTMENT ACT	531,735.71	1,945,727.11	377,473.49	739,874.99	(576,716.08)	163,158.91
486 -10	DOL FEDERAL GRANTS	0.00	0.00	0.00	0.00	0.00	0.00
	TOTAL FEDERAL FUNDS	\$181,104,214.63	\$186,758,924.66	\$969,693,382.67	\$348,464,639.33	\$194,667,109.94	\$543,131,749.27 (8)

Office of the State Comptroller
Schedule of Month-End Temporary Loans Outstanding

FUND/ ACCOUNT	ACCOUNT TITLE	September 30, 2011	October 31, 2011	November 30, 2011	December 31, 2011	Change	January 31, 2012
AGENCY FUNDS							
179 -02	MMIS - STATE & FEDERAL	0.00	0.00	0.00	0.00	0.00	0.00
	TOTAL AGENCY FUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
ENTERPRISE FUND							
325 -01	STATE FAIR RECEIPTS FUND	0.00	0.00	0.00	0.00	0.00	0.00
331 -55	OCS CONVENTION CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
	TOTAL ENTERPRISE FUND	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INTERNAL SERVICE FUNDS							
323 -03	CENTRALIZED SERVICES-FLEET MGMT	716,922.62	1,166,866.23	1,545,829.78	1,359,324.29	(203,810.76)	1,155,513.53
-05	CENTRALIZED SERVICES-DATA PROCESSING	0.00	0.00	1,343.20	436,078.26	123,349.78	559,428.04
-06	CENTRALIZED SERVICES-REPRODUCTION	2,738,194.05	2,641,823.94	2,829,734.26	2,848,032.09	(45,962.79)	2,802,069.30
-07	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	46,541.84	172,408.42	340,899.50	0.00	0.00	0.00
-08	CENTRALIZED SERVICES-SURPLUS FED FOODS	518,002.14	650,257.64	847,465.38	352,803.28	115,772.98	468,576.26
-09	CENTRALIZED SERVICES-PERSONAL PROPERTY	0.00	0.00	0.00	0.00	0.00	0.00
-12	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	3,046,636.65	2,972,450.99	3,074,919.67	2,994,313.18	(271,969.34)	2,722,343.84
-13	CENTRALIZED SERVICES-PASNY	0.00	4,635,646.72	8,311,702.73	7,381,846.31	(1,812,301.42)	5,569,544.89
-14	CENTRALIZED SERVICES-ADMIN SUPPORT	0.00	0.00	0.00	0.00	0.00	0.00
-15	CENTRALIZED SERVICES-DESIGN & CONSTR	0.00	0.00	0.00	0.00	0.00	0.00
-17	CENTRALIZED SERVICES-INSURANCE	499,494.21	369,172.60	495,785.48	399,004.91	(89,312.04)	309,692.87
-18	CENTRALIZED SERVICES-SECURITY CARD ACCESS	0.00	0.00	0.00	0.00	0.00	0.00
-19	CENTRALIZED SERVICES-COP'S	0.00	0.00	0.00	0.00	0.00	0.00
-20	CENTRALIZED SERVICES-FOOD SERVICES	0.00	0.00	0.00	0.00	0.00	0.00
-21	CENTRALIZED SERVICES-HOMER FOLKS	0.00	0.00	0.00	0.00	0.00	0.00
-23	CENTRALIZED SERVICES-IMMICS	0.00	0.00	0.00	0.00	0.00	0.00
-26	DOWNSTATE DISTRIBUTION	573,540.95	579,201.83	612,512.55	717,124.03	10,455.03	727,579.06
-27	BUILDING ADMINISTRATION	0.00	0.00	0.00	0.00	0.00	0.00
-28	LEASE SPACE INITIATIVE	0.00	0.00	0.00	0.00	0.00	0.00
334 -02	ARCHIVES RECORD MGMT I.S.	0.00	0.00	0.00	0.00	0.00	0.00
-05	FEDERAL SINGLE AUDIT	0.00	0.00	0.00	0.00	0.00	0.00
-09	CIVIL SERVICE LAW-SEC. 11 ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
-10	CIVIL SERVICE EHS OCCUP HEALTH PROG	56,314.99	79,147.60	1,223.47	168,089.96	(50,279.77)	117,810.19
-12	BANKING SERVICES ACCOUNT	122,098.32	438,214.39	64,590.35	3,281.98	(2,787.71)	494.27
-14	CULTURAL RESOURCE SURVEY	1,930,066.30	2,266,197.85	2,858,796.73	3,135,528.16	427,101.46	3,562,629.62
-17	NEIGHBOR WORK PROJECT	7,003,050.19	7,107,585.14	6,839,676.72	6,721,706.71	(591,131.56)	6,130,575.15
-18	AUTOMATIC/PRINT CHARGBACKS	0.00	0.00	1,298,377.91	1,352,811.62	(1,352,811.62)	0.00
-20	OFT NYT ACCT	0.00	0.00	0.00	0.00	0.00	0.00
-23	DATA CENTER ACCOUNT	28,508,863.39	32,183,154.13	31,831,733.91	34,413,436.43	284,085.88	34,697,522.31
-24	HUMAN SVCE TELECOM ACCT	990,418.67	1,009,523.38	2,231,484.84	1,311,009.22	418,393.11	1,729,402.33
-26	OPWDD COPY CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-27	CYBER SECURITY INTRUSION ACCT	638,013.41	638,013.41	843,852.41	843,852.41	0.00	843,852.41
-28	DOMESTIC VIOLENCE GRANT	251,181.45	237,921.83	247,502.83	225,674.72	(47,039.87)	178,634.85
-30	CENTRALIZED TECHNOLOGY SERVICES	920,266.76	1,017,753.88	1,550,177.12	1,575,253.76	(13,128.32)	1,562,125.44
-ZV	LEARNING MGMT SYSTEM	0.00	0.00	0.00	0.00	0.00	0.00
394 -01	JOINT LABOR MANAGEMENT ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
395 -04	EXECUTIVE DIRECTION INTERNAL AUDIT	746,040.85	837,702.43	1,106,496.83	1,213,058.30	228,410.62	1,441,468.92
396 -00	HEALTH INSURANCE INTERNAL SERVICE	18,618,096.75	18,297,762.86	16,718,001.75	17,661,323.96	285,113.15	17,946,437.11
-01	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	1,998,957.61	1,845,432.59	1,918,221.82	2,217,354.30	235,156.19	2,452,510.49
397 -00	CORR INDUSTRIES INTERNAL SERVICE	3,132,567.07	3,927,881.39	6,845,373.64	7,257,477.60	318,897.75	7,576,375.35
	TOTAL INTERNAL SERVICE FUNDS	\$73,055,268.22	\$83,074,119.25	\$92,415,502.88	\$94,588,385.48	(\$2,033,799.25)	\$92,554,586.23
GRAND TOTAL - TEMPORARY LOANS OUTSTANDING		\$3,942,535,566.84	\$4,086,519,365.84	\$4,916,839,197.02	\$4,496,554,011.43	(\$517,212,201.25)	\$3,979,341,810.18

Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 58, Part BB, Section 1 and 1A, of the Laws of 2011-12. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

NOTE:

- (1) - Includes all negative cash balance Subfunds within fund 261.
- (2) - Includes all negative cash balance Subfunds within fund 265.
- (3) - Includes all negative cash balance Subfunds within fund 267.
- (4) - Includes all negative cash balance Subfunds within fund 269.
- (5) - Includes all negative cash balance Subfunds within fund 290.
- (6) - Includes all other negative cash balance Subfunds within fund 291.
- (7) - The Fund 291-10 temporary loan balance includes \$103.3 million of costs previously disallowed by the Federal Highway Administration. These costs are systematically being transferred to Fund 072-01 based upon a realignment plan with the Department of Transportation. A total of \$33.5 million will be transferred to Fund 072-01 on or before March 31, 2012.
- (8) - Except for DOT-Highways (see note 7), temporary loans to federal funds are typically reimbursed with in 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.
- (9) - Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund 001 and State Purpose Fund 003.