

**STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
OFFICE OF OPERATIONS  
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES  
BUREAU OF STATE ACCOUNTING OPERATIONS**

Comptroller's Monthly Report  
On State Funds Cash Basis of Accounting  
(Pursuant to Sec. 8(9-a) of the State Finance Law)  
**December 2011**



**THOMAS P. DiNAPOLI  
STATE COMPTROLLER**

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
CLASSIFIED BY STATE OPERATIONS, FEDERAL SUPPORT AND CAPITAL SPENDING (\*)  
(amounts in millions)**

**EXHIBIT A  
SUPPLEMENTAL**

	STATE OPERATING FUNDS						TOTAL STATE OPERATING FUNDS		FEDERAL SPECIAL REVENUE		CAPITAL PROJECTS		SPECIAL REVENUE ELIMINATIONS		TOTAL GOVERNMENTAL FUNDS	
	GENERAL		STATE SPECIAL REVENUE		DEBT SERVICE		MONTH OF	9 MOS. ENDED	MONTH OF	9 MOS. ENDED	MONTH OF	9 MOS. ENDED	MONTH OF	9 MOS. ENDED	MONTH OF	9 MOS. ENDED
	MONTH OF	9 MOS. ENDED	MONTH OF	9 MOS. ENDED	MONTH OF	9 MOS. ENDED	DEC. 2011	DEC. 31, 2011	DEC. 2011	DEC. 31, 2011	DEC. 2011	DEC. 31, 2011	DEC. 2011	DEC. 31, 2011	DEC. 2011	DEC. 31, 2011
<b>RECEIPTS:</b>																
Personal Income Tax	\$179.5	\$17,282.9	\$2,593.6	\$3,205.5	\$924.4	\$6,829.5	\$3,697.5	\$27,317.9	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$3,697.5	\$27,317.9
Consumption/Use Taxes	915.5	6,835.5	189.7	1,678.3	283.9	2,088.7	1,389.1	10,602.5	--	--	75.9	460.4	--	--	1,465.0	11,062.9
Business Taxes	1,163.4	3,722.8	235.2	1,007.3	--	--	1,398.6	4,730.1	--	--	62.9	463.2	--	--	1,461.5	5,193.3
Other Taxes	77.6	869.0	82.0	960.6	35.5	405.2	195.1	2,234.8	--	--	11.9	83.4	--	--	207.0	2,318.2
Miscellaneous Receipts (8)	238.1	2,070.2	1,219.7	11,071.7	87.2	649.6	1,545.0	13,791.5	10.1	130.6	337.4	2,672.0	--	--	1,892.5	16,594.1
Federal Receipts (1)	14.8	46.6	--	0.6	--	42.5	14.8	89.7	4,090.2	32,239.1	195.8	1,506.9	--	--	4,300.8	33,835.7
<b>Total Receipts</b>	<b>2,588.9</b>	<b>30,827.0</b>	<b>4,320.2</b>	<b>17,924.0</b>	<b>1,331.0</b>	<b>10,015.5</b>	<b>8,240.1</b>	<b>58,766.5</b>	<b>4,100.3</b>	<b>32,369.7</b>	<b>683.9</b>	<b>5,185.9</b>	<b>--</b>	<b>--</b>	<b>13,024.3</b>	<b>96,322.1</b>
<b>DISBURSEMENTS:</b>																
Local Assistance Grants: (1)(2)(7)																
General Purpose	203.0	816.8	--	--	--	--	203.0	816.8	--	--	--	--	--	--	203.0	816.8
Education	1,824.3	12,314.1	2,698.2	5,790.8	--	--	4,522.5	18,104.9	485.8	3,413.1	0.4	35.5	--	--	5,008.7	21,553.5
Social Services:																
Medicaid (6)	1,046.6	9,156.1	232.7	3,364.2	--	--	1,279.3	12,520.3	1,637.9	19,087.2	--	--	--	--	2,917.2	31,607.5
Other Social Services	132.8	2,031.8	2.9	13.4	--	--	135.7	2,045.2	495.9	3,570.3	--	10.8	--	--	631.6	5,626.3
Health and Environment (6)	131.6	992.1	214.6	1,237.2	--	--	346.2	2,229.3	167.1	898.4	40.9	324.2	--	--	554.2	3,451.9
Mental Hygiene	0.9	27.6	283.0	1,212.1	--	--	283.9	1,239.7	5.2	125.6	5.6	47.8	--	--	294.7	1,413.1
Transportation	14.6	88.3	670.4	3,409.8	--	--	685.0	3,498.1	5.6	41.0	263.8	556.2	--	--	954.4	4,095.3
Criminal Justice	6.0	85.7	2.3	44.6	--	--	8.3	130.3	76.7	281.6	--	--	--	--	85.0	411.9
Emergency Management & Security Service	3.1	9.6	--	--	--	--	3.1	9.6	14.8	66.9	--	--	--	--	17.9	76.5
Miscellaneous	58.2	309.4	8.6	151.8	--	--	66.8	461.2	87.6	492.2	28.8	805.9	--	--	183.2	1,759.3
Total Local Assistance Grants	3,421.1	25,831.5	4,112.7	15,223.9	--	--	7,533.8	41,055.4	2,976.6	27,976.3	339.5	1,780.4	--	--	10,849.9	70,812.1
Departmental Operations:																
Personal Service	555.8	4,633.3	544.1	4,391.5	--	--	1,099.9	9,024.8	48.1	464.1	--	--	--	--	1,148.0	9,488.9
Non-Personal Service	154.3	1,193.7	330.6	2,531.1	13.2	36.7	498.1	3,761.5	74.1	659.8	--	--	--	--	572.2	4,421.3
General State Charges	323.0	2,506.2	96.9	1,345.9	--	--	419.9	3,852.1	2.6	198.0	--	--	--	--	422.5	4,050.1
Debt Service, Including Payments on Financing Agreements (3)	--	--	--	--	1,118.7	3,690.6	1,118.7	3,690.6	--	--	--	--	--	--	1,118.7	3,690.6
Capital Projects (4)	--	--	0.9	5.2	--	--	0.9	5.2	--	--	540.1	3,976.3	--	--	541.0	3,981.5
<b>Total Disbursements</b>	<b>4,454.2</b>	<b>34,164.7</b>	<b>5,085.2</b>	<b>23,497.6</b>	<b>1,131.9</b>	<b>3,727.3</b>	<b>10,671.3</b>	<b>61,389.6</b>	<b>3,101.4</b>	<b>29,298.2</b>	<b>879.6</b>	<b>5,756.7</b>	<b>--</b>	<b>--</b>	<b>14,652.3</b>	<b>96,444.5</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(1,865.3)</b>	<b>(3,337.7)</b>	<b>(765.0)</b>	<b>(5,573.6)</b>	<b>199.1</b>	<b>6,288.2</b>	<b>(2,431.2)</b>	<b>(2,623.1)</b>	<b>998.9</b>	<b>3,071.5</b>	<b>(195.7)</b>	<b>(570.8)</b>	<b>--</b>	<b>--</b>	<b>(1,628.0)</b>	<b>(122.4)</b>
<b>OTHER FINANCING SOURCES (USES):</b>																
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	352.1	352.1	--	--	352.1	352.1
Transfers from Other Funds (5)	1,269.8	8,410.5	591.5	5,789.9	380.3	4,936.5	2,241.6	19,136.9	--	--	72.6	481.6	(34.9)	(520.7)	2,279.3	19,097.8
Transfers to Other Funds (5)	(353.7)	(4,134.1)	(32.3)	(508.2)	(1,531.7)	(10,931.0)	(1,917.7)	(15,573.3)	(303.8)	(3,100.0)	(94.5)	(975.0)	34.9	520.7	(2,281.1)	(19,127.6)
<b>Total Other Financing Sources (Uses)</b>	<b>916.1</b>	<b>4,276.4</b>	<b>559.2</b>	<b>5,281.7</b>	<b>(1,151.4)</b>	<b>(5,994.5)</b>	<b>323.9</b>	<b>3,563.6</b>	<b>(303.8)</b>	<b>(3,100.0)</b>	<b>330.2</b>	<b>(141.3)</b>	<b>--</b>	<b>--</b>	<b>350.3</b>	<b>322.3</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(949.2)</b>	<b>938.7</b>	<b>(205.8)</b>	<b>(291.9)</b>	<b>(952.3)</b>	<b>293.7</b>	<b>(2,107.3)</b>	<b>940.5</b>	<b>695.1</b>	<b>(28.5)</b>	<b>134.5</b>	<b>(712.1)</b>	<b>--</b>	<b>--</b>	<b>(1,277.7)</b>	<b>199.9</b>
<b>Beginning Fund Balances (Deficit)</b>	<b>3,264.0</b>	<b>1,376.1</b>	<b>2,052.6</b>	<b>2,138.7</b>	<b>1,700.0</b>	<b>454.0</b>	<b>7,016.6</b>	<b>3,968.8</b>	<b>(713.0)</b>	<b>10.6</b>	<b>(1,013.7)</b>	<b>(167.1)</b>	<b>--</b>	<b>--</b>	<b>5,289.9</b>	<b>3,812.3</b>
<b>Ending Fund Balances (Deficit)</b>	<b>\$2,314.8</b>	<b>\$2,314.8</b>	<b>\$1,846.8</b>	<b>\$1,846.8</b>	<b>\$747.7</b>	<b>\$747.7</b>	<b>\$4,909.3</b>	<b>\$4,909.3</b>	<b>(\$17.9)</b>	<b>(\$17.9)</b>	<b>(\$879.2)</b>	<b>(\$879.2)</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$4,012.2</b>	<b>\$4,012.2</b>

(\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds. Federal Special Revenue Funds account for all non-capital federal operating grants received by the State. Capital Projects Funds includes all capital activities regardless of funding source.

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)**

**EXHIBIT A**

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF DEC. 2011	9 MOS. ENDED DEC. 31, 2011	MONTH OF DEC. 2011	9 MOS. ENDED DEC. 31, 2011	MONTH OF DEC. 2011	9 MOS. ENDED DEC. 31, 2011	MONTH OF DEC. 2011	9 MOS. ENDED DEC. 31, 2011	MONTH OF DEC. 2011	9 MOS. ENDED DEC. 31, 2011	MONTH OF DEC. 2010	9 MOS. ENDED DEC. 31, 2010	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>														
Personal Income Tax	\$179.5	\$17,282.9	\$2,593.6	\$3,205.5	\$924.4	\$6,829.5	\$ --	\$ --	\$3,697.5	\$27,317.9	\$3,869.6	\$24,430.9	\$2,887.0	11.8%
Consumption/Use Taxes	915.5	6,835.5	189.7	1,678.3	283.9	2,088.7	75.9	460.4	1,465.0	11,062.9	1,480.7	10,713.1	349.8	3.3%
Business Taxes	1,163.4	3,722.8	235.2	1,007.3	--	--	62.9	463.2	1,461.5	5,193.3	1,437.6	4,848.5	344.8	7.1%
Other Taxes	77.6	869.0	82.0	960.6	35.5	405.2	11.9	83.4	207.0	2,318.2	231.5	2,254.9	63.3	2.8%
Miscellaneous Receipts (8)	238.1	2,070.2	1,229.8	11,202.3	87.2	649.6	337.4	2,672.0	1,892.5	16,594.1	1,980.4	16,449.0	145.1	0.9%
Federal Receipts (1)	14.8	46.6	4,090.2	32,239.7	--	42.5	195.8	1,506.9	4,300.8	33,835.7	5,364.6	37,465.4	(3,629.7)	-9.7%
<b>Total Receipts</b>	<b>2,588.9</b>	<b>30,827.0</b>	<b>8,420.5</b>	<b>50,293.7</b>	<b>1,331.0</b>	<b>10,015.5</b>	<b>683.9</b>	<b>5,185.9</b>	<b>13,024.3</b>	<b>96,322.1</b>	<b>14,364.4</b>	<b>96,161.8</b>	<b>160.3</b>	<b>0.2%</b>
<b>DISBURSEMENTS:</b>														
Local Assistance Grants: (1)(2)(7)														
General Purpose	203.0	816.8	--	--	--	--	--	--	203.0	816.8	218.4	835.8	(19.0)	-2.3%
Education	1,824.3	12,314.1	3,184.0	9,203.9	--	--	0.4	35.5	5,008.7	21,553.5	2,830.5	21,687.9	(134.4)	-0.6%
Social Services:														
Medicaid (6)	1,046.6	9,156.1	1,870.6	22,451.4	--	--	--	--	2,917.2	31,607.5	4,734.7	31,716.1	(108.6)	-0.3%
Other Social Services	132.8	2,031.8	498.8	3,583.7	--	--	--	10.8	631.6	5,626.3	1,235.0	5,640.9	(14.6)	-0.3%
Health and Environment (6)	131.6	992.1	381.7	2,135.6	--	--	40.9	324.2	554.2	3,451.9	370.6	2,997.2	454.7	15.2%
Mental Hygiene	0.9	27.6	288.2	1,337.7	--	--	5.6	47.8	294.7	1,413.1	225.5	1,346.5	66.6	4.9%
Transportation	14.6	88.3	676.0	3,450.8	--	--	263.8	556.2	954.4	4,095.3	1,047.9	3,926.3	169.0	4.3%
Criminal Justice	6.0	85.7	79.0	326.2	--	--	--	--	85.0	411.9	40.6	294.3	117.6	40.0%
Emergency Management & Security Services	3.1	9.6	14.8	66.9	--	--	--	--	17.9	76.5	4.5	107.4	(30.9)	-28.8%
Miscellaneous	58.2	309.4	96.2	644.0	--	--	28.8	805.9	183.2	1,759.3	275.4	1,794.3	(35.0)	-2.0%
Total Local Assistance Grants	3,421.1	25,831.5	7,089.3	43,200.2	--	--	339.5	1,780.4	10,849.9	70,812.1	10,983.1	70,346.7	465.4	0.7%
Departmental Operations:														
Personal Service	555.8	4,633.3	592.2	4,855.6	--	--	--	--	1,148.0	9,488.9	1,222.7	9,824.9	(336.0)	-3.4%
Non-Personal Service	154.3	1,193.7	404.7	3,190.9	13.2	36.7	--	--	572.2	4,421.3	474.4	4,180.1	241.2	5.8%
General State Charges	323.0	2,506.2	99.5	1,543.9	--	--	--	--	422.5	4,050.1	544.1	3,821.2	228.9	6.0%
Debt Service, Including Payments on														
Financing Agreements (3)	--	--	--	--	1,118.7	3,690.6	--	--	1,118.7	3,690.6	1,038.4	3,542.0	148.6	4.2%
Capital Projects (4)	--	--	0.9	5.2	--	--	540.1	3,976.3	541.0	3,981.5	449.7	3,890.8	90.7	2.3%
Total Disbursements	4,454.2	34,164.7	8,186.6	52,795.8	1,131.9	3,727.3	879.6	5,756.7	14,652.3	96,444.5	14,712.4	95,605.7	838.8	0.9%
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(1,865.3)</b>	<b>(3,337.7)</b>	<b>233.9</b>	<b>(2,502.1)</b>	<b>199.1</b>	<b>6,288.2</b>	<b>(195.7)</b>	<b>(570.8)</b>	<b>(1,628.0)</b>	<b>(122.4)</b>	<b>(348.0)</b>	<b>556.1</b>	<b>(678.5)</b>	<b>-122.0%</b>
<b>OTHER FINANCING SOURCES (USES):</b>														
Bond Proceeds (net)	--	--	--	--	--	--	352.1	352.1	352.1	352.1	--	--	352.1	100.0%
Transfers from Other Funds (5)	1,269.8	8,410.5	556.6	5,269.2	380.3	4,936.5	72.6	481.6	2,279.3	19,097.8	2,440.5	18,700.8	397.0	2.1%
Transfers to Other Funds (5)	(353.7)	(4,134.1)	(301.2)	(3,087.5)	(1,531.7)	(10,931.0)	(94.5)	(975.0)	(2,281.1)	(19,127.6)	(2,440.7)	(18,757.6)	370.0	2.0%
Total Other Financing Sources (Uses)	916.1	4,276.4	255.4	2,181.7	(1,151.4)	(5,994.5)	330.2	(141.3)	350.3	322.3	(0.2)	(56.8)	379.1	667.4%
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(949.2)</b>	<b>938.7</b>	<b>489.3</b>	<b>(320.4)</b>	<b>(952.3)</b>	<b>293.7</b>	<b>134.5</b>	<b>(712.1)</b>	<b>(1,277.7)</b>	<b>199.9</b>	<b>(348.2)</b>	<b>499.3</b>	<b>(299.4)</b>	<b>-60.0%</b>
<b>Beginning Fund Balances (Deficit)</b>	<b>3,264.0</b>	<b>1,376.1</b>	<b>1,339.6</b>	<b>2,149.3</b>	<b>1,700.0</b>	<b>454.0</b>	<b>(1,013.7)</b>	<b>(167.1)</b>	<b>5,289.9</b>	<b>3,812.3</b>	<b>5,707.6</b>	<b>4,860.1</b>	<b>(1,047.8)</b>	<b>-21.6%</b>
<b>Ending Fund Balances (Deficit)</b>	<b>\$2,314.8</b>	<b>\$2,314.8</b>	<b>\$1,828.9</b>	<b>\$1,828.9</b>	<b>\$747.7</b>	<b>\$747.7</b>	<b>(\$879.2)</b>	<b>(\$879.2)</b>	<b>\$4,012.2</b>	<b>\$4,012.2</b>	<b>\$5,359.4</b>	<b>\$5,359.4</b>	<b>(\$1,347.2)</b>	<b>-25.1%</b>

**GOVERNMENTAL FUNDS FOOTNOTES**

December 2011 - Exhibit A Notes

1. On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct federal aid for fiscal relief consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Information on state disbursements resulting from ARRA can be found in Appendix C of this report.

2. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in January 2012:

Federal DHHS	\$145.2 million
Federal USDA/Food and Consumer Services	38.4
Federal DHHS/Block Grant	--
Federal Education	15.1
Federal Miscellaneous Operating Grants	--
Federal Employment and Training Grants	--

3. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to **Schedule 5 and Schedule 5a**.

4. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$14.3 million
Urban Development Corporation (Youth Facilities)	5.8
Housing Finance Agency (HFA)	165.9
Housing Assistance Fund	21.0
Dormitory Authority (Mental Hygiene)	356.1
Dormitory Authority and State University Income Fund	75.3
Federal Capital Projects	78.3
State bond and note proceeds	77.8

5. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

**General Fund** "Transfers to Other Funds" are as follows:

State Capital Projects	\$281.9 million
General Debt Service	1,182.1
MTA Financial Assistance	17.0
MTA Operating Assistance	46.0
Crimes Against Revenue Account	6.0
Financial Management Systems Account	27.0
Housing Debt Fund	4.9
Banking Services	58.6
Alcoholic Beverage Control Account	15.7
Court Facilities Incentive Aid	104.3
State University Income	50.5
Indigent Legal Services	40.3
NYC County Courts Operating	8.6
Centralized Services Fund	7.7

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$16.8m), the State University Income Funds (\$208.2m) and the Mental Hygiene Program Account (\$2,057.3m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of December 31, 2011 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves, and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

**Special Revenue Funds** "Transfers To Other Funds" includes transfers to Debt Service Funds of \$2,578.5m representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities; transfers to Debt Service Funds of \$165.0m representing payments for MTA State Service Contract Bonds; and transfers to Capital Projects Funds of \$146.0m.

Also included in Special Revenue funds are transfers to the General Fund from the following:

SUNY Income Fund	\$28.6 million
Tribal State Compact Revenue	43.6
Revenue Arrearage Account	21.6
Business and Licensing Services Account	35.0
Youth Facilities Per Diem	14.6
Statewide Public Safety Communications	10.0
MTA Operating Assistance	10.0
Miscellaneous State Special Revenue Fund	6.9

**Debt Service Funds** "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$5,880.2 million
Local Government Assistance Tax	2,013.5
Clean Water/Clean Air	325.1

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$111.4m), Mental Hygiene (\$2,310.4m) and the State University (\$236.1m).

**Capital Projects Funds** "Transfers To Other Funds" includes transfers to the General Fund (\$16.2m) the General Debt Service Fund (\$893.9m) and the Revenue Bond Tax Fund (\$60.4m).

6. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. Accounting entries have been made to reduce medical assistance spending and count these monies as financial resources of the funds shown.

	Allocation of Month-End Balances	
	General Fund	Special Revenue- Federal
Medicaid Recoveries - Health Facilities	\$ --	\$3,726,335
Medicaid Recoveries - Audit	--	13,403,525
Medicaid Recoveries - Third Parties	4,122,903	3,961,220
Pharmacy Rebates	11,260,463	10,159,478
Medicare Catastrophic Recovery	--	--
Medicaid "Windfall" Recovery	--	--
Total	<u>\$15,383,366</u>	<u>\$31,250,558</u>

**GOVERNMENTAL FUNDS FOOTNOTES (continued)**

December 2011 - **Exhibit A Notes**  
(Continued)

7. A portion of Personal Income Tax receipts is also transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. Local Assistance Education grant payments total \$391.6m for the month of June, \$178.0m in September, \$6.5m in October, \$35.8m in November and \$2,593.6m in December.

**GOVERNMENTAL FUNDS FOOTNOTES (continued)**

8. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	9 Months Ended December 31		\$ Increase/ (Decrease)
					2011	2010	
	(amounts in millions)						
<b>Abandoned Property</b>							
Abandoned Property	\$525.4	\$ --	\$ --	\$ --	\$525.4	\$373.8	\$151.6
Unclaimed Bottle Deposits	81.2	--	--	--	81.2	94.9	(13.7)
<b>Interest Earnings</b>	4.4	17.1	0.4	0.5	22.4	19.7	2.7
<b>Receipts from Public Authorities:</b>							
Bond Issuance Fees	61.4	7.2	--	--	68.6	79.5	(10.9)
Cost Recovery Assessments	7.1	20.4	--	--	27.5	13.3	14.2
Empire State/Urban Development Corporation	--	1.4	--	--	1.4	3.9	(2.5)
Energy Research and Development Authority	--	--	--	--	--	0.4	(0.4)
Environmental Facilities Corporation	--	7.0	--	--	7.0	6.3	0.7
Genesee Valley Regional Marketing Authority	--	--	--	--	--	12.0	(12.0)
Hudson River Park Trust	--	--	--	--	--	4.3	(4.3)
Power Authority	47.5	0.7	--	0.2	48.4	43.2	5.2
Thruway Authority - Policing the Thruway	--	34.5	--	--	34.5	36.9	(2.4)
<b>Bond Proceeds</b>							
Dormitory Authority	--	35.4	--	926.4	961.8	799.9	161.9
Empire State/Urban Development Corporation	--	--	--	693.8	693.8	916.2	(222.4)
Environmental Facilities Corporation	--	--	--	1.2	1.2	3.8	(2.6)
Housing Finance Agency	--	--	--	81.8	81.8	95.3	(13.5)
Thruway Authority	--	--	--	249.4	249.4	271.0	(21.6)
All Other	--	1.0	--	0.1	1.1	0.9	0.2
<b>Refunds and Reimbursements:</b>							
Receipts from Municipalities	132.9	75.3	7.7	1.0	216.9	343.8	(126.9)
Women, Infants and Children Rebates	--	79.9	--	--	79.9	75.3	4.6
HESC Student Loan Recoveries	--	55.0	--	--	55.0	56.7	(1.7)
Administrative Recoveries	60.1	72.1	--	--	132.2	114.8	17.4
Indirect Cost Assessments	105.0	--	--	--	105.0	79.6	25.4
Reimbursements from Cornell University	15.2	--	--	--	15.2	15.0	0.2
Hazardous Waste and Oil Spill	--	6.3	--	9.5	15.8	13.8	2.0
Third Party Recoveries	--	45.7	--	--	45.7	51.7	(6.0)
All Other	11.8	10.6	(0.1)	6.4	28.7	76.3	(47.6)
<b>Health Care Reform Act:</b>							
Public Goods and Health Care Initiatives Pools	--	3,091.2	--	--	3,091.2	3,003.7	87.5
<b>Revenues of State Departments:</b>							
Patient/Client Care Reimbursements	--	1,360.5	340.9	--	1,701.4	1,306.3	395.1
Medical Care Provider Assessments	147.0	635.9	--	--	782.9	676.0	106.9
Industry Assessments - Regular	--	659.7	--	55.1	714.8	834.0	(119.2)
Industry Assessments - Temporary Utility Surcharge	260.0	--	--	--	260.0	212.7	47.3
Student Tuition, Fees and Other SUNY Revenues	--	1,299.1	300.7	--	1,599.8	1,519.3	80.5
Student Tuition, Fees and Other CUNY Revenues	--	109.6	--	--	109.6	80.0	29.6
EPIC Fees and Rebates	--	122.0	--	--	122.0	147.6	(25.6)
Miscellaneous Sales, Rentals and Leases	4.3	19.2	--	8.7	32.2	33.5	(1.3)
Gifts	0.2	5.3	--	--	5.5	3.5	2.0
All Other	(4.0)	42.4	--	0.3	38.7	25.6	13.1
<b>Gaming:</b>							
Lottery - Education	--	1,289.3	--	--	1,289.3	1,290.7	(1.4)
Lottery - Administration	--	388.4	--	--	388.4	390.2	(1.8)
Video Lottery Terminal - Education	--	446.6	--	--	446.6	760.8	(314.2)
Video Lottery Terminal - Administration	--	25.5	--	--	25.5	22.6	2.9
Casinos	--	--	--	--	--	4.6	(4.6)
<b>Licenses</b>	14.8	138.2	--	0.4	153.4	161.3	(7.9)
<b>Fees</b>							
Motor Vehicle - Other	35.5	172.8	--	540.9	749.2	728.9	20.3
Motor Vehicle - Metropolitan Transportation Authority	--	143.8	--	--	143.8	140.9	2.9
Alcohol Beverage Control Licensing	45.3	--	--	--	45.3	33.5	11.8
All Other	295.2	676.8	--	85.7	1,057.7	1,055.1	2.6
<b>Fines</b>	219.9	106.4	--	10.6	336.9	415.9	(79.0)
<b>TOTAL</b>	<b>\$2,070.2</b>	<b>\$11,202.3</b>	<b>\$649.6</b>	<b>\$2,672.0</b>	<b>\$16,594.1</b>	<b>\$16,449.0</b>	<b>\$145.1</b>

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF DEC. 2011	9 MOS. ENDED DEC. 31, 2011	MONTH OF DEC. 2011	9 MOS. ENDED DEC. 31, 2011	MONTH OF DEC. 2011	9 MOS. ENDED DEC. 31, 2011	MONTH OF DEC. 2010	9 MOS. ENDED DEC. 31, 2010
<b>RECEIPTS:</b>								
Miscellaneous Receipts	\$7.2	\$180.5	\$9.3	\$278.2	\$16.5	\$458.7	\$37.4	\$345.4
Federal Receipts (*)	299.6	2,949.2	--	--	299.6	2,949.2	472.1	4,427.3
Unemployment Taxes	292.1	2,484.9	--	--	292.1	2,484.9	333.2	2,795.5
<b>TOTAL RECEIPTS</b>	<b>598.9</b>	<b>5,614.6</b>	<b>9.3</b>	<b>278.2</b>	<b>608.2</b>	<b>5,892.8</b>	<b>842.7</b>	<b>7,568.2</b>
<b>DISBURSEMENTS:</b>								
Departmental Operations:								
Personal Service	0.3	4.4	8.4	80.2	8.7	84.6	10.1	90.7
Non-Personal Service	4.6	137.7	24.4	252.1	29.0	389.8	30.6	303.0
General State Charges	--	1.1	1.7	40.6	1.7	41.7	2.5	42.1
Unemployment Benefits (*)	571.6	5,400.8	--	--	571.6	5,400.8	715.4	7,137.5
<b>TOTAL DISBURSEMENTS</b>	<b>576.5</b>	<b>5,544.0</b>	<b>34.5</b>	<b>372.9</b>	<b>611.0</b>	<b>5,916.9</b>	<b>758.6</b>	<b>7,573.3</b>
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	<b>22.4</b>	<b>70.6</b>	<b>(25.2)</b>	<b>(94.7)</b>	<b>(2.8)</b>	<b>(24.1)</b>	<b>84.1</b>	<b>(5.1)</b>
<b>OTHER FINANCING SOURCES (USES):</b>								
Transfers from Other Funds	--	--	23.4	82.8	23.4	82.8	21.5	81.2
Transfers to Other Funds	--	--	(21.7)	(28.2)	(21.7)	(28.2)	(21.3)	(24.3)
<b>NET SOURCES (USES)</b>	<b>--</b>	<b>--</b>	<b>1.7</b>	<b>54.6</b>	<b>1.7</b>	<b>54.6</b>	<b>0.2</b>	<b>56.9</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	22.4	70.6	(23.5)	(40.1)	(1.1)	30.5	84.3	51.8
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	<b>69.1</b>	<b>20.9</b>	<b>12.4</b>	<b>29.0</b>	<b>81.5</b>	<b>49.9</b>	<b>(78.5)</b>	<b>(46.0)</b>
<b>ENDING FUND EQUITY (DEFICITS)</b>	<b>\$91.5</b>	<b>\$91.5</b>	<b>(\$11.1)</b>	<b>(\$11.1)</b>	<b>\$80.4</b>	<b>\$80.4</b>	<b>\$5.8</b>	<b>\$5.8</b>

(\*) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

STATE OF NEW YORK  
TRUST FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS (memorandum only)			
	MONTH OF DEC. 2011	9 MOS. ENDED DEC. 31, 2011	MONTH OF DEC. 2011	9 MOS. ENDED DEC. 31, 2011	MONTH OF DEC. 2011	9 MOS. ENDED DEC. 31, 2011	MONTH OF DEC. 2010	9 MOS. ENDED DEC. 31, 2010
<b>RECEIPTS:</b>								
Miscellaneous Receipts	\$4.9	\$72.2	\$0.1	\$1.0	\$5.0	\$73.2	\$6.3	\$76.2
<b>TOTAL RECEIPTS</b>	<u>4.9</u>	<u>72.2</u>	<u>0.1</u>	<u>1.0</u>	<u>5.0</u>	<u>73.2</u>	<u>6.3</u>	<u>76.2</u>
<b>DISBURSEMENTS:</b>								
Departmental Operations:								
Personal Service	4.1	39.7	--	0.2	4.1	39.9	4.4	41.7
Non-Personal Service	0.9	14.2	--	--	0.9	14.2	1.6	14.1
General State Charges	--	19.7	0.1	0.1	0.1	19.8	--	20.4
<b>TOTAL DISBURSEMENTS</b>	<u>5.0</u>	<u>73.6</u>	<u>0.1</u>	<u>0.3</u>	<u>5.1</u>	<u>73.9</u>	<u>6.0</u>	<u>76.2</u>
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	<u>(0.1)</u>	<u>(1.4)</u>	<u>--</u>	<u>0.7</u>	<u>(0.1)</u>	<u>(0.7)</u>	<u>0.3</u>	<u>--</u>
<b>OTHER FINANCING SOURCES (USES):</b>								
Transfers from Other Funds	--	--	--	--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--
<b>NET SOURCES (USES)</b>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(0.1)	(1.4)	--	0.7	(0.1)	(0.7)	0.3	--
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	<u>0.1</u>	<u>1.4</u>	<u>10.0</u>	<u>9.3</u>	<u>10.1</u>	<u>10.7</u>	<u>9.0</u>	<u>9.3</u>
<b>ENDING FUND EQUITY (DEFICITS)</b>	<u>\$ --</u>	<u>\$ --</u>	<u>\$10.0</u>	<u>\$10.0</u>	<u>\$10.0</u>	<u>\$10.0</u>	<u>\$9.3</u>	<u>\$9.3</u>



STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2012  
 FOR NINE (9) MONTHS ENDED DECEMBER 31, 2011  
 (amounts in millions)

**EXHIBIT D**

	ALL GOVERNMENTAL FUNDS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
<b>RECEIPTS:</b>			
Taxes.....	\$46,123	\$45,892.3	(\$230.7)
Miscellaneous Receipts.....	16,937	16,594.1	(342.9)
Federal Receipts.....	32,466	33,835.7	1,369.7
<b>Total Receipts.....</b>	<b>95,526</b>	<b>96,322.1</b>	<b>796.1</b>
<b>DISBURSEMENTS:</b>			
Local Assistance Grants.....	69,603	70,812.1	1,209.1
Departmental Operations.....	13,906	13,910.2	4.2
General State Charges.....	4,190	4,050.1	(139.9)
Debt Service.....	3,706	3,690.6	(15.4)
Capital Projects.....	3,849	3,981.5	132.5
<b>Total Disbursements.....</b>	<b>95,254</b>	<b>96,444.5</b>	<b>1,190.5</b>
<b>Excess (Deficiency) of Receipts over Disbursements.....</b>	<b>272</b>	<b>(122.4)</b>	<b>(394.4)</b>
<b>OTHER FINANCING SOURCES (USES):</b>			
Bond and Note Proceeds, net.....	123	352.1	229.1
Transfers from Other Funds.....	19,689	19,097.8	(591.2)
Transfers to Other Funds.....	(19,698)	(19,127.6)	(570.4)
<b>Total Other Financing Sources (Uses).....</b>	<b>114.0</b>	<b>322.3</b>	<b>208.3</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>386</b>	<b>199.9</b>	<b>(186.1)</b>
<b>Fund Balances (Deficit) at April 1.....</b>	<b>3,812</b>	<b>3,812.3</b>	<b>0.3</b>
<b>Fund Balances (Deficit) at December 31.....</b>	<b>\$4,198</b>	<b>\$4,012.2</b>	<b>(\$185.8)</b>

(\*) Source: DOB 2011-12 Financial Plan Mid-Year Update issued November 14, 2011.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2012  
 FOR NINE (9) MONTHS ENDED DECEMBER 31, 2011  
 (amounts in millions)

**EXHIBIT D**  
 (continued)

	GENERAL			SPECIAL REVENUE		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
<b>RECEIPTS:</b>						
Taxes:						
Personal Income .....	\$17,395	\$17,282.9	(\$112.1)	\$3,293	\$3,205.5	(\$87.5)
Consumption/Use .....	6,790	6,835.5	45.5	1,684	1,678.3	(5.7)
Business.....	3,777	3,722.8	(54.2)	979	1,007.3	28.3
Other.....	849	869.0	20.0	976	960.6	(15.4)
Miscellaneous Receipts .....	1,894	2,070.2	176.2	11,441	11,202.3	(238.7)
Federal Receipts.....	47	46.6	(0.4)	30,810	32,239.7	1,429.7
Bond and Note Proceeds, net.....	--	--	--	--	--	--
Transfers From:						
PIT in excess of Revenue Bond Debt Service.....	5,943	5,880.2	(62.8)	--	--	--
Sales Tax in excess of LGAC Debt Service.....	2,004	2,013.5	9.5	--	--	--
Real Estate Taxes in excess of CW/CA Debt Service.....	322	325.1	3.1	--	--	--
All Other.....	206	191.7	(14.3)	5,836	5,269.2	(566.8)
<b>Total Receipts.....</b>	<b>39,227</b>	<b>39,237.5</b>	<b>10.5</b>	<b>55,019</b>	<b>55,562.9</b>	<b>543.9</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants.....	26,109	25,831.5	(277.5)	41,742	43,200.2	1,458.2
Departmental Operations.....	5,766	5,827.0	61.0	8,105	8,046.5	(58.5)
General State Charges.....	2,675	2,506.2	(168.8)	1,515	1,543.9	28.9
Debt Service.....	--	--	--	--	--	--
Capital Projects.....	--	--	--	3	5.2	2.2
Transfers To:						
Debt Service.....	1,186	1,182.1	(3.9)	--	--	--
Capital Projects.....	247	281.9	34.9	--	--	--
State Share Medicaid.....	2,086	2,282.2 (**)	196.2	--	--	--
Other Purposes.....	572	387.9	(184.1)	3,583	3,087.5	(495.5)
<b>Total Disbursements.....</b>	<b>38,641</b>	<b>38,298.8</b>	<b>(342.2)</b>	<b>54,948</b>	<b>55,883.3</b>	<b>935.3</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>586</b>	<b>938.7</b>	<b>352.7</b>	<b>71</b>	<b>(320.4)</b>	<b>(391.4)</b>
<b>Fund Balances (Deficit) at April 1.....</b>	<b>1,376</b>	<b>1,376.1</b>	<b>0.1</b>	<b>2,149</b>	<b>2,149.3</b>	<b>0.3</b>
<b>Fund Balances (Deficit) at December 31.....</b>	<b>\$1,962</b>	<b>\$2,314.8</b>	<b>\$352.8</b>	<b>\$2,220</b>	<b>\$1,828.9</b>	<b>(\$391.1)</b>

(\*) Source: DOB 2011-12 Financial Plan Mid-Year Update issued November 14, 2011.

(\*\*) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2012  
 FOR NINE (9) MONTHS ENDED DECEMBER 31, 2011  
 (amounts in millions)

**EXHIBIT D**  
 (continued)

	DEBT SERVICE			CAPITAL PROJECTS		
	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan	Financial Plan (*)	Actual	Actual Over (Under) Financial Plan
<b>RECEIPTS:</b>						
Taxes .....	\$9,378	\$9,323.4	(\$54.6)	\$1,002	\$1,007.0	\$5.0
Miscellaneous Receipts .....	677	649.6	(27.4)	2,925	2,672.0	(253.0)
Federal Receipts.....	43	42.5	(0.5)	1,566	1,506.9	(59.1)
Bond and Note Proceeds, net.....	--	--	--	123	352.1	229.1
Transfers from Other Funds.....	4,936	4,936.5	0.5	442	481.6	39.6
<b>Total Receipts.....</b>	<b>15,034</b>	<b>14,952.0</b>	<b>(82.0)</b>	<b>6,058</b>	<b>6,019.6</b>	<b>(38.4)</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants.....	--	--	--	1,752	1,780.4	28.4
Departmental Operations.....	35	36.7	1.7	--	--	--
General State Charges.....	--	--	--	--	--	--
Debt Service.....	3,706	3,690.6	(15.4)	--	--	--
Capital Projects.....	--	--	--	3,846	3,976.3	130.3
Transfers to Other Funds.....	11,049	10,931.0	(118.0)	975	975.0	--
<b>Total Disbursements.....</b>	<b>14,790</b>	<b>14,658.3</b>	<b>(131.7)</b>	<b>6,573</b>	<b>6,731.7</b>	<b>158.7</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses.....</b>	<b>244</b>	<b>293.7</b>	<b>49.7</b>	<b>(515)</b>	<b>(712.1)</b>	<b>(197.1)</b>
<b>Fund Balances (Deficit) at April 1.....</b>	<b>454</b>	<b>454.0</b>	<b>--</b>	<b>(167)</b>	<b>(167.1)</b>	<b>(0.1)</b>
<b>Fund Balances (Deficit) at December 31.....</b>	<b>\$698</b>	<b>\$747.7</b>	<b>\$49.7</b>	<b>(\$682)</b>	<b>(\$879.2)</b>	<b>(\$197.2)</b>

(\*) Source: DOB 2011-12 Financial Plan Mid-Year Update issued November 14, 2011.

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMPARATIVE SCHEDULE OF TAX RECEIPTS  
(amounts in millions)

EXHIBIT "E"

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF DEC. 2011	9 MOS. ENDED DEC. 31, 2011	MONTH OF DEC. 2011	9 MOS. ENDED DEC. 31, 2011	MONTH OF DEC. 2011	9 MOS. ENDED DEC. 31, 2011	MONTH OF DEC. 2011	9 MOS. ENDED DEC. 31, 2011	MONTH OF DEC. 2011	9 MOS. ENDED DEC. 31, 2011	MONTH OF DEC. 2010	9 MOS. ENDED DEC. 31, 2010	\$ Increase / (Decrease)	% Increase / Decrease
<b>PERSONAL INCOME TAX</b>														
Withholding	\$3,004.7	\$21,183.8	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$3,004.7	\$21,183.8	\$3,121.9	\$20,681.1	\$502.7	2.4%
Estimated payments	731.6	8,768.5	--	--	--	--	--	--	731.6	8,768.5	834.2	6,990.9	1,777.6	25.4%
Final returns	21.3	1,977.1	--	--	--	--	--	--	21.3	1,977.1	21.3	1,827.1	150.0	8.2%
State/City Offsets	(2.5)	(322.5)	--	--	--	--	--	--	(2.5)	(322.5)	2.0	(51.9)	270.6	521.4%
Other (Assessments/LLC)	97.3	696.4	--	--	--	--	--	--	97.3	696.4	91.3	716.8	(20.4)	-2.8%
Gross Receipts	3,852.4	32,303.3	--	--	--	--	--	--	3,852.4	32,303.3	4,070.7	30,164.0	2,139.3	7.1%
Transfers to School Tax Relief Fund	(2,593.6)	(3,205.5)	2,593.6	3,205.5	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(924.4)	(6,829.5)	--	--	924.4	6,829.5	--	--	--	--	--	--	--	--
Less: Refunds Issued	(154.9)	(4,985.4)	--	--	--	--	--	--	(154.9)	(4,985.4)	(201.1)	(5,733.1)	(747.7)	-13.0%
Total	179.5	17,282.9	2,593.6	3,205.5	924.4	6,829.5	--	--	3,697.5	27,317.9	3,869.6	24,430.9	2,887.0	11.8%
<b>CONSUMPTION / USE TAXES</b>														
Sales and Use	851.5	6,283.5	67.4	582.8	283.9	2,088.7	--	--	1,202.8	8,955.0	1,235.1	8,657.1	297.9	3.4%
Auto Rental	--	--	10.5	31.5	--	--	17.6	52.5	28.1	84.0	24.9	74.2	9.8	13.2%
Cigarette/Tobacco Products	36.9	373.1	98.7	918.0	--	--	--	--	135.6	1,291.1	146.1	1,255.8	35.3	2.8%
Motor Fuel	--	--	12.1	80.6	--	--	46.7	304.6	58.8	385.2	42.9	390.3	(5.1)	-1.3%
Alcoholic Beverage	27.1	178.9	--	--	--	--	--	--	27.1	178.9	21.0	174.9	4.0	2.3%
Highway Use	--	--	--	--	--	--	11.6	103.3	11.6	103.3	10.7	99.9	3.4	3.4%
Metropolitan Commuter Trans. Taxicab Trip	--	--	1.0	65.4	--	--	--	--	1.0	65.4	--	60.9	4.5	7.4%
Total	915.5	6,835.5	189.7	1,678.3	283.9	2,088.7	75.9	460.4	1,465.0	11,062.9	1,480.7	10,713.1	349.8	3.3%
<b>BUSINESS TAXES</b>														
Corporation Franchise	483.5	1,758.4	63.3	300.1	--	--	--	--	546.8	2,058.5	706.6	1,886.6	171.9	9.1%
Corporation and Utilities	141.8	387.3	33.8	100.7	--	--	3.3	7.8	178.9	495.8	199.4	516.6	(20.8)	-4.0%
Insurance	222.6	764.3	25.9	90.7	--	--	--	--	248.5	855.0	258.9	810.3	44.7	5.5%
Bank	315.5	812.8	66.1	152.9	--	--	--	--	381.6	965.7	180.7	816.4	149.3	18.3%
Petroleum Business	--	--	46.1	362.9	--	--	59.6	455.4	105.7	818.3	92.0	818.6	(0.3)	--
Total	1,163.4	3,722.8	235.2	1,007.3	--	--	62.9	463.2	1,461.5	5,193.3	1,437.6	4,848.5	344.8	7.1%
<b>OTHER TAXES</b>														
Real Property Gains	--	--	--	--	--	--	--	--	--	--	--	--	--	--
Estate and Gift	76.2	854.7	--	--	--	--	--	--	76.2	854.7	95.5	879.7	(25.0)	-2.8%
Pari-Mutuel	1.3	13.6	--	--	--	--	--	--	1.3	13.6	0.8	13.9	(0.3)	-2.2%
Real Estate Transfer	--	--	--	--	35.5	405.2	11.9	83.4	47.4	488.6	32.3	426.1	62.5	14.7%
Racing and Exhibitions	0.1	0.7	--	--	--	--	--	--	0.1	0.7	--	0.6	0.1	16.7%
Metropolitan Commuter Trans. Mobility	--	--	82.0	960.6	--	--	--	--	82.0	960.6	102.9	934.6	26.0	2.8%
Total	77.6	869.0	82.0	960.6	35.5	405.2	11.9	83.4	207.0	2,318.2	231.5	2,254.9	63.3	2.8%
<b>TOTAL TAX RECEIPTS</b>	<b>\$2,336.0</b>	<b>\$28,710.2</b>	<b>\$3,100.5</b>	<b>\$6,851.7</b>	<b>\$1,243.8</b>	<b>\$9,323.4</b>	<b>\$150.7</b>	<b>\$1,007.0</b>	<b>\$6,831.0</b>	<b>\$45,892.3</b>	<b>\$7,019.4</b>	<b>\$42,247.4</b>	<b>\$3,644.9</b>	<b>8.6%</b>

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS (\*)  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2011-2012  
(amounts in millions)**

**GOVERNMENTAL FUNDS CASH FLOW**

											9 Months Ended Dec. 31					
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>BEGINNING CASH BALANCE</b>	\$3,812.3	\$8,749.4	\$6,033.7	\$5,426.5	\$5,987.2	\$5,531.1	\$6,953.9	\$6,275.7	\$5,289.9				\$3,812.3	\$4,860.1	(\$1,047.8)	-21.6%
<b>RECEIPTS:</b>																
Personal Income Tax	5,537.9	1,428.8	4,002.8	2,200.3	2,437.4	3,822.3	2,062.0	2,128.9	3,697.5				27,317.9	24,430.9	2,887.0	11.8%
Consumption/Use Taxes	1,140.9	1,074.6	1,421.5	1,172.6	1,121.3	1,421.1	1,142.0	1,103.9	1,465.0				11,062.9	10,713.1	349.8	3.3%
Business Taxes	270.3	128.3	1,467.4	69.9	142.2	1,277.4	161.8	214.5	1,461.5				5,193.3	4,848.5	344.8	7.1%
Other Taxes	239.1	309.9	198.5	247.6	330.0	245.0	266.3	274.8	207.0				2,318.2	2,254.9	63.3	2.8%
Miscellaneous Receipts	1,600.5	1,592.4	1,794.2	1,632.0	1,767.0	2,499.3	1,863.9	1,952.3	1,892.5				16,594.1	16,449.0	145.1	0.9%
Federal Receipts	4,110.3	3,072.3	3,986.5	4,135.9	3,511.8	3,797.6	3,225.7	3,694.8	4,300.8				33,835.7	37,465.4	(3,629.7)	-9.7%
<b>Total Receipts</b>	<b>12,899.0</b>	<b>7,606.3</b>	<b>12,870.9</b>	<b>9,458.3</b>	<b>9,309.7</b>	<b>13,062.7</b>	<b>8,721.7</b>	<b>9,369.2</b>	<b>13,024.3</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>96,322.1</b>	<b>96,161.8</b>	<b>160.3</b>	<b>0.2%</b>
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:																
General Purpose	10.7	27.5	474.0	--	2.6	90.3	8.7	--	203.0				816.8	835.8	(19.0)	-2.3%
Education	840.7	3,221.4	3,912.5	786.8	1,134.3	3,682.9	1,527.8	1,438.4	5,008.7				21,553.5	21,687.9	(134.4)	-0.6%
Social Services:																
Medicaid	3,606.7	3,086.3	4,338.2	4,037.2	2,926.7	3,305.2	3,204.8	4,185.2	2,917.2				31,607.5	31,716.1	(108.6)	-0.3%
Other Social Services	415.5	225.6	363.8	668.3	1,161.0	215.8	931.7	1,013.0	631.6				5,626.3	5,640.9	(14.6)	-0.3%
Health and Environment	112.5	387.2	445.2	272.3	632.1	367.4	365.3	315.7	554.2				3,451.9	2,997.2	454.7	15.2%
Mental Hygiene	94.3	87.8	215.4	156.2	96.8	192.0	178.5	97.4	294.7				1,413.1	1,346.5	66.6	4.9%
Transportation	193.8	475.7	416.0	289.9	528.6	251.3	441.8	543.8	954.4				4,095.3	3,926.3	169.0	4.3%
Criminal Justice	45.1	62.7	22.6	28.2	33.5	40.6	58.7	35.5	85.0				411.9	294.3	117.6	40.0%
Emergency Management & Security Services	2.2	(1.7)	1.1	2.5	11.3	27.8	6.8	8.6	17.9				76.5	107.4	(30.9)	-28.8%
Miscellaneous	210.9	253.1	147.8	319.3	174.3	185.8	156.7	128.2	183.2				1,759.3	1,794.3	(35.0)	-2.0%
<b>Total Local Assistance Grants</b>	<b>5,532.4</b>	<b>7,825.6</b>	<b>10,336.6</b>	<b>6,560.7</b>	<b>6,701.2</b>	<b>8,359.1</b>	<b>6,880.8</b>	<b>7,765.8</b>	<b>10,849.9</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>70,812.1</b>	<b>70,346.7</b>	<b>465.4</b>	<b>0.7%</b>
Departmental Operations:																
Personal Service	1,046.9	979.3	1,134.5	965.1	1,287.9	995.2	966.4	965.6	1,148.0				9,488.9	9,824.9	(336.0)	-3.4%
Non-Personal Service	450.1	442.7	527.0	391.9	504.8	520.8	530.8	481.0	572.2				4,421.3	4,180.1	241.2	5.8%
General State Charges	451.9	424.0	440.5	464.0	483.1	470.2	414.0	479.9	422.5				4,050.1	3,821.2	228.9	6.0%
Debt Service, Including Payments on																
Financing Agreements	157.4	293.2	566.2	102.2	285.7	877.6	103.7	185.9	1,118.7				3,690.6	3,542.0	148.6	4.2%
Capital Projects	317.1	350.1	475.0	403.6	500.1	422.9	499.2	472.5	541.0				3,981.5	3,890.8	90.7	2.3%
<b>Total Disbursements</b>	<b>7,955.8</b>	<b>10,314.9</b>	<b>13,479.8</b>	<b>8,887.5</b>	<b>9,762.8</b>	<b>11,645.8</b>	<b>9,394.9</b>	<b>10,350.7</b>	<b>14,652.3</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>96,444.5</b>	<b>95,605.7</b>	<b>838.8</b>	<b>0.9%</b>
Excess (Deficiency) of Receipts over Disbursements	4,943.2	(2,708.6)	(608.9)	570.8	(453.1)	1,416.9	(673.2)	(981.5)	(1,628.0)	0.0	0.0	0.0	(122.4)	556.1	(678.5)	-122.0%
<b>OTHER FINANCING SOURCES (USES):</b>																
Bond Proceeds (net)	--	--	--	--	--	--	--	--	352.1				352.1	--	352.1	100.0%
Transfers from Other Funds	3,470.6	1,335.0	2,504.1	1,992.0	1,930.5	2,112.2	1,946.8	1,527.3	2,279.3				19,097.8	18,700.8	397.0	2.1%
Transfers to Other Funds	(3,476.7)	(1,342.1)	(2,502.4)	(2,002.1)	(1,933.5)	(2,106.3)	(1,951.8)	(1,531.6)	(2,281.1)				(19,127.6)	(18,757.6)	370.0	2.0%
<b>Total Other Financing Sources (Uses)</b>	<b>(6.1)</b>	<b>(7.1)</b>	<b>1.7</b>	<b>(10.1)</b>	<b>(3.0)</b>	<b>5.9</b>	<b>(5.0)</b>	<b>(4.3)</b>	<b>350.3</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>322.3</b>	<b>(56.8)</b>	<b>379.1</b>	<b>667.4%</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,937.1	(2,715.7)	(607.2)	560.7	(456.1)	1,422.8	(678.2)	(985.8)	(1,277.7)				199.9	499.3	(299.4)	-60.0%
<b>CLOSING CASH BALANCE</b>	<b>\$8,749.4</b>	<b>\$6,033.7</b>	<b>\$5,426.5</b>	<b>\$5,987.2</b>	<b>\$5,531.1</b>	<b>\$6,953.9</b>	<b>\$6,275.7</b>	<b>\$5,289.9</b>	<b>\$4,012.2</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$4,012.2</b>	<b>\$5,359.4</b>	<b>(\$1,347.2)</b>	<b>-25.1%</b>

(\*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK  
 GOVERNMENTAL FUNDS (\*)  
 CASH FLOW SCHEDULE OF TAX RECEIPTS  
 FISCAL YEAR 2011-2012  
 (amounts in millions)

GOVERNMENTAL FUNDS CASH FLOW  
 TAX RECEIPTS

												9 Months Ended Dec. 31				
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010	\$ Increase / (Decrease)	% Increase / Decrease
<b>PERSONAL INCOME TAX</b>																
Withholdings	\$2,303.4	\$2,222.9	\$2,430.2	\$2,156.5	\$2,417.4	\$2,113.7	\$2,127.6	\$2,407.4	\$3,004.7				\$21,183.8	\$20,681.1	\$502.7	2.4%
Estimated payments	4,184.4	89.8	1,609.6	78.6	67.8	1,751.2	129.5	126.0	731.6				8,768.5	6,990.9	1,777.6	25.4%
Final returns	1,440.7	50.4	36.7	27.0	27.7	45.1	247.7	80.5	21.3				1,977.1	1,827.1	150.0	8.2%
State/City Offsets	(56.8)	(2.1)	2.8	(6.9)	(6.4)	(16.0)	(193.1)	(41.5)	(2.5)				(322.5)	(51.9)	270.6	521.4%
Other (Assessments/LLC)	123.7	99.2	91.9	55.7	49.7	50.2	61.1	67.6	97.3				696.4	716.8	(20.4)	-2.8%
Gross Receipts	7,995.4	2,460.2	4,171.2	2,310.9	2,556.2	3,944.2	2,372.8	2,640.0	3,852.4	0.0	0.0	0.0	32,303.3	30,164.0	2,139.3	7.1%
Transfers to School Tax Relief Fund	--	--	--	--	--	--	--	--	--				--	--	--	--
Transfers to Revenue Bond Tax Fund	--	--	--	--	--	--	--	--	--				--	--	--	--
Refunds issued	(2,457.5)	(1,031.4)	(168.4)	(110.6)	(118.8)	(121.9)	(310.8)	(511.1)	(154.9)				(4,985.4)	(5,733.1)	(747.7)	-13.0%
Total Personal Income Tax	5,537.9	1,428.8	4,002.8	2,200.3	2,437.4	3,822.3	2,062.0	2,128.9	3,697.5	0.0	0.0	0.0	27,317.9	24,430.9	2,887.0	11.8%
<b>CONSUMPTION/USE TAXES</b>																
Sales and Use	922.1	869.1	1,179.3	913.1	893.1	1,161.3	910.4	903.8	1,202.8				8,955.0	8,657.1	297.9	3.4%
Auto Rental	(0.4)	--	23.1	--	--	33.1	--	0.1	28.1				84.0	74.2	9.8	13.2%
Cigarette/Tobacco Products	132.5	136.2	148.3	153.9	155.3	149.6	138.9	140.8	135.6				1,291.1	1,255.8	35.3	2.8%
Motor Fuel	36.0	37.7	42.8	46.3	44.4	44.2	42.3	32.7	58.8				385.2	390.3	(5.1)	-1.3%
Alcoholic Beverage	18.6	18.5	19.0	26.1	15.7	21.5	19.1	13.3	27.1				178.9	174.9	4.0	2.3%
Highway Use	12.1	12.1	8.6	12.7	12.1	10.1	12.8	11.2	11.6				103.3	99.9	3.4	3.4%
Metropolitan Commuter Trans. Taxicab Trip	20.0	1.0	0.4	20.5	0.7	1.3	18.5	2.0	1.0				65.4	60.9	4.5	7.4%
Total Consumption/Use Taxes and Fees	1,140.9	1,074.6	1,421.5	1,172.6	1,121.3	1,421.1	1,142.0	1,103.9	1,465.0	0.0	0.0	0.0	11,062.9	10,713.1	349.8	3.3%
<b>BUSINESS TAXES</b>																
Corporation Franchise	159.9	35.4	629.6	12.8	39.2	492.2	58.1	84.5	546.8				2,058.5	1,886.6	171.9	9.1%
Corporation and Utilities	(3.3)	1.8	113.2	7.7	1.4	189.6	0.3	6.2	178.9				495.8	516.6	(20.8)	-4.0%
Insurance	1.5	6.3	311.8	2.0	8.5	272.1	3.3	1.0	248.5				855.0	810.3	44.7	5.5%
Bank	25.7	2.9	317.7	(50.1)	0.7	227.1	11.8	48.3	381.6				965.7	816.4	149.3	18.3%
Petroleum Business	86.5	81.9	95.1	97.5	92.4	96.4	88.3	74.5	105.7				818.3	818.6	(0.3)	--
Total Business Taxes	270.3	128.3	1,467.4	69.9	142.2	1,277.4	161.8	214.5	1,461.5	0.0	0.0	0.0	5,193.3	4,848.5	344.8	7.1%
<b>OTHER TAXES</b>																
Real Property Gains	--	--	--	--	--	--	--	--	--				--	--	--	--
Estate and Gift	65.6	131.2	72.9	87.0	106.6	114.5	96.4	104.3	76.2				854.7	879.7	(25.0)	-2.8%
Pari-Mutuel	0.9	1.2	1.8	1.4	2.2	2.2	1.4	1.2	1.3				13.6	13.9	(0.3)	-2.2%
Real Estate Transfer	48.0	49.4	39.2	62.6	86.6	56.9	54.9	43.6	47.4				488.6	426.1	62.5	14.7%
Racing and Exhibitions	--	--	0.1	--	0.1	0.2	--	0.2	0.1				0.7	0.6	0.1	16.7%
Metropolitan Commuter Trans. Mobility	124.6	128.1	84.5	96.6	134.5	71.2	113.6	125.5	82.0				960.6	934.6	26.0	2.8%
Total Other Taxes	239.1	309.9	198.5	247.6	330.0	245.0	266.3	274.8	207.0	0.0	0.0	0.0	2,318.2	2,254.9	63.3	2.8%
<b>TOTAL TAX RECEIPTS</b>	<u>\$7,188.2</u>	<u>\$2,941.6</u>	<u>\$7,090.2</u>	<u>\$3,690.4</u>	<u>\$4,030.9</u>	<u>\$6,765.8</u>	<u>\$3,632.1</u>	<u>\$3,722.1</u>	<u>\$6,831.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$45,892.3</u>	<u>\$42,247.4</u>	<u>\$3,644.9</u>	<u>8.6%</u>

(\*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK  
GENERAL FUND  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2011-2012  
(amounts in millions)**

**EXHIBIT "F"**

													<b>9 Months Ended Dec. 31</b>			
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>OPENING CASH BALANCE</b>	\$1,376.1	\$4,510.3	\$1,809.2	\$2,492.2	\$1,884.4	\$1,571.3	\$4,948.0	\$3,394.0	\$3,264.0				\$1,376.1	\$2,301.7	(\$925.6)	-40.2%
<b>RECEIPTS:</b>																
Personal Income Tax	4,153.4	1,071.6	2,610.5	1,661.2	1,817.1	2,688.7	1,540.0	1,560.9	179.5				17,282.9	17,502.2	(219.3)	-1.3%
Consumption/Use Taxes	689.1	667.2	891.7	716.0	688.2	881.3	697.6	688.9	915.5				6,835.5	6,599.5	236.0	3.6%
Business Taxes	161.2	28.1	1,173.3	(35.9)	42.8	1,021.4	58.5	110.0	1,163.4				3,722.8	3,429.5	293.3	8.6%
Other Taxes	66.5	132.4	74.8	88.4	108.9	116.9	97.8	105.7	77.6				869.0	894.2	(25.2)	-2.8%
Miscellaneous Receipts	77.4	91.9	316.0	115.0	122.4	504.5	146.1	458.8	238.1				2,070.2	1,948.5	121.7	6.2%
Federal Receipts	1.6	13.2	--	--	--	17.0	--	--	14.8				46.6	42.8	3.8	8.9%
Total Receipts	5,149.2	2,004.4	5,066.3	2,544.7	2,779.4	5,229.8	2,540.0	2,924.3	2,588.9	0.0	0.0	0.0	30,827.0	30,416.7	410.3	1.35%
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:																
General Purpose	10.7	27.5	474.0	--	2.6	90.3	8.7	--	203.0				816.8	835.8	(19.0)	-2.3%
Education	277.3	2,608.2	2,631.7	504.4	901.8	1,287.6	1,272.9	1,005.9	1,824.3				12,314.1	14,154.9	(1,840.8)	-13.0%
Social Services:																
Medicaid	897.9	836.0	1,290.0	1,285.1	647.8	772.9	1,193.1	1,186.7	1,046.6				9,156.1	6,410.1	2,746.0	42.8%
Other Social Services	333.3	176.3	293.4	222.3	265.3	107.1	346.1	155.2	132.8				2,031.8	2,088.4	(56.6)	-2.7%
Health and Environment	16.9	78.7	104.5	100.2	216.0	110.4	124.3	109.5	131.6				992.1	756.6	235.5	31.1%
Mental Hygiene	16.5	2.6	9.5	1.4	3.6	(7.3)	0.1	0.3	0.9				27.6	229.0	(201.4)	-87.9%
Transportation	--	23.8	0.3	0.5	24.2	--	0.2	24.7	14.6				88.3	81.7	6.6	8.1%
Criminal Justice	12.0	10.7	2.5	8.2	11.7	7.6	17.7	9.3	6.0				85.7	90.8	(5.1)	-5.6%
Emergency Management & Security Services	0.4	(4.1)	0.3	0.5	4.6	2.8	0.1	1.9	3.1				9.6	4.8	4.8	100.0%
Miscellaneous	24.4	12.0	26.1	38.3	31.2	31.7	59.3	28.2	58.2				309.4	310.6	(1.2)	-0.4%
Total Local Assistance Grants	1,589.4	3,771.7	4,832.3	2,160.9	2,108.8	2,403.1	3,022.5	2,521.7	3,421.1	0.0	0.0	0.0	25,831.5	24,962.7	868.8	3.5%
Departmental Operations:																
Personal Service	602.1	525.2	597.9	554.3	667.4	356.0	370.3	404.3	555.8				4,633.3	4,813.7	(180.4)	-3.7%
Non-Personal Service	199.1	124.8	89.9	142.5	164.9	119.2	89.6	109.4	154.3				1,193.7	1,298.7	(105.0)	-8.1%
General State Charges	404.1	321.5	119.0	419.4	240.9	247.7	358.3	72.3	323.0				2,506.2	2,297.4	208.8	9.1%
Total Disbursements	2,794.7	4,743.2	5,639.1	3,277.1	3,182.0	3,126.0	3,840.7	3,107.7	4,454.2	0.0	0.0	0.0	34,164.7	33,372.5	792.2	2.4%
Excess (Deficiency) of Receipts over Disbursements	2,354.5	(2,738.8)	(572.8)	(732.4)	(402.6)	2,103.8	(1,300.7)	(183.4)	(1,865.3)	0.0	0.0	0.0	(3,337.7)	(2,955.8)	(381.9)	-12.9%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	1,720.3	350.8	1,414.2	759.6	463.9	1,418.1	539.2	474.6	1,269.8				8,410.5	7,804.1	606.4	7.8%
Transfers to State Capital Projects	22.9	(52.2)	(51.7)	(15.5)	(64.1)	12.5	(36.0)	(46.3)	(51.5)				(281.9)	(325.5)	(43.6)	-13.4%
Transfers to Federal Capital Projects	--	--	--	--	--	--	--	--	--				--	--	--	--
Transfers to General Debt Service	(521.9)	(22.0)	128.7	(376.5)	(37.7)	110.7	(469.3)	1.8	4.1				(1,182.1)	(1,368.3)	(186.2)	-13.6%
Transfers to All Other State Funds	(441.6)	(238.9)	(235.4)	(243.0)	(272.6)	(268.4)	(287.2)	(376.7)	(306.3)				(2,670.1)	(2,448.1)	222.0	9.1%
Total Other Financing Sources (Uses)	779.7	37.7	1,255.8	124.6	89.5	1,272.9	(253.3)	53.4	916.1	0.0	0.0	0.0	4,276.4	3,662.2	614.2	16.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,134.2	(2,701.1)	683.0	(607.8)	(313.1)	3,376.7	(1,554.0)	(130.0)	(949.2)	0.0	0.0	0.0	938.7	706.4	232.3	32.9%
<b>CLOSING CASH BALANCE</b>	<u>\$4,510.3</u>	<u>\$1,809.2</u>	<u>\$2,492.2</u>	<u>\$1,884.4</u>	<u>\$1,571.3</u>	<u>\$4,948.0</u>	<u>\$3,394.0</u>	<u>\$3,264.0</u>	<u>\$2,314.8</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$2,314.8</u>	<u>\$3,008.1</u>	<u>(\$693.3)</u>	<u>-23.0%</u>

STATE OF NEW YORK  
GENERAL FUND  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2011-2012  
(amounts in millions)

EXHIBIT "F"  
TAX RECEIPTS

											9 Months Ended Dec. 31			
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010
<b>PERSONAL INCOME TAX</b>														
Withholdings	\$2,303.4	\$2,222.9	\$2,430.2	\$2,156.5	\$2,417.4	\$2,113.7	\$2,127.6	\$2,407.4	\$3,004.7				\$21,183.8	\$20,681.1
Estimated payments	4,184.4	89.8	1,609.6	78.6	67.8	1,751.2	129.5	126.0	731.6				8,768.5	6,990.9
Final returns	1,440.7	50.4	36.7	27.0	27.7	45.1	247.7	80.5	21.3				1,977.1	1,827.1
State/City Offsets	(56.8)	(2.1)	2.8	(6.9)	(6.4)	(16.0)	(193.1)	(41.5)	(2.5)				(322.5)	(51.9)
Other (Assessments/LLC)	123.7	99.2	91.9	55.7	49.7	50.2	61.1	67.6	97.3				696.4	716.8
Gross Receipts	<u>7,995.4</u>	<u>2,460.2</u>	<u>4,171.2</u>	<u>2,310.9</u>	<u>2,556.2</u>	<u>3,944.2</u>	<u>2,372.8</u>	<u>2,640.0</u>	<u>3,852.4</u>	0.0	0.0	0.0	<u>32,303.3</u>	<u>30,164.0</u>
Transfers to School Tax Relief Fund	--	--	(391.6)	--	--	(178.0)	(6.5)	(35.8)	(2,593.6)				(3,205.5)	(821.0)
Transfers to Revenue Bond Tax Fund	(1,384.5)	(357.2)	(1,000.7)	(539.1)	(620.3)	(955.6)	(515.5)	(532.2)	(924.4)				(6,829.5)	(6,107.7)
Refunds issued	<u>(2,457.5)</u>	<u>(1,031.4)</u>	<u>(168.4)</u>	<u>(110.6)</u>	<u>(118.8)</u>	<u>(121.9)</u>	<u>(310.8)</u>	<u>(511.1)</u>	<u>(154.9)</u>				<u>(4,985.4)</u>	<u>(5,733.1)</u>
Total Personal Income Tax	<u>4,153.4</u>	<u>1,071.6</u>	<u>2,610.5</u>	<u>1,661.2</u>	<u>1,817.1</u>	<u>2,688.7</u>	<u>1,540.0</u>	<u>1,560.9</u>	<u>179.5</u>	0.0	0.0	0.0	<u>17,282.9</u>	<u>17,502.2</u>
<b>CONSUMPTION/USE TAXES</b>														
Sales and Use	633.3	610.6	830.1	641.8	627.8	818.5	637.3	632.6	851.5				6,283.5	6,045.3
Auto Rental	--	--	--	--	--	--	--	--	--				--	--
Cigarette/Tobacco Products	37.2	38.1	42.6	48.1	44.7	41.3	41.2	43.0	36.9				373.1	379.3
Motor Fuel	--	--	--	--	--	--	--	--	--				--	--
Alcoholic Beverage	18.6	18.5	19.0	26.1	15.7	21.5	19.1	13.3	27.1				178.9	174.9
Highway Use	--	--	--	--	--	--	--	--	--				--	--
Metropolitan Commuter Trans. Taxicab Trip	--	--	--	--	--	--	--	--	--				--	--
Total Consumption/Use Taxes and Fees	<u>689.1</u>	<u>667.2</u>	<u>891.7</u>	<u>716.0</u>	<u>688.2</u>	<u>881.3</u>	<u>697.6</u>	<u>688.9</u>	<u>915.5</u>	0.0	0.0	0.0	<u>6,835.5</u>	<u>6,599.5</u>
<b>BUSINESS TAXES</b>														
Corporation Franchise	141.8	19.1	541.0	(2.6)	28.8	433.2	45.8	67.8	483.5				1,758.4	1,625.4
Corporation and Utilities	(3.8)	1.2	89.1	6.6	1.1	151.1	(0.4)	0.6	141.8				387.3	394.7
Insurance	0.2	4.6	279.7	1.7	8.0	246.3	2.4	(1.2)	222.6				764.3	727.9
Bank	23.0	3.2	263.5	(41.6)	4.9	190.8	10.7	42.8	315.5				812.8	681.5
Petroleum Business	--	--	--	--	--	--	--	--	--				--	--
Total Business Taxes	<u>161.2</u>	<u>28.1</u>	<u>1,173.3</u>	<u>(35.9)</u>	<u>42.8</u>	<u>1,021.4</u>	<u>58.5</u>	<u>110.0</u>	<u>1,163.4</u>	0.0	0.0	0.0	<u>3,722.8</u>	<u>3,429.5</u>
<b>OTHER TAXES</b>														
Real Property Gains	--	--	--	--	--	--	--	--	--				--	--
Estate and Gift	65.6	131.2	72.9	87.0	106.6	114.5	96.4	104.3	76.2				854.7	879.7
Pari-Mutuel	0.9	1.2	1.8	1.4	2.2	2.2	1.4	1.2	1.3				13.6	13.9
Real Estate Transfer	--	--	--	--	--	--	--	--	--				--	--
Racing and Exhibitions	--	--	0.1	--	0.1	0.2	--	0.2	0.1				0.7	0.6
Metropolitan Commuter Trans. Mobility	--	--	--	--	--	--	--	--	--				--	--
Total Other Taxes	<u>66.5</u>	<u>132.4</u>	<u>74.8</u>	<u>88.4</u>	<u>108.9</u>	<u>116.9</u>	<u>97.8</u>	<u>105.7</u>	<u>77.6</u>	0.0	0.0	0.0	<u>869.0</u>	<u>894.2</u>
<b>TOTAL TAX RECEIPTS</b>	<u>\$5,070.2</u>	<u>\$1,899.3</u>	<u>\$4,750.3</u>	<u>\$2,429.7</u>	<u>\$2,657.0</u>	<u>\$4,708.3</u>	<u>\$2,393.9</u>	<u>\$2,465.5</u>	<u>\$2,336.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$28,710.2</u>	<u>\$28,425.4</u>



**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2011-2012  
(amounts in millions)**

**EXHIBIT "G"  
COMBINED**

											9 Months Ended Dec. 31					
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>OPENING CASH BALANCE</b>	\$2,149.3	\$3,397.8	\$3,362.9	\$2,865.7	\$3,636.5	\$3,450.2	\$2,323.0	\$2,357.6	\$1,339.6				\$2,149.3	\$2,400.8	(\$251.5)	-10.5%
<b>RECEIPTS:</b>																
Personal Income Tax	--	--	391.6	--	--	178.0	6.5	35.8	2,593.6				3,205.5	821.0	2,384.5	290.4%
Consumption/Use Taxes	206.1	162.3	196.0	193.3	176.8	201.3	185.9	166.9	189.7				1,678.3	1,646.6	31.7	1.9%
Business Taxes	61.1	55.7	239.6	51.5	48.1	199.7	53.9	62.5	235.2				1,007.3	954.6	52.7	5.5%
Other Taxes	124.6	128.1	84.5	96.6	134.5	71.2	113.6	125.5	82.0				960.6	934.6	26.0	2.8%
Miscellaneous Receipts	1,113.4	1,139.7	1,174.3	1,085.0	1,343.5	1,624.8	1,245.9	1,245.9	1,229.8				11,202.3	11,140.7	61.6	0.6%
Federal Receipts	3,978.4	2,926.9	3,757.9	4,017.4	3,242.8	3,620.8	3,077.1	3,528.2	4,090.2				32,239.7	35,547.4	(3,307.7)	-9.3%
Total Receipts	5,483.6	4,412.7	5,843.9	5,443.8	4,945.7	5,895.8	4,682.9	5,164.8	8,420.5	0.0	0.0	0.0	50,293.7	51,044.9	(751.2)	-1.5%
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:																
Education	556.2	606.9	1,265.8	281.4	231.2	2,394.0	254.0	430.4	3,184.0				9,203.9	7,495.5	1,708.4	22.8%
Social Services:																
Medicaid	2,708.8	2,250.3	3,048.2	2,752.1	2,278.9	2,532.3	2,011.7	2,998.5	1,870.6				22,451.4	25,306.0	(2,854.6)	-11.3%
Other Social Services	71.4	49.3	70.5	445.9	895.7	108.7	585.6	857.8	498.8				3,583.7	3,513.5	70.2	2.0%
Health and Environment	90.4	242.8	285.8	161.4	372.5	209.4	218.3	173.3	381.7				2,135.6	1,956.8	178.8	9.1%
Mental Hygiene	71.5	76.0	201.8	151.2	89.2	195.9	172.8	91.1	288.2				1,337.7	1,047.4	290.3	27.7%
Transportation	165.9	425.9	355.1	263.8	456.4	220.7	406.8	480.2	676.0				3,450.8	3,416.8	34.0	1.0%
Criminal Justice	33.1	52.0	20.1	20.0	21.8	33.0	41.0	26.2	79.0				326.2	203.5	122.7	60.3%
Emergency Management & Security Services	1.8	2.4	0.8	2.0	6.7	25.0	6.7	6.7	14.8				66.9	102.6	(35.7)	-34.8%
Miscellaneous	52.5	54.9	55.2	54.7	119.0	83.6	61.3	66.6	96.2				644.0	583.8	60.2	10.3%
Total Local Assistance Grants	3,751.6	3,760.5	5,303.3	4,132.5	4,471.4	5,802.6	3,758.2	5,130.8	7,089.3	0.0	0.0	0.0	43,200.2	43,625.9	(425.7)	-1.0%
Departmental Operations:																
Personal Service	444.8	454.1	536.6	410.8	620.5	639.2	596.1	561.3	592.2				4,855.6	5,011.2	(155.6)	-3.1%
Non-Personal Service	249.6	316.5	430.7	244.8	335.5	398.1	440.1	370.9	404.7				3,190.9	2,828.9	362.0	12.8%
General State Charges	47.8	102.5	321.5	44.6	242.2	222.5	55.7	407.6	99.5				1,543.9	1,523.8	20.1	1.3%
Capital Projects	0.5	0.6	0.3	0.5	1.1	0.5	0.3	0.5	0.9				5.2	16.5	(11.3)	-68.5%
Total Disbursements	4,494.3	4,634.2	6,592.4	4,833.2	5,670.7	7,062.9	4,850.4	6,471.1	8,186.6	0.0	0.0	0.0	52,795.8	53,006.3	(210.5)	-0.4%
Excess (Deficiency) of Receipts over Disbursements	989.3	(221.5)	(748.5)	610.6	(725.0)	(1,167.1)	(167.5)	(1,306.3)	233.9	0.0	0.0	0.0	(2,502.1)	(1,961.4)	(540.7)	-27.6%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	748.3	502.0	658.0	454.8	908.0	321.1	515.5	604.9	556.6				5,269.2	5,257.1	12.1	0.2%
Transfers to Other Funds	(489.1)	(315.4)	(406.7)	(294.6)	(369.3)	(281.2)	(313.4)	(316.6)	(301.2)				(3,087.5)	(3,243.5)	(156.0)	-4.8%
Total Other Financing Sources (Uses)	259.2	186.6	251.3	160.2	538.7	39.9	202.1	288.3	255.4	0.0	0.0	0.0	2,181.7	2,013.6	168.1	8.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,248.5	(34.9)	(497.2)	770.8	(186.3)	(1,127.2)	34.6	(1,018.0)	489.3	0.0	0.0	0.0	(320.4)	52.2	(372.6)	-713.8%
<b>CLOSING CASH BALANCE</b>	\$3,397.8	\$3,362.9	\$2,865.7	\$3,636.5	\$3,450.2	\$2,323.0	\$2,357.6	\$1,339.6	\$1,828.9	\$0.0	\$0.0	\$0.0	\$1,828.9	\$2,453.0	(\$624.1)	-25.4%

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2011-2012  
(amounts in millions)**

**EXHIBIT "G"  
STATE**

													<b>9 Months Ended Dec. 31</b>				
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2011	2010	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>																	
Personal Income Tax	\$ --	\$ --	\$391.6	\$ --	\$ --	\$ 178.0	\$ 6.5	\$ 35.8	\$ 2,593.6				\$ --	\$3,205.5	\$821.0	\$2,384.5	290.4%
Consumption/Use Taxes	206.1	162.3	196.0	193.3	176.8	201.3	185.9	166.9	189.7				--	1,678.3	1,646.6	31.7	1.9%
Business Taxes	61.1	55.7	239.6	51.5	48.1	199.7	53.9	62.5	235.2				--	1,007.3	954.6	52.7	5.5%
Other Taxes	124.6	128.1	84.5	96.6	134.5	71.2	113.6	125.5	82.0				--	960.6	934.6	26.0	2.8%
Miscellaneous Receipts	1,101.2	1,104.6	1,165.8	1,073.7	1,326.9	1,615.3	1,233.2	1,231.3	1,219.7				--	11,071.7	11,021.4	50.3	0.5%
Federal Receipts	0.1	0.1	0.3	--	--	0.1	--	--	--				--	0.6	0.3	0.3	100.0%
<b>Total Receipts</b>	<b>1,493.1</b>	<b>1,450.8</b>	<b>2,077.8</b>	<b>1,415.1</b>	<b>1,686.3</b>	<b>2,265.6</b>	<b>1,593.1</b>	<b>1,622.0</b>	<b>4,320.2</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>17,924.0</b>	<b>15,378.5</b>	<b>2,545.5</b>	<b>16.6%</b>
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants:																	
Education	0.6	1.5	711.3	0.7	0.7	2,129.2	109.3	139.3	2,698.2				--	5,790.8	3,412.5	2,378.3	69.7%
Social Services:																	
Medicaid	394.0	461.4	287.0	290.0	488.2	390.6	334.4	485.9	232.7				--	3,364.2	3,421.4	(57.2)	-1.7%
Other Social Services	1.5	(0.1)	1.3	0.4	3.3	1.3	3.2	(0.4)	2.9				--	13.4	9.5	3.9	41.1%
Health and Environment	25.3	119.9	194.6	67.2	266.2	112.5	152.6	84.3	214.6				--	1,237.2	1,065.0	172.2	16.2%
Mental Hygiene	51.2	65.5	183.3	141.0	69.7	184.1	158.4	75.9	283.0				--	1,212.1	886.1	326.0	36.8%
Transportation	161.0	421.1	353.1	261.6	451.5	214.6	401.6	474.9	670.4				--	3,409.8	3,372.0	37.8	1.1%
Criminal Justice	5.8	7.4	5.2	5.6	5.6	4.4	5.7	2.6	2.3				--	44.6	35.9	8.7	24.2%
Emergency Management & Security Services	--	--	--	--	--	--	--	--	--				--	--	--	--	--
Miscellaneous	3.9	10.4	3.8	13.7	43.9	26.3	18.2	23.0	8.6				--	151.8	120.1	31.7	26.4%
<b>Total Local Assistance Grants</b>	<b>643.3</b>	<b>1,087.1</b>	<b>1,739.6</b>	<b>780.2</b>	<b>1,329.1</b>	<b>3,063.0</b>	<b>1,183.4</b>	<b>1,285.5</b>	<b>4,112.7</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>15,223.9</b>	<b>12,322.5</b>	<b>2,901.4</b>	<b>23.5%</b>
Departmental Operations:																	
Personal Service	391.4	397.5	489.3	363.2	550.1	591.7	548.2	516.0	544.1				--	4,391.5	4,498.4	(106.9)	-2.4%
Non-Personal Service	191.7	266.5	369.1	193.8	263.5	274.0	340.6	301.3	330.6				--	2,531.1	2,117.8	413.3	19.5%
General State Charges	44.5	77.4	281.5	41.5	220.3	193.5	43.2	347.1	96.9				--	1,345.9	1,332.8	13.1	1.0%
Capital Projects	0.5	0.6	0.3	0.5	1.1	0.5	0.3	0.5	0.9				--	5.2	16.5	(11.3)	-68.5%
<b>Total Disbursements</b>	<b>1,271.4</b>	<b>1,829.1</b>	<b>2,879.8</b>	<b>1,379.2</b>	<b>2,364.1</b>	<b>4,122.7</b>	<b>2,115.7</b>	<b>2,450.4</b>	<b>5,085.2</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>23,497.6</b>	<b>20,288.0</b>	<b>3,209.6</b>	<b>15.8%</b>
Excess (Deficiency) of Receipts over Disbursements	221.7	(378.3)	(802.0)	35.9	(677.8)	(1,857.1)	(522.6)	(828.4)	(765.0)	0.0	0.0	0.0	--	(5,573.6)	(4,909.5)	(664.1)	-13.5%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Transfers from Other Funds	819.9	556.6	691.4	485.2	938.4	394.6	594.3	718.0	591.5				(520.7)	5,269.2	5,257.1	12.1	0.2%
Transfers to Other Funds	(94.5)	(25.2)	(132.7)	(10.9)	(8.6)	(58.2)	(106.0)	(39.8)	(32.3)				--	(508.2)	(359.5)	148.7	41.4%
<b>Total Other Financing Sources (Uses)</b>	<b>725.4</b>	<b>531.4</b>	<b>558.7</b>	<b>474.3</b>	<b>929.8</b>	<b>336.4</b>	<b>488.3</b>	<b>678.2</b>	<b>559.2</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>(520.7)</b>	<b>4,761.0</b>	<b>4,897.6</b>	<b>(136.6)</b>	<b>-2.8%</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$947.1	\$153.1	(\$243.3)	\$510.2	\$252.0	(\$1,520.7)	(\$34.3)	(\$150.2)	(\$205.8)	\$0.0	\$0.0	\$0.0	(\$520.7)	(\$812.6)	(\$11.9)	(\$800.7)	-6728.6%

(\*) Intra-Fund transfer eliminations represent transfers from Special Revenue-Federal funds.

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2011-2012  
(amounts in millions)**

**EXHIBIT "G"  
FEDERAL**

													9 Months Ended Dec. 31		\$ Increase/ (Decrease)	% Increase/ Decrease	
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2011			2010
<b>RECEIPTS:</b>																	
Personal Income Tax	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --				\$ --	\$ --	\$ --	--	
Consumption/Use Taxes	--	--	--	--	--	--	--	--	--				--	--	--	--	
Business Taxes	--	--	--	--	--	--	--	--	--				--	--	--	--	
Other Taxes	--	--	--	--	--	--	--	--	--				--	--	--	--	
Miscellaneous Receipts	12.2	35.1	8.5	11.3	16.6	9.5	12.7	14.6	10.1				--	130.6	119.3	11.3	9.5%
Federal Receipts	3,978.3	2,926.8	3,757.6	4,017.4	3,242.8	3,620.7	3,077.1	3,528.2	4,090.2				--	32,239.1	35,547.1	(3,308.0)	-9.3%
<b>Total Receipts</b>	<b>3,990.5</b>	<b>2,961.9</b>	<b>3,766.1</b>	<b>4,028.7</b>	<b>3,259.4</b>	<b>3,630.2</b>	<b>3,089.8</b>	<b>3,542.8</b>	<b>4,100.3</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>32,369.7</b>	<b>35,666.4</b>	<b>(3,296.7)</b>	<b>-9.2%</b>
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants:																	
Education	555.6	605.4	554.5	280.7	230.5	264.8	144.7	291.1	485.8				--	3,413.1	4,083.0	(669.9)	-16.4%
Social Services:																	
Medicaid	2,314.8	1,788.9	2,761.2	2,462.1	1,790.7	2,141.7	1,677.3	2,512.6	1,637.9				--	19,087.2	21,884.6	(2,797.4)	-12.8%
Other Social Services	69.9	49.4	69.2	445.5	892.4	107.4	582.4	858.2	495.9				--	3,570.3	3,504.0	66.3	1.9%
Health and Environment	65.1	122.9	91.2	94.2	106.3	96.9	65.7	89.0	167.1				--	898.4	891.8	6.6	0.7%
Mental Hygiene	20.3	10.5	18.5	10.2	19.5	11.8	14.4	15.2	5.2				--	125.6	161.3	(35.7)	-22.1%
Transportation	4.9	4.8	2.0	2.2	4.9	6.1	5.2	5.3	5.6				--	41.0	44.8	(3.8)	-8.5%
Criminal Justice	27.3	44.6	14.9	14.4	16.2	28.6	35.3	23.6	76.7				--	281.6	167.6	114.0	68.0%
Emergency Management & Security Services	1.8	2.4	0.8	2.0	6.7	25.0	6.7	6.7	14.8				--	66.9	102.6	(35.7)	-34.8%
Miscellaneous	48.6	44.5	51.4	41.0	75.1	57.3	43.1	43.6	87.6				--	492.2	463.7	28.5	6.1%
<b>Total Local Assistance Grants</b>	<b>3,108.3</b>	<b>2,673.4</b>	<b>3,563.7</b>	<b>3,352.3</b>	<b>3,142.3</b>	<b>2,739.6</b>	<b>2,574.8</b>	<b>3,845.3</b>	<b>2,976.6</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>27,976.3</b>	<b>31,303.4</b>	<b>(3,327.1)</b>	<b>-10.6%</b>
Departmental Operations:																	
Personal Service	53.4	56.6	47.3	47.6	70.4	47.5	47.9	45.3	48.1				--	464.1	512.8	(48.7)	-9.5%
Non-Personal Service	57.9	50.0	61.6	51.0	72.0	124.1	99.5	69.6	74.1				--	659.8	711.1	(51.3)	-7.2%
General State Charges	3.3	25.1	40.0	3.1	21.9	29.0	12.5	60.5	2.6				--	198.0	191.0	7.0	3.7%
Capital Projects	--	--	--	--	--	--	--	--	--				--	--	--	--	--
<b>Total Disbursements</b>	<b>3,222.9</b>	<b>2,805.1</b>	<b>3,712.6</b>	<b>3,454.0</b>	<b>3,306.6</b>	<b>2,940.2</b>	<b>2,734.7</b>	<b>4,020.7</b>	<b>3,101.4</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>29,298.2</b>	<b>32,718.3</b>	<b>(3,420.1)</b>	<b>-10.5%</b>
Excess (Deficiency) of Receipts over Disbursements	767.6	156.8	53.5	574.7	(47.2)	690.0	355.1	(477.9)	998.9	0.0	0.0	0.0	--	3,071.5	2,948.1	123.4	4.2%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Transfers from Other Funds	--	--	--	--	--	--	--	--	--				--	--	--	--	--
Transfers to Other Funds	(466.2)	(344.8)	(307.4)	(314.1)	(391.1)	(296.5)	(286.2)	(389.9)	(303.8)				520.7	(2,579.3)	(2,884.0)	(304.7)	-10.6%
<b>Total Other Financing Sources (Uses)</b>	<b>(466.2)</b>	<b>(344.8)</b>	<b>(307.4)</b>	<b>(314.1)</b>	<b>(391.1)</b>	<b>(296.5)</b>	<b>(286.2)</b>	<b>(389.9)</b>	<b>(303.8)</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>520.7</b>	<b>(2,579.3)</b>	<b>(2,884.0)</b>	<b>(304.7)</b>	<b>-10.6%</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$301.4	(\$188.0)	(\$253.9)	\$260.6	(\$438.3)	\$393.5	\$68.9	(\$867.8)	\$695.1	\$0.0	\$0.0	\$0.0	\$520.7	\$492.2	\$64.1	\$428.1	667.9%

(\*) Intra-Fund transfer eliminations represent transfers to Special Revenue-State funds.

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2011-2012  
(amounts in millions)

EXHIBIT "G"  
TAX RECEIPTS

													9 Months Ended Dec. 31	
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010
<b>PERSONAL INCOME TAX</b>	\$ --	\$ --	\$391.6	\$ --	\$ --	\$178.0	\$6.5	\$35.8	\$2,593.6				\$3,205.5	\$821.0
Total Personal Income Tax	--	--	391.6	--	--	178.0	6.5	35.8	2,593.6	0.0	0.0	0.0	3,205.5	821.0
<b>CONSUMPTION/USE TAXES</b>														
Sales and Use	83.3	55.0	72.5	57.4	56.1	69.9	60.8	60.4	67.4				582.8	599.9
Auto Rental	--	--	8.6	--	--	12.3	--	0.1	10.5				31.5	27.4
Cigarette/Tobacco Products	95.3	98.1	105.7	105.8	110.6	108.3	97.7	97.8	98.7				918.0	876.5
Motor Fuel	7.5	8.2	8.8	9.6	9.4	9.5	8.9	6.6	12.1				80.6	81.9
Alcoholic Beverage	--	--	--	--	--	--	--	--	--				--	--
Highway Use	--	--	--	--	--	--	--	--	--				--	--
Metropolitan Commuter Trans. Taxicab Trip	20.0	1.0	0.4	20.5	0.7	1.3	18.5	2.0	1.0				65.4	60.9
Total Consumption/Use Taxes and Fees	206.1	162.3	196.0	193.3	176.8	201.3	185.9	166.9	189.7	0.0	0.0	0.0	1,678.3	1,646.6
<b>BUSINESS TAXES</b>														
Corporation Franchise	18.1	16.3	88.6	15.4	10.4	59.0	12.3	16.7	63.3				300.1	261.2
Corporation and Utilities	0.5	1.6	22.3	1.1	0.3	35.8	0.5	4.8	33.8				100.7	112.5
Insurance	1.3	1.7	32.1	0.3	0.5	25.8	0.9	2.2	25.9				90.7	82.4
Bank	2.7	(0.3)	54.2	(8.5)	(4.2)	36.3	1.1	5.5	66.1				152.9	134.9
Petroleum Business	38.5	36.4	42.4	43.2	41.1	42.8	39.1	33.3	46.1				362.9	363.6
Total Business Taxes	61.1	55.7	239.6	51.5	48.1	199.7	53.9	62.5	235.2	0.0	0.0	0.0	1,007.3	954.6
<b>OTHER TAXES</b>														
Real Property Gains	--	--	--	--	--	--	--	--	--				--	--
Estate and Gift	--	--	--	--	--	--	--	--	--				--	--
Pari-Mutuel	--	--	--	--	--	--	--	--	--				--	--
Real Estate Transfer	--	--	--	--	--	--	--	--	--				--	--
Racing and Exhibitions	--	--	--	--	--	--	--	--	--				--	--
Metropolitan Commuter Trans. Mobility	124.6	128.1	84.5	96.6	134.5	71.2	113.6	125.5	82.0				960.6	934.6
Total Other Taxes	124.6	128.1	84.5	96.6	134.5	71.2	113.6	125.5	82.0	0.0	0.0	0.0	960.6	934.6
<b>TOTAL TAX RECEIPTS</b>	<b>\$391.8</b>	<b>\$346.1</b>	<b>\$911.7</b>	<b>\$341.4</b>	<b>\$359.4</b>	<b>\$650.2</b>	<b>\$359.9</b>	<b>\$390.7</b>	<b>\$3,100.5</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$6,851.7</b>	<b>\$4,356.8</b>

**STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2011-2012  
(amounts in millions)**

**EXHIBIT "H"**

													<b>9 Months Ended Dec. 31</b>			
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>OPENING CASH BALANCE</b>	\$454.0	\$1,055.6	\$1,191.0	\$471.5	\$1,003.2	\$1,129.3	\$528.3	\$1,385.8	\$1,700.0				\$454.0	\$410.9	\$43.1	10.5%
<b>RECEIPTS:</b>																
Personal Income Tax	1,384.5	357.2	1,000.7	539.1	620.3	955.6	515.5	532.2	924.4				6,829.5	6,107.7	721.8	11.8%
Consumption/Use Taxes																
Sales and Use	205.5	203.5	276.7	213.9	209.2	272.9	212.3	210.8	283.9				2,088.7	2,011.9	76.8	3.8%
Other Taxes	48.0	49.4	27.3	50.7	74.6	45.1	42.9	31.7	35.5				405.2	342.7	62.5	18.2%
Miscellaneous Receipts	66.7	40.5	66.3	49.7	74.7	123.8	87.2	53.5	87.2				649.6	587.8	61.8	10.5%
Federal Receipts (*)	3.2	--	--	1.8	35.7	1.8	--	--	--				42.5	23.4	19.1	81.6%
<b>Total Receipts</b>	<b>1,707.9</b>	<b>650.6</b>	<b>1,371.0</b>	<b>855.2</b>	<b>1,014.5</b>	<b>1,399.2</b>	<b>857.9</b>	<b>828.2</b>	<b>1,331.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>10,015.5</b>	<b>9,073.5</b>	<b>942.0</b>	<b>10.4%</b>
<b>DISBURSEMENTS:</b>																
Departmental Operations:																
Non-Personal Service	1.4	1.4	6.4	4.6	4.4	3.5	1.1	0.7	13.2				36.7	52.5	(15.8)	-30.1%
Debt Service, including payments on financing agreements	157.4	293.2	566.2	102.2	285.7	877.6	103.7	185.9	1,118.7				3,690.6	3,542.0	148.6	4.2%
<b>Total Disbursements</b>	<b>158.8</b>	<b>294.6</b>	<b>572.6</b>	<b>106.8</b>	<b>290.1</b>	<b>881.1</b>	<b>104.8</b>	<b>186.6</b>	<b>1,131.9</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>3,727.3</b>	<b>3,594.5</b>	<b>132.8</b>	<b>3.7%</b>
Excess (Deficiency) of Receipts over Disbursements	1,549.1	356.0	798.4	748.4	724.4	518.1	753.1	641.6	199.1	0.0	0.0	0.0	6,288.2	5,479.0	809.2	14.8%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	1,009.1	402.8	325.1	758.4	494.1	342.7	854.1	369.9	380.3				4,936.5	5,214.2	(277.7)	-5.3%
Transfers to Other Funds	(1,956.6)	(623.4)	(1,843.0)	(975.1)	(1,092.4)	(1,461.8)	(749.7)	(697.3)	(1,531.7)				(10,931.0)	(10,398.5)	532.5	5.1%
<b>Total Other Financing Sources (Uses)</b>	<b>(947.5)</b>	<b>(220.6)</b>	<b>(1,517.9)</b>	<b>(216.7)</b>	<b>(598.3)</b>	<b>(1,119.1)</b>	<b>104.4</b>	<b>(327.4)</b>	<b>(1,151.4)</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>(5,994.5)</b>	<b>(5,184.3)</b>	<b>(810.2)</b>	<b>-15.6%</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	601.6	135.4	(719.5)	531.7	126.1	(601.0)	857.5	314.2	(952.3)	0.0	0.0	0.0	293.7	294.7	(1.0)	-0.3%
<b>CLOSING CASH BALANCE</b>	<b>\$1,055.6</b>	<b>\$1,191.0</b>	<b>\$471.5</b>	<b>\$1,003.2</b>	<b>\$1,129.3</b>	<b>\$528.3</b>	<b>\$1,385.8</b>	<b>\$1,700.0</b>	<b>\$747.7</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$747.7</b>	<b>\$705.6</b>	<b>\$42.1</b>	<b>6.0%</b>

(\*) Federal receipts includes credit payments for interest paid on Build America Bonds and Qualified School Construction Bonds.

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS-COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2011-2012  
(amounts in millions)**

**EXHIBIT "I"  
COMBINED**

											9 Months Ended Dec. 31					
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>OPENING CASH BALANCE (DEFICITS)</b>	(\$167.1)	(\$214.3)	(\$329.4)	(\$402.9)	(\$536.9)	(\$619.7)	(\$845.4)	(\$861.7)	(\$1,013.7)				(\$167.1)	(\$253.3)	\$86.2	34.0%
<b>RECEIPTS:</b>																
Consumption/Use Taxes																
Auto Rental	(0.4)	--	14.5	--	--	20.8	--	--	17.6				52.5	46.8	5.7	12.2%
Motor Fuel	28.5	29.5	34.0	36.7	35.0	34.7	33.4	26.1	46.7				304.6	308.4	(3.8)	-1.2%
Highway Use	12.1	12.1	8.6	12.7	12.1	10.1	12.8	11.2	11.6				103.3	99.9	3.4	3.4%
Business Taxes																
Petroleum Business	48.0	45.5	52.7	54.3	51.3	53.6	49.2	41.2	59.6				455.4	455.0	0.4	0.1%
Transmission	--	(1.0)	1.8	--	--	2.7	0.2	0.8	3.3				7.8	9.4	(1.6)	-17.0%
Other Taxes	--	--	11.9	11.9	12.0	11.8	12.0	11.9	11.9				83.4	83.4	--	--
Miscellaneous Receipts	343.0	320.3	237.6	382.3	226.4	246.2	384.7	194.1	337.4				2,672.0	2,772.0	(100.0)	-3.6%
Federal Receipts	127.1	132.2	228.6	116.7	233.3	158.0	148.6	166.6	195.8				1,506.9	1,851.8	(344.9)	-18.6%
<b>Total Receipts</b>	<b>558.3</b>	<b>538.6</b>	<b>589.7</b>	<b>614.6</b>	<b>570.1</b>	<b>537.9</b>	<b>640.9</b>	<b>451.9</b>	<b>683.9</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>5,185.9</b>	<b>5,626.7</b>	<b>(440.8)</b>	<b>-7.8%</b>
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:																
Education	7.2	6.3	15.0	1.0	1.3	1.3	0.9	2.1	0.4				35.5	37.5	(2.0)	-5.3%
Social Services	10.8	--	(0.1)	0.1	--	--	--	--	--				10.8	39.0	(28.2)	-72.3%
Health and Environment	5.2	65.7	54.9	10.7	43.6	47.6	22.7	32.9	40.9				324.2	283.8	40.4	14.2%
Mental Hygiene	6.3	9.2	4.1	3.6	4.0	3.4	5.6	6.0	5.6				47.8	70.1	(22.3)	-31.8%
Transportation	27.9	26.0	60.6	25.6	48.0	30.6	34.8	38.9	263.8				556.2	427.8	128.4	30.0%
Miscellaneous	134.0	186.2	66.5	226.3	24.1	70.5	36.1	33.4	28.8				805.9	899.9	(94.0)	-10.4%
<b>Total Local Assistance Grants</b>	<b>191.4</b>	<b>293.4</b>	<b>201.0</b>	<b>267.3</b>	<b>121.0</b>	<b>153.4</b>	<b>100.1</b>	<b>113.3</b>	<b>339.5</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>1,780.4</b>	<b>1,758.1</b>	<b>22.3</b>	<b>1.3%</b>
Departmental Operations:																
Personal Service	--	--	--	--	--	--	--	--	--				--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--	--	--				--	--	--	--
General State Charges	--	--	--	--	--	--	--	--	--				--	--	--	--
Capital Projects	316.6	349.5	474.7	403.1	499.0	422.4	498.9	472.0	540.1				3,976.3	3,874.3	102.0	2.6%
<b>Total Disbursements</b>	<b>508.0</b>	<b>642.9</b>	<b>675.7</b>	<b>670.4</b>	<b>620.0</b>	<b>575.8</b>	<b>599.0</b>	<b>585.3</b>	<b>879.6</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>5,756.7</b>	<b>5,632.4</b>	<b>124.3</b>	<b>2.2%</b>
Excess (Deficiency) of Receipts over Disbursements	50.3	(104.3)	(86.0)	(55.8)	(49.9)	(37.9)	41.9	(133.4)	(195.7)	0.0	0.0	0.0	(570.8)	(5.7)	(565.1)	-9914.0%
<b>OTHER FINANCING SOURCES (USES):</b>																
Bond Proceeds (net)	--	--	--	--	--	--	--	--	352.1				352.1	--	352.1	100.0%
Transfers from Other Funds	(7.1)	79.4	106.8	19.2	64.5	30.3	38.0	77.9	72.6				481.6	425.4	56.2	13.2%
Transfers to Other Funds	(90.4)	(90.2)	(94.3)	(97.4)	(97.4)	(218.1)	(96.2)	(96.5)	(94.5)				(975.0)	(973.7)	1.3	0.1%
<b>Total Other Financing Sources (Uses)</b>	<b>(97.5)</b>	<b>(10.8)</b>	<b>12.5</b>	<b>(78.2)</b>	<b>(32.9)</b>	<b>(187.8)</b>	<b>(58.2)</b>	<b>(18.6)</b>	<b>330.2</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>(141.3)</b>	<b>(548.3)</b>	<b>407.0</b>	<b>74.2%</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(47.2)	(115.1)	(73.5)	(134.0)	(82.8)	(225.7)	(16.3)	(152.0)	134.5	0.0	0.0	0.0	(712.1)	(554.0)	(158.1)	-28.5%
<b>CLOSING CASH BALANCE (DEFICITS)</b>	<b>(\$214.3)</b>	<b>(\$329.4)</b>	<b>(\$402.9)</b>	<b>(\$536.9)</b>	<b>(\$619.7)</b>	<b>(\$845.4)</b>	<b>(\$861.7)</b>	<b>(\$1,013.7)</b>	<b>(\$879.2)</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>(\$879.2)</b>	<b>(\$807.3)</b>	<b>(\$71.9)</b>	<b>-8.9%</b>

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - STATE  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2011-2012  
(amounts in millions)**

**EXHIBIT "I"  
STATE**

													9 Months Ended Dec. 31				
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2011	2010	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>																	
Consumption/Use Taxes																	
Auto Rental	(\$0.4)	\$ --	\$14.5	\$ --	\$ --	\$20.8	\$ --	\$ --	\$17.6				\$ --	\$52.5	\$46.8	\$5.7	12.2%
Motor Fuel	28.5	29.5	34.0	36.7	35.0	34.7	33.4	26.1	46.7				--	304.6	308.4	(3.8)	-1.2%
Highway Use	12.1	12.1	8.6	12.7	12.1	10.1	12.8	11.2	11.6				--	103.3	99.9	3.4	3.4%
Business Taxes																	
Petroleum Business	48.0	45.5	52.7	54.3	51.3	53.6	49.2	41.2	59.6				--	455.4	455.0	0.4	0.1%
Transmission	--	(1.0)	1.8	--	--	2.7	0.2	0.8	3.3				--	7.8	9.4	(1.6)	-17.0%
Other Taxes	--	--	11.9	11.9	12.0	11.8	12.0	11.9	11.9				--	83.4	83.4	--	--
Miscellaneous Receipts	343.0	320.1	237.5	382.3	226.1	245.8	384.5	194.1	337.0				--	2,670.4	2,769.9	(99.5)	-3.6%
Federal Receipts	--	--	--	--	--	2.7	--	--	--				--	2.7	2.9	(0.2)	-6.9%
<b>Total Receipts</b>	<b>431.2</b>	<b>406.2</b>	<b>361.0</b>	<b>497.9</b>	<b>336.5</b>	<b>382.2</b>	<b>492.1</b>	<b>285.3</b>	<b>487.7</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>3,680.1</b>	<b>3,775.7</b>	<b>(95.6)</b>	<b>-2.5%</b>
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants:																	
Education	7.2	6.3	15.0	1.0	1.3	1.3	0.9	2.1	0.4				--	35.5	37.5	(2.0)	-5.3%
Social Services	10.8	--	(0.1)	0.1	--	--	--	--	--				--	10.8	39.0	(28.2)	-72.3%
Health and Environment	5.2	65.7	15.1	10.7	31.9	26.2	22.7	32.9	22.3				--	232.7	141.9	90.8	64.0%
Mental Hygiene	6.3	9.2	4.1	3.6	4.0	3.4	5.6	6.0	5.6				--	47.8	70.1	(22.3)	-31.8%
Transportation	1.9	2.0	0.6	0.8	0.4	3.0	0.3	0.3	197.1				--	206.4	23.7	182.7	770.9%
Miscellaneous	134.0	186.2	66.5	226.3	24.1	70.5	36.1	33.4	28.8				--	805.9	899.9	(94.0)	-10.4%
Total Local Assistance Grants	165.4	269.4	101.2	242.5	61.7	104.4	65.6	74.7	254.2	0.0	0.0	0.0	--	1,339.1	1,212.1	127.0	10.5%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--	--	--	--				--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--	--	--				--	--	--	--	--
General State Charges	--	--	--	--	--	--	--	--	--				--	--	--	--	--
Capital Projects	254.3	275.0	378.8	307.2	387.0	329.5	389.1	375.1	431.8				--	3,127.8	2,851.7	276.1	9.7%
<b>Total Disbursements</b>	<b>419.7</b>	<b>544.4</b>	<b>480.0</b>	<b>549.7</b>	<b>448.7</b>	<b>433.9</b>	<b>454.7</b>	<b>449.8</b>	<b>686.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>4,466.9</b>	<b>4,063.8</b>	<b>403.1</b>	<b>9.9%</b>
Excess (Deficiency) of Receipts over Disbursements	11.5	(138.2)	(119.0)	(51.8)	(112.2)	(51.7)	37.4	(164.5)	(198.3)	0.0	0.0	0.0	--	(786.8)	(288.1)	(498.7)	-173.1%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Bond Proceeds (net)	--	--	--	--	--	--	--	--	352.1				--	352.1	--	352.1	100.0%
Transfers from Other Funds	(7.1)	79.4	106.8	19.2	64.5	30.3	38.0	288.8	72.6				(210.9)	481.6	425.4	56.2	13.2%
Transfers to Other Funds	(90.4)	(90.2)	(94.3)	(97.4)	(97.4)	(218.1)	(96.2)	(93.3)	(94.5)				--	(971.8)	(973.7)	(1.9)	-0.2%
<b>Total Other Financing Sources (Uses)</b>	<b>(97.5)</b>	<b>(10.8)</b>	<b>12.5</b>	<b>(78.2)</b>	<b>(32.9)</b>	<b>(187.8)</b>	<b>(58.2)</b>	<b>195.5</b>	<b>330.2</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>(210.9)</b>	<b>(138.1)</b>	<b>(548.3)</b>	<b>410.2</b>	<b>74.8%</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(\$86.0)	(\$149.0)	(\$106.5)	(\$130.0)	(\$145.1)	(\$239.5)	(\$20.8)	\$31.0	\$131.9	\$0.0	\$0.0	\$0.0	(\$210.9)	(\$924.9)	(\$836.4)	(\$88.5)	-10.6%

(\*) Intra-Fund transfer eliminations represent transfers from Capital Projects-Federal funds.

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - FEDERAL  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2011-2012  
(amounts in millions)**

**EXHIBIT "I"  
FEDERAL**

													9 Months Ended Dec. 31				
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2011	2010	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>																	
Miscellaneous Receipts	\$ --	\$0.2	\$0.1	\$ --	\$0.3	\$0.4	\$0.2	\$ --	\$0.4				\$ --	\$1.6	\$2.1	(\$0.5)	-23.8%
Federal Receipts	127.1	132.2	228.6	116.7	233.3	155.3	148.6	166.6	195.8				--	1,504.2	1,848.9	(344.7)	-18.6%
Total Receipts	127.1	132.4	228.7	116.7	233.6	155.7	148.8	166.6	196.2	0.0	0.0	0.0	--	1,505.8	1,851.0	(345.2)	-18.6%
<b>DISBURSEMENTS:</b>																	
Local Assistance Grants:																	
Education	--	--	--	--	--	--	--	--	--				--	--	--	--	--
Social Services	--	--	--	--	--	--	--	--	--				--	--	--	--	--
Health and Environment	--	--	39.8	--	11.7	21.4	--	--	18.6				--	91.5	141.9	(50.4)	-35.5%
Mental Hygiene	--	--	--	--	--	--	--	--	--				--	--	--	--	--
Transportation	26.0	24.0	60.0	24.8	47.6	27.6	34.5	38.6	66.7				--	349.8	404.1	(54.3)	-13.4%
Miscellaneous	--	--	--	--	--	--	--	--	--				--	--	--	--	--
Total Local Assistance Grants	26.0	24.0	99.8	24.8	59.3	49.0	34.5	38.6	85.3	0.0	0.0	0.0	--	441.3	546.0	(104.7)	-19.2%
Departmental Operations:																	
Personal Service	--	--	--	--	--	--	--	--	--				--	--	--	--	--
Non-Personal Service	--	--	--	--	--	--	--	--	--				--	--	--	--	--
General State Charges	--	--	--	--	--	--	--	--	--				--	--	--	--	--
Capital Projects	62.3	74.5	95.9	95.9	112.0	92.9	109.8	96.9	108.3				--	848.5	1,022.6	(174.1)	-17.0%
Total Disbursements	88.3	98.5	195.7	120.7	171.3	141.9	144.3	135.5	193.6	0.0	0.0	0.0	--	1,289.8	1,568.6	(278.8)	-17.8%
Excess (Deficiency) of Receipts over Disbursements	38.8	33.9	33.0	(4.0)	62.3	13.8	4.5	31.1	2.6	0.0	0.0	0.0	--	216.0	282.4	(66.4)	-23.5%
<b>OTHER FINANCING SOURCES (USES):</b>																	
Transfers from Other Funds	--	--	--	--	--	--	--	--	--				--	--	--	--	--
Transfers to Other Funds	--	--	--	--	--	--	--	(214.1)	--				210.9	(3.2)	--	3.2	100.0%
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	(214.1)	--	0.0	0.0	0.0	210.9	(3.2)	--	(3.2)	-100.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	\$38.8	\$33.9	\$33.0	(\$4.0)	\$62.3	\$13.8	\$4.5	(\$183.0)	\$2.6	\$0.0	\$0.0	\$0.0	\$210.9	\$212.8	\$282.4	(\$69.6)	-24.6%

(\*) Intra-Fund transfer eliminations represent transfers to Capital Projects-State funds.



**STATE OF NEW YORK  
ENTERPRISE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2011-2012  
(amounts in millions)**

**EXHIBIT J**

													<b>9 Months Ended Dec. 31</b>	
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	\$20.9	\$25.4	(\$74.1)	\$23.4	\$61.4	\$136.1	\$69.5	\$9.8	\$69.1				\$20.9	(\$64.1)
<b>RECEIPTS:</b>														
Miscellaneous Receipts	4.4	5.7	4.8	18.0	102.8	16.0	11.2	10.4	7.2				180.5	50.1
Federal Receipts (*)	330.5	316.6	381.0	297.5	341.4	294.9	299.4	388.3	299.6				2,949.2	4,427.3
Unemployment Taxes	279.9	258.6	295.2	283.2	306.5	260.0	237.0	272.4	292.1				2,484.9	2,795.5
<b>Total Receipts</b>	<b>614.8</b>	<b>580.9</b>	<b>681.0</b>	<b>598.7</b>	<b>750.7</b>	<b>570.9</b>	<b>547.6</b>	<b>671.1</b>	<b>598.9</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>5,614.6</b>	<b>7,272.9</b>
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	0.3	0.3	0.4	0.3	0.6	1.5	0.4	0.3	0.3				4.4	4.8
Non-Personal Service	3.6	4.5	4.3	3.4	5.2	103.9	3.7	4.5	4.6				137.7	41.8
General State Charges	--	0.1	--	--	0.3	--	--	0.7	--				1.1	1.4
Unemployment Benefits (*)	606.4	675.5	578.8	557.0	669.9	532.1	603.2	606.3	571.6				5,400.8	7,137.5
<b>Total Disbursements</b>	<b>610.3</b>	<b>680.4</b>	<b>583.5</b>	<b>560.7</b>	<b>676.0</b>	<b>637.5</b>	<b>607.3</b>	<b>611.8</b>	<b>576.5</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>5,544.0</b>	<b>7,185.5</b>
Excess (Deficiency) of Receipts over Disbursements	4.5	(99.5)	97.5	38.0	74.7	(66.6)	(59.7)	59.3	22.4	0.0	0.0	0.0	70.6	87.4
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	--	--	--	--	--	--	--	--				--	0.1
Transfers to Other Funds	--	--	--	--	--	--	--	--	--				--	(1.0)
<b>Total Other Financing Sources (Uses)</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>(0.9)</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4.5	(99.5)	97.5	38.0	74.7	(66.6)	(59.7)	59.3	22.4	0.0	0.0	0.0	70.6	86.5
<b>CLOSING CASH BALANCE</b>	<b>\$25.4</b>	<b>(\$74.1)</b>	<b>\$23.4</b>	<b>\$61.4</b>	<b>\$136.1</b>	<b>\$69.5</b>	<b>\$9.8</b>	<b>\$69.1</b>	<b>\$91.5</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$91.5</b>	<b>\$22.4</b>

(\*) A summary of American Recovery and Reinvestment Act (ARRA) disbursements is located in Appendix C.

**STATE OF NEW YORK  
INTERNAL SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2011-2012  
(amounts in millions)**

**EXHIBIT K**

											<b>9 Months Ended Dec. 31</b>			
	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2012 JANUARY	FEBRUARY	MARCH	2011	2010
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	\$29.0	\$32.3	\$29.2	\$32.2	\$16.4	\$20.8	\$21.7	\$17.4	\$12.4				\$29.0	\$18.1
<b>RECEIPTS:</b>														
Miscellaneous Receipts	27.6	33.2	32.6	17.5	44.3	40.8	36.6	36.3	9.3				278.2	295.3
Total Receipts	27.6	33.2	32.6	17.5	44.3	40.8	36.6	36.3	9.3	0.0	0.0	0.0	278.2	295.3
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	9.0	8.7	9.2	8.5	12.1	8.2	8.2	7.9	8.4				80.2	85.9
Non-Personal Service	21.4	24.2	26.5	33.4	24.4	36.8	31.0	30.0	24.4				252.1	261.2
General State Charges	0.1	10.5	2.7	1.6	9.9	--	6.3	7.8	1.7				40.6	40.7
Total Disbursements	30.5	43.4	38.4	43.5	46.4	45.0	45.5	45.7	34.5	0.0	0.0	0.0	372.9	387.8
Excess (Deficiency) of Receipts over Disbursements	(2.9)	(10.2)	(5.8)	(26.0)	(2.1)	(4.2)	(8.9)	(9.4)	(25.2)	0.0	0.0	0.0	(94.7)	(92.5)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	6.2	7.1	10.7	10.2	6.6	9.5	4.7	4.4	23.4				82.8	81.1
Transfers to Other Funds	--	--	(1.9)	--	(0.1)	(4.4)	(0.1)	--	(21.7)				(28.2)	(23.3)
Total Other Financing Sources (Uses)	6.2	7.1	8.8	10.2	6.5	5.1	4.6	4.4	1.7	0.0	0.0	0.0	54.6	57.8
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3.3	(3.1)	3.0	(15.8)	4.4	0.9	(4.3)	(5.0)	(23.5)	0.0	0.0	0.0	(40.1)	(34.7)
<b>ENDING FUND EQUITY(DEFICITS)</b>	<u>\$32.3</u>	<u>\$29.2</u>	<u>\$32.2</u>	<u>\$16.4</u>	<u>\$20.8</u>	<u>\$21.7</u>	<u>\$17.4</u>	<u>\$12.4</u>	<u>(\$11.1)</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>(\$11.1)</u>	<u>(\$16.6)</u>

**STATE OF NEW YORK  
PRIVATE PURPOSE TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2011-2012  
(amounts in millions)**

**EXHIBIT L**

	2011										2012			<u>9 Months Ended Dec. 31</u>	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2011	2010	
<b>OPENING CASH BALANCE</b>	\$9.3	\$9.3	\$9.3	\$9.5	\$9.9	\$10.0	\$9.9	\$10.0	\$10.0				\$9.3	\$9.3	
<b>RECEIPTS:</b>															
Miscellaneous Receipts	--	0.1	0.2	0.4	0.1	--	0.1	--	0.1				1.0	0.7	
Total Receipts	--	0.1	0.2	0.4	0.1	--	0.1	--	0.1	0.0	0.0	0.0	1.0	0.7	
<b>DISBURSEMENTS:</b>															
Departmental Operations:															
Personal Service	--	0.1	--	--	--	0.1	--	--	--				0.2	0.3	
Non-Personal Service	--	--	--	--	--	--	--	--	--				--	--	
General State Charges	--	--	--	--	--	--	--	--	0.1				0.1	0.1	
Total Disbursements	--	0.1	--	--	--	0.1	--	--	0.1	0.0	0.0	0.0	0.3	0.4	
Excess (Deficiency) of Receipts over Disbursements	--	--	0.2	0.4	0.1	(0.1)	0.1	--	--	0.0	0.0	0.0	0.7	0.3	
<b>OTHER FINANCING SOURCES (USES):</b>															
Transfers from Other Funds	--	--	--	--	--	--	--	--	--				--	--	
Transfers to Other Funds	--	--	--	--	--	--	--	--	--				--	--	
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	--	0.0	0.0	0.0	--	--	
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	--	--	0.2	0.4	0.1	(0.1)	0.1	--	--	0.0	0.0	0.0	0.7	0.3	
<b>CLOSING CASH BALANCE</b>	<u>\$9.3</u>	<u>\$9.3</u>	<u>\$9.5</u>	<u>\$9.9</u>	<u>\$10.0</u>	<u>\$9.9</u>	<u>\$10.0</u>	<u>\$10.0</u>	<u>\$10.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$10.0</u>	<u>\$9.6</u>	

**STATE OF NEW YORK  
PENSION TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2011-2012  
(amounts in millions)**

**EXHIBIT M**

	2011									2012			9 Months Ended Dec. 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2011	2010
<b>OPENING CASH BALANCE</b>	\$1.4	(\$7.2)	(\$0.5)	(\$0.1)	(\$6.1)	(\$2.1)	\$ --	\$ --	\$0.1				\$1.4	\$ --
<b>RECEIPTS:</b>														
Miscellaneous Receipts	4.0	12.1	5.5	5.3	13.1	7.0	6.6	13.7	4.9				72.2	75.5
Total Receipts	4.0	12.1	5.5	5.3	13.1	7.0	6.6	13.7	4.9	0.0	0.0	0.0	72.2	75.5
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	4.6	4.0	4.0	4.2	6.4	4.3	4.2	3.9	4.1				39.7	41.4
Non-Personal Service	1.4	1.4	0.9	1.4	2.7	0.6	2.4	2.5	0.9				14.2	14.1
General State Charges	6.6	--	0.2	5.7	--	--	--	7.2	--				19.7	20.3
Total Disbursements	12.6	5.4	5.1	11.3	9.1	4.9	6.6	13.6	5.0	0.0	0.0	0.0	73.6	75.8
Excess (Deficiency) of Receipts over Disbursements	(8.6)	6.7	0.4	(6.0)	4.0	2.1	--	0.1	(0.1)	0.0	0.0	0.0	(1.4)	(0.3)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	--	--	--	--	--	--	--	--				--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--	--				--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	--	--	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(8.6)	6.7	0.4	(6.0)	4.0	2.1	--	0.1	(0.1)	0.0	0.0	0.0	(1.4)	(0.3)
<b>CLOSING CASH BALANCE</b>	(\$7.2)	(\$0.5)	(\$0.1)	(\$6.1)	(\$2.1)	\$ --	\$ --	\$0.1	\$ --	\$0.0	\$0.0	\$0.0	\$ --	(\$0.3)

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF DECEMBER 2011  
(amounts in millions)

SCHEDULE 1

	BALANCE 12/1/11	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 12/31/11
<b>GENERAL FUND</b>					
001-Local Assistance Account	\$ --	\$0.014	\$3,419.416	\$3,419.402	\$ --
003-State Operations Account	3,160.315	2,494.582	938.806	(2,503.226)	2,212.865
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	--	--	--	--	--
006-Universal Pre-K Reserve	--	--	--	--	--
007-Community Projects	103.700	--	1.726	--	101.974
008-Rainy Day Reserve Fund	--	--	--	--	--
017-Refund Reserve Account	--	--	--	--	--
166-Fringe Benefits Escrow	--	94.246	94.246	--	--
348-Tobacco Revenue Guarantee	--	--	--	--	--
<b>TOTAL GENERAL FUND</b>	<b>3,264.015</b>	<b>2,588.842</b>	<b>4,454.194</b>	<b>916.176</b>	<b>2,314.839</b>
<b>SPECIAL REVENUE FUNDS-STATE</b>					
019-Mental Health Gifts and Donations	2.223	0.004	0.027	--	2.200
020-Combined Expendable Trust	60.945	1.052	3.129	--	58.868
023-New York Interest on Lawyer Account	7.000	0.555	1.330	--	6.225
024-NYS Archives Partnership Trust	0.099	--	0.031	--	0.068
025-Child Performer's Protection	0.142	0.006	0.017	--	0.131
050-Tuition Reimbursement	5.640	0.262	0.217	--	5.685
052-New York State Local Government Records Management Improvement	3.729	0.907	1.731	--	2.905
053-School Tax Relief	0.015	2,593.630	2,593.626	--	0.019
054-Charter Schools Stimulus	1.330	--	--	--	1.330
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
056-Hudson River Valley Greenway	0.001	--	--	--	0.001
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.020	0.001	--	--	0.021
061-HCRA Resources	423.213	454.315	431.682	(24.258)	421.588
073-Dedicated Mass Transportation Trust	73.025	57.765	42.060	--	88.730
160-State Lottery	(620.807)	234.585	112.655	--	(498.877)
221-Combined Student Loan	21.566	2.513	0.348	--	23.731
225-MTA Financial Assistance Fund	137.818	107.715	163.644	1.580	83.469
300-Sewage Treatment Program Mgmt. & Administration	0.150	--	1.027	--	(0.877)
301-EnCon Special Revenue	(18.785)	8.536	9.673	--	(19.922)
302-Conservation	95.127	2.557	5.169	--	92.515
303-Environmental Protection and Oil Spill Compensation	13.761	4.818	3.644	--	14.935
305-Training and Education Program on OSHA	11.159	0.002	2.009	--	9.152
306-Lawyers' Fund for Client Protection	3.208	0.696	0.052	--	3.852
307-Equipment Loan for the Disabled	0.472	0.006	0.007	--	0.471
313-Mass Transportation Operating Assistance	(295.125)	270.776	465.761	16.763	(473.347)
314-Clean Air	(11.125)	3.712	3.853	--	(11.266)
318-New York State Infrastructure Trust	0.067	--	--	--	0.067
321-Legislative Computer Services	9.762	0.139	0.092	--	9.809
328-Biodiversity Stewardship and Research	--	--	--	--	--
332-Combined Non-Expendable Trust	3.479	--	--	--	3.479
333-Winter Sports Education Trust	1.181	--	--	--	1.181
335-Musical Instrument Revolving	0.001	--	--	--	0.001
337-Rural Housing Assistance	--	--	--	--	--
338-Arts Capital Revolving	0.741	--	--	--	0.741
339-Miscellaneous State Special Revenue	1,052.509	281.991	788.556	528.547	1,074.491

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF DECEMBER 2011  
(amounts in millions)

SCHEDULE 1  
(continued)

	BALANCE 12/1/11	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 12/31/11
<b><u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u></b>					
340-Court Facilities Incentive Aid	7.028	0.001	4.790	13.314	15.553
341-Employment Training	0.041	--	--	--	0.041
342-Homeless Housing and Assistance	--	--	--	--	--
345-State University Income	875.024	249.600	392.896	23.254	754.982
346-Chemical Dependence Service	7.597	0.361	2.250	--	5.708
349-Lake George Park Trust	1.771	0.007	0.156	--	1.622
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	(32.663)	12.008	27.862	--	(48.517)
355-New York Great Lakes Protection	0.624	--	0.018	--	0.606
359-Federal Revenue Maximization	0.023	--	--	--	0.023
360-Housing Development	8.029	0.004	--	--	8.033
362-NYS/DOT Highway Safety Program	(2.500)	0.189	0.274	--	(2.585)
365-Vocational Rehabilitation	0.091	0.008	--	--	0.099
366-Drinking Water Program Management and Administration	(7.420)	--	0.663	--	(8.083)
368-NYC County Clerks' Operations Offset	(28.989)	--	2.309	--	(31.298)
369-Judiciary Data Processing Offset	7.648	2.350	1.429	--	8.569
377-IFR / CUTRA	140.395	22.104	22.051	--	140.448
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.006	0.001	--	--	0.007
390-Indigent Legal Services	83.627	5.969	0.040	--	89.556
482-Unemployment Insurance Interest and Penalty	9.731	1.091	0.125	--	10.697
<b>TOTAL SPECIAL REVENUE FUNDS-STATE</b>	<b>2,052.604</b>	<b>4,320.236</b>	<b>5,085.203</b>	<b>559.200</b>	<b>1,846.837</b>
<b><u>SPECIAL REVENUE FUNDS-FEDERAL</u></b>					
261-Federal USDA / Food and Consumer Services	(0.703)	232.034	269.619	(0.136)	(38.424)
265-Federal Health and Human Services	(882.540)	3,327.478	2,286.935	(303.182)	(145.179)
267-Federal Education	(18.727)	379.905	375.820	(0.489)	(15.131)
269-Federal DHHS Block Grant	--	--	--	--	--
290-Federal Miscellaneous Operating Grants	123.192	126.237	131.668	(0.002)	117.759
480-Unemployment Insurance Administration	66.020	20.656	23.870	--	62.806
484-Unemployment Insurance Occupational Training	0.148	0.800	0.724	--	0.224
486-Federal Employment and Training Grants	(0.377)	13.148	12.772	--	(0.001)
<b>TOTAL SPECIAL REVENUE FUNDS-FEDERAL</b>	<b>(712.987)</b>	<b>4,100.258</b>	<b>3,101.408</b>	<b>(303.809)</b>	<b>(17.946)</b>
<b>TOTAL SPECIAL REVENUE FUNDS</b>	<b>1,339.617</b>	<b>8,420.494</b>	<b>8,186.611</b>	<b>255.391</b>	<b>1,828.891</b>
<b><u>DEBT SERVICE FUNDS</u></b>					
064-Debt Reduction Reserve	--	--	--	--	--
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	359.386	58.930	--	49.917	468.233
311-General Debt Service	1,009.922	924.366	1,096.026	(837.806)	0.456
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	0.215	0.032	(0.183)	--
319-Department of Health Income	26.397	0.663	--	(6.526)	20.534
330-State University Dormitory Income	270.812	27.366	34.232	(44.885)	219.061
361-Clean Water/Clean Air	27.697	35.522	--	(27.142)	36.077
364-Local Government Assistance Tax	5.784	283.886	1.477	(284.814)	3.379
<b>TOTAL DEBT SERVICE FUNDS</b>	<b>1,699.998</b>	<b>1,330.948</b>	<b>1,131.767</b>	<b>(1,151.439)</b>	<b>747.740</b>

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF DECEMBER 2011  
(amounts in millions)**

**SCHEDULE 1  
(continued)**

	<u>BALANCE 12/1/11</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE 12/31/11</u>
<b><u>CAPITAL PROJECTS FUNDS</u></b>					
002-State Capital Projects	--	127.169	397.453	270.284	--
072-Dedicated Highway and Bridge Trust	(333.869)	203.080	215.888	(93.425)	(440.102)
074-SUNY Residence Halls Rehabilitation and Repair	114.087	0.012	1.703	10.077	122.473
075-New York State Canal System Development	3.039	--	0.602	--	2.437
076-Parks Infrastructure	(31.684)	8.996	2.138	(0.003)	(24.829)
077-Passenger Facility Charge	0.014	--	--	--	0.014
078-Environmental Protection	56.456	12.952	15.800	--	53.608
079-Clean Water/Clean Air Implementation	--	--	--	--	--
080-Hudson River Park	0.088	--	--	--	0.088
101-Energy Conservation Thru Improved Transportation Bond	0.164	--	--	--	0.164
103-Park & Recreation Land Acquisition Bond	--	--	--	--	--
105-Pure Waters Bond	--	--	--	1.983	1.983
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	3.391	--	--	--	3.391
115-Environmental Quality Protection Bond	1.601	--	--	0.334	1.935
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
121-Rebuild and Renew New York Transportation Bond	96.190	--	--	102.570	198.760
123-Transportation Infrastructure Renewal Bond	4.281	--	--	0.167	4.448
124-1986 Environmental Quality Bond Act	--	--	--	9.361	9.361
126-Accelerated Capacity and Transportation Improvement Bond	2.657	--	--	0.332	2.989
127-Clean Water/Clean Air Bond	7.847	--	--	27.316	35.163
291-Federal Capital Projects	(80.989)	196.136	193.494	--	(78.347)
310-Forest Preserve Expansion	0.894	--	--	--	0.894
312-Hazardous Waste Remedial	(149.748)	2.387	19.159	(1.104)	(167.624)
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	--	--	--	--	--
327-Suburban Transportation	0.504	--	--	--	0.504
357-Division for Youth Facilities Improvement	(9.907)	5.321	1.179	--	(5.765)
358-Youth Centers Facility	--	--	--	--	--
374-Housing Assistance	(21.608)	0.657	--	--	(20.951)
376-Housing Program	(247.034)	81.101	--	--	(165.933)
378-Natural Resource Damage	19.473	0.276	0.117	--	19.632
380-DOT Engineering Services	(12.565)	--	0.290	--	(12.855)
384-State University Capital Projects	156.335	(0.349)	5.959	2.300	152.327
387-Miscellaneous Capital Projects	(202.207)	0.015	0.492	--	(202.684)
388-CUNY Capital Projects	(0.023)	--	--	--	(0.023)
389-Mental Hygiene Facilities Capital Improvement	(357.018)	12.018	11.056	--	(356.056)
399-Correction Facilities Capital Improvement	(34.070)	34.070	14.260	--	(14.260)
<b>TOTAL CAPITAL PROJECTS FUNDS</b>	<u>(1,013.701)</u>	<u>683.841</u>	<u>879.590</u>	<u>330.192</u>	<u>(879.258)</u>
<b>TOTAL GOVERNMENTAL FUNDS</b>	<u><b>\$5,289.929</b></u>	<u><b>\$13,024.125</b></u>	<u><b>\$14,652.162</b></u>	<u><b>\$350.320</b></u>	<u><b>\$4,012.212</b></u>

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY  
 FOR THE MONTH OF DECEMBER 2011  
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>FUND EQUITY 12/1/11</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 12/31/11</u>
<b><u>ENTERPRISE FUNDS</u></b>					
324-Youth Commissary	\$0.205	\$0.002	\$0.002	\$ --	\$0.205
325-State Exposition Special	5.279	0.247	1.352	--	4.174
326-Correctional Services Commissary	2.212	2.777	3.088	--	1.901
331-Agency Enterprise	2.433	0.179	0.179	--	2.433
351-OMH Sheltered Workshop	1.801	0.076	0.063	--	1.814
352-OPWDD Sheltered Workshop	1.096	0.130	0.071	--	1.155
353-Mental Hygiene Community Stores	2.966	0.108	0.120	--	2.954
481-Unemployment Insurance Benefit	53.063	595.424	571.670	--	76.817
<b>TOTAL ENTERPRISE FUNDS</b>	<b>69.055</b>	<b>598.943</b>	<b>576.545</b>	<b>--</b>	<b>91.453</b>
<b><u>INTERNAL SERVICE FUNDS</u></b>					
323-O.G.S. Centralized Services	57.095	(5.057)	11.821	(3.190)	37.027
334-Agency Internal Service	(21.607)	8.425	15.518	4.978	(23.722)
343-Mental Hygiene Revolving	0.068	0.078	0.073	--	0.073
347-Youth Vocational Education	0.055	0.001	--	--	0.056
394-Joint Labor/Management Administration	1.484	0.621	0.078	--	2.027
395-Audit and Control Revolving	0.807	--	0.215	--	0.592
396-Health Insurance Revolving	(18.636)	1.070	2.313	--	(19.879)
397-Correctional Industries Revolving	(6.845)	4.133	4.508	(0.037)	(7.257)
<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>12.421</b>	<b>9.271</b>	<b>34.526</b>	<b>1.751</b>	<b>(11.083)</b>
<b>TOTAL PROPRIETARY FUNDS</b>	<b>\$81.476</b>	<b>\$608.214</b>	<b>\$611.071</b>	<b>\$1.751</b>	<b>\$80.370</b>



STATE OF NEW YORK  
FIDUCIARY FUNDS

SCHEDULE 3

SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FOR THE MONTH OF DECEMBER 2011

(amounts in millions)

<u>FUND TYPE</u>	<u>FUND BALANCE 12/1/11</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 12/31/11</u>
<b><u>PENSION TRUST FUNDS</u></b>					
400-Common Retirement-Administration	\$0.110	\$4.985	\$5.095	\$ --	\$ --
<b>TOTAL PENSION TRUST FUNDS</b>	<b>0.110</b>	<b>4.985</b>	<b>5.095</b>	<b>--</b>	<b>--</b>
<b><u>PRIVATE PURPOSE TRUST FUNDS</u></b>					
021-Agriculture Producers' Security	1.855	--	0.008	--	1.847
022-Milk Producers' Security	8.160	0.048	0.005	--	8.203
<b>TOTAL PRIVATE PURPOSE TRUST FUNDS</b>	<b>10.015</b>	<b>0.048</b>	<b>0.013</b>	<b>--</b>	<b>10.050</b>
<b><u>AGENCY FUNDS</u></b>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	--	--	--	--	--
130-School Capital Facilities Financing Reserve	23.805	1.843	--	--	25.648
135-Child Performer's Holding	0.054	0.001	--	--	0.055
136-Child Performer's Holding II	0.075	--	--	--	0.075
137-Child Performer's Holding III	0.042	0.010	--	--	0.052
152-Employees Health Insurance	346.938	568.126	591.890	--	323.174
153-Social Security Contribution	10.879	74.571	66.135	--	19.315
154-Employee Payroll Withholding Escrow	66.982	409.157	369.563	--	106.576
162-Employees Dental Insurance	6.983	5.927	6.056	--	6.854
163-Management Confidential Group Insurance	1.151	0.731	0.591	--	1.291
165-Lottery Prize	323.667	65.874	58.434	(24.004)	307.103
167-Health Insurance Reserve Receipts	0.097	--	--	--	0.097
169-Miscellaneous New York State Agency	515.579	80.123	20.151	(0.001)	575.550
175-Elderly Pharmaceutical Insurance Coverage Escrow	20.388	13.086	19.950	--	13.524
176-CUNY Senior College Operating	39.474	165.000	165.614	--	38.860
179-Medicaid Management Information System Escrow	1,905.345	3,382.918	5,085.841	--	202.422
309-Special Education	--	--	--	--	--
344-State University Collection	121.471	20.239	--	--	141.710
382-SUNY Federal Direct Lending Program	(0.890)	0.685	--	--	(0.205)
<b>TOTAL AGENCY FUNDS</b>	<b>3,382.040</b>	<b>4,788.291</b>	<b>6,384.225</b>	<b>(24.005)</b>	<b>1,762.101</b>
<b>TOTAL FIDUCIARY FUNDS</b>	<b>\$3,392.165</b>	<b>\$4,793.324</b>	<b>\$6,389.333</b>	<b>(\$24.005)</b>	<b>\$1,772.151</b>

STATE OF NEW YORK  
SOLE CUSTODY AND INVESTMENT ACCOUNTS  
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS  
FOR THE MONTH OF DECEMBER 2011  
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 12/1/11</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 12/31/11</u>
<b><u>ACCOUNTS</u></b>				
060-Tobacco Settlement	\$2.698	\$ --	\$ --	\$2.698
149-Sole Custody Investment (*)	1,598.347	1,833.353	1,917.511	1,514.189
650-Comptroller's Refund	--	136.810	136.810	--
<b>TOTAL ACCOUNTS</b>	<b>\$1,601.045</b>	<b>\$1,970.163</b>	<b>\$2,054.321</b>	<b>\$1,516.887</b>

**(\*) Includes Public Asset Fund resources**

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. On December 28, 2005, Wellchoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of December 31, 2011, \$12,529,198.10 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resource Fund (061).

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF DIRECT STATE DEBT ACTIVITY  
FISCAL YEAR ENDED MARCH 31, 2012

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2011	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING DEC. 31, 2011	INTEREST DISBURSED	
		MONTH OF DECEMBER	9 MONTHS ENDED DEC. 31, 2011	MONTH OF DECEMBER	9 MONTHS ENDED DEC. 31, 2011		MONTH OF DECEMBER	9 MONTHS ENDED DEC. 31, 2011
<b>GENERAL OBLIGATION BONDED DEBT:</b>								
Accelerated Capacity and Transportation Improvements	\$458,465,328.60	\$281,950.31	\$281,950.31	\$ --	\$82,481,683.89	\$376,265,595.02	\$965,646.69	\$15,023,990.34
Clean Water/Clean Air:								
Air Quality	59,447,800.41	311,174.82	311,174.82	--	9,193,360.34	50,565,614.89	774.37	1,610,423.64
Safe Drinking Water	27,760,083.89	--	--	--	9,622,255.98	18,137,827.91	125,702.50	744,443.82
Water	483,211,725.68	8,409,844.21	8,409,844.21	--	4,212,495.72	487,409,074.17	89,062.33	9,886,741.60
Solid Waste	81,325,655.84	7,817.17	7,817.17	--	5,362,107.84	75,971,365.17	150,410.03	1,533,453.74
Environmental Restoration	94,616,438.59	17,071,832.76	17,071,832.76	--	--	111,688,271.35	274.97	1,823,828.73
Energy Conservation Through Improved Transportation: Rapid Transit and Rail Freight	15,810,966.39	--	--	--	3,597,325.89	12,213,640.50	2,634.42	381,922.24
Environmental Quality Protection (1972):								
Air	14,737,138.07	--	--	--	3,595,839.37	11,141,298.70	46,870.07	558,938.38
Land and Wetlands	31,631,949.01	284,213.34	284,213.34	--	5,993,271.79	25,922,890.56	60,838.65	1,071,562.92
Water	97,808,886.94	--	--	--	13,708,150.83	84,100,736.11	10,779.00	3,060,608.83
Environmental Quality (1986):								
Land and Forests	39,409,145.15	85,179.30	85,179.30	--	4,849,785.33	34,644,539.12	61,817.78	1,012,569.24
Solid Waste Management	422,127,588.73	8,433,949.78	8,433,949.78	--	31,393,728.65	399,167,809.86	1,013,925.92	8,998,702.63
Housing:								
Low Cost	41,509,471.89	--	--	--	7,149,471.89	34,360,000.00	31,600.00	1,079,810.22
Middle Income	36,504,000.00	--	--	--	4,010,000.00	32,494,000.00	--	378,013.54
Park and Recreation Land Acquisition	30,067.39	--	--	--	10,000.00	20,067.39	--	492.51
Pure Waters	74,926,009.63	1,897,370.24	1,897,370.24	--	9,368,983.57	67,454,396.30	39,372.08	2,352,867.90
Rail Preservation Development	7,605,848.10	--	--	--	2,885,412.05	4,720,436.05	--	220,841.52
Rebuild and Renew New York Transportation:								
Highway Facilities	697,748,567.59	101,186,523.97	101,186,523.97	--	--	798,935,091.56	--	13,885,255.26
Canals and Waterways	12,284,051.56	4,334,505.57	4,334,505.57	--	--	16,618,557.13	--	227,506.34
Aviation	45,439,835.48	--	--	--	--	45,439,835.48	--	880,714.02
Rail and Port	77,979,040.83	--	--	--	--	77,979,040.83	--	1,609,392.16
Mass Transit - Dept. of Transportation	14,255,465.90	--	--	--	--	14,255,465.90	--	276,362.04
Mass Transit - Metropolitan Transportation Authority	640,297,346.72	187,628,637.32	187,628,637.32	--	--	827,925,984.04	--	13,388,582.36
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	3,288,021.09	142,001.21	142,001.21	--	--	3,430,022.30	--	65,276.46
Ports, Canals, and Waterways	75,831.26	--	--	--	37,435.16	38,396.10	--	2,284.55
Rapid Transit, Rail, and Aviation	18,976,602.80	--	--	--	2,387,898.79	16,588,704.01	22,809.80	661,632.60
Transportation Capital Facilities:								
Aviation	19,475,404.69	--	--	--	3,224,757.15	16,250,647.54	3,971.98	673,679.72
Mass Transportation	8,539,727.23	--	--	--	4,695,035.76	3,844,691.47	--	240,672.63
<b>Total General Obligation Bonded Debt</b>	<b>\$3,525,287,999.46</b>	<b>\$330,075,000.00</b>	<b>\$330,075,000.00</b>	<b>\$ --</b>	<b>\$207,779,000.00</b>	<b>\$3,647,583,999.46</b>	<b>\$2,626,490.59</b>	<b>\$81,650,569.94</b>

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
FINANCING AGREEMENTS  
FOR THE NINE (9) MONTHS ENDED DECEMBER 31, 2011

SCHEDULE 5a

	DEBT	GENERAL	DEPARTMENT	LOCAL	MENTAL	REVENUE	STATE	COMBINED TOTALS		\$ INCREASE / (DECREASE)
	REDUCTION	DEBT	OF HEALTH	GOVERNMENT	HEALTH	BOND	DORMITORY	9 MONTHS ENDED DEC. 31		
	FUND	SERVICE	INCOME	ASSISTANCE	SERVICES	TAX	INCOME	2011	2010	
	(064)	(311-01)	(319)	(364)	(304)	(311-02)	(330)			
<b>Special Contractual Financing Obligations:</b>										
<b>Managed by Office of General Services:</b>										
Department of Trans Region 1 Schenectady	\$ --	\$631,732	\$ --	\$ --	\$ --	\$ --	\$ --	\$631,732	\$427,769	\$203,963
Hampton Plaza	--	19,000	--	--	--	--	--	19,000	150,656	(131,656)
<b>Subtotal</b>	<b>\$ --</b>	<b>\$650,732</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$ --</b>	<b>\$650,732</b>	<b>\$578,425</b>	<b>\$72,307</b>
<b>Payments to Public Authorities:</b>										
City University Construction	--	235,838,029	--	--	--	--	--	235,838,029	215,938,540	19,899,489
Dormitory Authority:										
Albany County Airport	--	373,194	--	--	--	--	--	373,194	407,257	(34,063)
Child Care Facilities	--	37,669	--	--	--	--	--	37,669	106,066	(68,397)
Consolidated Service Contract Refunding	--	75,913,441	--	--	--	--	--	75,913,441	59,359,768	16,553,673
David Axelrod Institute	--	5,603,308	--	--	--	--	--	5,603,308	5,614,933	(11,625)
Department of Health Facilities	--	--	28,805,442	--	--	--	--	28,805,442	29,526,375	(720,933)
Economic Development Housing	--	--	--	--	--	90,556,723	--	90,556,723	90,758,209	(201,486)
Education	--	--	--	--	--	168,796,822	--	168,796,822	168,554,179	242,643
General Purpose	--	--	--	--	--	188,567,278	--	188,567,278	132,964,303	55,602,975
Health Care	--	--	--	--	--	2,973,244	--	2,973,244	3,200,144	(226,900)
Judicial Training Institute	--	434,793	--	--	--	--	--	434,793	428,018	6,775
Library for the Blind	--	--	--	--	--	--	--	--	489,719	(489,719)
Mental Health Facilities	--	--	--	--	117,514,744	--	--	117,514,744	107,191,572	10,323,172
OGS Parking	--	478,125	--	--	--	--	--	478,125	445,125	33,000
RESCUE	--	--	--	--	--	--	--	--	211,263	(211,263)
State Department of Education Facilities	--	1,045,377	--	--	--	--	--	1,045,377	1,052,887	(7,510)
State Facilities and Equipment	--	--	--	--	--	715,838	--	715,838	937,801	(221,963)
SUNY Community Colleges	--	25,454,834	--	--	--	--	--	25,454,834	18,536,068	6,918,766
SUNY Dormitory Facilities:	--	--	--	--	--	--	83,510,950	83,510,950	71,864,718	11,646,232
SUNY Educational Facilities	--	141,466,537	--	--	--	--	--	141,466,537	169,944,417	(28,477,880)
Environmental Facilities Corporation	--	3,460,965	--	--	--	90,071,733	--	93,532,698	95,507,722	(1,975,024)
Housing Finance Agency	--	12,081,059	--	--	--	39,136,604	--	51,217,663	52,010,464	(792,801)
Local Government Assistance Corporation	--	--	--	67,657,264	--	--	--	67,657,264	64,577,925	3,079,339
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	--	164,986,546	--	--	--	--	--	164,986,546	164,965,466	21,080
Triborough Bridge & Tunnel Authority:										
Javits Convention Center Project	--	41,845,000	--	--	--	--	--	41,845,000	41,843,500	1,500
Thruway Authority:										
Dedicated Highway & Bridge	--	789,949,628	--	--	--	--	--	789,949,628	775,015,721	14,933,907
Local Highway & Bridge	--	99,639,000	--	--	--	--	--	99,639,000	120,297,286	(20,658,286)
Transportation	--	--	--	--	--	60,353,000	--	60,353,000	54,138,953	6,214,047
Urban Development Corporation:										
Center for Industrial Innovation at RPI	--	4,246,200	--	--	--	--	--	4,246,200	4,245,025	1,175
Clarkson University	--	996,050	--	--	--	--	--	996,050	1,004,325	(8,275)
Columbia Univer. Telecommunications Center	--	3,719,000	--	--	--	--	--	3,719,000	2,806,000	913,000
Consolidated Service Contract Refunding	--	327,776,389	--	--	--	--	--	327,776,389	185,633,911	142,142,478
Cornell Univer. Supercomputer Center	--	493,000	--	--	--	--	--	493,000	366,000	127,000
Correctional Facilities	--	61,546,530	--	--	--	--	--	61,546,530	185,924,224	(124,377,694)
Economic Development Housing	--	--	--	--	--	186,724,580	--	186,724,580	200,237,585	(13,513,005)
General Purpose	--	--	--	--	--	247,153,800	--	247,153,800	130,404,994	116,748,806
South Mall	--	--	--	--	--	--	--	--	34,430,000	(34,430,000)
State Facilities and Equipment	--	--	--	--	--	26,307,575	--	26,307,575	51,661,195	(25,353,620)
Syracuse University Science and										
Technology Center	--	2,652,925	--	--	--	--	--	2,652,925	2,642,750	10,175
University Facilities Grant 95 Refunding	--	1,587,519	--	--	--	--	--	1,587,519	1,764,344	(176,825)
Youth Facilities	--	--	--	--	--	--	--	--	19,002,000	(19,002,000)
<b>Subtotal</b>	<b>\$ --</b>	<b>\$2,001,625,118</b>	<b>\$28,805,442</b>	<b>\$67,657,264</b>	<b>\$117,514,744</b>	<b>\$1,101,357,197</b>	<b>\$83,510,950</b>	<b>\$3,400,470,715</b>	<b>\$3,266,010,752</b>	<b>\$134,459,963</b>
<b>Total Disbursements for Special Contractual Financing Obligations</b>	<b>\$ --</b>	<b>\$2,002,275,850</b>	<b>\$28,805,442</b>	<b>\$67,657,264</b>	<b>\$117,514,744</b>	<b>\$1,101,357,197</b>	<b>\$83,510,950</b>	<b>\$3,401,121,447</b>	<b>\$3,266,589,177</b>	<b>\$134,532,270</b>

**SUMMARY OF THE OPERATING FUND INVESTMENTS  
FOR THE MONTH OF DECEMBER 2011  
AS REQUIRED OF THE STATE COMPTROLLER**  
(amounts in millions)

	<u>DECEMBER 2011</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FYTD DECEMBER 2010</u>
<b><u>SHORT TERM INVESTMENT POOL*</u></b>			
AVERAGE DAILY INVESTMENT BALANCE**	\$6,770.6	\$7,398.8	\$6,688.5
AVERAGE YIELD**	0.125%	0.144%	0.238%
TOTAL INVESTMENT EARNINGS	\$0.740	\$8.579	\$13.379

**Month-End Portfolio Balances**

<u>DESCRIPTION</u>	<u>DECEMBER 2011</u>	<u>DECEMBER 2010</u>
	<u>PAR AMOUNT</u>	<u>PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ --	\$ --
REPURCHASE AGREEMENTS	622.5	1,923.0
COMMERCIAL PAPER	550.0	1,672.1
CERTIFICATES OF DEPOSIT/SAVINGS	4,120.4	3,176.6
0% COMPENSATING BALANCE CD's	1,825.0	1,865.0
	<u>\$7,117.9</u>	<u>\$8,636.7</u>

\*Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds/subfunds (on deposit in State's general checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the Short Term Investment Pool is authorized to temporarily loan to the General Fund-State Operations Account (003) funds for a period of four months or the end of the fiscal year, which ever is shorter. However, it must be noted that certain funds/subfunds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments, public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

\*\*Does not include 0% Compensating Balance CD's.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
DIVISION OF PAYROLL, ACCOUNTING, AND REVENUE SERVICES  
BUREAU OF STATE ACCOUNTING OPERATIONS

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING  
FISCAL YEAR 2011-2012**

**APPENDIX - TABLE OF CONTENTS**

<u>HCRA Resources Fund - Statement of Receipts and Disbursements by Object</u>	Appendix A
<u>HCRA Resources Fund - Statement of Program Disbursements</u>	Appendix B
<u>American Recovery and Reinvestment Act of 2009 - Schedule of Disbursements of Federal Awards</u>	Appendix C
<u>HCRA Public Goods Pool - Statement of Cash Flow</u>	Appendix D
<u>HCRA Medicaid Disproportionate Share - Statement of Cash Flow</u>	Appendix E
<u>Public Authority Off Budget Spending Report</u>	Appendix F
<u>Schedule of Month-End Temporary Loans Outstanding</u>	Appendix G

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT  
 FISCAL YEAR 2011-2012

APPENDIX A

	2011 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER
<b>OPENING CASH BALANCE</b>	<b>\$159,230,134</b>	<b>\$233,568,698</b>	<b>\$195,517,861</b>	<b>\$220,212,742</b>	<b>\$434,477,295</b>	<b>\$406,776,002</b>
<b>RECEIPTS:</b>						
Cigarette Tax	95,292,088	98,024,053	105,751,635	105,879,252	110,699,843	108,260,913
State Share of NYC Cigarette Tax	4,880,000	5,053,000	5,467,000	5,041,000	5,817,000	4,911,000
STIP Interest	81,083	50,667	45,849	46,560	54,699	65,642
Public Asset Transfers	--	--	--	--	--	--
Indigent Care Pool	2,926	--	3,416	546	529	602
Public Goods Pool	333,645,171	344,050,332	339,765,810	358,676,159	344,517,688	290,102,945
Miscellaneous	--	3,292	--	22,466	3,708,517	10,541
<b>Total Receipts</b>	<b>433,901,268</b>	<b>447,181,344</b>	<b>451,033,710</b>	<b>469,665,983</b>	<b>464,798,276</b>	<b>403,351,643</b>
<b>DISBURSEMENTS:</b>						
Grants - Social Service	98	559	--	--	2,241,704	1,201,092
Medical Assistance Payments	334,194,941	398,830,277	224,473,884	214,207,931	412,446,905	314,820,027
Grants - Health	20,109,283	56,816,688	157,388,232	36,906,330	70,281,125	89,462,542
Grants - Mental Hygiene	--	12,000	--	--	--	24,000
Grants - Miscellaneous	--	--	--	--	--	--
Interest - Late Payments	7,469	13,691	21,599	23,448	81,479	24,556
Personal Service	539,959	897,472	795,142	789,290	1,246,204	800,145
Non-Personal Service	3,777,687	3,160,359	10,690,401	2,822,833	3,018,817	2,717,182
Employee Benefits/Indirect Costs	--	1,611,903	141,973	--	1,052,477	--
<b>Total Disbursements</b>	<b>358,629,437</b>	<b>461,342,949</b>	<b>393,511,231</b>	<b>254,749,832</b>	<b>490,368,711</b>	<b>409,049,544</b>
<b>OPERATING TRANSFERS:</b>						
Transfers to 002	--	23,000,000	32,176,000	--	--	40,500,000
Transfers to 003	--	--	--	--	--	--
Transfers to 311-02	--	--	--	--	1,306,200	4,635,210
Transfers to 339-AP	--	--	--	--	--	--
Transfers to 339-SR	--	--	--	--	--	15,000,000
Transfers to 345	933,267	889,232	651,598	651,598	824,658	878,452
<b>Total Operating Transfers</b>	<b>933,267</b>	<b>23,889,232</b>	<b>32,827,598</b>	<b>651,598</b>	<b>2,130,858</b>	<b>61,013,662</b>
<b>Total Disbursements and Transfers</b>	<b>359,562,704</b>	<b>485,232,181</b>	<b>426,338,829</b>	<b>255,401,430</b>	<b>492,499,569</b>	<b>470,063,206</b>
<b>CLOSING CASH BALANCE</b>	<b>\$233,568,698</b>	<b>\$195,517,861</b>	<b>\$220,212,742</b>	<b>\$434,477,295</b>	<b>\$406,776,002</b>	<b>\$340,064,439</b>

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT  
 FISCAL YEAR 2011-2012

APPENDIX A  
 (continued)

	OCTOBER	NOVEMBER	DECEMBER	9 Months Ended December 31, 2011
<b>OPENING CASH BALANCE</b>	<b>\$340,064,439</b>	<b>\$420,158,483</b>	<b>\$423,212,777</b>	<b>\$159,230,134</b>
<b>RECEIPTS:</b>				
Cigarette Tax	97,585,842	97,935,679	98,584,201	918,013,506
State share of NYC Cigarette Tax	4,841,000	4,766,000	5,057,000	45,833,000
STIP Interest	71,346	62,734	65,416	543,996
Public Asset Transfers	--	--	--	--
Indigent Care Pool	526	655	2,986	12,186
Public Goods Pool	386,096,546	343,757,381	350,605,013	3,091,217,045
Miscellaneous	--	(3,660,213)	--	84,603
<b>Total Receipts</b>	<b>488,595,260</b>	<b>442,862,236</b>	<b>454,314,616</b>	<b>4,055,704,336</b>
<b>DISBURSEMENTS:</b>				
Grants - Social Service	75,617	--	--	3,519,070
Medical Assistance Payments	311,564,841	357,182,444	232,546,882	2,800,268,132
Grants - Health	93,999,718	54,744,235	192,929,234	772,637,387
Grants - Mental Hygiene	--	--	12,000	48,000
Grants - Miscellaneous	--	--	--	--
Interest - Late Payments	13,765	28,817	53,591	268,415
Personal Service	783,219	756,996	79,863	6,688,290
Non-Personal Service	1,530,674	3,747,284	6,060,577	37,525,814
Employee Benefits/Indirect Costs	--	784,936	--	3,591,289
<b>Total Disbursements</b>	<b>407,967,834</b>	<b>417,244,712</b>	<b>431,682,147</b>	<b>3,624,546,397</b>
<b>OPERATING TRANSFERS:</b>				
Transfers to 002	--	21,700,000	8,800,000	126,176,000
Transfers to 003	--	--	--	--
Transfers to 311-02	--	--	--	5,941,410
Transfers to 339-AP	--	--	--	--
Transfers to 339-SR	--	--	15,000,000	30,000,000
Transfers to 345	533,382	863,230	457,633	6,683,050
<b>Total Operating Transfers</b>	<b>533,382</b>	<b>22,563,230</b>	<b>24,257,633</b>	<b>168,800,460</b>
<b>Total Disbursements and Transfers</b>	<b>408,501,216</b>	<b>439,807,942</b>	<b>455,939,780</b>	<b>3,793,346,857</b>
<b>CLOSING CASH BALANCE</b>	<b>\$420,158,483</b>	<b>\$423,212,777</b>	<b>\$421,587,613</b>	<b>\$421,587,613</b>



STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2011-2012

APPENDIX B

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July - September Disbursements	October Disbursements	November Disbursements	December Disbursements	Total Disbursements 9 Months Ending December 31, 2011 (3)
<b>ADULT HOMES PROGRAM</b>	\$ 59,736	\$	\$	\$	\$	\$	\$	\$
ADULT HOME RESIDENT COUNCIL PROJECT		59,736	12,000	12,000	--	--	--	24,000
<b>AIDS INSTITUTE PROGRAM</b>	<b>239,382,673</b>							
HEALTH CARE SERVICES ACCOUNT		158,075,380	14,596,427	33,935,859	3,143,168	5,448,373	4,118,964	61,242,791
OPERATIONAL SUPPORT FOR AIDS HOUSING		1,833,500	657	44,082	--	--	--	44,739
<b>CENTER FOR COMMUNITY HEALTH PROGRAM</b>	<b>195,015,346</b>							
EVIDENCE BASED CANCER SERVICES		35,450,907	3,933,989	1,204,123	145,663	1,541,549	900,959	7,726,283
HEALTH CARE SERVICES ACCOUNT		68,566,050	7,601,171	10,261,387	1,445,499	5,274,024	3,296,413	27,878,494
HOSPITAL BASED GRANTS PROGRAM		24,956,714	2,559,540	3,891,101	259,761	968,068	1,193,719	8,872,189
TOBACCO CONTROL & CANCER SERVICES		4,583,434	718,131	729,575	139,599	160,961	132,226	1,880,492
<b>OFFICE OF HEALTH SYSTEMS MANAGEMENT</b>	<b>54,368,668</b>							
EMERGENCY MEDICAL SERVICES ACCOUNT		28,369,121	4,798,406	4,109,582	1,351,218	1,048,613	1,372,508	12,680,327
HEALTH CARE DELIVERY ADMINISTRATION		566,910	89,841	96,398	20,789	55,234	20,219	282,481
HEALTH CARE SERVICES ACCOUNT		6,680,268	93,426	--	--	--	--	93,426
HEALTH OCCUPATION DEVELOP/WORK DEMO		987,480	135,244	138,540	28,369	75,236	27,377	404,766
HEALTH WORKFORCE RETRAINING PROGRAM		1,083,000	195,548	--	--	--	--	195,548
PRIMARY CARE INITIATIVES MONITORING		798,400	113,951	116,402	23,322	61,941	22,343	337,959
<b>HEALTH CARE FINANCING PROGRAM</b>	<b>9,217,600</b>							
PROVIDER COLLECTION MONITORING ACCOUNT		3,914,100	780,245	521,659	94,228	272,863	92,854	1,761,849
<b>OFFICE OF HEALTH INSURANCE PROGRAM</b>	<b>19,810,800</b>							
FAMILY HEALTH PLUS		10,508,000	1,741,965	672,878	241,103	550,529	985,424	4,191,899
MEDICAID FRAUD HOTLINE/ADMIN.		412,600	29,656	19,403	4,235	10,642	21,717	85,653
PILOT HEALTH INSURANCE ACCOUNT		1,580,580	498,416	236,614	48,094	67,826	42,615	893,565
<b>MEDICAL ASSISTANCE PROGRAM</b>	<b>13,139,296,876</b>							
BREAST & CERVICAL CANCER GRANTS		4,057,200	1,957,200	--	--	--	--	1,957,200
D&TC RATES FOR R&R GRANTS (4)		890,100	--	--	--	--	--	--
DISABLED PERSONS GRANTS		45,402,000	21,902,000	--	--	--	--	21,902,000
FAMILY HEALTH PLUS GRANTS		697,421,000	159,921,000	--	--	--	--	159,921,000
HOME HEALTH R&R RATES GRANTS (5)		49,450,000	--	--	--	--	--	--
MEDICAL ASSISTANCE - INDIGENT CARE FUND		1,465,355,848	200,702,899	195,384,770	68,098,223	63,045,675	64,604,514	591,836,081
MEDICAL ASSISTANCE - PAYMENTS GRANTS		266,544,800	120,800,000	15,644,800	--	--	--	136,444,800
NURSING HOME FINANCIAL ASSIST GRANTS		28,815,000	13,980,000	--	--	--	--	13,980,000
NYC MEDICAID GRANTS		240,920,400	116,220,400	--	--	--	--	116,220,400
NYC PERSONAL CARE WRR RATES GRANTS (6)		134,504,000	--	--	--	--	--	--
PERSONAL CARE WRR RATES GRANTS (7)		11,076,800	--	--	--	--	--	--
PHARMACY SERVICES GRANT		3,253,411,028	179,843,700	732,800,000	244,000,000	295,000,000	168,400,000	1,620,043,700
PHYSICIAN SERVICES GRANT		164,606,400	79,406,000	--	--	--	--	79,406,000
PRIMARY CARE CASE MANAGEMENT		3,842,000	1,864,000	--	--	--	--	1,864,000
SUPPLEMENTAL MED INS PAYMENTS GRANTS		131,376,000	63,376,000	--	--	--	--	63,376,000
<b>COMMUNITY SUPPORT PROGRAM</b>	<b>60,000</b>							
ADULT HOMES RESIDENT COUNCIL		54,000	--	12,000	--	--	12,000	24,000
<b>OFFICE OF LONG TERM CARE</b>	<b>21,469,672</b>							
ADULT HOME INITIATIVES		3,571,041	121,213	--	--	--	--	121,213
ENHANCING ABILITIES & LIFE EXPERIENCE		2,639,525	--	--	--	--	--	--
ENRICHED HOUSING FACILITIES		4,311,700	--	3,401,346	76,245	--	--	3,477,591
<b>ELDERLY PHARMACEUTICAL INS COVERAGE PRG</b>	<b>365,772,140</b>							
ELDERLY PHARMACEUTICAL INSURANCE COVER		349,227,140	2,594,342	23,083,400	18,656,593	14,811,464	16,323,990	75,469,789
<b>CHILD HEALTH INSURANCE PROGRAM</b>	<b>977,775,261</b>							
CHILD HEALTH INSURANCE		638,940,869	61,686,301	80,396,442	49,789,591	17,354,754	51,343,409	260,570,497

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2011-2012

APPENDIX B  
 (continued)

Program/Purpose	Appropriation Amount (1)	Segregation Amount	April - June Disbursements	July - September Disbursements	October Disbursements	November Disbursements	December Disbursements	Total Disbursements 9 Months Ending December 31, 2011 (3)
<b>HEALTH CARE REFORM ACT PROGRAM</b>	<b>\$ 1,573,604,993</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>
ADAP/HIV UNINSURED CARE (HRI) (8)		70,150,000	--	--	--	--	20,000,000	20,000,000
AMBULATORY CARE TRAINING PROGRAM		2,150,000	--	--	--	--	--	--
AREA HEALTH CARE CENTERS		786,934	--	--	--	--	--	--
ASSEMBLY PRIORITY DISTRIBUTIONS		4,202,519	--	--	--	--	--	--
AUDIT SERVICE PAYER/PROVIDER COMPLIANCE		21,541,061	3,568,458	4,029,477	3,015	2,036,524	2,572,139	12,209,613
CANCER RELATED SERVICES		10,349,162	--	--	--	--	--	--
CATASTROPHIC HEALTH CARE EXPENSE		3,111,420	--	--	--	--	--	--
COMMISSIONER EMERGENCY DISTRIBUTIONS		1,450,000	--	--	--	--	--	--
COMMISSIONER'S PRIORITY POOL DISTRIB.		13,638,892	--	--	--	--	--	--
DIAGNOSTIC & TREAT CTR UNCOMPENSAT CARE		177,523,288	2,683,470	195,300	--	310,714	30,574,116	33,763,600
DIVERSITY IN MEDICINE/POST BACCALAUREAT		3,383,001	635,553	448,787	--	--	--	1,084,340
HEALTH CARE STABILIZATION PROGRAM		26,995,288	--	--	--	--	--	--
HEALTH FACILITY RESTRUCTURING		38,984,400	--	--	--	--	9,800,000	9,800,000
HEALTH WORKFORCE RETRAINING		91,279,920	1,031,677	3,180,872	(803)	209,889	1,841,977	6,263,612
INDIVIDUAL SUBSIDY PROGRAM		357,330	--	--	--	--	--	--
INFERTILITY GRANT PROGRAM		2,345,602	--	--	--	--	--	--
INFERTILITY SRVCS TREATMENTS & PROC		9,614,046	790,529	591,804	--	--	--	1,382,333
LONG TERM CARE DEMO PROJECTS		750,000	--	--	--	--	--	--
LONG TERM CARE INSUR EDUC/OUTREACH		450,000	--	--	--	--	--	--
MEDICAL INDEMNITY		30,000,000	--	--	--	--	30,000,000	30,000,000
MINORITY PARTICIPATION MED EDUC		192,625	--	--	--	--	--	--
NYS AREA HEALTH EDUCATION CENTER (AHEC)		5,477,043	1,838,076	95,367	--	85,843	--	2,019,286
OTHER MEDICAL SCHOOL		945,101	--	--	--	--	--	--
PAY FOR PERFORMANCE INITIATIVES		9,406,209	--	--	--	--	--	--
PHYSICIAN LOAN REPAYMENT PROGRAM		3,381,470	184,298	69,355	--	118,681	225,057	597,391
PHYSICIAN PRACTICE SUPPORT PROGRAM		6,407,786	558,685	702,273	122	65,459	242,454	1,568,993
PHYSICIAN WORKFORCE STUDIES PROGRAM		258,000	--	--	--	--	--	--
PHYSICIAN'S EXCESS MEDICAL MALPRACTICE		127,400,000	127,399,786	--	--	--	--	127,399,786
POISON CONTROL CENTERS		4,972,500	--	1,250,000	--	--	--	1,250,000
POOL ADMINISTRATOR-SERVICES & EXPENSES		7,953,421	399,820	480,972	2,413	426,858	390,377	1,700,440
PRIMARY HEALTH CARE SERVICES		2,915,430	--	--	--	--	--	--
ROSWELL PARK CANCER INSTITUTE		154,559,800	--	19,400,000	19,400,000	--	19,400,000	58,200,000
RURAL HEALTH CARE ACCESS DEVELOP		24,963,408	855,598	2,295,242	89,821	896,098	1,413,250	5,550,009
RURAL HEALTH CARE DELIVERY DEVELOP		5,480,367	--	--	--	--	--	--
RURAL HEALTH NETWORK DEVELOPMENT		11,689,478	1,763,642	2,139,492	54	535,201	1,001,326	5,439,715
SCHOOL BASED HEALTH CENTERS		2,777,080	--	--	--	--	--	--
SCHOOL BASED HEALTH CLINICS		5,538,400	--	--	--	--	--	--
SECTION 405.4 HOSPITAL AUDITS		2,340,000	233,341	91,099	--	--	--	324,440
SENATE PRIORITY DISTRIBUTIONS		4,099,177	--	--	--	--	--	--
SUPPLEMENTAL GRADUATE MEDICAL EDUCATION		18,147,322	--	5,170,000	--	770,000	--	5,940,000
TOBACCO USE PREVENTION & CONTROL		105,969,499	11,741,113	9,670,394	1,440,894	6,904,923	1,767,833	31,525,157
<b>TOTAL</b>	<b>16,595,833,765 (2)</b>	<b>8,858,780,012</b>	<b>1,215,957,714</b>	<b>1,156,522,795</b>	<b>408,501,216</b>	<b>418,107,942</b>	<b>432,139,780</b>	<b>3,631,229,447</b>
Transfer to the General Fund - State Purposes Account (for administration of the program)	636,003							
Reclass of SUNY Hospital Disprop Share to Transfer			(2,474,097)	(2,354,708)	(533,382)	(863,230)	(457,633)	(6,683,050)
<b>TOTAL APPROPRIATED AMOUNT</b>	<b>\$ 16,596,469,768</b>	<b>\$ 8,858,780,012</b>	<b>\$ 1,213,483,617</b>	<b>\$ 1,154,168,087</b>	<b>\$ 407,967,834</b>	<b>\$ 417,244,712</b>	<b>\$ 431,682,147</b>	<b>\$ 3,624,546,397</b>

(1) Includes amounts appropriated in 2011 as well as prior year appropriations that were reappropriated in the SFY 2011 budget chapters.

(2) Unsegregated appropriation total is \$7,737,053,753.

(3) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(4) Full title is: Diagnostic and Treatment Center Rates Increase for Recruitment and Retention of Health Care Workers.

(5) Full title is: Home Health Recruitment and Retention Rates Grants.

(6) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(7) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

(8) Full title is: Aids Drug Assistance Program/Human Immunodeficiency Virus - Uninsured Care - Health Research Incorporated.

STATE OF NEW YORK  
SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - DECEMBER 2011  
AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009  
(Disbursement Based)

APPENDIX C

Federal CFDA No.	Federal Agency	Program	December Disbursements	Life-to-Date Disbursements
<b>Education</b>				
10.579	Department of Agriculture	Child Nutrition Discretionary Grants Limited Availability	\$ --	\$ 5,824,761.24
11.557	Department of Commerce	Broadband Technology Opportunities Program (BTOP)	204,564.26	5,626,399.04
45.025	National Endowment for the Arts	Promotion of the Arts - Partnership Agreements	--	399,900.00
84.033	Department of Education	Federal Work-Study Program	--	2,102,760.00
84.063	Department of Education	Federal Pell Grant Program	--	147,198,591.00
84.384	Department of Education	Statewide Data Systems, Recovery Act	648,623.46	1,962,863.49
84.385	Department of Education	Teacher Incentive Fund, Recovery Act	42,662.73	105,739.19
84.386	Department of Education	Education Technology State Grants, Recovery Act	550,013.19	29,152,719.05
84.387	Department of Education	Education for Homeless Children and Youth, Recovery Act	943,287.00	6,039,255.00
84.388	Department of Education	School Improvement Grants, Recovery Act	(13,975.42)	43,474,247.42
84.389	Department of Education	Title I Grants to Local Education Agencies, Recovery Act	21,609,909.00	905,644,335.00
84.390	Department of Education	Rehabilitation Services - Vocational Rehabilitation Grants to States, Recovery Act	440,284.85	25,694,044.00
84.391	Department of Education	Special Education Grants to States, Recovery Act	67,496,291.76	755,867,980.05
84.392	Department of Education	Special Education - Preschool Grants, Recovery Act	4,235,669.00	34,302,395.00
84.394	Department of Education	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	52,735,373.00	2,468,557,791.00
84.395	Department of Education	State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act	1,181,690.17	3,911,697.93
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	1,572,182.00	527,364,018.81
84.398	Department of Education	Independent Living State Grants, Recovery Act	10,197.00	856,884.00
84.399	Department of Education	Independent Living Services for Older Individuals Who are Blind, Recovery Act	--	2,297,731.00
84.410	Department of Education	Education Jobs Fund	39,427,251.00	281,836,363.00
93.407	Health and Human Services	ARRA - Scholarships for Disadvantaged Students	--	411,249.00
<b>Total Education</b>			<b>191,084,023.00</b>	<b>5,248,631,724.22</b>
<b>Energy and Environment</b>				
10.086	Department of Agriculture	Aquaculture Grants Program (AGP)	--	7,611.86
10.688	Department of Agriculture	Recovery Act of 2009: Wildland Fire Management	43,961.64	617,163.65
66.039	Environmental Protection Agency	National Clean Diesel Emissions Reduction Program	--	1,000,000.00
66.040	Environmental Protection Agency	State Clean Diesel Grant Program	--	1,579,112.95
66.454	Environmental Protection Agency	Water Quality Management Planning	52,844.61	3,638,822.80
66.458	Environmental Protection Agency	Capitalization Grants for Clean Water State Revolving Funds	18,574,482.50	267,781,501.50
66.468	Environmental Protection Agency	Capitalization Grants for Drinking Water State Revolving Funds	--	77,473,600.56
66.805	Environmental Protection Agency	Leaking Underground Storage Tank Trust Fund Corrective Action Program	(4,310.65)	9,204,715.95
81.042	Department of Energy	Weatherization Assistance for Low-Income Persons	15,038,553.27	341,927,627.62
81.122	Department of Energy	Electricity Delivery and Energy Reliability, Research, Development and Analysis	13,338.05	256,911.94
<b>Total Energy and Environment</b>			<b>33,718,869.42</b>	<b>703,487,068.83</b>
<b>Food and Nutrition Services</b>				
10.568	Department of Agriculture	Emergency Food Assistance Program (Administrative Costs)	--	4,891,302.00
93.705	Health and Human Services	Aging Home-Delivered Nutrition Services for States	--	2,042,446.00
93.707	Health and Human Services	Aging Congregate Nutrition Services for States	--	4,148,718.00
<b>Total Food and Nutrition Services</b>			<b>--</b>	<b>11,082,466.00</b>
<b>Health and Social Services</b>				
10.557	Department of Agriculture	Special Supplemental Nutrition Program for Women, Infants & Children (WIC)	--	5,468,978.00
10.561	Department of Agriculture	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	--	24,402,283.00
10.578	Department of Agriculture	WIC Grants To States (WGS)	172,223.50	915,846.05
14.257	Department of Housing and Urban Development	Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)	415,542.49	23,638,061.33
84.393	Department of Education	Special Education - Grants for Infants and Families, Recovery Act	9,673,882.15	26,028,811.83
93.563	Health and Human Services	Child Support Enforcement	--	58,065,710.69
93.658	Health and Human Services	Foster Care- Title IV-E	5,658,252.00	48,775,666.00
93.659	Health and Human Services	Adoption Assistance	5,911,634.00	55,874,433.00
93.708	Health and Human Services	ARRA - Head Start	110,119.16	841,045.74
93.712	Health and Human Services	ARRA - Immunization	238,729.79	3,691,688.70
93.713	Health and Human Services	ARRA - Child Care and Development Block Grant	--	96,785,640.00
93.714	Health and Human Services	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Programs	10,469,925.00	723,011,941.00
93.725	Health and Human Services	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program	95,719.67	703,020.28
93.778	Health and Human Services	Medical Assistance Program (FMAP)	9,066,088.86	13,231,915,510.52
94.006	Corporation for National and Community Service	AmeriCorps	--	6,672,738.91
<b>Total Health and Social Services</b>			<b>41,812,116.62</b>	<b>14,306,791,375.05</b>

STATE OF NEW YORK  
 SCHEDULE OF DISBURSEMENTS OF FEDERAL AWARDS - DECEMBER 2011  
 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009  
 (Disbursement Based)

APPENDIX C  
 (continued)

Federal CFDA No.	Federal Agency	Program	December Disbursements	Life-to-Date Disbursements
<b>Housing</b>				
84.397	Department of Education	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act	\$ 9,999,900.22	\$ 21,875,000.00
93.710	Health and Human Services	ARRA - Community Services Block Grant	--	85,384,063.91
		<b>Total Housing</b>	<u>9,999,900.22</u>	<u>107,259,063.91</u>
<b>Labor</b>				
17.207	Department of Labor	Employment Service/Wanger-Peyser Funded Activities	--	22,855,217.00
17.225	Department of Labor	Unemployment Insurance	258,883,789.97	11,334,337,655.88
17.235	Department of Labor	Senior Community Service - Employment Program	--	1,539,762.38
17.258	Department of Labor	Workforce Investment Act - Adult Program	--	31,516,111.00
17.259	Department of Labor	Workforce Investment Act - Youth Activities	148.50	71,526,360.00
17.260	Department of Labor	Workforce Investment Act - Dislocated Workers	461,062.45	69,356,647.00
17.275	Department of Labor	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	--	1,110,524.12
		<b>Total Labor</b>	<u>259,345,000.92</u>	<u>11,532,242,277.38</u>
<b>Public Protection</b>				
11.558	Department of Commerce	State Broadband Data and Development Grant Program	54,153.65	1,219,615.50
12.401	Department of Defense	National Guard Military Operations and Maintenance (O&M) Projects	--	7,416,726.40
16.588	Department of Justice	Violence Against Women Formula Grants	116,132.48	6,537,589.61
16.800	Department of Justice	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)	7,474.49	776,056.79
16.801	Department of Justice	Recovery Act - State Victim Assistance Formula Grant Program	--	1,655,091.08
16.802	Department of Justice	Recovery Act - State Victim Compensation Formula Grant Program	--	2,828,986.58
16.803	Department of Justice	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories	1,833,162.40	37,226,033.65
		<b>Total Public Protection</b>	<u>2,010,923.02</u>	<u>57,660,099.61</u>
<b>Transportation</b>				
20.205	Department of Transportation	Highway Planning and Construction	11,655,995.32	800,757,519.86
20.319	Department of Transportation	High-Speed Rail Corridors and Intercity Passenger Rail Service - Capital Assistance Grants	--	456,992.32
20.509	Department of Transportation	Formula Grants for Other Than Urbanized Areas	3,610,445.76	18,784,474.10
		<b>Total Transportation</b>	<u>15,266,441.08</u>	<u>819,998,986.28</u>
		<b>TOTAL ARRA DISBURSEMENTS</b>	<u>\$ 553,237,274.28</u>	<u>\$ 32,787,153,061.28</u>

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL  
FISCAL YEAR 2011-2012**

	<u>1st Quarter</u> <u>April-June</u>	<u>2nd Quarter</u> <u>July-September</u>	<u>2011</u> <u>OCTOBER</u>	<u>2011</u> <u>NOVEMBER</u>	<u>2011</u> <u>DECEMBER</u>	<u>2011-2012</u>
<b>OPENING CASH BALANCE</b>	<b>\$ 258,518,559.21</b>	<b>\$ 250,290,079.85</b>	<b>\$ 317,881,788.82</b>	<b>\$ 234,270,691.64</b>	<b>\$ 254,616,187.73</b>	<b>\$ 258,518,559.21</b>
<b>RECEIPTS:</b>						
Patient Services	652,953,014.95	700,887,795.21	208,856,607.99	213,851,281.86	197,501,577.98	1,974,050,277.99
Covered Lives	252,804,495.65	254,527,327.88	82,467,011.20	83,903,557.32	55,274,741.63	728,977,133.68
Provider Assessments	18,506,742.05	18,551,920.62	5,490,445.46	7,390,263.98	14,394,334.47	64,333,706.58
1% Assessments	85,326,136.00	80,607,052.00	26,561,280.00	25,510,759.00	29,218,174.00	247,223,401.00
DASNY- MOE/Recast receivables	0.00	48,494.19	0.00	0.00	575,935.00	624,429.19
Interest Income	77,626.76	82,747.64	34,065.97	26,454.36	26,397.33	247,292.06
NYPHRM	356.32	244.90	148.95	35.00	66.85	852.02
Hospital Quality Contribution	0.00	0.00	12,532,060.00	(12,532,060.00)	0.00	0.00
Unassigned	112,360.36	1,644,860.07	(25,192,604.71)	26,886,734.28	(1,536,466.19)	1,914,883.81
<b>Total Receipts</b>	<b>1,009,780,732.09</b>	<b>1,056,350,442.51</b>	<b>310,749,014.86</b>	<b>345,037,025.80</b>	<b>295,454,761.07</b>	<b>3,017,371,976.33</b>
<b>DISBURSEMENTS:</b>						
<b>Program Disbursements:</b>						
Poison Control Centers	0.00	(1,250,000.00)	0.00	0.00	0.00	(1,250,000.00)
School Based Health Center Grants	0.00	0.00	0.00	0.00	0.00	0.00
ECRIP Distributions	0.00	(5,140,181.22)	0.00	(770,000.00)	0.00	(5,910,181.22)
<b>Total Disbursements</b>	<b>0.00</b>	<b>(6,390,181.22)</b>	<b>0.00</b>	<b>(770,000.00)</b>	<b>0.00</b>	<b>(7,160,181.22)</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>1,009,780,732.09</b>	<b>1,049,960,261.29</b>	<b>310,749,014.86</b>	<b>344,267,025.80</b>	<b>295,454,761.07</b>	<b>3,010,211,795.11</b>
<b>OTHER FINANCING SOURCES (USES):</b>						
<b>Transfers from Other Pools:</b>						
Medicaid Disproportionate Share	0.00	0.00	0.00	0.00	0.00	0.00
Health Facility Assessment Fund - Hospital Quality Contribution	0.00	0.00	0.00	16,909,868.04	4,350,774.37	21,260,642.41
<b>Transfers From State Funds:</b>						
061-HCRA Resources Fund	0.00	6,420,000.00	0.00	770,000.00	0.00	7,190,000.00
061-HCRA Resources Fund FMAP	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total Other Financing Sources</b>	<b>0.00</b>	<b>6,420,000.00</b>	<b>0.00</b>	<b>17,679,868.04</b>	<b>4,350,774.37</b>	<b>28,450,642.41</b>
<b>Transfers to Other Pools:</b>						
Medicaid Disproportionate Share	0.00	(48,494.19)	0.00	0.00	(575,935.00)	(624,429.19)
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00	0.00
<b>Transfers to State Funds:</b>						
061-HCRA Resources Fund	(813,804,180.32)	(795,970,516.85)	(321,395,065.07)	(279,082,542.82)	(284,857,831.19)	(2,495,110,136.25)
061-IN Indigent Care Fund (matched)	(200,499,608.89)	(190,353,870.54)	(72,161,526.37)	(61,715,334.33)	(64,939,512.88)	(589,669,853.01)
061-IN Indigent Care Fund (non-matched)	(3,705,422.24)	(2,415,670.74)	(803,520.60)	(803,520.60)	(807,668.70)	(8,535,802.88)
<b>Total Other Financing Uses</b>	<b>(1,018,009,211.45)</b>	<b>(988,788,552.32)</b>	<b>(394,360,112.04)</b>	<b>(341,601,397.75)</b>	<b>(351,180,947.77)</b>	<b>(3,093,940,221.33)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(8,228,479.36)</b>	<b>67,591,708.97</b>	<b>(83,611,097.18)</b>	<b>20,345,496.09</b>	<b>(51,375,412.33)</b>	<b>(55,277,783.81)</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 250,290,079.85</b>	<b>\$ 317,881,788.82</b>	<b>\$ 234,270,691.64</b>	<b>\$ 254,616,187.73</b>	<b>\$ 203,240,775.40</b>	<b>\$ 203,240,775.40</b>

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE  
FISCAL YEAR 2011-2012

	1st Quarter April-June	2nd Quarter July-September	2011 OCTOBER	2011 NOVEMBER	2011 DECEMBER	2011-2012
<b>OPENING CASH BALANCE</b>	\$ 2,926.20	\$ 545.94	\$ 49,019.84	\$ 655.77	\$ 2,985.61	\$ 2,926.20
<b>RECEIPTS:</b>						
Interest Income	3,961.83	1,655.91	655.77	2,985.61	1,023.67	10,282.79
<b>Total Receipts</b>	<u>3,961.83</u>	<u>1,655.91</u>	<u>655.77</u>	<u>2,985.61</u>	<u>1,023.67</u>	<u>10,282.79</u>
<b>DISBURSEMENTS:</b>						
<b>Program Disbursements:</b>						
Indigent Care	(192,658,013.02)	(191,561,705.91)	(69,810,755.23)	(59,705,648.58)	(62,806,329.24)	(576,542,451.98)
High Need Indigent Care	(7,812,000.00)	0.00	0.00	0.00	0.00	(7,812,000.00)
Other	(1,482,690.74)	0.00	(48,494.19)	0.00	575,935.00	(955,249.93)
<b>Total Program Disbursements</b>	<u>(201,952,703.76)</u>	<u>(191,561,705.91)</u>	<u>(69,859,249.42)</u>	<u>(59,705,648.58)</u>	<u>(62,230,394.24)</u>	<u>(585,309,701.91)</u>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<u>(201,948,741.93)</u>	<u>(191,560,050.00)</u>	<u>(69,858,593.65)</u>	<u>(59,702,662.97)</u>	<u>(62,229,370.57)</u>	<u>(585,299,419.12)</u>
<b>OTHER FINANCING SOURCES (USES):</b>						
<b>Transfers from Other Pools:</b>						
Public Goods Pool	0.00	48,494.19	0.00	0.00	0.00	48,494.19
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00	0.00
<b>Transfers From State Funds:</b>						
061-IN HCRA Resources Indigent Care - Matched	100,249,804.45	95,176,935.28	36,080,763.19	30,857,667.17	32,469,756.44	294,834,926.53
061-IN HCRA Resources Indigent Care - Unmatched	1,453,094.87	1,207,835.37	401,760.30	401,760.30	403,834.35	3,868,285.19
061-IN HCRA Resources Indigent Care - FMAP	0.00	0.00	0.00	0.00	0.00	0.00
061-IN HCRA Resources Indigent Care - ATB	0.00	0.00	(2,752,531.44)	(2,411,446.05)	(2,522,017.99)	(7,685,995.48)
265-Federal DHHS Fund	100,249,804.44	95,176,935.26	36,080,763.18	30,857,667.16	32,469,756.44	294,834,926.48
<b>Total Other Financing Sources</b>	<u>201,952,703.76</u>	<u>191,610,200.10</u>	<u>69,810,755.23</u>	<u>59,705,648.58</u>	<u>62,821,329.24</u>	<u>585,900,636.91</u>
<b>Transfers to Other Pools:</b>						
Public Goods Pool	0.00	0.00	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00	0.00	0.00
<b>Transfers to State Funds:</b>						
061-IN -HCRA Resources Fund Indigent Care Acct	(6,342.09)	(1,676.20)	(525.65)	(655.77)	(2,985.61)	(12,185.32)
<b>Total Other Financing Uses</b>	<u>(6,342.09)</u>	<u>(1,676.20)</u>	<u>(525.65)</u>	<u>(655.77)</u>	<u>(2,985.61)</u>	<u>(12,185.32)</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<u>(2,380.26)</u>	<u>48,473.90</u>	<u>(48,364.07)</u>	<u>2,329.84</u>	<u>588,973.06</u>	<u>589,032.47</u>
<b>CLOSING CASH BALANCE</b>	<u>\$ 545.94</u>	<u>\$ 49,019.84</u>	<u>\$ 655.77</u>	<u>\$ 2,985.61</u>	<u>\$ 591,958.67</u>	<u>\$ 591,958.67</u>

Source: HCRA - Office of Pool Administration

APPENDIX F

SUMMARY OF OFF-BUDGET SPENDING REPORT

	DISBURSED APRIL '11 (000)	DISBURSED MAY '11 (000)	DISBURSED JUNE '11 (000)	DISBURSED JULY '11 (000)	DISBURSED AUG '11 (000)	DISBURSED SEPT '11 (000)	DISBURSED OCT '11 (000)	DISBURSED NOV '11 (000)	DISBURSED DEC '11 (000)	DISBURSED JAN '12 (000)	DISBURSED FEB '12 (000)	DISBURSED MAR '12 (000)	DISBURSED TOTAL 11-12 (000)
<b>DORMITORY AUTHORITY:</b>													
Education - All Other	1	6	30	5	25	--	1	--					68
Education - EXCEL	3,713	7,761	23,050	2,305	21,200	2,784	13,002	12,430					86,245
Department of Health - All Other	12	1	(19)	38	355	6	--	2					395
CEFAP	--	91	694	32	507	23	125	21					1,493
Regional Development:													
CCAP/RESTORE	496	2,021	1,238	216	3,109	1,916	993	2,735					12,724
Multi-modal	--	14	--	27	--	64	--	414					519
GenNYsis	--	--	--	--	--	--	--	--					--
CUNY Senior Colleges	8,485	41,573	24,490	11,592	43,172	20,282	22,634	19,760					191,988
CUNY Community Colleges	2,861	2,262	2,944	1,322	3,809	5,592	6,042	5,128					29,960
SUNY Dormitories	14,859	18,346	10,574	11,262	24,920	20,206	11,591	11,430					123,188
Upstate Community Colleges	8,902	5,671	7,669	756	5,466	4,157	6,982	5,845					45,448
Mental Health	2,518	14,298	4,194	4,291	21,337	2,860	3,131	5,512					58,141
Developmental Disabilities	1,968	2,791	862	3,337	3,017	739	2,084	2,113					16,911
Alcoholism & Substance Abuse	--	216	4	1,471	4,290	3,092	19,397	11					28,481
Brooklyn Court Officer Training Academy	7	636	366	--	1,085	101	73	27					2,295
<b>TOTAL DORMITORY AUTHORITY:</b>	<b>43,822</b>	<b>95,687</b>	<b>76,096</b>	<b>36,654</b>	<b>132,292</b>	<b>61,822</b>	<b>86,055</b>	<b>65,428</b>	--	--	--	--	<b>597,856</b>
<b>EMPIRE STATE DEVELOPMENT CORP:</b>													
Regional Development:													
Centers of Excellence	--	554	(172)	(382)	--	--	--	--					--
CCAP	150	560	801	628	--	169	187	230					2,725
Empire Opportunity	--	--	2,761	--	--	--	--	--					2,761
CEFAP	--	84	(1)	161	--	662	15	50					971
State Facilities and Equipment	--	--	22	(14)	--	--	191	--					199
<b>TOTAL EMPIRE STATE DEVELOPMENT CORP:</b>	<b>150</b>	<b>1,198</b>	<b>3,411</b>	<b>393</b>	<b>--</b>	<b>831</b>	<b>393</b>	<b>280</b>	--	--	--	--	<b>6,656</b>
<b>THRUWAY AUTHORITY:</b>													
CHIPS	53,155	--	28,977	--	--	112,936	--	--					195,068
SHIPS	--	11,473	--	--	27	--	--	9					11,509
Marchiselli	--	--	7,332	--	--	15,408	--	2,132					24,872
Multi-modal	--	5,498	--	--	7,104	--	--	--					12,602
<b>TOTAL THRUWAY AUTHORITY:</b>	<b>53,155</b>	<b>16,971</b>	<b>36,309</b>	<b>--</b>	<b>7,131</b>	<b>128,344</b>	<b>--</b>	<b>2,141</b>	--	--	--	--	<b>244,051</b>
<b>TOTAL OFF-BUDGET:</b>	<b>97,127</b>	<b>113,856</b>	<b>115,816</b>	<b>37,047</b>	<b>139,423</b>	<b>190,997</b>	<b>86,448</b>	<b>67,849</b>	--	--	--	--	<b>848,563</b>
TOTAL CEFAP	--	175	693	193	507	685	140	71					2,464
<b>ECONOMIC DEVELOPMENT:</b>													
Total CCAP	646	2,581	2,039	844	3,109	2,085	1,180	2,965					15,449
Total Multi-modal	--	14	--	27	--	64	--	414					519
Total GenNYsis	--	--	--	--	--	--	--	--					--
Total Centers of Excellence	--	554	(172)	(382)	--	--	--	--					--
Total Empire Opportunity	--	--	2,761	--	--	--	--	--					2,761
Total Economic Development	646	3,149	4,628	489	3,109	2,149	1,180	3,379	--	--	--	--	18,729

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

## Schedule of Month-End Temporary Loans Outstanding December 31, 2011

Temporary Loans are authorizations enacted by the Legislature to allow certain funds/accounts to make appropriated (cash) payments where the funds/accounts do not have sufficient (available) cash on deposit to make such payments. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy **temporary** cash flow needs whenever scheduled disbursements exceed available revenues during the fiscal year. Generally temporary loans are repaid from the first cash receipts of the fund/account. Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and yearly legislation.

It is the prudent and proper practice of the State Comptroller's Office to reduce the (spendable) cash balance of appropriated fund balances at the time that checks and electronic payments are issued. The concept of monitoring 'spendable funds' is employed by the State Comptroller in the central accounting system to ensure that all disbursements (including checks and electronic payments that are future dated) do not exceed the cash available to finance ongoing payments made from the State Treasury. Therefore, the amount of Temporary Loans (from the short-term investment pool) reflects the spendable fund balance, reduced for certain local assistance payments made in the reporting month that had a check or electronic payment date in the following month. The temporary loan balances for the all funds reflect the '**actual**' fund cash balances as of the close of business on the last day of the reporting month and are not adjusted for future-dated check and electronic payments. Furthermore, tax receipts remitted to the State on the last business day of the month are drawn into the State Treasury on the first business day of the next month. These final tax receipts are reported by the Department of Tax and Finance as tax collections for the reporting month but have no impact on the month-end temporary loan balance since such receipts were not available until the first business day of the next month. Post-closing adjustments are taken-on to the State's cash-basis financial statements to count future dated payments in the month of the check or electronic payment and tax revenues in the month that these were received.

Please refer to Schedule 1 for a detailed analysis of the '*reported*' cash balances of the fund groups.



**Office of the State Comptroller  
Summary of Month-End Temporary Loans Outstanding**

	August 31, 2011	September 30, 2011	October 31, 2011	November 30, 2011	Change	December 31, 2011
<b>TOTAL GENERAL FUND</b>	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --
<b>TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS</b>	1,351,535,557.58	1,621,060,357.74	1,583,907,989.14	1,542,090,339.52	46,917,436.49	1,589,007,776.01
<b>TOTAL STATE SPECIAL REVENUE FUNDS</b>	787,507,031.80	2,067,315,726.25	2,232,778,332.79	2,312,639,971.95	151,853,238.66	2,464,493,210.61
<b>TOTAL FEDERAL FUNDS</b>	546,019,330.75	181,104,214.63	186,758,924.66	969,693,382.67	(621,228,743.34)	348,464,639.33
<b>TOTAL AGENCY FUNDS</b>	--	--	--	--	--	--
<b>TOTAL ENTERPRISE FUND</b>	--	--	--	--	--	--
<b>TOTAL INTERNAL SERVICE FUNDS</b>	64,387,252.80	73,055,268.22	83,074,119.25	92,415,502.88	2,172,882.60	94,588,385.48
<b>GRAND TOTAL - TEMPORARY LOANS OUTSTANDING</b>	<b>\$2,749,449,172.93</b>	<b>\$3,942,535,566.84</b>	<b>\$4,086,519,365.84</b>	<b>\$4,916,839,197.02</b>	<b>(\$420,285,185.59)</b>	<b>\$4,496,554,011.43</b>





Office of the State Comptroller  
Schedule of Month-End Temporary Loans Outstanding

FUND/ ACCOUNT	ACCOUNT TITLE	August 31, 2011	September 30, 2011	October 31, 2011	November 30, 2011	Change	December 31, 2011
339 -B6	INSURANCE DEPT	0.00	0.00	0.00	0.00	0.00	0.00
-BJ	BELL JAR COLLECTION ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-BK	INDUSTRY AND UTILITY SERVICE	0.00	0.00	0.00	53,208.42	109,083.64	162,292.06
-BP	REAL PROPERTY DISPOSITION	0.00	0.00	0.00	0.00	0.00	0.00
-BQ	PARKING ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-BW	ASBESTOS SAFETY TRAINING	131,986.39	113,163.27	84,225.15	97,594.14	(8,732.36)	88,861.78
-C3	PUBLIC SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
-CM	REG MANUFACTURED HOUSING	0.00	0.00	0.00	0.00	0.00	0.00
-CU	SPECIAL CONSERVATION ACTIVITIES	0.00	0.00	0.00	0.00	0.00	0.00
-D9	BATAVIA SCHOOL FOR THE BLIND	6,303,680.67	6,739,101.17	7,913,417.80	8,880,485.25	649,368.64	9,529,853.89
-DC	INVESTMENT SERVICES	80,823.61	195,871.86	305,829.94	390,064.79	(385,990.31)	4,074.48
-DE	SURPLUS PROPERTY ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-DH	OPWDD DAY SERVICES ACCOUNT	0.00	0.00	0.00	3,587,151.30	5,999,049.97	9,586,201.27
-DI	FINANCIAL OVERSIGHT	772,449.91	960,770.08	203,421.35	743,584.59	202,462.81	946,047.40
-DT	REGULATION INDIAN GAMING	102,798,240.96	103,326,750.48	104,748,480.03	106,713,025.13	499,421.72	107,212,446.85
-E3	PROFESSIONAL EDUC SERVICE	0.00	0.00	0.00	0.00	0.00	0.00
-E6	ROME SCHOOL FOR THE DEAF	1,401,417.85	1,715,000.53	2,759,760.69	3,347,530.16	234,859.26	3,582,389.42
-E8	DSP-SEIZED ASSETS	13,438,442.33	13,661,409.78	14,299,453.89	13,248,142.57	(812,092.29)	12,436,050.28
-E9	ADMINISTRATIVE ADJUDICATION	7,756,296.44	0.00	0.00	3,815,285.71	(1,098,306.67)	2,716,979.04
-EC	FEDERAL SALARY SHARING	0.00	0.00	0.00	0.00	0.00	0.00
-EM	NYC ASSESSMENT ACCT	0.00	0.00	0.00	0.00	0.00	0.00
-EN	CULTURAL EDUCATION ACCOUNT	12,499,096.25	11,001,928.20	11,333,682.62	12,747,758.88	(1,338,442.56)	11,409,316.32
-ER	EXAMINATION & MISC REV	0.00	0.00	0.00	0.00	0.00	0.00
-F1	TRANSPORTATION REGULATION	0.00	0.00	0.00	0.00	0.00	0.00
-F2	CONSUMER PROTECTION BD.	0.00	0.00	0.00	0.00	0.00	0.00
-G3	LOCAL SERVICE ACCOUNT	33,674.22	95,147.21	0.00	0.00	0.00	0.00
-H2	DHCR MORTGAGE SERVICES	1,804,357.20	2,099,466.32	2,069,097.66	2,871,928.85	288,262.61	3,160,191.46
-H7	DMV-COMPULSORY INS PRGM	611,265.93	0.00	0.00	0.00	0.00	0.00
-HI	HOUSING INDIRECT COST RECOVERY	78,019.93	83,064.90	339,365.66	907,854.12	313,737.38	1,221,591.50
-J5	DHCR-HOUSING CREDIT AGENCY APPLY FEE	0.00	0.00	0.00	0.00	0.00	0.00
-J6	EPIC PREMIUM	0.00	0.00	0.00	0.00	0.00	0.00
-L7	OTDA INCOME ACCOUNT	202,781.91	13,040,530.59	15,597,763.79	1,914,571.70	184,901.56	2,099,473.26
-NG	LOW INCOME HOUSING CREDIT MONITORING	0.00	0.00	0.00	0.00	0.00	0.00
-P4	PROCUREMENT OPPORTUNITY NEWSLETTER	0.00	0.00	0.00	0.00	0.00	0.00
-P6	EFC-CORPORATION ADMINISTRATION	611,602.26	707,733.52	92,573.49	186,934.98	289,232.79	476,167.77
-Q6	MONTROSE VETERAN'S HOME	0.00	0.00	0.00	0.00	0.00	0.00
-R4	MOTOR FUEL QUALITY ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-R7	DEFERRED COMPENSATION ADMIN	115,826.01	147,743.27	226,380.94	113,743.61	25,988.71	139,732.32
-RR	RENT REVENUE OTHER - NYC	6,431,508.70	8,277,409.60	10,228,537.02	5,138,713.50	1,993,941.19	7,132,654.69
-S8	RENT REVENUE	269,866.96	236,569.38	256,425.43	348,819.27	(3,439.72)	345,379.55
-TR	TAX REVENUE ARREARAGE ACCOUNT	1,917,721.16	1,947,031.52	1,975,961.39	2,015,803.66	38,106.99	2,053,910.65
-W3	OGS-SOLID WASTE MGMT	0.00	0.00	0.00	0.00	0.00	0.00
-W4	OCCUPATIONAL HEALTH CLINICS	0.00	0.00	0.00	0.00	0.00	0.00
-YD	SALES TAX RE-REG FEE ADMN	0.00	0.00	0.00	0.00	0.00	0.00
-YP	EQUITABLE SHARING AGMT	0.00	0.00	0.00	0.00	0.00	0.00
-Y8	TAX RETURN PREPARER REG FEE ADM	0.00	0.00	0.00	0.00	0.00	0.00
345 -11	S.U. NON-RESIDENT REV. OFFSET	52,470,187.28	52,476,425.57	52,483,026.74	52,488,731.33	5,479.58	52,494,210.91
354 -02	STATE POLICE MV ENFORCE	38,508,491.14	64,646,651.62	49,167,635.62	39,428,138.62	15,742,572.00	55,170,710.62
362 -01	DOT - HIGHWAY SAFETY PRGM	2,206,384.91	2,415,592.17	2,659,705.50	2,499,686.41	85,122.70	2,584,809.11
366 -01	EFC DRINKING WATER PROGRAM	0.00	0.00	0.00	0.00	0.00	0.00
366 -02	DOH DRINKING WATER PROGRAM	6,388,803.81	6,651,761.91	6,997,084.51	7,700,786.13	386,754.61	8,087,540.74
368 -01	NYCCC OPERATING OFFSET	22,230,710.57	24,665,756.93	26,426,716.57	28,988,346.35	2,308,838.25	31,297,184.60
<b>TOTAL STATE SPECIAL REVENUE FUNDS</b>		<b>\$787,507,031.80</b>	<b>\$2,067,315,726.25</b>	<b>\$2,232,778,332.79</b>	<b>\$2,312,639,971.95</b>	<b>\$151,853,238.66</b>	<b>\$2,464,493,210.61</b>
<b>FEDERAL FUNDS</b>							
261 -	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND (1)	8,126,229.37	14,793,496.33	5,887,507.69	8,788,684.34	37,639,084.08	46,427,768.42 (1)
265 -	FEDERAL HEALTH AND HUMAN SERVICES FUND (2)	430,164,232.31	77,342,817.82	54,386,903.27	788,139,883.46	(659,505,873.54)	128,634,009.92 (2)
267 -	FEDERAL EDUCATION GRANTS FUND (3)	24,286,406.82	13,810,258.85	7,039,222.58	19,083,768.16	(3,645,497.42)	15,438,270.74 (3)
269 -	FEDERAL BLOCK GRANT FUND (4)	0.00	0.00	0.00	0.00	0.00	0.00 (4)
290 -	FEDERAL OPERATING GRANTS FUND (5)	63,285,901.67	58,192,527.23	99,424,241.23	55,171,761.71	8,251,007.50	63,422,769.21 (5)
291 -04	MILITARY AND NAVAL AFFAIRS	10,987,028.17	9,779,787.88	10,189,778.65	10,173,149.79	33,746.66	10,206,896.45
291 -10	DEPARTMENT OF TRANSPORTATION	0.00	0.00	0.00	80,726,602.15	(3,479,981.78)	77,246,620.37 (7)
291 -	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER) (6)	4,990,226.48	4,739,148.78	7,885,544.13	6,793,342.17	(1,298,076.17)	5,495,266.00 (6)
480 -01	UI ADMINISTRATION	2,808,583.54	1,914,442.03	0.00	438,717.40	414,445.83	853,163.23
484 -00	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	0.00	0.00	0.00	0.00	0.00	0.00
484 -09	DOL WORKFORCE INVESTMENT ACT	1,370,722.39	531,735.71	1,945,727.11	377,473.49	362,401.50	739,874.99
486 -10	DOL FEDERAL GRANTS	0.00	0.00	0.00	0.00	0.00	0.00
<b>TOTAL FEDERAL FUNDS</b>		<b>\$546,019,330.75</b>	<b>\$181,104,214.63</b>	<b>\$186,758,924.66</b>	<b>\$969,693,382.67</b>	<b>(\$621,228,743.34)</b>	<b>\$348,464,639.33 (8)</b>

Office of the State Comptroller  
Schedule of Month-End Temporary Loans Outstanding

FUND/ ACCOUNT	ACCOUNT TITLE	August 31, 2011	September 30, 2011	October 31, 2011	November 30, 2011	Change	December 31, 2011
<b>AGENCY FUNDS</b>							
179 -02	MMIS - STATE & FEDERAL	0.00	0.00	0.00	0.00	0.00	0.00
	<b>TOTAL AGENCY FUNDS</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>ENTERPRISE FUND</b>							
325 -01	STATE FAIR RECEIPTS FUND	0.00	0.00	0.00	0.00	0.00	0.00
331 -55	OGS CONVENTION CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
	<b>TOTAL ENTERPRISE FUND</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>INTERNAL SERVICE FUNDS</b>							
323 -03	CENTRALIZED SERVICES-FLEET MGMT	550,546.86	716,922.62	1,166,866.23	1,545,829.78	(186,505.49)	1,359,324.29
-05	CENTRALIZED SERVICES-DATA PROCESSING	0.00	0.00	0.00	1,343.20	434,735.06	436,078.26
-06	CENTRALIZED SERVICES-REPRODUCTION	2,708,639.00	2,738,194.05	2,641,823.94	2,829,734.26	18,297.83	2,848,032.09
-07	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	0.00	46,541.84	172,408.42	340,699.50	(340,699.50)	0.00
-08	CENTRALIZED SERVICES-SURPLUS FED FOODS	445,568.00	518,002.14	650,257.64	847,465.38	(494,662.10)	352,803.28
-09	CENTRALIZED SERVICES-PERSONAL PROPERTY	0.00	0.00	0.00	0.00	0.00	0.00
-12	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	3,055,001.78	3,046,636.65	2,972,450.99	3,074,919.67	(80,606.49)	2,994,313.18
-13	CENTRALIZED SERVICES-PASNY	148,587.27	0.00	4,635,646.72	8,311,702.73	(929,856.42)	7,381,846.31
-14	CENTRALIZED SERVICES-ADMIN SUPPORT	0.00	0.00	0.00	0.00	0.00	0.00
-15	CENTRALIZED SERVICES-DESIGN & CONSTR	0.00	0.00	0.00	0.00	0.00	0.00
-17	CENTRALIZED SERVICES-INSURANCE	329,610.76	499,494.21	369,172.60	495,785.48	(96,780.57)	399,004.91
-18	CENTRALIZED SERVICES-SECURITY CARD ACCESS	0.00	0.00	0.00	0.00	0.00	0.00
-19	CENTRALIZED SERVICES-COP'S	0.00	0.00	0.00	0.00	0.00	0.00
-20	CENTRALIZED SERVICES-FOOD SERVICES	0.00	0.00	0.00	0.00	0.00	0.00
-21	CENTRALIZED SERVICES-HOMER FOLKS	0.00	0.00	0.00	0.00	0.00	0.00
-23	CENTRALIZED SERVICES-IMMICS	0.00	0.00	0.00	0.00	0.00	0.00
-26	DOWNSTATE DISTRIBUTION	584,367.34	573,540.95	579,201.83	612,512.55	104,611.48	717,124.03
-27	BUILDING ADMINISTRATION	0.00	0.00	0.00	0.00	0.00	0.00
-28	LEASE SPACE INITIATIVE	0.00	0.00	0.00	0.00	0.00	0.00
334 -02	ARCHIVES RECORD MGMT I.S.	0.00	0.00	0.00	0.00	0.00	0.00
-05	FEDERAL SINGLE AUDIT	0.00	0.00	0.00	0.00	0.00	0.00
-09	CIVIL SERVICE LAW-SEC. 11 ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
-10	CIVIL SERVICE EHS OCCUP HEALTH PROG	15,326.94	56,314.99	79,147.60	1,223.47	166,866.49	168,089.96
-12	BANKING SERVICES ACCOUNT	5,584.56	122,098.32	438,214.39	64,590.35	(61,308.37)	3,281.98
-14	CULTURAL RESOURCE SURVEY	1,774,716.49	1,930,066.30	2,266,197.85	2,858,796.73	276,731.43	3,135,528.16
-17	NEIGHBOR WORK PROJECT	7,030,795.06	7,003,050.19	7,107,585.14	6,839,676.72	(117,970.01)	6,721,706.71
-18	AUTOMATIC/PRINT CHARGBACKS	0.00	0.00	0.00	1,298,377.91	54,433.71	1,352,811.62
-20	OFT NYT ACCT	0.00	0.00	0.00	0.00	0.00	0.00
-23	DATA CENTER ACCOUNT	22,484,694.72	28,508,863.39	32,183,154.13	31,831,733.91	2,581,702.52	34,413,436.43
-24	HUMAN SVCE TELECOM ACCT	0.00	990,418.67	1,009,523.38	2,231,484.84	(920,475.62)	1,311,009.22
-26	OPWDD COPY CENTER ACCOUNT	0.00	0.00	0.00	0.00	0.00	0.00
-27	CYBER SECURITY INTRUSION ACCT	638,013.41	638,013.41	638,013.41	843,852.41	0.00	843,852.41
-28	DOMESTIC VIOLENCE GRANT	217,349.89	251,181.45	237,921.83	247,502.83	(21,828.11)	225,674.72
-30	CENTRALIZED TECHNOLOGY SERVICES	840,289.44	920,266.76	1,017,753.88	1,550,177.12	25,076.64	1,575,253.76
-ZV	LEARNING MGMT SYSTEM	0.00	0.00	0.00	0.00	0.00	0.00
394 -01	JOINT LABOR MANAGEMENT ADMIN	0.00	0.00	0.00	0.00	0.00	0.00
395 -04	EXECUTIVE DIRECTION INTERNAL AUDIT	652,999.86	746,040.85	837,702.43	1,106,496.83	106,561.47	1,213,058.30
396 -00	HEALTH INSURANCE INTERNAL SERVICE	18,044,252.85	18,618,096.75	18,297,762.86	16,718,001.75	943,322.21	17,661,323.96
-01	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	2,696,495.25	1,998,957.61	1,845,432.59	1,918,221.82	299,132.48	2,217,354.30
397 -00	CORR INDUSTRIES INTERNAL SERVICE	2,164,413.32	3,132,567.07	3,927,881.39	6,845,373.64	412,103.96	7,257,477.60
	<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>\$64,387,252.80</b>	<b>\$73,055,268.22</b>	<b>\$83,074,119.25</b>	<b>\$92,415,502.88</b>	<b>\$2,172,862.60</b>	<b>\$94,588,385.48</b>
<b>GRAND TOTAL - TEMPORARY LOANS OUTSTANDING</b>		<b>\$2,749,449,172.93</b>	<b>\$3,942,535,566.84</b>	<b>\$4,086,519,365.84</b>	<b>\$4,916,839,197.02</b>	<b>(\$420,285,185.59)</b>	<b>\$4,496,554,011.43</b>

Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 58, Part BB, Section 1 and 1A, of the Laws of 2011-12. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

**NOTE:**

- (1) - Includes all negative cash balance Subfunds within fund 261.
- (2) - Includes all negative cash balance Subfunds within fund 265.
- (3) - Includes all negative cash balance Subfunds within fund 267.
- (4) - Includes all negative cash balance Subfunds within fund 269.
- (5) - Includes all negative cash balance Subfunds within fund 290.
- (6) - Includes all other negative cash balance Subfunds within fund 291.
- (7) - The Fund 291-10 temporary loan balance includes \$103.3 million of costs previously disallowed by the Federal Highway Administration. These costs are systematically being transferred to Fund 072-01 based upon a realignment plan with the Department of Transportation. A total of \$33.5 million will be transferred to Fund 072-01 on or before March 31, 2012.
- (8) - Except for DOT-Highways (see note 7), temporary loans to federal funds are typically reimbursed with in 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.
- (9) - Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund 001 and State Purpose Fund 003.