

**STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
DIVISION OF STATE SERVICES  
BUREAU OF ACCOUNTING OPERATIONS**

**Comptroller's Monthly Report  
On State Funds Cash Basis of Accounting  
(Pursuant to Sec. 8(9-a) of the State Finance Law)  
**October 2006****



**ALAN G. HEVESI  
COMPTROLLER**

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(amounts in millions)**

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS			
	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED
	OCT. 2006	OCT. 31, 2006	OCT. 2006	OCT. 31, 2006	OCT. 2006	OCT. 31, 2006	OCT. 2006	OCT. 31, 2006	OCT. 2006	OCT. 31, 2006	OCT. 2005	OCT. 31, 2005
<b>RECEIPTS:</b>												
Personal Income Tax (1)(6)	\$834.8	\$12,905.7	\$1,019.2	\$1,802.2	\$278.3	\$4,301.9	\$ --	\$ --	\$2,132.3	\$19,009.8	\$1,878.9	\$16,757.6
Consumption/Use Taxes and Fees	617.4	4,768.4	137.0	1,009.1	187.3	1,447.7	98.2	709.3	1,039.9	7,934.5	1,020.4	8,082.2
Business Taxes	575.7	3,273.1	137.7	814.4	--	--	50.1	359.3	763.5	4,446.8	190.3	3,542.5
Other Taxes	107.0	654.6	--	--	67.6	530.4	13.7	68.5	188.3	1,253.5	155.4	1,165.8
Miscellaneous Receipts (7)	175.7	1,155.4	1,159.9	7,230.1	65.9	447.0	62.1	894.0	1,463.6	9,726.5	1,162.9	9,170.8
Federal Receipts	15.0	127.4	2,319.8	18,203.0	--	--	127.8	933.0	2,462.6	19,263.4	2,839.1	19,765.5
<b>Total Receipts</b>	<b>2,325.6</b>	<b>22,884.6</b>	<b>4,773.6</b>	<b>29,058.8</b>	<b>599.1</b>	<b>6,727.0</b>	<b>351.9</b>	<b>2,964.1</b>	<b>8,050.2</b>	<b>61,634.5</b>	<b>7,247.0</b>	<b>58,484.4</b>
<b>DISBURSEMENTS:</b>												
Local Assistance Grants: (2)												
General Purpose	16.4	516.4	--	--	--	--	--	--	16.4	516.4	13.7	484.8
Education	874.3	7,953.0	950.3	4,847.2	--	--	--	7.3	1,824.6	12,807.5	1,757.8	11,877.7
Social Services	430.7	6,655.3	2,337.7	15,679.0	--	--	--	(0.1)	2,768.4	22,334.2	3,035.1	21,685.4
Health and Environment	118.0	739.3	276.0	1,935.8	--	--	19.9	26.9	413.9	2,702.0	186.7	1,880.6
Mental Hygiene	100.6	604.8	38.9	174.0	--	--	4.0	28.8	143.5	807.6	147.2	741.7
Transportation	0.1	30.9	48.0	1,015.7	--	--	31.8	155.5	79.9	1,202.1	215.7	1,383.6
Criminal Justice	19.2	73.8	18.0	91.6	--	--	--	--	37.2	165.4	19.0	126.4
SEMO and Disaster Assistance	32.7	34.8	30.0	79.9	--	--	--	--	62.7	114.7	13.3	57.6
Miscellaneous	14.6	244.0	455.1	1,033.2	--	--	10.7	70.6	480.4	1,347.8	78.7	597.7
Total Local Assistance Grants	1,606.6	16,852.3	4,154.0	24,856.4	--	--	66.4	289.0	5,827.0	41,997.7	5,467.2	38,835.5
Departmental Operations:												
Personal Service	591.6	4,487.6	397.7	2,214.1	--	--	--	--	989.3	6,701.7	817.7	6,119.9
Non-Personal Service	204.3	1,465.2	285.6	1,769.0	0.4	26.5	--	--	490.3	3,260.7	474.9	3,212.6
General State Charges	427.6	3,091.0	47.5	450.1	--	--	--	--	475.1	3,541.1	301.9	3,278.2
Debt Service, Including Payments on												
Financing Agreements (3)	--	--	--	--	360.0	2,093.9	--	--	360.0	2,093.9	101.3	1,845.9
Capital Projects (4)	--	--	3.8	26.4	--	--	498.6	2,794.1	502.4	2,820.5	389.2	2,593.4
<b>Total Disbursements</b>	<b>2,830.1</b>	<b>25,896.1</b>	<b>4,888.6</b>	<b>29,316.0</b>	<b>360.4</b>	<b>2,120.4</b>	<b>565.0</b>	<b>3,083.1</b>	<b>8,644.1</b>	<b>60,415.6</b>	<b>7,552.2</b>	<b>55,885.5</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(504.5)</b>	<b>(3,011.5)</b>	<b>(115.0)</b>	<b>(257.2)</b>	<b>238.7</b>	<b>4,606.6</b>	<b>(213.1)</b>	<b>(119.0)</b>	<b>(593.9)</b>	<b>1,218.9</b>	<b>(305.2)</b>	<b>2,598.9</b>
<b>OTHER FINANCING SOURCES (USES):</b>												
Bond Proceeds (net)	--	--	--	--	--	--	--	--	--	--	--	--
Transfers from Other Funds (5)	543.0	6,053.9	97.0	1,929.9	583.0	3,193.0	108.9	319.8	1,331.9	11,496.6	1,178.1	11,205.4
Transfers to Other Funds (5)	(432.5)	(1,959.2)	(249.7)	(1,654.6)	(607.6)	(7,507.2)	(46.4)	(424.7)	(1,336.2)	(11,545.7)	(1,182.0)	(11,252.7)
<b>Total Other Financing Sources (Uses)</b>	<b>110.5</b>	<b>4,094.7</b>	<b>(152.7)</b>	<b>275.3</b>	<b>(24.6)</b>	<b>(4,314.2)</b>	<b>62.5</b>	<b>(104.9)</b>	<b>(4.3)</b>	<b>(49.1)</b>	<b>(3.9)</b>	<b>(47.3)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(394.0)</b>	<b>1,083.2</b>	<b>(267.7)</b>	<b>18.1</b>	<b>214.1</b>	<b>292.4</b>	<b>(150.6)</b>	<b>(223.9)</b>	<b>(598.2)</b>	<b>1,169.8</b>	<b>(309.1)</b>	<b>2,551.6</b>
<b>Beginning Fund Balances (Deficit) (6)</b>	<b>4,734.3</b>	<b>3,257.1</b>	<b>4,479.4</b>	<b>4,193.6</b>	<b>299.2</b>	<b>220.9</b>	<b>(677.4)</b>	<b>(604.1)</b>	<b>8,835.5</b>	<b>7,067.5</b>	<b>7,141.4</b>	<b>4,280.7</b>
<b>Ending Fund Balances (Deficit)</b>	<b>\$4,340.3</b>	<b>\$4,340.3</b>	<b>\$4,211.7</b>	<b>\$4,211.7</b>	<b>\$513.3</b>	<b>\$513.3</b>	<b>(\$828.0)</b>	<b>(\$828.0)</b>	<b>\$8,237.3</b>	<b>\$8,237.3</b>	<b>\$6,832.3</b>	<b>\$6,832.3</b>

**GOVERNMENTAL FUNDS FOOTNOTES**

October 2006 - Exhibit A Notes

1. A portion of personal income tax receipts is also transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the new STAR Property Rebate Program. Local Assistance Education grant payments total \$333m for the month of September and \$706m for the month of October. Miscellaneous Grant payments include a total of \$661m for the new STAR Property Rebate Program.

2. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in November 2006:

Federal DHHS (Medicaid)	\$50.2 million
Federal DHHS (All Other)	92.0
Federal USDA/Food and Consumer Services	7.1
Federal DHHS/Block Grant	--
Federal Education	30.1
Federal Miscellaneous Operating Grants	--
Federal Employment and Training Grants	8.9

3. Total debt service disbursements include principal and interest on general obligation bonds and lease-purchase / contractual obligation payments. For a complete analysis of debt payments please refer to **Schedule 5 and Schedule 5a.**

4. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Youth Facilities)	\$ .6 million
Urban Development Corporation (Correctional Facilities)	100.0
Housing Finance Agency (HFA)	137.8
Dormitory Authority (Mental Hygiene)	282.1
Dormitory Authority and State University Income Fund	66.8
Federal Capital Projects	235.3
State bond and note proceeds	34.3

5. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

**General Fund** "Transfers to Other Funds" includes transfers to the following funds:

State Capital Projects	\$299.6 million
General Debt Service	980.9
Court Facilities Incentive Aid	65.7
New York City County Clerks' Operating	7.6
Judiciary Data Processing Offset	16.6

State University Income	151.5
Banking Services	49.8
Mass Transportation Operating Assistance	29.8
Alcohol Beverage Control Account	11.8
Debt Reduction Reserve	250.0

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$36.0m) and Special Revenue Funds (\$34.9m).

**Special Revenue Funds** "Transfers To Other Funds" includes transfers to Debt Service Funds (\$1,506.9) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and (\$19.4m) from the SUNY Income Fund State University Hospital Income Reimbursement Account.

Also included in Special Revenue Funds are transfers to the General Fund from the following:

Revenue Arrearage Account	\$50.0 million
Miscellaneous State Special Revenue Fund	8.6
Federal Health & Human Services Fund	26.0
Federal Miscellaneous Operating Grants	9.2
DOS Business and Licensing	15.0
Hazardous Waste Remedial	13.8

**Debt Service Funds** "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$4,122.7 million
Local Government Assistance Tax	1,347.2
Clean Water/Clean Air	460.3

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Departments of Health (\$78.3m), Mental Hygiene (\$1,333m) and the State University (\$155m).

**Capital Projects Funds** "Transfers To Other Funds" includes transfers to the General Debt Service Fund (\$397.7m).

6. For comparison purposes, the FY2005-06 General Fund opening balance and PIT receipts have been restated to reflect the \$1.328 billion on deposit in the reserve account at the end of the 2004-05 fiscal year.

**GOVERNMENTAL FUNDS FOOTNOTES (continued)**

**Exhibit A Notes  
October 2006  
(continued)**

**7. Miscellaneous receipts in Governmental Funds include:**

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	7 Months Ended October 31		Increase/ (Decrease)
					2006	2005	
(amounts in millions)							
<b>Abandoned Property</b>	\$ 151.0	\$ --	\$ --	\$ --	\$ 151.0	\$ 113.0	\$ 38.0
<b>Interest Earnings</b>	129.7	164.1	6.5	6.1	306.4	140.0	166.4
<b>Receipts from Public Authorities:</b>							
Bond Issuance Fees	35.6	7.2	--	--	42.8	56.8	(14.0)
Cost Recovery Assessments	15.7	(10.6)	--	--	5.1	10.4	(5.3)
Metropolitan Transit Authority	--	--	--	--	--	--	--
Thruway Authority - Policing the Thruway	--	26.2	--	--	26.2	30.9	(4.7)
State of NY Mortgage Agency	122.0	--	--	--	122.0	50.0	72.0
Power Authority	--	4.6	--	0.2	4.8	50.0	(45.2)
<b>Bond Proceeds</b>							
Dormitory Authority	--	23.4	--	349.8	373.2	225.6	147.6
Empire State Dev Corp	--	--	--	163.6	163.6	299.1	(135.5)
Environmental Fac Corp	--	103.6	--	--	103.6	2.9	100.7
Housing Finance Agency	--	--	--	55.7	55.7	65.4	(9.7)
Hudson River Park Trust	--	--	--	14.7	14.7	12.9	1.8
Thruway Authority	--	--	--	233.0	233.0	134.0	99.0
All Other	--	5.6	--	0.3	5.9	2.9	3.0
<b>Refunds and Reimbursements:</b>							
Receipts from Municipalities	--	68.6	9.2	--	77.8	77.8	--
Women, Infants and Children Rebates	--	57.2	--	--	57.2	54.6	2.6
HESC Student Loan Recoveries	--	58.0	--	--	58.0	41.0	17.0
Admin Recoveries - Collection of Local Taxes	32.2	20.7	--	--	52.9	48.2	4.7
Indirect Cost Assessments	29.3	--	--	--	29.3	35.7	(6.4)
Reimbursements from Cornell University	10.0	--	--	--	10.0	6.3	3.7
Hazardous Waste and Oil Spill	--	12.5	--	--	12.5	10.0	2.5
Excess Medicaid Recoveries	--	16.7	--	--	16.7	10.8	5.9
EPIC Benefit Recoveries	--	10.1	--	--	10.1	1.6	8.5
All Other	11.5	30.0	5.8	12.4	59.7	80.2	(20.5)
<b>Health Care Reform Act:</b>							
Public Goods Pool Transfers	--	1,700.3	--	--	1,700.3	1,468.8	231.5
Public Asset Sale - Non Profit Conversions	--	--	--	--	--	754.0	(754.0)
Indigent Care Pool Transfers	--	0.3	--	--	0.3	34.9	(34.6)
Tobacco Cntrl & Insurance Initiatives Pool Transfers	--	--	--	--	--	23.5	(23.5)
GME Overpayments Recovered	--	--	--	--	--	63.1	(63.1)
<b>Revenues of State Departments:</b>							
Patient/Client Care	35.9	782.9	249.0	--	1,067.8	846.7	221.1
Medical Care Provider Assessments	103.2	474.8	--	--	578.0	315.7	262.3
Assessments against Regulated Industries	--	384.0	--	--	384.0	369.1	14.9
Student Tuition, Fees & Other SUNY Revenues	--	849.4	176.5	--	1,025.9	985.6	40.3
Student Tuition, Fees & Other CUNY Revenues	--	81.8	--	--	81.8	66.7	15.1
EPIC Premiums and Fees	--	183.5	--	--	183.5	170.6	12.9
Miscellaneous Sales, Rentals and Leases	6.3	23.2	--	3.5	33.0	26.0	7.0
Gifts and Unclaimed Property	0.2	21.8	--	--	22.0	21.9	0.1
All Other	26.4	2.2	--	1.6	30.2	51.6	(21.4)
<b>Gaming:</b>							
Lottery - Education	--	946.5	--	--	946.5	952.7	(6.2)
Lottery - Administration	--	300.6	--	--	300.6	288.7	11.9
VLT - Education	--	121.5	--	--	121.5	105.9	15.6
VLT - Administration	--	7.2	--	--	7.2	5.1	2.1
Casinos	--	76.1	--	--	76.1	57.1	19.0
<b>Licenses and Fees</b>	203.4	579.9	--	45.0	828.3	800.5	27.8
<b>Fines</b>	243.0	96.2	--	8.1	347.3	202.5	144.8
<b>TOTAL</b>	<b>\$ 1,155.4</b>	<b>\$ 7,230.1</b>	<b>\$ 447.0</b>	<b>\$ 894.0</b>	<b>\$ 9,726.5</b>	<b>\$ 9,170.8</b>	<b>\$ 555.7</b>

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN EQUITY  
 (in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF OCT. 2006	7 MOS. ENDED OCT. 31, 2006	MONTH OF OCT. 2006	7 MOS. ENDED OCT. 31, 2006	MONTH OF OCT. 2006	7 MOS. ENDED OCT. 31, 2006	MONTH OF OCT. 2005	7 MOS. ENDED OCT. 31, 2005
<b>RECEIPTS:</b>								
Miscellaneous Receipts	\$6.2	\$47.9	\$54.4	\$258.0	\$60.6	\$305.9	\$42.7	\$279.0
Federal Receipts	3.9	23.4	--	--	3.9	23.4	3.5	24.0
Unemployment Taxes	149.3	1,108.3	--	--	149.3	1,108.3	152.4	1,274.6
<b>TOTAL RECEIPTS</b>	<b>159.4</b>	<b>1,179.6</b>	<b>54.4</b>	<b>258.0</b>	<b>213.8</b>	<b>1,437.6</b>	<b>198.6</b>	<b>1,577.6</b>
<b>DISBURSEMENTS:</b>								
Departmental Operations:								
Personal Service	2.1	7.3	9.1	66.0	11.2	73.3	9.8	67.3
Non-Personal Service	4.8	37.4	33.6	242.4	38.4	279.8	36.1	278.5
General State Charges	0.2	1.2	5.0	23.3	5.2	24.5	4.7	22.1
Debt Service, Including Payments on Financing Agreements	--	--	--	0.4	--	0.4	--	2.3
Unemployment Benefits	166.8	1,193.8	--	--	166.8	1,193.8	166.4	1,285.1
<b>TOTAL DISBURSEMENTS</b>	<b>173.9</b>	<b>1,239.7</b>	<b>47.7</b>	<b>332.1</b>	<b>221.6</b>	<b>1,571.8</b>	<b>217.0</b>	<b>1,655.3</b>
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	<b>(14.5)</b>	<b>(60.1)</b>	<b>6.7</b>	<b>(74.1)</b>	<b>(7.8)</b>	<b>(134.2)</b>	<b>(18.4)</b>	<b>(77.7)</b>
<b>OTHER FINANCING SOURCES (USES):</b>								
Transfers from Other Funds	--	--	4.9	49.8	4.9	49.8	4.0	51.3
Transfers to Other Funds	--	--	(0.6)	(0.6)	(0.6)	(0.6)	--	(2.0)
<b>NET SOURCES (USES)</b>	<b>--</b>	<b>--</b>	<b>4.3</b>	<b>49.2</b>	<b>4.3</b>	<b>49.2</b>	<b>4.0</b>	<b>49.3</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(14.5)	(60.1)	11.0	(24.9)	(3.5)	(85.0)	(14.4)	(28.4)
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	<b>39.7</b>	<b>85.3</b>	<b>(60.3)</b>	<b>(24.4)</b>	<b>(20.6)</b>	<b>60.9</b>	<b>8.4</b>	<b>22.4</b>
<b>ENDING FUND EQUITY (DEFICITS)</b>	<b>\$25.2</b>	<b>\$25.2</b>	<b>(\$49.3)</b>	<b>(\$49.3)</b>	<b>(\$24.1)</b>	<b>(\$24.1)</b>	<b>(\$6.0)</b>	<b>(\$6.0)</b>

STATE OF NEW YORK  
PRIVATE PURPOSE TRUST FUNDS  
STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(in millions)

EXHIBIT C

	PRIVATE PURPOSE TRUST			
	MONTH OF OCT. 2006	7 MOS. ENDED OCT. 31, 2006	MONTH OF OCT. 2005	7 MOS. ENDED OCT. 31, 2005
<b>RECEIPTS:</b>				
Miscellaneous Receipts	\$ 0.1	\$ (1.3) (*)	\$ 0.1	\$ 0.5
<b>TOTAL RECEIPTS</b>	<u>0.1</u>	<u>(1.3)</u>	<u>0.1</u>	<u>0.5</u>
<b>DISBURSEMENTS:</b>				
Departmental Operations:				
Personal Service	--	0.2	0.1	0.2
Non-Personal Service	--	--	--	--
General State Charges	--	0.1	--	0.1
<b>TOTAL DISBURSEMENTS</b>	<u>--</u>	<u>0.3</u>	<u>0.1</u>	<u>0.3</u>
<b>EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS</b>	<u>0.1</u>	<u>(1.6)</u>	<u>--</u>	<u>0.2</u>
<b>OTHER FINANCING SOURCES (USES):</b>				
Transfers from Other Funds	--	--	--	--
Transfers to Other Funds	--	--	--	--
<b>NET SOURCES (USES)</b>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	0.1	(1.6)	--	0.2
<b>BEGINNING FUND BALANCES</b>	<u>7.9</u>	<u>9.6</u>	<u>9.9</u>	<u>9.7</u>
<b>ENDING FUND BALANCES</b>	<u>\$ 8.0</u>	<u>\$ 8.0</u>	<u>\$ 9.9</u>	<u>\$ 9.9</u>

(\*) Receipts were reduced by \$1.9 million in the month of September for claim payments to agriculture producers for the loss of revenue caused by a purchaser defaulting on accounts payable to agriculture producers.

**STATE OF NEW YORK  
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
 STATE FISCAL YEAR ENDED MARCH 31, 2007  
 FOR SEVEN (7) MONTHS ENDED OCTOBER 31, 2006  
 (amounts in millions)**

**EXHIBIT "D"**

	GENERAL FUND		
	Financial Plan (* )	Actual	Favorable (Unfavorable) Variance
OPENING CASH BALANCE-APRIL 1, 2006	\$3,257.0	\$3,257.1	\$0.1
RECEIPTS:			
Taxes:			
Personal Income Tax	12,857.0	12,905.7	48.7
Consumption/Use Taxes	4,755.0	4,768.4	13.4
Business Taxes	3,196.0	3,273.1	77.1
Other Taxes	642.0	654.6	12.6
Miscellaneous Receipts	1,161.0	1,155.4	(5.6)
Federal Receipts	127.0	127.4	0.4
Total Receipts	<u>22,738.0</u>	<u>22,884.6</u>	<u>146.6</u>
DISBURSEMENTS:			
Local Assistance Grants	16,861.0	16,852.3	8.7
Departmental Operations:			
Personal Service	4,487.0	4,487.6	(0.6)
Non-Personal Service	1,456.0	1,465.2	(9.2)
General State Charges	3,074.0	3,091.0	(17.0)
Total Disbursements	<u>25,878.0</u>	<u>25,896.1</u>	<u>(18.1)</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>(3,140.0)</u>	<u>(3,011.5)</u>	<u>128.5</u>
OTHER FINANCING SOURCES (USES):			
Transfers From Other Funds	5,990.0	6,053.9	63.9
Transfers To Other Funds	(1,937.0)	(1,959.2)	(22.2)
Total Other Financing Sources (Uses)	<u>4,053.0</u>	<u>4,094.7</u>	<u>41.7</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>913.0</u>	<u>1,083.2</u>	<u>170.2</u>
CLOSING CASH BALANCE-OCTOBER 31, 2006	<u>\$4,170.0</u>	<u>\$4,340.3</u>	<u>\$170.3</u>

(\*) Source: DOB, 2006-07 Mid-Year Financial Plan Update dated October 30, 2006

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMPARATIVE SCHEDULE OF TAX RECEIPTS  
(amounts in millions)**

**EXHIBIT E**

	<b>GENERAL</b>		<b>SPECIAL REVENUE</b>		<b>DEBT SERVICE</b>		<b>CAPITAL PROJECTS</b>		<b>TOTAL GOVERNMENTAL FUNDS</b>			
	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED
	OCT. 2006	OCT. 31, 2006	OCT. 2006	OCT. 31, 2006	OCT. 2006	OCT. 31, 2006	OCT. 2006	OCT. 31, 2006	OCT. 2006	OCT. 31, 2006	OCT. 2005	OCT. 31, 2005
<b>PERSONAL INCOME TAX</b>												
Withholding	\$ 1,832.2	\$ 12,755.6	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ 1,832.2	\$ 12,755.6	\$ 1,650.2	\$ 12,085.6
Estimated payments	93.9	6,909.3	--	--	--	--	--	--	93.9	6,909.3	81.9	5,980.0
Final returns	271.2	1,926.1	--	--	--	--	--	--	271.2	1,926.1	193.4	1,658.8
State/City Offsets	(0.2)	(188.6)	--	--	--	--	--	--	(0.2)	(188.6)	(0.1)	(171.1)
Other (Assessments/LLC)	49.2	422.9	--	--	--	--	--	--	49.2	422.9	43.3	388.9
Gross Receipts	<u>2,246.3</u>	<u>21,825.3</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>2,246.3</u>	<u>21,825.3</u>	<u>1,968.7</u>	<u>19,942.2</u>
Transfers to School Tax Relief Fund	(1,019.2)	(1,802.2)	1,019.2	1,802.2	--	--	--	--	--	--	--	--
Transfers to Debt Reduction Reserve Fund	--	--	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(278.3)	(4,301.9)	--	--	278.3	4,301.9	--	--	--	--	--	--
Less: Refunds Issued	<u>(114.0)</u>	<u>(2,815.5)</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>(114.0)</u>	<u>(2,815.5)</u>	<u>(89.8)</u>	<u>(3,184.6)</u>
Total (*)	<u>834.8</u>	<u>12,905.7</u>	<u>1,019.2</u>	<u>1,802.2</u>	<u>278.3</u>	<u>4,301.9</u>	<u>--</u>	<u>--</u>	<u>2,132.3</u>	<u>19,009.8</u>	<u>1,878.9</u>	<u>16,757.6</u>
<b>CONSUMPTION / USE TAXES AND FEES</b>												
Sales and Use	563.0	4,361.4	55.0	448.4	187.3	1,447.7	--	--	805.3	6,257.5	814.1	6,529.9
Auto Rental	--	--	--	--	--	--	--	28.0	--	28.0	0.1	24.5
Motor Vehicle	--	--	22.5	141.5	--	--	49.9	344.0	72.4	485.5	50.3	371.0
Cigarette/Tobacco Products	35.6	253.2	50.3	354.2	--	--	--	--	85.9	607.4	78.8	604.4
Motor Fuel	--	--	9.2	65.0	--	--	35.0	244.0	44.2	309.0	45.7	320.3
Alcoholic Beverage	15.3	115.7	--	--	--	--	--	--	15.3	115.7	15.3	114.1
Beverage Container	--	--	--	--	--	--	--	--	--	--	--	--
Highway Use	--	--	--	--	--	--	13.3	93.3	13.3	93.3	12.8	90.1
Alcoholic Beverage Control Licenses	3.5	38.1	--	--	--	--	--	--	3.5	38.1	3.3	27.9
Total	<u>617.4</u>	<u>4,768.4</u>	<u>137.0</u>	<u>1,009.1</u>	<u>187.3</u>	<u>1,447.7</u>	<u>98.2</u>	<u>709.3</u>	<u>1,039.9</u>	<u>7,934.5</u>	<u>1,020.4</u>	<u>8,082.2</u>
<b>BUSINESS TAXES</b>												
Corporation Franchise	567.0	2,004.9	93.8	301.8	--	--	--	--	660.8	2,306.7	79.4	1,601.0
Corporation and Utilities	6.7	277.6	1.5	78.2	--	--	0.1	6.7	8.3	362.5	2.2	329.9
Insurance	(3.3)	511.9	0.9	59.9	--	--	--	--	(2.4)	571.8	(0.8)	479.4
Bank	5.3	478.7	1.3	91.2	--	--	--	--	6.6	569.9	12.7	448.5
Petroleum Business	--	--	40.2	283.3	--	--	50.0	352.6	90.2	635.9	96.8	683.7
Total	<u>575.7</u>	<u>3,273.1</u>	<u>137.7</u>	<u>814.4</u>	<u>--</u>	<u>--</u>	<u>50.1</u>	<u>359.3</u>	<u>763.5</u>	<u>4,446.8</u>	<u>190.3</u>	<u>3,542.5</u>
<b>OTHER TAXES</b>												
Real Property Gains	--	0.4	--	--	--	--	--	--	--	0.4	--	0.5
Estate and Gift	105.8	640.1	--	--	--	--	--	--	105.8	640.1	69.0	546.8
Pari-Mutuel	1.2	13.6	--	--	--	--	--	--	1.2	13.6	1.5	15.6
Real Estate Transfer	--	--	--	--	67.6	530.4	13.7	68.5	81.3	598.9	84.9	602.2
Racing and Exhibitions	--	0.5	--	--	--	--	--	--	--	0.5	--	0.7
Total	<u>107.0</u>	<u>654.6</u>	<u>--</u>	<u>--</u>	<u>67.6</u>	<u>530.4</u>	<u>13.7</u>	<u>68.5</u>	<u>188.3</u>	<u>1,253.5</u>	<u>155.4</u>	<u>1,165.8</u>
<b>TOTAL TAX RECEIPTS</b>	<u>\$ 2,134.9</u>	<u>\$ 21,601.8</u>	<u>\$ 1,293.9</u>	<u>\$ 3,625.7</u>	<u>\$ 533.2</u>	<u>\$ 6,280.0</u>	<u>\$ 162.0</u>	<u>\$ 1,137.1</u>	<u>\$ 4,124.0</u>	<u>\$ 32,644.6</u>	<u>\$ 3,245.0</u>	<u>\$ 29,548.1</u>

(\*) See Exhibit A, Footnote #6



**STATE OF NEW YORK  
GENERAL FUND  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2006-2007  
(amounts in millions)**

**EXHIBIT "F"**

	7 Months Ended Oct. 31												2006	2005	
	2006 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2007 JANUARY	FEBRUARY	MARCH			
<b>OPENING CASH BALANCE (*)</b>	\$3,257.1	\$7,517.1	\$3,730.3	\$4,485.5	\$4,957.0	\$3,944.8	\$4,734.3							\$3,257.1	\$2,546.2
<b>RECEIPTS:</b>															
Personal Income Tax (*)	4,169.7	688.7	2,393.3	1,339.7	1,536.7	1,942.8	834.8							12,905.7	11,729.3
Consumption/Use Taxes and Fees	600.4	596.7	842.9	652.8	606.5	851.7	617.4							4,768.4	5,054.0
Business Taxes	324.6	83.5	1,001.9	119.9	76.3	1,091.2	575.7							3,273.1	2,468.4
Other Taxes	104.3	80.9	111.6	119.4	70.3	61.1	107.0							654.6	563.6
Miscellaneous Receipts (**)	166.7	120.5	278.9	116.6	110.1	186.9	175.7							1,155.4	908.9
Federal Receipts	--	--	112.4	--	--	--	15.0							127.4	--
Total Receipts	5,365.7	1,570.3	4,741.0	2,348.4	2,399.9	4,133.7	2,325.6	0.0	0.0	0.0	0.0	0.0	22,884.6	20,724.2	
<b>DISBURSEMENTS:</b>															
Local Assistance Grants:															
General Purpose	--	31.5	311.6	0.4	4.4	152.1	16.4							516.4	484.8
Education	162.9	2,267.6	1,797.5	358.6	971.3	1,520.8	874.3							7,953.0	7,163.4
Social Services	981.3	1,446.9	854.9	741.0	1,394.5	806.0	430.7							6,655.3	6,243.6
Health and Environment	110.4	119.5	82.6	127.1	83.0	98.7	118.0							739.3	266.7
Mental Hygiene	56.1	68.6	67.0	121.9	68.1	122.5	100.6							604.8	594.0
Transportation	1.2	0.2	14.3	0.4	13.7	1.0	0.1							30.9	75.2
Criminal Justice	4.1	5.9	11.3	4.0	13.3	16.0	19.2							73.8	65.6
SEMO and Disaster Assistance	0.3	0.1	0.1	--	0.4	1.2	32.7							34.8	3.1
Miscellaneous	12.7	20.7	29.6	65.3	39.4	61.7	14.6							244.0	209.3
Total Local Assistance Grants	1,329.0	3,961.0	3,168.9	1,418.7	2,588.1	2,780.0	1,606.6	0.0	0.0	0.0	0.0	0.0	16,852.3	15,105.7	
Departmental Operations:															
Personal Service	595.1	765.6	531.4	586.9	855.8	561.2	591.6							4,487.6	3,924.5
Non-Personal Service	177.1	234.0	238.6	183.4	233.1	194.7	204.3							1,465.2	1,326.4
General State Charges	296.1	443.2	1,192.9	228.3	229.8	273.1	427.6							3,091.0	2,914.1
Total Disbursements	2,397.3	5,403.8	5,131.8	2,417.3	3,906.8	3,809.0	2,830.1	0.0	0.0	0.0	0.0	0.0	25,896.1	23,270.7	
Excess (Deficiency) of Receipts over Disbursements	2,968.4	(3,833.5)	(390.8)	(68.9)	(1,506.9)	324.7	(504.5)	0.0	0.0	0.0	0.0	0.0	(3,011.5)	(2,546.5)	
<b>OTHER FINANCING SOURCES (USES):</b>															
Transfers from Other Funds	1,644.3	323.6	1,308.1	716.1	646.2	872.6	543.0							6,053.9	5,845.0
Transfers to State Capital Projects	(25.8)	(123.8)	63.5	(36.7)	(56.6)	(16.8)	(103.4)							(299.6)	(221.1)
Transfers to General Debt Service	(204.5)	(122.4)	(186.6)	(60.1)	(48.1)	(316.8)	(42.4)							(980.9)	(940.4)
Transfers to All Other State Funds	(122.4)	(30.7)	(39.0)	(78.9)	(46.8)	(74.2)	(286.7)							(678.7)	(328.4)
Total Other Financing Sources (Uses)	1,291.6	46.7	1,146.0	540.4	494.7	464.8	110.5	0.0	0.0	0.0	0.0	0.0	4,094.7	4,355.1	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,260.0	(3,786.8)	755.2	471.5	(1,012.2)	789.5	(394.0)	0.0	0.0	0.0	0.0	0.0	1,083.2	1,808.6	
<b>CLOSING CASH BALANCE</b>	<b>\$7,517.1</b>	<b>\$3,730.3</b>	<b>\$4,485.5</b>	<b>\$4,957.0</b>	<b>\$3,944.8</b>	<b>\$4,734.3</b>	<b>\$4,340.3</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$4,340.3</b>	<b>\$4,354.8</b>	

(\*) See Exhibit A, Footnote #6

(\*\*) See Exhibit A, Footnote #7

STATE OF NEW YORK  
GENERAL FUND  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2006-2007  
(in millions)

EXHIBIT " F "  
(page 2)

	7 Months Ended Oct. 31												2006	2005	
	2006 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2007 JANUARY	FEBRUARY	MARCH			
<b>PERSONAL INCOME TAX</b>															
Withholdings	\$1,785.7	\$1,867.5	\$1,853.9	\$1,719.1	\$1,988.4	\$1,708.8	\$1,832.2							\$12,755.6	\$12,085.6
Estimated payments	3,432.4	80.2	1,482.6	66.2	65.3	1,688.7	93.9							6,909.3	5,980.0
Final returns	1,504.3	43.3	24.1	25.8	27.7	29.7	271.2							1,926.1	1,658.8
State/City Offsets	(23.1)	(145.7)	(19.3)	(0.1)	(0.1)	(0.1)	(0.2)							(188.6)	(171.1)
Other (Assessments/LLC)	94.6	41.5	59.6	70.6	51.6	55.8	49.2							422.9	388.9
Gross Receipts	6,793.9	1,886.8	3,400.9	1,881.6	2,132.9	3,482.9	2,246.3	0.0	0.0	0.0	0.0	0.0	21,825.3	19,942.2	
Transfers to School Tax Relief Fund	--	--	--	--	--	(783.0)	(1,019.2)						(1,802.2)	(1,118.5)	
Transfers to Debt Reduction Reserve Fund	--	--	--	--	--	--	--						--	--	
Transfers to Revenue Bond Tax Fund	(1,389.9)	(229.6)	(797.7)	(446.6)	(512.2)	(647.6)	(278.3)						(4,301.9)	(3,909.8)	
Refunds issued	(1,234.3)	(968.5)	(209.9)	(95.3)	(84.0)	(109.5)	(114.0)						(2,815.5)	(3,184.6)	
Total Personal Income Tax	4,169.7	688.7	2,393.3	1,339.7	1,536.7	1,942.8	834.8	0.0	0.0	0.0	0.0	0.0	12,905.7	11,729.3	
<b>CONSUMPTION/USE TAXES AND FEES</b>															
Sales and Use	543.2	545.6	783.1	577.8	554.5	794.2	563.0							4,361.4	4,661.2
Auto Rental	--	--	--	--	--	--	--							--	--
Motor Vehicle	--	--	--	--	--	--	--							--	--
Cigarette/Tobacco Products	36.3	32.6	39.2	37.7	35.8	36.0	35.6							253.2	250.8
Motor Fuel	--	--	--	--	--	--	--							--	--
Alcoholic Beverage	17.3	14.6	16.7	21.4	12.8	17.6	15.3							115.7	114.1
Beverage Container	--	--	--	--	--	--	--							--	--
Highway Use	--	--	--	--	--	--	--							--	--
Alcoholic Beverage Control Licenses	3.6	3.9	3.9	15.9	3.4	3.9	3.5							38.1	27.9
Total Consumption/Use Taxes and Fees	600.4	596.7	842.9	652.8	606.5	851.7	617.4	0.0	0.0	0.0	0.0	0.0	4,768.4	5,054.0	
<b>BUSINESS TAXES</b>															
Corporation Franchise	175.8	56.8	493.9	105.6	62.9	542.9	567.0							2,004.9	1,400.3
Corporation and Utilities	3.1	3.4	124.8	4.4	(1.2)	136.4	6.7							277.6	258.5
Insurance	9.1	18.2	219.6	2.1	6.1	260.1	(3.3)							511.9	432.7
Bank	136.6	5.1	163.6	7.8	8.5	151.8	5.3							478.7	376.9
Petroleum Business	--	--	--	--	--	--	--							--	--
Total Business Taxes	324.6	83.5	1,001.9	119.9	76.3	1,091.2	575.7	0.0	0.0	0.0	0.0	0.0	3,273.1	2,468.4	
<b>OTHER TAXES</b>															
Real Property Gains	0.1	--	0.6	(0.3)	--	--	--							0.4	0.5
Estate and Gift	102.5	79.2	109.0	117.9	67.5	58.2	105.8							640.1	546.8
Pari-Mutuel	1.6	1.7	2.0	1.7	2.7	2.7	1.2							13.6	15.6
Real Estate Transfer	--	--	--	--	--	--	--							--	--
Racing and Exhibitions	0.1	--	--	0.1	0.1	0.2	--							0.5	0.7
Total Other Taxes	104.3	80.9	111.6	119.4	70.3	61.1	107.0	0.0	0.0	0.0	0.0	0.0	654.6	563.6	
<b>TOTAL TAX RECEIPTS</b>	<b>\$5,199.0</b>	<b>\$1,449.8</b>	<b>\$4,349.7</b>	<b>\$2,231.8</b>	<b>\$2,289.8</b>	<b>\$3,946.8</b>	<b>\$2,134.9</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$21,601.8</b>	<b>\$19,815.3</b>	

**STATE OF NEW YORK  
SPECIAL REVENUE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2006-2007  
(amounts in millions)**

**EXHIBIT "G"**

	2006							2007					7 Months Ended Oct. 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2006	2005
<b>OPENING CASH BALANCE</b>	\$4,193.6	\$4,901.5	\$4,711.2	\$5,245.2	\$5,233.5	\$5,172.9	\$4,479.4						\$4,193.6	\$2,004.9
<b>RECEIPTS:</b>														
Personal Income Tax	--	--	--	--	--	783.0	1,019.2						1,802.2	1,118.5
Consumption/Use Taxes and Fees	178.6	128.0	164.6	122.8	142.0	136.1	137.0						1,009.1	867.7
Business Taxes	88.7	54.0	198.6	59.8	61.4	214.2	137.7						814.4	691.1
Miscellaneous Receipts	859.0	928.2	961.5	940.4	1,036.7	1,344.4	1,159.9						7,230.1	7,102.9
Federal Receipts	2,023.9	2,645.8	3,232.4	2,260.1	2,996.7	2,724.3	2,319.8						18,203.0	18,767.1
Total Receipts	3,150.2	3,756.0	4,557.1	3,383.1	4,236.8	5,202.0	4,773.6	0.0	0.0	0.0	0.0	0.0	29,058.8	28,547.3
<b>DISBURSEMENTS:</b>														
Local Assistance Grants:														
Education	359.3	519.0	461.4	225.7	80.6	2,250.9	950.3						4,847.2	4,715.1
Social Services	1,322.8	2,346.4	2,434.7	1,956.9	2,857.6	2,422.9	2,337.7						15,679.0	15,441.8
Health and Environment	105.5	279.8	263.2	403.0	405.3	203.0	276.0						1,935.8	1,588.1
Mental Hygiene	15.5	20.6	13.4	29.2	31.6	24.8	38.9						174.0	122.7
Transportation	75.9	50.2	260.0	232.0	262.9	86.7	48.0						1,015.7	1,157.0
Criminal Justice	11.2	7.0	8.8	9.5	26.8	10.3	18.0						91.6	60.8
SEMO and Disaster Assistance	2.4	3.1	8.0	8.5	20.6	7.3	30.0						79.9	54.5
Miscellaneous	39.4	48.3	43.2	72.0	53.9	321.3	455.1						1,033.2	354.3
Total Local Assistance Grants	1,932.0	3,274.4	3,492.7	2,936.8	3,739.3	5,327.2	4,154.0	0.0	0.0	0.0	0.0	0.0	24,856.4	23,494.3
Departmental Operations:														
Personal Service	248.8	355.0	309.5	270.4	307.0	325.7	397.7						2,214.1	2,195.4
Non-Personal Service	240.7	230.6	305.3	218.1	191.7	297.0	285.6						1,769.0	1,850.5
General State Charges	69.8	66.9	63.2	50.1	87.6	65.0	47.5						450.1	364.1
Capital Projects	1.7	5.0	6.2	3.7	3.5	2.5	3.8						26.4	9.6
Total Disbursements	2,493.0	3,931.9	4,176.9	3,479.1	4,329.1	6,017.4	4,888.6	0.0	0.0	0.0	0.0	0.0	29,316.0	27,913.9
Excess (Deficiency) of Receipts over Disbursements	657.2	(175.9)	380.2	(96.0)	(92.3)	(815.4)	(115.0)	0.0	0.0	0.0	0.0	0.0	(257.2)	633.4
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	245.2	275.7	408.0	201.7	390.1	312.2	97.0						1,929.9	2,040.9
Transfers to Other Funds	(194.5)	(290.1)	(254.2)	(117.4)	(358.4)	(190.3)	(249.7)						(1,654.6)	(1,773.8)
Total Other Financing Sources (Uses)	50.7	(14.4)	153.8	84.3	31.7	121.9	(152.7)	0.0	0.0	0.0	0.0	0.0	275.3	267.1
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	707.9	(190.3)	534.0	(11.7)	(60.6)	(693.5)	(267.7)	0.0	0.0	0.0	0.0	0.0	18.1	900.5
<b>CLOSING CASH BALANCE</b>	\$4,901.5	\$4,711.2	\$5,245.2	\$5,233.5	\$5,172.9	\$4,479.4	\$4,211.7	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$4,211.7	\$2,905.4

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS  
CASH FLOW SCHEDULE OF TAX RECEIPTS  
FISCAL YEAR 2006-2007  
(in millions)

EXHIBIT "G"  
(page 2)

	2006							2007					7 Months Ended Oct. 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2006	2005
<b>PERSONAL INCOME TAX</b>	\$ --	\$ --	\$ --	\$ --	\$ --	\$783.0	\$1,019.2						\$1,802.2	\$1,118.5
Total Personal Income Tax	--	--	--	--	--	783.0	1,019.2	0.0	0.0	0.0	0.0	0.0	1,802.2	1,118.5
<b>CONSUMPTION/USE TAXES AND FEES</b>														
Sales and Use	102.9	49.0	71.0	54.3	51.1	65.1	55.0						448.4	363.8
Auto Rental	--	--	--	--	--	--	--						--	--
Motor Vehicle	18.5	23.0	27.7	7.6	30.4	11.8	22.5						141.5	83.0
Cigarette/Tobacco Products	49.6	46.4	56.1	52.5	50.1	49.2	50.3						354.2	353.6
Motor Fuel	7.6	9.6	9.8	8.4	10.4	10.0	9.2						65.0	67.3
Alcoholic Beverage	--	--	--	--	--	--	--						--	--
Beverage Container	--	--	--	--	--	--	--						--	--
Highway Use	--	--	--	--	--	--	--						--	--
Alcoholic Beverage Control Licenses	--	--	--	--	--	--	--						--	--
Total Consumption/Use Taxes and Fees	178.6	128.0	164.6	122.8	142.0	136.1	137.0	0.0	0.0	0.0	0.0	0.0	1,009.1	867.7
<b>BUSINESS TAXES</b>														
Corporation Franchise	23.7	10.0	66.0	13.7	15.3	79.3	93.8						301.8	200.7
Corporation and Utilities	0.8	1.4	36.4	0.2	0.7	37.2	1.5						78.2	65.2
Insurance	0.4	3.2	25.5	0.1	(0.7)	30.5	0.9						59.9	46.7
Bank	28.6	1.0	29.7	2.5	4.2	23.9	1.3						91.2	71.6
Petroleum Business	35.2	38.4	41.0	43.3	41.9	43.3	40.2						283.3	306.9
Total Business Taxes	88.7	54.0	198.6	59.8	61.4	214.2	137.7	0.0	0.0	0.0	0.0	0.0	814.4	691.1
<b>OTHER TAXES</b>														
Real Property Gains	--	--	--	--	--	--	--						--	--
Estate and Gift	--	--	--	--	--	--	--						--	--
Pari-Mutuel	--	--	--	--	--	--	--						--	--
Real Estate Transfer	--	--	--	--	--	--	--						--	--
Racing and Exhibitions	--	--	--	--	--	--	--						--	--
Total Other Taxes	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--
<b>TOTAL TAX RECEIPTS</b>	<b>\$267.3</b>	<b>\$182.0</b>	<b>\$363.2</b>	<b>\$182.6</b>	<b>\$203.4</b>	<b>\$1,133.3</b>	<b>\$1,293.9</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$3,625.7</b>	<b>\$2,677.3</b>

**STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2006-2007  
(amounts in millions)**

**EXHIBIT "H"**

	2006							2007					<b>7 Months Ended Oct. 31</b>	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2006	2005
<b>OPENING CASH BALANCE</b>	\$220.9	\$366.6	\$610.4	\$277.2	\$248.0	\$329.5	\$299.2						\$220.9	\$183.7
<b>RECEIPTS:</b>														
Personal Income Tax	1,389.9	229.6	797.7	446.6	512.2	647.6	278.3						4,301.9	3,909.8
Consumption/Use Taxes and Fees														
Sales and Use	176.1	181.7	261.0	190.3	182.4	268.9	187.3						1,447.7	1,504.9
Other Taxes	102.2	81.8	67.1	63.3	73.6	74.8	67.6						530.4	546.2
Miscellaneous Receipts	75.8	46.7	64.3	36.0	81.6	76.7	65.9						447.0	386.5
Total Receipts	<u>1,744.0</u>	<u>539.8</u>	<u>1,190.1</u>	<u>736.2</u>	<u>849.8</u>	<u>1,068.0</u>	<u>599.1</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>6,727.0</u>	<u>6,347.4</u>
<b>DISBURSEMENTS: (*)</b>														
Departmental Operations:														
Non-Personal Service	0.6	1.8	3.6	12.7	3.1	4.3	0.4						26.5	35.7
Debt Service, including payments on financing agreements	268.1	185.4	321.1	113.4	215.9	630.0	360.0						2,093.9	1,845.9
Total Disbursements	<u>268.7</u>	<u>187.2</u>	<u>324.7</u>	<u>126.1</u>	<u>219.0</u>	<u>634.3</u>	<u>360.4</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>2,120.4</u>	<u>1,881.6</u>
Excess (Deficiency) of Receipts over Disbursements	<u>1,475.3</u>	<u>352.6</u>	<u>865.4</u>	<u>610.1</u>	<u>630.8</u>	<u>433.7</u>	<u>238.7</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>4,606.6</u>	<u>4,465.8</u>
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	457.0	456.7	431.3	193.5	435.3	636.2	583.0						3,193.0	3,088.2
Transfers to Other Funds (**)	(1,786.6)	(565.5)	(1,629.9)	(832.8)	(984.6)	(1,100.2)	(607.6)						(7,507.2)	(7,430.3)
Total Other Financing Sources (Uses)	<u>(1,329.6)</u>	<u>(108.8)</u>	<u>(1,198.6)</u>	<u>(639.3)</u>	<u>(549.3)</u>	<u>(464.0)</u>	<u>(24.6)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(4,314.2)</u>	<u>(4,342.1)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>145.7</u>	<u>243.8</u>	<u>(333.2)</u>	<u>(29.2)</u>	<u>81.5</u>	<u>(30.3)</u>	<u>214.1</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>292.4</u>	<u>123.7</u>
<b>CLOSING CASH BALANCE</b>	<u>\$366.6</u>	<u>\$610.4</u>	<u>\$277.2</u>	<u>\$248.0</u>	<u>\$329.5</u>	<u>\$299.2</u>	<u>\$513.3</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$513.3</u>	<u>\$307.4</u>

(\*) Disbursements have been restated to reflect the reclassification of related expenses, administrative fees, and other non-debt payments from debt service to non-personal service.

(\*\*) See Exhibit A, Footnote #5

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2006-2007  
(amounts in millions)**

**EXHIBIT "I"**

												<u>7 Months Ended Oct. 31</u>		
	2006 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2007 JANUARY    FEBRUARY    MARCH			2006	2005
<b>OPENING CASH BALANCE (DEFICITS)</b>	(\$604.1)	(\$529.0)	(\$645.3)	(\$593.2)	(\$402.6)	(\$518.3)	(\$677.4)						(\$604.1)	(\$454.1)
<b>RECEIPTS:</b>														
Consumption/Use Taxes and Fees														
Auto Rental	2.6	0.1	10.8	--	0.1	14.4	--						28.0	24.5
Motor Vehicle	47.6	51.6	64.1	31.2	65.5	34.1	49.9						344.0	288.0
Motor Fuel	28.5	36.5	37.0	30.4	39.5	37.1	35.0						244.0	253.0
Highway Use	12.6	13.4	12.9	14.6	13.2	13.3	13.3						93.3	90.1
Business Taxes														
Petroleum Business	43.6	47.9	51.4	53.5	51.9	54.3	50.0						352.6	376.8
Transmission	0.3	0.3	3.0	--	--	3.0	0.1						6.7	6.2
Other Taxes	--	--	13.7	13.7	13.7	13.7	13.7						68.5	56.0
Miscellaneous Receipts	128.9	67.4	231.8	289.2	51.6	63.0	62.1						894.0	772.5
Federal Receipts	103.7	87.3	147.9	149.3	160.6	156.4	127.8						933.0	998.4
<b>Total Receipts</b>	<u>367.8</u>	<u>304.5</u>	<u>572.6</u>	<u>581.9</u>	<u>396.1</u>	<u>389.3</u>	<u>351.9</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>2,964.1</u>	<u>2,865.5</u>
<b>DISBURSEMENTS:</b>														
Local Assistance Grants:														
Education	6.6	0.1	(0.1)	--	0.7	--	--						7.3	(0.8)
Social Services	--	(0.1)	--	--	--	--	--						(0.1)	--
Health and Environment	0.6	(4.3)	2.0	2.7	0.8	5.2	19.9						26.9	25.8
Mental Hygiene	3.6	1.8	4.4	4.6	5.3	5.1	4.0						28.8	25.0
Transportation	25.8	18.7	18.3	18.4	25.3	17.2	31.8						155.5	151.4
Miscellaneous	0.9	15.8	3.7	2.7	21.3	15.5	10.7						70.6	34.1
<b>Total Local Assistance Grants</b>	<u>37.5</u>	<u>32.0</u>	<u>28.3</u>	<u>28.4</u>	<u>53.4</u>	<u>43.0</u>	<u>66.4</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>289.0</u>	<u>235.5</u>
Departmental Operations:														
Personal Service	--	--	--	--	--	--	--						--	--
Non-Personal Service	--	--	--	--	--	--	--						--	--
General State Charges	--	--	--	--	--	--	--						--	--
Capital Projects	237.2	454.3	386.5	360.3	477.3	379.9	498.6						2,794.1	2,583.8
<b>Total Disbursements</b>	<u>274.7</u>	<u>486.3</u>	<u>414.8</u>	<u>388.7</u>	<u>530.7</u>	<u>422.9</u>	<u>565.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>3,083.1</u>	<u>2,819.3</u>
Excess (Deficiency) of Receipts over Disbursements	<u>93.1</u>	<u>(181.8)</u>	<u>157.8</u>	<u>193.2</u>	<u>(134.6)</u>	<u>(33.6)</u>	<u>(213.1)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(119.0)</u>	<u>46.2</u>
<b>OTHER FINANCING SOURCES (USES):</b>														
Bond Proceeds (net)	--	--	--	--	--	--	--						--	--
Transfers from Other Funds	25.8	123.8	(56.3)	41.7	57.1	18.8	108.9						319.8	231.3
Transfers to Other Funds	(43.8)	(58.3)	(49.4)	(44.3)	(38.2)	(144.3)	(46.4)						(424.7)	(558.7)
<b>Total Other Financing Sources (Uses)</b>	<u>(18.0)</u>	<u>65.5</u>	<u>(105.7)</u>	<u>(2.6)</u>	<u>18.9</u>	<u>(125.5)</u>	<u>62.5</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(104.9)</u>	<u>(327.4)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>75.1</u>	<u>(116.3)</u>	<u>52.1</u>	<u>190.6</u>	<u>(115.7)</u>	<u>(159.1)</u>	<u>(150.6)</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>(223.9)</u>	<u>(281.2)</u>
<b>CLOSING CASH BALANCE (DEFICITS)</b>	<u>(\$529.0)</u>	<u>(\$645.3)</u>	<u>(\$593.2)</u>	<u>(\$402.6)</u>	<u>(\$518.3)</u>	<u>(\$677.4)</u>	<u>(\$828.0)</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>(\$828.0)</u>	<u>(\$735.3)</u>

**STATE OF NEW YORK  
ENTERPRISE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2006-2007  
(in millions)**

**EXHIBIT J**

													<b>7 Months Ended Oct. 31</b>	
	2006 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2007 JANUARY	FEBRUARY	MARCH	2006	2005
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	\$85.3	\$82.7	\$78.9	\$93.3	\$78.5	\$86.3	\$39.7						\$85.3	\$57.8
<b>RECEIPTS:</b>														
Miscellaneous Receipts	5.6	7.3	6.1	5.8	7.7	9.2	6.2						47.9	48.6
Federal Receipts	3.4	3.6	3.1	4.1	3.0	2.3	3.9						23.4	24.0
Unemployment Taxes	171.8	177.7	164.5	162.2	183.0	99.8	149.3						1,108.3	1,274.6
<b>Total Receipts</b>	<b>180.8</b>	<b>188.6</b>	<b>173.7</b>	<b>172.1</b>	<b>193.7</b>	<b>111.3</b>	<b>159.4</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>1,179.6</b>	<b>1,347.2</b>
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	0.7	1.0	0.9	0.7	0.9	1.0	2.1						7.3	6.8
Non-Personal Service	5.0	4.4	4.8	4.0	4.8	9.6	4.8						37.4	34.9
General State Charges	0.1	0.3	0.2	0.1	0.2	0.1	0.2						1.2	0.7
Unemployment Benefits	177.6	186.7	153.4	182.1	180.0	147.2	166.8						1,193.8	1,285.1
<b>Total Disbursements</b>	<b>183.4</b>	<b>192.4</b>	<b>159.3</b>	<b>186.9</b>	<b>185.9</b>	<b>157.9</b>	<b>173.9</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>1,239.7</b>	<b>1,327.5</b>
Excess (Deficiency) of Receipts over Disbursements	(2.6)	(3.8)	14.4	(14.8)	7.8	(46.6)	(14.5)	0.0	0.0	0.0	0.0	0.0	(60.1)	19.7
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	--	--	--	--	--	--						--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
<b>Total Other Financing Sources (Uses)</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>--</b>	<b>--</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(2.6)	(3.8)	14.4	(14.8)	7.8	(46.6)	(14.5)	0.0	0.0	0.0	0.0	0.0	(60.1)	19.7
<b>CLOSING CASH BALANCE</b>	<b>\$82.7</b>	<b>\$78.9</b>	<b>\$93.3</b>	<b>\$78.5</b>	<b>\$86.3</b>	<b>\$39.7</b>	<b>\$25.2</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$25.2</b>	<b>\$77.5</b>

**STATE OF NEW YORK  
INTERNAL SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2006-2007  
(in millions)**

**EXHIBIT K**

													<b>7 Months Ended Oct. 31</b>	
	2006 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2007 JANUARY	FEBRUARY	MARCH	2006	2005
<b>BEGINNING FUND EQUITY (DEFICITS)</b>	(\$24.4)	(\$36.5)	(\$54.8)	(\$75.1)	(\$70.3)	(\$64.7)	(\$60.3)						(\$24.4)	(\$35.4)
<b>RECEIPTS:</b>														
Miscellaneous Receipts	17.1	25.5	40.1	31.0	50.3	39.6	54.4						258.0	230.4
Total Receipts	17.1	25.5	40.1	31.0	50.3	39.6	54.4	0.0	0.0	0.0	0.0	0.0	258.0	230.4
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	8.8	12.0	8.7	8.5	10.2	8.7	9.1						66.0	60.5
Non-Personal Service	22.6	38.3	56.2	33.3	30.3	28.1	33.6						242.4	243.6
General State Charges	3.2	4.4	--	1.6	7.9	1.2	5.0						23.3	21.4
Debt Service, Including Payments on Financing Agreements	--	--	--	--	0.4	--	--						0.4	2.3
Total Disbursements	34.6	54.7	64.9	43.4	48.8	38.0	47.7	0.0	0.0	0.0	0.0	0.0	332.1	327.8
Excess (Deficiency) of Receipts over Disbursements	(17.5)	(29.2)	(24.8)	(12.4)	1.5	1.6	6.7	0.0	0.0	0.0	0.0	0.0	(74.1)	(97.4)
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	5.4	10.9	4.5	17.2	4.1	2.8	4.9						49.8	51.3
Transfers to Other Funds	--	--	--	--	--	--	(0.6)						(0.6)	(2.0)
Total Other Financing Sources (Uses)	5.4	10.9	4.5	17.2	4.1	2.8	4.3	0.0	0.0	0.0	0.0	0.0	49.2	49.3
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(12.1)	(18.3)	(20.3)	4.8	5.6	4.4	11.0	0.0	0.0	0.0	0.0	0.0	(24.9)	(48.1)
<b>ENDING FUND EQUITY(DEFICITS)</b>	<u>(\$36.5)</u>	<u>(\$54.8)</u>	<u>(\$75.1)</u>	<u>(\$70.3)</u>	<u>(\$64.7)</u>	<u>(\$60.3)</u>	<u>(\$49.3)</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>(\$49.3)</u>	<u>(\$83.5)</u>



**STATE OF NEW YORK  
PRIVATE PURPOSE TRUST FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2006-2007  
(in millions)**

**EXHIBIT L**

	2006							2007					7 Months Ended Oct. 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2006	2005
<b>OPENING CASH BALANCE</b>	\$9.6	\$9.7	\$9.7	\$9.7	\$9.8	\$9.8	\$7.9						\$9.6	\$9.7
<b>RECEIPTS:</b>														
Miscellaneous Receipts	0.1	0.1	0.1	0.1	--	(1.8) (*)	0.1						(1.3)	0.5
Total Receipts	0.1	0.1	0.1	0.1	--	(1.8)	0.1	0.0	0.0	0.0	0.0	0.0	(1.3)	0.5
<b>DISBURSEMENTS:</b>														
Departmental Operations:														
Personal Service	--	0.1	--	--	--	0.1	--						0.2	0.2
Non-Personal Service	--	--	--	--	--	--	--						--	--
General State Charges	--	--	0.1	--	--	--	--						0.1	0.1
Total Disbursements	--	0.1	0.1	--	--	0.1	--	0.0	0.0	0.0	0.0	0.0	0.3	0.3
Excess (Deficiency) of Receipts over Disbursements	0.1	--	--	0.1	--	(1.9)	0.1	0.0	0.0	0.0	0.0	0.0	(1.6)	0.2
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	--	--	--	--	--	--	--						--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	--	--	0.1	--	(1.9)	0.1	0.0	0.0	0.0	0.0	0.0	(1.6)	0.2
<b>CLOSING CASH BALANCE</b>	\$9.7	\$9.7	\$9.7	\$9.8	\$9.8	\$7.9	\$8.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$8.0	\$9.9

(\*) Receipts were reduced by \$1.9 million in the month of September for claim payments to agriculture producers for the loss of revenue caused by a purchaser defaulting on accounts payable to agriculture producers.

STATE OF NEW YORK  
 GOVERNMENTAL FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
 CHANGES IN FUND BALANCES  
 FOR THE MONTH OF OCTOBER 2006  
 (amounts in millions)

SCHEDULE 1

	BALANCE 10/1/06	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/06
<b>GENERAL FUND</b>					
001-Local Assistance Account	\$ --	\$ 0.060	\$ 1,593.790	\$ 1,593.730	\$ --
003-State Operations Account	4,541.957	2,250.764	1,148.526	(1,483.293)	4,160.902
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	20.624	--	--	--	20.624
006-Universal Pre-K Reserve	--	--	--	--	--
007-Community Projects	171.126	--	12.830	--	158.296
013-Attica State Employee Victims'	--	--	--	--	--
017-Refund Reserve Account	--	--	--	--	--
166-Fringe Benefits Escrow	0.553	74.887	74.926	--	0.514
348-Tobacco Revenue Guarantee	--	--	--	--	--
<b>TOTAL GENERAL FUND</b>	<b>4,734.260</b>	<b>2,325.711</b>	<b>2,830.072</b>	<b>110.437</b>	<b>4,340.336</b>
<b>SPECIAL REVENUE FUNDS-GENERAL</b>					
019-Mental Health Gifts and Donations	2.034	0.039	0.014	--	2.059
020-Combined Expendable Trust	39.379	3.266	2.300	--	40.345
023-New York Interest on Lawyer Account	13.478	1.758	2.252	--	12.984
024-NYS Archives Partnership Trust	0.320	0.002	0.016	--	0.306
025-Child Performer's Protection	0.099	0.003	0.009	--	0.093
050-Tuition Reimbursement	2.544	0.234	0.106	--	2.672
052-New York State Local Government Records Management Improvement	11.031	1.130	2.041	--	10.120
053-School Tax Relief	191.662	1,019.186	1,109.153	--	101.695
054-Charter Schools Stimulus	12.938	0.058	--	--	12.996
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
056-Hudson River Valley Greenway	--	--	--	--	--
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.018	--	--	--	0.018
061-HCRA Resources	1,544.288	317.622	595.930	(0.500)	1,265.480
062-Tobacco Transfer	--	--	--	--	--
073-Dedicated Mass Transportation Trust	78.077	58.898	45.824	--	91.151
160-State Lottery	(903.654)	184.311	33.516	--	(752.859)
221-Combined Student Loan	23.808	3.171	5.023	--	21.956
300-Sewage Treatment Program Mgmt. & Administration	(1.494)	-	0.588	--	(2.082)
301-EnCon Special Revenue	19.509	13.998	7.790	--	25.717
302-Conservation	31.271	10.606	7.177	--	34.700
303-Environmental Protection and Oil Spill Compensation	22.008	3.664	3.628	(3.288)	18.756
305-Training and Education Program on OSHA	15.661	2.605	1.304	--	16.962
306-Lawyers' Fund for Client Protection	7.010	0.720	0.050	--	7.680
307-Equipment Loan for the Disabled	0.488	0.007	--	--	0.495
312-Hazardous Waste Remedial	22.588	7.066	6.073	(6.049)	17.532
313-Mass Transportation Operating Assistance	535.007	164.643	0.304	--	699.346
314-Clean Air	2.168	10.517	2.279	--	10.406
318-New York State Infrastructure Trust	0.060	0.001	--	--	0.061
321-Legislative Computer Services	8.805	0.165	--	--	8.970
328-Biodiversity Stewardship and Research	--	--	--	--	--
332-Combined Non-Expendable Trust	4.903	0.023	--	--	4.926
333-Winter Sports Education Trust	1.225	0.006	--	--	1.231
335-Musical Instrument Revolving	0.001	--	--	--	0.001
337-Rural Housing Assistance	--	--	--	--	--
338-Arts Capital Revolving	0.488	0.003	--	--	0.491
339-Miscellaneous State Special Revenue	1,768.469	347.862	577.614	65.278	1,603.995
340-Court Facilities Incentive Aid	21.658	0.121	0.672	--	21.107

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF OCTOBER 2006  
(amounts in millions)

SCHEDULE 1  
(continued)

	BALANCE 10/1/06	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/06
<b><u>SPECIAL REVENUE FUNDS-GENERAL (CONTINUED)</u></b>					
341-Employment Training	\$ 0.649	\$ 0.003	\$ 0.014	\$ --	\$ 0.638
342-Homeless Housing and Assistance	--	--	--	--	--
345-State University Income	674.634	265.818	318.997	36.264	657.719
346-Chemical Dependence Service	10.847	0.271	--	--	11.118
349-Lake George Park Trust	0.661	0.166	0.159	--	0.668
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	25.440	6.924	14.470	--	17.894
355-New York Great Lakes Protection	3.043	0.014	0.004	--	3.053
359-Federal Revenue Maximization	0.055	--	--	--	0.055
360-Housing Development	11.416	0.051	0.401	--	11.066
362-NYS/DOT Highway Safety Program	(0.701)	0.744	0.253	--	(0.210)
365-Vocational Rehabilitation	0.131	0.010	0.004	--	0.137
366-Drinking Water Program Management and Administration	1.055	--	0.774	--	0.281
368-NYC County Clerks' Operations Offset	(11.232)	--	1.514	--	(12.746)
369-Judiciary Data Processing Offset	(0.393)	--	1.111	--	(1.504)
377-IFR / CUTRA	78.435	9.159	5.658	--	81.936
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.159	--	--	--	0.159
390-Indigent Legal Services	40.909	6.479	6.250	--	41.138
482-Unemployment Insurance Interest and Penalty	8.646	0.511	0.083	--	9.074
<b>TOTAL SPECIAL REVENUE FUNDS-GENERAL</b>	<b>4,319.601</b>	<b>2,441.835</b>	<b>2,753.355</b>	<b>91.705</b>	<b>4,099.786</b>
<b><u>SPECIAL REVENUE FUNDS-FEDERAL</u></b>					
261-Federal USDA / Food and Consumer Services	(6.049)	85.143	86.150	--	(7.056)
265-Federal Health and Human Services	(141.202)	1,919.071	1,681.631	(238.464)	(142.226)
267-Federal Education	(17.181)	215.184	228.100	--	(30.097)
269-Federal DHHS Block Grant	4.689	32.979	33.863	--	3.805
290-Federal Miscellaneous Operating Grants	267.687	49.260	67.679	--	249.268
480-Unemployment Insurance Administration	42.274	20.333	23.649	--	38.958
484-Unemployment Insurance Occupational Training	12.225	1.484	(0.492)	(6.000)	8.201
486-Federal Employment and Training Grants	(2.630)	8.400	14.695	--	(8.925)
<b>TOTAL SPECIAL REVENUE FUNDS-FEDERAL</b>	<b>159.813</b>	<b>2,331.854</b>	<b>2,135.275</b>	<b>(244.464)</b>	<b>111.928</b>
<b>TOTAL SPECIAL REVENUE FUNDS</b>	<b>4,479.414</b>	<b>4,773.689</b>	<b>4,888.630</b>	<b>(152.759)</b>	<b>4,211.714</b>
<b><u>DEBT SERVICE FUNDS</u></b>					
064-Debt Reduction Reserve	--	--	249.998	250.000	0.002
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	68.441	13.624	1.892	200.455	280.628
311-General Obligation Debt Service	0.990	278.258	102.233	(126.451)	50.564
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	2.368	1.913	(0.455)	--
319-Department of Health Income	29.708	13.723	--	(9.388)	34.043
330-State University Dormitory Income	115.254	36.175	--	(28.945)	122.484
361-Clean Water/Clean Air	76.459	67.607	--	(126.840)	17.226
364-Local Government Assistance Tax	8.346	187.379	4.410	(182.969)	8.346
<b>TOTAL DEBT SERVICE FUNDS</b>	<b>\$ 299.198</b>	<b>\$ 599.134</b>	<b>\$ 360.446</b>	<b>\$ (24.593)</b>	<b>\$ 513.293</b>

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF OCTOBER 2006  
(amounts in millions)

SCHEDULE 1  
(continued)

	BALANCE 10/1/06	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/06
<b>CAPITAL PROJECTS FUNDS</b>					
002-State Capital Projects	\$ --	\$ 37.679	\$ 141.747	\$ 104.068	\$ --
072-Dedicated Highway and Bridge Trust	(237.607)	153.133	224.267	27.104	(281.637)
074-SUNY Residence Halls Rehabilitation and Repair	61.457	0.275	3.073	0.100	58.759
075-New York State Canal System Development	0.604	0.051	0.492	--	0.163
076-Parks Infrastructure	(17.522)	0.002	2.577	5.501	(14.596)
077-Passenger Facility Charge	0.013	--	--	--	0.013
078-Environmental Protection	61.041	14.907	15.418	--	60.530
079-Clean Water/Clean Air Implementation	(4.486)	--	--	--	(4.486)
080-Hudson River Park	0.080	--	--	--	0.080
101-Energy Conservation Thru Improved Transportation Bond	0.015	--	--	--	0.015
103-Park & Recreation Land Acquisition Bond	0.002	--	--	--	0.002
105-Pure Waters Bond	0.249	--	--	--	0.249
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	3.399	--	--	--	3.399
115-Environmental Quality Protection Bond	8.089	--	--	(0.130)	7.959
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
121-Rebuild and Renew New York Transportation Bond	1.922	--	--	--	1.922
123-Transportation Infrastructure Renewal Bond	7.809	--	--	(0.013)	7.796
124-1986 Environmental Quality Bond Act	12.336	--	--	(0.110)	12.226
126-Accelerated Capacity and Transportation Improvement Bond	3.136	--	--	(0.390)	2.746
127-Clean Water/Clean Air Bond	12.860	--	--	(0.035)	12.825
291-Federal Capital Projects	(143.726)	127.828	137.342	(82.085)	(235.325)
310-Forest Preserve Expansion	0.807	0.003	--	--	0.810
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	--	--	--	--	--
327-Suburban Transportation	0.412	0.023	--	--	0.435
357-Division for Youth Facilities Improvement	(1.817)	1.817	0.578	--	(0.578)
358-Youth Centers Facility	--	--	--	--	--
374-Housing Assistance	0.105	(0.105)	--	--	--
376-Housing Program	(139.445)	10.898	9.247	--	(137.794)
378-Natural Resource Damage	16.129	0.152	0.054	--	16.227
380-DOT Engineering Services	(26.650)	--	2.444	8.539	(20.555)
384-State University Capital Projects	37.740	1.604	0.863	--	38.481
387-Miscellaneous Capital Projects	25.368	0.234	0.986	--	24.616
388-CUNY Capital Projects	(0.231)	0.100	--	--	(0.131)
389-Mental Hygiene Facilities Capital Improvement	(275.819)	3.129	9.405	--	(282.095)
399-Correction Facilities Capital Improvement	(83.640)	0.009	16.399	--	(100.030)
<b>TOTAL CAPITAL PROJECTS FUNDS</b>	<b>(677.370)</b>	<b>351.739</b>	<b>564.892</b>	<b>62.549</b>	<b>(827.974)</b>
<b>TOTAL GOVERNMENTAL FUNDS</b>	<b>\$ 8,835.502</b>	<b>\$ 8,050.273</b>	<b>\$ 8,644.040</b>	<b>\$ (4.366)</b>	<b>\$ 8,237.369</b>

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY  
 FOR THE MONTH OF OCTOBER 2006  
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>FUND EQUITY 10/1/06</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 10/31/06</u>
<b>ENTERPRISE FUNDS</b>					
324-Youth Commissary	\$ 0.233	\$ 0.015	\$ 0.009	\$ --	\$ 0.239
325-State Exposition Special	2.706	0.940	2.291	--	1.355
326-Correctional Services Commissary	1.471	2.707	2.845	--	1.333
329-Correctional Services Family Benefit	3.342	1.178	0.855	--	3.665
331-Agency Enterprise	2.431	0.339	0.297	--	2.473
351-Sheltered Workshop	1.691	0.149	0.159	--	1.681
352-Patient Workshop	0.473	0.079	0.087	--	0.465
353-Mental Hygiene Community Stores	2.328	0.145	0.206	--	2.267
450-Industrial Exhibit Authority	0.768	0.710	0.495	--	0.983
481-Unemployment Insurance Benefit	24.227	153.135	166.592	--	10.770
<b>TOTAL ENTERPRISE FUNDS</b>	<b>39.670</b>	<b>159.397</b>	<b>173.836</b>	<b>--</b>	<b>25.231</b>
<b>INTERNAL SERVICE FUNDS</b>					
323-O.G.S. Centralized Services	34.060	10.598	15.009	--	29.649
334-Agency Internal Service	(59.153)	38.747	23.934	4.366	(39.974)
343-Mental Hygiene Revolving	1.242	0.123	0.227	--	1.138
347-Youth Vocational Education	0.042	0.002	--	--	0.044
394-Joint Labor/Management Administration	1.808	--	0.280	--	1.528
395-Audit and Control Revolving	(0.625)	--	0.194	--	(0.819)
396-Health Insurance Revolving	(20.168)	0.830	2.309	--	(21.647)
397-Correctional Industries Revolving	(17.465)	4.115	5.827	--	(19.177)
<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>(60.259)</b>	<b>54.415</b>	<b>47.780</b>	<b>4.366</b>	<b>(49.258)</b>
<b>TOTAL PROPRIETARY FUNDS</b>	<b>\$ (20.589)</b>	<b>\$ 213.812</b>	<b>\$ 221.616</b>	<b>\$ 4.366</b>	<b>\$ (24.027)</b>

STATE OF NEW YORK  
 FIDUCIARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
 FOR THE MONTH OF OCTOBER 2006

SCHEDULE 3

<u>FUND TYPE</u>	<u>FUND BALANCE 10/1/2006</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 10/31/2006</u>
<b><u>PRIVATE PURPOSE TRUST FUNDS</u></b>					
021-Agriculture Producers' Security	\$ 2.183	\$ 0.015	\$ 0.046	\$ --	\$ 2.152
022-Milk Producers' Security	5.750	0.097	0.058	--	5.789
<b>TOTAL PRIVATE PURPOSE TRUST FUNDS</b>	<u>7.933</u>	<u>0.112</u>	<u>0.104</u>	<u>--</u>	<u>7.941</u>
<b><u>AGENCY FUNDS</u></b>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	--	--	--	--	--
130-School Capital Facilities Financing Reserve	32.810	0.813	--	--	33.623
135-Child Performer's Holding	0.044	0.007	0.001	--	0.050
152-Employees Health Insurance	173.598	403.443	461.324	--	115.717
153-Social Security Contribution	35.795	81.956	84.580	--	33.171
154-Employee Payroll Withholding Escrow	108.824	334.153	348.439	--	94.538
162-Employees Dental Insurance	0.253	6.502	4.386	--	2.369
163-Management Confidential Group Insurance	1.628	0.719	1.081	--	1.266
165-Lottery Prize	201.431	43.953	47.438	--	197.946
167-Health Insurance Reserve Receipts	0.055	--	--	--	0.055
169-Miscellaneous New York State Agency	904.595	190.423	156.883	--	938.135
175-Elderly Pharmaceutical Insurance Coverage Escrow	1.381	60.550	60.355	--	1.576
176-CUNY Senior College Operating	26.400	156.001	152.969	--	29.432
179-Medicaid Management Information System Escrow	261.824	2,978.107	3,064.150	--	175.781
309-Special Education	--	--	--	--	--
344-State University Collection	261.877	(117.896)	--	--	143.981
382-SUNY Federal Direct Lending Program	(1.477)	1.482	--	--	0.005
<b>TOTAL AGENCY FUNDS</b>	<u>2,009.038</u>	<u>4,140.213</u>	<u>4,381.606</u>	<u>--</u>	<u>1,767.645</u>
<b>TOTAL FIDUCIARY FUNDS</b>	<u>\$ 2,016.971</u>	<u>\$ 4,140.325</u>	<u>\$ 4,381.710</u>	<u>\$ --</u>	<u>\$ 1,775.586</u>

STATE OF NEW YORK  
SOLE CUSTODY AND INVESTMENT ACCOUNTS  
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS  
FOR THE MONTH OF OCTOBER 2006  
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 10/01/06</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 10/31/06</u>
<b>ACCOUNTS</b>				
060-Tobacco Settlement	\$ 2.445	\$ 0.011	\$ --	\$ 2.456
149-Sole Custody Investment (*)	1,808.138	1,674.858	2,024.194	1,458.802
650-Comptroller's Refund	--	88.503	88.503	--
750-NYS Thruway Authority Operating	<u>3.422</u>	<u>33.280</u>	<u>36.558</u>	<u>0.144</u>
<b>TOTAL ACCOUNTS</b>	<b>\$ <u>1,814.005</u></b>	<b>\$ <u>1,796.652</u></b>	<b>\$ <u>2,149.255</u></b>	<b>\$ <u>1,461.402</u></b>

**(\*) Public Asset Escrow Account**

In *Consumers Union of U.S., Inc. v. State*, plaintiffs challenge the constitutionality of those portions of Chapter 1 of the Laws of 2002, which relate to the authorization of the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "public asset fund" and 5 percent transferred to a Charitable Foundation - as set forth in (s) 7317 of the Insurance Law. The State and private defendants have separately moved to dismiss the complaint. On November 6, 2002, Supreme Court, New York County, granted a temporary restraining order, directing that the proceeds from the public offering of the for-profit corporation be deposited with the State Comptroller in an interest bearing account outside the State Treasury, pending the outcome of the above noted litigation. On June 20, 2005, The Court of Appeals, Case No. 83, ruled in favor of the State. Accordingly, on August 1, 2005, \$754 million that was held outside of the State Treasury was remitted to the State and deposited into the HCRA Resource Fund (061).

On December 28, 2005, Wellchoice, Inc. shareholders (previously known as Empire Blue Cross, Blue Shield) approved a takeover by Wellpoint, Inc. This resulted in \$1.989 billion in proceeds to New York State which were deposited in the Public Asset Escrow Account on January 4, 2006. Subsequently, and in accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law, the Director of the Budget directed that the \$1.989 billion be transferred to the HCRA Resource Fund (061). The first transfer of \$993.1 million took place on January 25, 2006 with the balance of \$995.8 million transferred on February 1, 2006.

Following is an accounting of the proceeds from the public offerings which are currently held in escrow.

<u>Date</u>	<u>Reserved for:</u>		
	<u>Public Asset Fund</u>	<u>Charitable Foundation</u>	<u>Total</u>
Deposit 11/19/02	\$ 372,909,266.78	\$ 19,626,803.51	\$ 392,536,070.29
Deposit 11/20/02	29,344,846.16	1,544,465.59	30,889,311.75
Deposit 6/18/04	352,110,000.00	--	352,110,000.00
Deposit 1/4/06	1,989,072,689.76	--	1,989,072,689.76
Deposit 8/8/06	<u>504,697,500.00</u>	<u>--</u>	<u>504,697,500.00</u>
<b>Total Deposits</b>	3,248,134,302.70	21,171,269.10	3,269,305,571.80
Interest Received	40,478,844.06	1,132,997.63	41,611,841.69
Disbursement to HCRA Resources Fund	(2,743,000,000.00)	(22,304,266.73)	(2,765,304,266.73)
Disbursement for Professional and Advisory Services	<u>(10,502,331.91)</u>	<u>--</u>	<u>(10,502,331.91)</u>
<b>Balance - October 31, 2006</b>	<b>\$ <u>535,110,814.85</u></b>	<b>\$ <u>--</u></b>	<b>\$ <u>535,110,814.85</u></b>

SCHEDULE 5

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF DIRECT STATE DEBT ACTIVITY  
FISCAL YEAR ENDED MARCH 31, 2007

PURPOSE	DEBT OUTSTANDING APR. 1, 2006	DEBT ISSUED (*)		DEBT MATURED		DEBT OUTSTANDING OCT. 31, 2006	INTEREST DISBURSED	
		MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2006	MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2006		MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2006
<b>GENERAL OBLIGATION BONDED DEBT:</b>								
Accelerated Capacity and Transportation Improvements	\$ 915,982,014.57	\$ --	\$ 324,872.93	\$ 14,368,353.17	\$ 53,896,572.38	\$ 862,410,315.12	\$ 7,285,612.40	\$ 27,744,847.34
Clean Water/Clean Air:								
Air Quality	127,059,824.25	--	--	341,581.81	7,786,084.33	119,273,739.92	944,926.78	3,715,214.33
Safe Drinking Water	185,919,338.03	--	--	--	13,003,073.29	172,916,264.74	117,822.71	4,958,091.10
Water	460,799,368.85	--	732,338.71	966,699.67	3,937,803.11	457,593,904.45	1,299,054.84	11,108,781.45
Solid Waste	126,595,959.48	--	74,155.67	48,229.80	4,815,948.60	121,854,166.55	529,613.17	2,754,028.37
Environmental Restoration	27,634,717.01	--	--	--	108,965.52	27,525,751.49	31,741.54	681,753.40
Energy Conservation Through Improved Transportation: Rapid Transit and Rail Freight	37,382,725.67	--	60,101.07	225,044.72	4,460,079.69	32,982,747.05	156,028.20	1,027,233.22
Environmental Quality Protection (1972):								
Air	35,809,087.23	--	--	94,248.78	3,160,686.31	32,648,400.92	292,718.12	1,014,761.68
Land	74,394,966.08	--	367,227.86	1,039,855.33	9,560,120.33	65,202,073.61	483,476.07	1,841,479.53
Wet Lands	--	--	--	--	--	--	--	--
Water	176,434,746.19	--	1,148,715.68	3,987,998.36	17,163,818.88	160,419,642.99	1,703,279.01	5,281,093.14
Environmental Quality (1986):								
Land and Forests	95,927,622.36	--	135,168.37	5,617,493.52	8,255,633.72	87,807,157.01	932,821.41	2,948,508.00
Solid Waste Management	683,694,495.20	--	73,606.01	1,654,883.93	29,025,418.75	654,742,682.46	2,248,242.00	16,535,200.46
Higher Education Construction	270,000.00	--	--	--	--	270,000.00	--	6,750.00
Housing:								
Low Cost	93,045,246.14	--	16,673.99	1,120,532.24	9,064,430.76	83,997,489.37	792,000.99	2,287,957.33
Middle Income	59,640,000.00	--	--	--	460,000.00	59,180,000.00	--	1,321,801.25
Urban Renewal	52,456.25	--	--	--	31,709.29	20,746.96	--	1,454.21
Outdoor Recreation Development	314,966.88	--	--	78,803.00	78,803.00	236,163.88	8,379.66	16,994.61
Park and Recreation Land Acquisition	57,766.68	--	--	--	31,836.33	25,930.35	--	1,537.71
Pure Waters	130,829,418.00	--	739,432.49	2,966,994.34	12,843,837.25	118,725,013.24	1,275,359.49	3,977,994.74
Rail Preservation Development	34,850,689.49	--	74,396.57	553,554.86	6,562,055.34	28,363,030.72	181,505.79	1,037,889.58
Rebuild and Renew New York Transportation:								
Highway Facilities	7,208,350.72	--	--	--	--	7,208,350.72	--	138,750.90
Canals and Waterways	--	--	--	--	--	--	--	--
Aviation	--	--	--	--	--	--	--	--
Rail and Port	--	--	--	--	--	--	--	--
Mass Transit - Dept. of Transportation	--	--	--	--	--	--	--	--
Mass Transit - Metropolitan Transportation Authority	40,018,138.27	--	--	--	--	40,018,138.27	--	771,205.28
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	8,459,307.40	--	--	113,860.60	473,470.10	7,985,837.30	6,137.70	172,729.18
Ports, Canals, and Waterways	988,819.19	--	--	101,978.22	424,492.06	564,327.13	6,752.82	31,382.58
Rapid Transit, Rail, and Aviation	39,589,485.18	--	167,352.47	1,208,677.82	3,609,737.19	36,147,100.46	404,571.86	1,242,154.51
Transportation Capital Facilities:								
Aviation	43,200,183.16	--	262,807.02	862,750.78	3,860,585.84	39,602,404.34	416,077.38	1,291,543.16
Mass Transportation	63,689,306.98	--	8,151.16	803,459.05	12,494,837.52	51,202,620.62	264,342.73	1,930,998.93
<b>Total General Obligation Bonded Debt</b>	<b>\$ 3,469,848,999.26</b>	<b>\$ --</b>	<b>\$ 4,185,000.00</b>	<b>\$ 36,155,000.00</b>	<b>\$ 205,109,999.59</b>	<b>\$ 3,268,923,999.67</b>	<b>\$ 19,380,464.67</b>	<b>\$ 93,842,135.99</b>

(\*) Includes April 2006 Refunding Bonds as follows: Refunding \$162,010,000 and Refunded \$157,825,000.



STATE OF NEW YORK  
DEBT SERVICE FUNDS  
FINANCING AGREEMENTS  
FOR THE SEVEN (7) MONTHS ENDED OCTOBER 31, 2006

SCHEDULE 5a

	DEBT REDUCTION RESERVE FUND (064)	GENERAL DEBT SERVICE (311- 01)	DEPARTMENT OF HEALTH INCOME (319)	LOCAL GOVERNMENT ASSISTANCE TAX (364)	MENTAL HEALTH SERVICES (304)	REVENUE BOND TAX (311- 02)	STATE UNIVERSITY DORMITORY INCOME (330)	COMBINED TOTALS		INCREASE (DECREASE)
								7 MONTHS ENDED OCT. 31		
								2006	2005 (*) (**)	
<b>Special Contractual Financing Obligations:</b>										
City University Construction	\$ --	\$ 118,362,205	\$ --	\$ --	\$ --	\$ --	\$ --	\$ 118,362,205	\$ 100,505,241	\$ 17,856,964
Community Enhancement Facilities Program	--	1,643,612	--	--	--	--	--	1,643,612	297,178	1,346,434
Department of TransRegion 1 Schenectady	--	938,887	--	--	--	--	--	938,887	914,197	24,690
Dormitory Authority	249,997,906	397,074,804	13,780,129	--	128,310,544	51,377,860	37,639,770	878,181,013	558,967,408	319,213,605
Environmental Conservation - Broadway Albany	--	--	--	--	--	--	--	--	3,250,308	(3,250,308)
Environmental Conservation - 50 Wolf Rd Albany	--	1,251,541	--	--	--	--	--	1,251,541	1,323,520	(71,979)
Energy Research & Development Authority	--	3,032,510	--	--	--	--	--	3,032,510	4,262,753	(1,230,243)
Environmental Facilities Corporation	--	9,486,469	--	--	--	6,388,584	--	15,875,053	18,590,493	(2,715,440)
Hampton Plaza	--	151,562	--	--	--	--	--	151,562	159,844	(8,282)
Hanson Place	--	2,549,750	--	--	--	--	--	2,549,750	2,549,750	--
44 Holland Avenue	--	784,563	--	--	--	--	--	784,563	812,055	(27,492)
Housing Finance Agency	--	39,956,362	--	--	--	22,004,301	--	61,960,663	50,484,235	11,476,428
Local Government Assistance Corporation	--	--	--	90,107,873	--	--	--	90,107,873	89,463,039	644,834
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	--	82,456,275	--	--	--	--	--	82,456,275	82,469,578	(13,303)
Triborough Bridge & Tunnel Authority:										
Javits Convention Center Project	--	6,004,050	--	--	--	--	--	6,004,050	7,012,525	(1,008,475)
Thruway Authority	--	376,885,522	--	--	--	20,527,835	--	397,413,357	512,052,675	(114,639,318)
Urban Development Corporation:										
Correctional Facilities	--	75,720,414	--	--	--	--	--	75,720,414	77,535,311	(1,814,897)
Center for Industrial Innovation at RPI	--	694,044	--	--	--	--	--	694,044	777,950	(83,906)
Syracuse University Science and Technology Center	--	--	--	--	--	--	--	--	--	--
Cornell Univer. Supercomputer Center	--	491,000	--	--	--	--	--	491,000	518,694	(27,694)
Columbia Univer. Telecommunications Center	--	3,705,000	--	--	--	--	--	3,705,000	3,726,973	(21,973)
Onondaga Convention Center	--	771,840	--	--	--	--	--	771,840	1,201,869	(430,029)
Clarkson University	--	271,032	--	--	--	--	--	271,032	283,544	(12,512)
Alfred University	--	--	--	--	--	--	--	--	41,475	(41,475)
Higher Education	--	769,358	--	--	--	--	--	769,358	78,846	690,512
Youth Facilities	--	2,391,302	--	--	--	--	--	2,391,302	2,535,799	(144,497)
University Facilities Grant 95 Refunding	--	540,726	--	--	--	--	--	540,726	332,649	208,077
Economic Development Heritage Trail Project	--	--	--	--	--	--	--	--	139,650	(139,650)
Economic Development Housing	--	--	--	--	--	14,960,569	--	14,960,569	12,175,153	2,785,416
Sports Facility	--	2,374,743	--	--	--	--	--	2,374,743	392,385	1,982,358
Ten Eyck Project Albany	--	822,416	--	--	--	--	--	822,416	1,271,172	(448,756)
Long Island and Pine Barren	--	57,689	--	--	--	--	--	57,689	37,196	20,493
South Mall	--	--	--	--	--	--	--	--	--	--
State Facilities and Equipment	--	--	--	--	--	30,650,822	--	30,650,822	26,951,600	3,699,222
<b>Total Disbursements for Special Contractual Financing Obligations</b>	<b>\$ 249,997,906</b>	<b>\$ 1,129,187,676</b>	<b>\$ 13,780,129</b>	<b>\$ 90,107,873</b>	<b>\$ 128,310,544</b>	<b>\$ 145,909,971</b>	<b>\$ 37,639,770</b>	<b>\$ 1,794,933,869</b>	<b>\$ 1,561,115,065</b>	<b>\$ 233,818,804</b>

(\*) Disbursements have been restated to reflect the reclassification of related expenses, administrative fees, and other non-debt payments from debt service to non-personal service

(\*\*) Revenue Bond disbursements in 2005-2006 were restated to report debt service payments by payee rather than by program.

**SUMMARY OF THE OPERATING FUND INVESTMENTS  
FOR THE MONTH OF OCTOBER 2006  
AS REQUIRED OF THE STATE COMPTROLLER**  
(amounts in millions)

	<u>OCTOBER 2006</u>	<u>FISCAL YEAR TO DATE</u>
<b><u>SHORT TERM INVESTMENT POOL</u></b>		
AVERAGE DAILY INVESTMENT BALANCE*	\$11,906.2	\$11,926.9
AVERAGE YIELD*	5.363%	5.199%
TOTAL INVESTMENT EARNINGS	\$54.231	\$363.561
<b><u>DESCRIPTION</u></b>		
TREASURY BILLS		<u>\$0.0</u>
GOVT. AGENCY BILLS/NOTES		\$0.0
REPURCHASE AGREEMENTS		\$317.3
COMMERCIAL PAPER		\$10,699.3
CERTIFICATES OF DEPOSIT		\$652.6
0% COMPENSATING BALANCE CD's		\$8.5
		<u>\$11,677.7</u>

\*Does not include 0% Compensating Balance CD's.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

STATE OF NEW YORK  
OFFICE OF THE STATE COMPTROLLER  
DIVISION OF STATE SERVICES  
BUREAU OF ACCOUNTING OPERATIONS

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING  
FISCAL YEAR 2006-2007**

**APPENDIX - TABLE OF CONTENTS**

<u>HCRA Resources Fund - Statement of Receipts and Disbursements by Object</u>	Appendix A
<u>HCRA Resources Fund - Statement of Program Disbursements</u>	Appendix B
<u>HCRA Public Goods Pool - Statement of Cash Flow</u>	Appendix C
<u>HCRA Medicaid Disproportionate Share - Statement of Cash Flow</u>	Appendix D
<u>Public Authority Off Budget Spending Report</u>	Appendix E

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY OBJECT  
 FISCAL YEAR 2006-2007

APPENDIX A

	2006 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	7 Months Ended Oct. 31, 2006
<b>OPENING CASH BALANCE</b>	<b>\$1,599,919,097.44</b>	<b>\$1,750,291,354.32</b>	<b>\$1,926,358,408.41</b>	<b>\$2,046,357,094.62</b>	<b>\$1,734,949,259.81</b>	<b>\$1,657,402,843.03</b>	<b>\$1,544,287,698.20</b>	<b>\$1,599,919,097.44</b>
<b>RECEIPTS:</b>								
Cigarette Tax	49,573,777.00	46,436,290.89	56,097,816.03	52,474,130.46	50,125,857.48	49,160,380.58	50,325,466.21	354,193,718.65
State share of NYC Cigarette Tax	7,689,000.00	9,220,000.00	9,731,000.00	8,982,000.00	9,465,000.00	8,536,000.00	9,957,000.00	63,580,000.00
STIP Interest	7,486,572.17	6,636,483.05	7,575,159.68	7,933,531.38	8,385,515.98	7,398,524.63	7,072,037.93	52,487,824.82
Sale of Public Assets	--	--	--	--	--	--	--	--
Indigent Care Pool	--	--	--	--	287,620.78	21,973.26	11,719.28	321,313.32
Public Goods Pool	182,565,061.10	286,239,861.37	258,219,932.50	245,700,091.24	251,490,125.49	225,778,519.64	250,255,760.57	1,700,249,351.91
Tobacco Control & Insurance Initiatives Pool	--	--	--	--	--	--	--	--
GME Overpayments Recovered	4,500.75	--	--	--	--	--	--	4,500.75
Intra-Fund HCRA Transition Transfers	--	--	--	--	--	--	--	--
Miscellaneous	146.64	677.06	382.53	49.38	25,823.72	--	331.76	27,411.09
<b>Total Receipts</b>	<b>247,319,057.66</b>	<b>348,533,312.37</b>	<b>331,624,290.74</b>	<b>315,089,802.46</b>	<b>319,779,943.45</b>	<b>290,895,398.11</b>	<b>317,622,315.75</b>	<b>2,170,864,120.54</b>
<b>DISBURSEMENTS:</b>								
Grants - Social Service	11,212.54	44,342.12	169,632.32	169,529.24	81,614.38	68,130.20	190,877.74	735,338.54
Medical Assistance Payments	41,110,719.34	35,024,048.55	34,375,968.20	300,659,268.66	198,533,342.29	260,705,478.55	412,454,165.06	1,282,862,990.65
Grants - Health	45,594,823.98	130,101,097.21	169,652,166.37	307,623,115.55	259,200,016.27	131,795,563.03	171,937,865.84	1,215,904,648.25
Grants - Mental Hygiene	4,401,023.00	701,052.00	670,116.00	7,861,768.00	645,643.00	6,703,400.00	6,007,022.00	26,990,024.00
Grants - Miscellaneous	52,832.68	236,372.74	608,257.72	436,716.73	330,138.58	261,626.54	155,567.03	2,081,512.02
Interest - Late Payments	429.81	1,695.93	713.90	20,941.06	8,907.92	917.18	5,736.92	39,342.72
Personal Service	1,052,641.24	2,168,463.87	1,371,550.61	1,099,569.16	6,161,175.75	1,094,984.24	1,037,405.00	13,985,789.87
Non-Personal Service	3,367,050.38	3,299,570.23	4,391,395.50	6,675,134.02	(68,473,116.53)	3,380,443.20	2,800,445.02	(44,559,078.18)
Employee Benefits/Indirect Costs	1,356,067.81	157,879.11	378,009.76	1,451,594.85	108,573.05	--	1,340,440.22	4,792,564.80
Transfers to 339-AP	--	731,736.52	7,794.15	--	730,065.52	--	--	1,469,596.19
Transfers to 339-ES	--	--	--	500,000.00	--	--	500,000.00	1,000,000.00
<b>Total Disbursements</b>	<b>96,946,800.78</b>	<b>172,466,258.28</b>	<b>211,625,604.53</b>	<b>626,497,637.27</b>	<b>397,326,360.23</b>	<b>404,010,542.94</b>	<b>596,429,524.83</b>	<b>2,505,302,728.86</b>
<b>CLOSING CASH BALANCE</b>	<b>\$1,750,291,354.32</b>	<b>\$1,926,358,408.41</b>	<b>\$2,046,357,094.62</b>	<b>\$1,734,949,259.81</b>	<b>\$1,657,402,843.03</b>	<b>\$1,544,287,698.20</b>	<b>\$1,265,480,489.12</b>	<b>\$1,265,480,489.12</b>

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2006-2007

APPENDIX B

Program/Purpose	Appropriation Amount	Segregation Amount	April - June Disbursements	July - September Disbursements	October Disbursements	Total Disbursements 7 Months Ending Oct. 31, 2006
<b>COMMUNITY SERVICES PROGRAM</b>	<b>\$ 9,000,000</b>					
LONG TERM CARE INSUR EDUC/OUTREACH		8,250,000	897,463.14	1,028,481.85	115,567.03	2,041,512.02
<b>ADMIN &amp; GRANTS MGMT HCRA RESOURCE</b>	<b>150,000</b>					
LONG TERM CARE INSUR EDUC/OUTREACH		125,000	11,949.85	5,230.48	--	17,180.33
<b>ADMIN &amp; EXECUTIVE DIRECTION PROGRAM</b>	<b>13,246,000</b>					
HEALTH CARE DELIVERY ADMINISTRATION		1,251,000	76,000.42	72,156.73	34,376.45	182,533.60
HEALTH OCCUPATION DEVELOP/WORK DEMO		1,690,250	133,036.18	124,389.95	72,313.13	329,739.26
HEALTH WORKFORCE RETRAINING PROGRAM		2,365,000	495,376.20	264,673.87	5,329.59	765,379.66
PILOT HEALTH INSURANCE PROGRAM		3,508,380	385,140.68	315,625.18	162,114.84	862,880.70
PRIMARY CARE INITIATIVES MONITORING		1,386,750	139,007.02	117,864.60	66,194.72	323,066.34
<b>AIDS INSTITUTE PROGRAM</b>	<b>206,348,018</b>					
HEALTH CARE SERVICES ACCOUNT		182,978,018	13,586,010.57	25,455,992.36	7,273,798.87	46,315,801.80
HOSPITAL BASED GRANTS PROGRAM		11,870,000	1,099,277.98	1,630,646.92	531,135.65	3,261,060.55
MATERNAL & CHILD HIV SERVICES		9,500,000	923,261.09	1,049,964.97	524,503.85	2,497,729.91
OPERATIONAL SUPPORT FOR AIDS HOUSING		2,000,000	140,508.62	319,273.82	190,877.74	650,660.18
<b>CENTER FOR COMMUNITY HEALTH PROGRAM</b>	<b>174,210,195</b>					
HEALTH CARE SERVICES ACCOUNT		123,168,558	11,935,098.89	14,219,746.66	4,129,599.55	30,284,445.10
HOSPITAL BASED GRANTS PROGRAM		33,979,850	4,609,721.15	2,843,944.10	452,645.08	7,906,310.33
TOBACCO CONTROL & CANCER SERVICES		3,955,000	417,830.33	517,308.52	133,887.45	1,069,026.30
<b>WADSWORTH CENTER FOR LABS &amp; RESEARCH</b>	<b>21,606,000</b>					
HEALTH CARE SERVICES ACCOUNT		18,682,061	3,167,788.38	1,906,298.18	1,285,856.70	6,359,943.26
<b>HEALTH CARE STANDARDS &amp; SURVEILLANCE</b>	<b>62,463,343</b>					
EMERGENCY MEDICAL SERVICES ACCOUNT		31,380,593	3,559,475.88	5,274,192.87	1,882,317.93	10,715,986.68
HEALTH CARE SERVICES ACCOUNT		8,625,000	119,914.69	1,018,611.73	55,413.11	1,193,939.53
<b>HEALTH CARE FINANCING PROGRAM</b>	<b>7,592,000</b>					
PROVIDER COLLECTION MONITORING ACCOUNT		6,425,000	983,237.34	645,636.23	312,979.75	1,941,853.32
<b>OFFICE OF MEDICAID MANAGEMENT PROGRAM</b>	<b>18,324,800</b>					
CATASTROPHIC HEALTH CARE EXPENSE PROG		500,000	465,000.00	--	--	465,000.00
FAMILY HEALTH PLUS		12,624,800	1,621,349.36	1,237,969.96	257,120.55	3,116,439.87
MEDICAID FRAUD HOTLINE/ADMIN.		1,325,400	160,685.92	169,435.65	73,142.66	403,264.23
<b>MEDICAL ASSISTANCE PROGRAM</b>	<b>6,612,437,000</b>					
ADDTL PAYMENTS PROVIDER OF MEDICAL CARE		200,000,000	--	--	--	--
BDCG FOR NON-PROFIT D&TCS		7,500,000	--	--	--	--
GME RECONCILIATION		100,000,000	--	--	--	--
HOME CARE RATES		8,000,000	--	--	--	--
HOME HEALTH WORKFORCE RECRUITMENT		37,000,000	--	--	--	--
LONG TERM CARE REVITALIZATION POOL		2,400,000	--	--	--	--
MEDICAL ASSISTANCE		3,941,825,000	--	515,912,500.00	330,700,000.00	846,612,500.00
MEDICAL ASSISTANCE - INDIGENT CARE FUND		1,700,800,000	109,943,361.09	243,126,133.50	81,562,595.06	434,632,089.65
NH QUALITY IMPROVEMENT DEMO		40,937,000	--	--	--	--
WORKER RECRUIT/RETAIN NON-PUBLIC HOSP		64,000,000	--	--	--	--
<b>ENHANCED COMMUNITY SERVICES PROGRAM</b>	<b>184,300,000</b>					
ENHANCED COMMUNITY SERVICES ACCOUNT		152,375,000	6,420,190.00	21,969,450.37	6,007,022.00	34,396,662.37
<b>ELDERLY PHARMACEUTICAL INS COVERAGE PRG</b>	<b>1,188,200,000</b>					
ELDERLY PHARMACEUTICAL INSURANCE COVER		1,038,650,000	80,177,293.09	129,491,799.61	29,810,995.45	239,480,088.15
<b>HEALTH SERVICES ACCT</b>	<b>4,000,000</b>					
HEALTH CARE SERVICES ACCOUNT		3,500,000	86,571.64	87,922.64	--	174,494.28
<b>CHILD HEALTH INSURANCE PROGRAM</b>	<b>1,273,839,439</b>					
CHILD HEALTH INSURANCE		1,047,778,687	70,398,262.64	136,051,116.55	20,685,069.86	227,134,449.05

STATE OF NEW YORK  
 HCRA RESOURCES FUND  
 STATEMENT OF PROGRAM DISBURSEMENTS  
 FISCAL YEAR 2006-2007

APPENDIX B  
 (continued)

Program/Purpose	Appropriation Amount	Segregation Amount	April - June Disbursements	July - September Disbursements	October Disbursements	Total Disbursements 7 Months Ending Oct. 31, 2006
<b>HEALTH CARE REFORM ACT PROGRAM</b>	<b>\$ 2,822,330,000</b>					
ADAP/HIV UNINSURED CARE (HRI)		60,000,000	--	--	10,000,000.00	10,000,000.00
AREA HEALTH CARE CENTERS		788,000	--	--	--	--
ASSEMBLY PRIORITY DISTRIBUTIONS		16,499,000	335,348.70	993,354.00	125,894.95	1,454,597.65
AUDIT SERVICE PAYER/PROVIDER COMPLIANCE		13,074,000	1,280,901.58	891,297.38	242,929.61	2,415,128.57
CANCER RELATED SERVICES		44,762,000	2,321,836.74	6,379,472.45	1,293,270.87	9,994,580.06
CATASTROPHIC HEALTH CARE EXPENSE		3,000,000	570,623.00	174,487.00	--	745,110.00
COMMISSIONER'S PRIORITY POOL DISTRIB.		55,781,000	5,623,477.92	4,726,867.11	3,259,537.05	13,609,882.08
DIAGNOSTIC & TREAT CTR UNCOMPENSAT CARE		24,000,000	--	--	--	--
DISEASE MANAGEMENT DEMO PROGRAM		9,750,000	--	652,070.50	40,659.00	692,729.50
ERIE/NIAGARA HEALTH CARE PROVIDERS		5,000,000	--	--	--	--
GRADUATE MEDICAL EDUCATION DISTRIB		677,220,000	64,361,627.83	110,891,398.06	58,946,000.00	234,199,025.89
HEALTH CARE STABILIZATION PROGRAM		28,000,000	2,948,529.08	7,829,339.75	358,411.00	11,136,279.83
HEALTH FACILITY RESTRUCTING PROGRAM		20,000,000	--	--	--	--
HEALTH MAINTENANCE ORG (HMO) DIRECT PAY		80,000,000	--	40,000,000.00	--	40,000,000.00
HEALTH WORKFORCE RETRAINING		150,020,000	4,736,200.68	11,534,583.03	317,152.54	16,587,936.25
HEALTHY NY - ADMINISTRATION		19,400,000	148,137.98	4,292,321.78	76,796.55	4,517,256.31
HEALTHY NY - DISPLACED WORKERS		955,000	--	--	--	--
HEALTHY NY - ENTRTNMT WORKERS		3,500,000	178,856.88	231,285.83	117,624.79	527,767.50
HEALTHY NY - GROUP PROGRAM		50,255,000	2,812.50	21,468,798.00	19,552.50	21,491,163.00
HEALTHY NY - INDIV PROGRAM		71,890,000	--	40,459,479.00	--	40,459,479.00
INDIVIDUAL SUBSIDY PROGRAM		1,657,330	--	--	--	--
INFERTILITY GRANT PROGRAM		2,830,000	142,015.48	--	--	142,015.48
INFERTILITY SRVCS TREATMENTS & PROC		5,000,000	--	--	--	--
LONG TERM CARE DEMO PROJECTS		750,000	--	--	--	--
LONG TERM CARE INSUR EDUC/OUTREACH		4,350,000	163,845.84	1,765,850.44	10,315.00	1,940,011.28
MINORITY PARTICIPATION MED EDUC		215,000	--	68,624.95	--	68,624.95
NURSING HOME QUALITY IMPROV DEMO		--	--	--	--	--
NYS AREA HEALTH EDUCATION CENTER (AHEC)		3,200,000	--	453,061.01	--	453,061.01
OTHER MEDICAL SCHOOL		1,160,000	3,033.54	--	--	3,033.54
PAY FOR PERFORMANCE INITIATIVES		20,000	8,026.14	--	--	8,026.14
PHYSICIANS EXCESS MEDICAL MALPRACTICE		65,000,000	--	--	--	--
POISON CONTROL CENTERS		7,650,000	--	2,387,817.00	--	2,387,817.00
POOL ADMINISTRATOR-SERVICES & EXPENSES		13,571,000	872,586.49	809,514.61	--	1,682,101.10
PRIMARY HEALTH CARE SERVICES		3,260,000	20,000.00	292,882.00	--	312,882.00
ROSWELL PARK CANCER INSTITUTE		147,750,000	23,250,000.00	23,250,000.00	23,250,000.00	69,750,000.00
RURAL HEALTH CARE ACCESS DEVELOP		31,575,000	188,363.80	5,388,582.13	1,063,955.36	6,640,901.29
RURAL HEALTH CARE DELIVERY DEVELOP		20,300,000	1,540,148.02	2,051,672.88	175,165.60	3,766,986.50
SCHOOL BASED HEALTH CLINICS		7,000,000	--	--	--	--
SENATE PRIORITY DISTRIBUTIONS		24,836,247	2,906,723.67	1,037,104.11	1,568,879.62	5,512,707.40
TELEMEDICINE DEMONSTRATION PROGRAM		6,000,000	98,166.85	895,520.82	--	993,687.67
TOBACCO USE PREVENTION & CONTROL		149,370,000	9,152,789.73	12,172,723.78	3,538,523.37	24,864,036.88
WORKER RECRUIT/RETAIN NON-PUBLIC HOSP		10,725,000	297,667.00	--	--	297,667.00
WORKER RECRUIT/RETAIN PUBLIC HOSPITALS		91,350,000	39,109,989.32	13,050,000.00	4,350,000.00	56,509,989.32
WORKER/RECRUIT/RETAIN PUBLIC RHCF		28,350,000	8,084,612.00	8,100,000.00	1,350,000.00	17,534,612.00
<b>TOTAL</b>	<b>\$ 12,598,046,796</b>	<b>\$ 10,770,169,925</b>	<b>\$ 480,299,132.92</b>	<b>\$ 1,427,104,474.92</b>	<b>\$ 596,429,524.83</b>	<b>\$ 2,503,833,132.67</b>
Transfer to the General Fund - State Purposes Account (for administration of the program)		1,726,650				
<b>TOTAL APPROPRIATED AMOUNT</b>	<b>\$ 12,599,773,446</b>					

(1) Includes amounts appropriated in 2006 as well as prior year appropriations that were reappropriated in the SFY 2006 budget chapters.

(2) Unsegregated appropriation total is \$1,829,603,521.

(3) Disbursements from the HCRA Resource Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent for selected programs authorized in statute.

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL  
FISCAL YEAR 2006-2007**

	<u>1st Quarter April-June</u>	<u>2nd Quarter July-September</u>	<u>2006 OCTOBER</u>	<u>2006-2007</u>
<b>OPENING CASH BALANCE</b>	\$ 100,906,643.08	\$ 112,660,990.75	\$ 40,721,505.77	\$ 100,906,643.08
<b>RECEIPTS:</b>				
Patient Services	420,058,461.00	444,858,336.48	215,148,578.47	1,080,065,375.95
Covered Lives	175,279,264.76	200,313,603.55	115,868,635.79	491,461,504.10
Provider Assessments	11,547,771.42	11,623,950.22	4,985,485.83	28,157,207.47
1% Assessments	62,287,309.00	63,833,065.00	23,849,569.00	149,969,943.00
DASNY- MOE/Recast receivables	0.00	0.00	0.00	0.00
Interest Income	975,138.18	1,027,131.22	358,145.01	2,360,414.41
Other	68,325,299.76	(70,415,216.16)	360,451.37	(1,729,465.03)
<b>Total Receipts</b>	<b>738,473,244.12</b>	<b>651,240,870.31</b>	<b>360,570,865.47</b>	<b>1,750,284,979.90</b>
<b>DISBURSEMENTS:</b>				
<b>Program Disbursements:</b>				
Senate/Assembly Discretionary	0.00	0.00	0.00	0.00
Commissioner of Health Discretionary	0.00	0.00	0.00	0.00
Diagnostic and Treatment Centers	0.00	0.00	0.00	0.00
Rural Health Care Initiatives	0.00	0.00	0.00	0.00
Cancer Related Services	0.00	0.00	0.00	0.00
Health Work Force Retraining Program	2,332.35	4,317.25	0.00	6,649.60
GME Distributions	(93,827,025.89)	(110,899,000.00)	(29,473,000.00)	(234,199,025.89)
Health Care Recruitment & Retention	(47,194,601.32)	(21,150,000.00)	(5,700,000.00)	(74,044,601.32)
Poison Control Centers	0.00	(2,387,817.00)	0.00	(2,387,817.00)
School Based Health Clinics	0.00	0.00	0.00	0.00
DSH Cap "pop-up"	(297,667.00)	0.00	0.00	(297,667.00)
<b>Total Program Disbursements</b>	<b>(141,316,961.86)</b>	<b>(134,432,499.75)</b>	<b>(35,173,000.00)</b>	<b>(310,922,461.61)</b>
Administrative Expenses	0.00	0.00	0.00	0.00
<b>Total Disbursements</b>	<b>(141,316,961.86)</b>	<b>(134,432,499.75)</b>	<b>(35,173,000.00)</b>	<b>(310,922,461.61)</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>597,156,282.26</b>	<b>516,808,370.56</b>	<b>325,397,865.47</b>	<b>1,439,362,518.29</b>
<b>OTHER FINANCING SOURCES (USES):</b>				
<b>Transfers from Other Pools:</b>				
Tobacco Control and Insurance Initiatives	0.00	0.00	0.00	0.00
Medicaid Disproportionate Share	87,690.00	0.00	0.00	87,690.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
Hospital Regional Pool Contribution	0.00	0.00	0.00	0.00
Statewide Bad Debt & Charity Care Pool	0.00	0.00	0.00	0.00
<b>Transfers From State Funds:</b>				
061-HCRA Resources Fund	141,326,896.15	134,429,215.06	35,173,000.00	310,929,111.21
Other	0.00	0.00	0.00	0.00
<b>Total Other Financing Sources</b>	<b>141,414,586.15</b>	<b>134,429,215.06</b>	<b>35,173,000.00</b>	<b>311,016,801.21</b>
<b>Transfers to Other Pools:</b>				
Medicaid Disproportionate Share	0.00	0.00	0.00	0.00
Tobacco Control & Insurance Initiatives	0.00	0.00	0.00	0.00
Escrow	0.00	0.00	0.00	0.00
Other	0.00	0.00	0.00	0.00
<b>Transfers to State Funds:</b>				
061-HCRA Resources Fund	(503,184,128.72)	(516,663,386.34)	(180,188,819.51)	(1,200,036,334.57)
061-IN Indigent Care Fund (matched)	(211,377,500.87)	(203,921,993.33)	(69,112,841.25)	(484,412,335.45)
061-IN Indigent Care Fund (non-matched)	(12,254,891.15)	(2,591,690.93)	(954,099.81)	(15,800,681.89)
Other	0.00	0.00	0.00	0.00
<b>Total Other Financing Uses</b>	<b>(726,816,520.74)</b>	<b>(723,177,070.60)</b>	<b>(250,255,760.57)</b>	<b>(1,700,249,351.91)</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	11,754,347.67	(71,939,484.98)	110,315,104.90	50,129,967.59
<b>CLOSING CASH BALANCE</b>	<b>\$ 112,660,990.75</b>	<b>\$ 40,721,505.77</b>	<b>\$ 151,036,610.67</b>	<b>\$ 151,036,610.67</b>

Source: HCRA - Office of Pool Administration

## STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE

	<i>1st Quarter</i> April-June	<i>2nd Quarter</i> July-September	2006 OCTOBER	2006-2007
<b>OPENING CASH BALANCE</b>	<b>\$ 552,793.90</b>	<b>\$ 538,548.35</b>	<b>\$ 511,719.28</b>	<b>\$ 552,793.90</b>
<b>RECEIPTS:</b>				
Interest Income	194,088.68	74,430.74	12,153.44	280,672.86
<b>Total Receipts</b>	<b>194,088.68</b>	<b>74,430.74</b>	<b>12,153.44</b>	<b>280,672.86</b>
<b>DISBURSEMENTS:</b>				
<b>Program Disbursements:</b>				
Indigent Care	(190,162,563.67)	(189,411,292.99)	(62,810,376.09)	(442,384,232.75)
High Need Indigent Care	(23,735,320.02)	(15,877,254.47)	(6,711,316.54)	(46,323,891.03)
Other	(1,410,441.22)	1,417.31	2,676.95	(1,406,346.96)
<b>Total Program Disbursements</b>	<b>(215,308,324.91)</b>	<b>(205,287,130.15)</b>	<b>(69,519,015.68)</b>	<b>(490,114,470.74)</b>
Investment Purchases	0.00	0.00	0.00	0.00
<b>Total Disbursements</b>	<b>(215,308,324.91)</b>	<b>(205,287,130.15)</b>	<b>(69,519,015.68)</b>	<b>(490,114,470.74)</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(215,114,236.23)</b>	<b>(205,212,699.41)</b>	<b>(69,506,862.24)</b>	<b>(489,833,797.88)</b>
<b>OTHER FINANCING SOURCES (USES):</b>				
<b>Transfers from Other Pools:</b>				
Tobacco Control and Insurance Initiatives	0.00	0.00	0.00	0.00
Public Goods Pool	0.00	0.00	0.00	0.00
Health Facility Assessment Fund	0.00	0.00	0.00	0.00
<b>Transfers From State Funds:</b>				
061-IN HCRA Resources Indigent Care - Matched	105,924,847.04	101,960,996.67	34,556,420.63	242,442,264.34
061-IN HCRA Resources Indigent Care - Unmatched	4,018,514.04	1,365,136.82	406,174.43	5,789,825.29
265-Federal DHHS Fund	105,452,653.83	101,960,996.66	34,556,420.62	241,970,071.11
Other	0.00	0.00	0.00	0.00
<b>Total Other Financing Sources</b>	<b>215,396,014.91</b>	<b>205,287,130.15</b>	<b>69,519,015.68</b>	<b>490,202,160.74</b>
<b>Transfers to Other Pools:</b>				
Public Goods Pool	(87,690.00)	0.00	0.00	(87,690.00)
Other	0.00	0.00	0.00	0.00
<b>Transfers to State Funds:</b>				
061-HCRA Resources Fund	(208,334.23)	(101,259.81)	(11,719.28)	(321,313.32)
<b>Total Other Financing Uses</b>	<b>(296,024.23)</b>	<b>(101,259.81)</b>	<b>(11,719.28)</b>	<b>(409,003.32)</b>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(14,245.55)	(26,829.07)	434.16	(40,640.46)
<b>CLOSING CASH BALANCE</b>	<b>\$ 538,548.35</b>	<b>\$ 511,719.28</b>	<b>\$ 512,153.44</b>	<b>\$ 512,153.44</b>

Source: HCRA - Office of Pool Administration



APPENDIX E

SUMMARY OF OFF-BUDGET SPENDING REPORT

	DISBURSED APRIL '06 (000)	DISBURSED MAY '06 (000)	DISBURSED JUNE '06 (000)	DISBURSED JULY '06 (000)	DISBURSED AUG '06 (000)	DISBURSED SEPT '06 (000)	DISBURSED OCT '06 (000)	DISBURSED NOV '06 (000)	DISBURSED DEC '06 (000)	DISBURSED JAN '07 (000)	DISBURSED FEB '07 (000)	DISBURSED MAR '07 (000)	DISBURSED TOTAL 06-07 (000)
<b>DORMITORY AUTHORITY:</b>													
Education Department	85	633	352	161	156	43	--	--	--	--	--	--	1,430
Department of Health	41	112	266	1,847	2,982	835	--	--	--	--	--	--	6,083
CEFAP	--	1,274	92	168	163	2,113	--	--	--	--	--	--	3,810
Regional Development:													
CCAP	2,859	2,584	1,130	7,690	1,254	1,212	--	--	--	--	--	--	16,729
Multi-modal	1,369	210	--	862	--	999	--	--	--	--	--	--	3,440
GenNYsis	8,748	526	3,709	3,585	230	4,047	--	--	--	--	--	--	20,845
RESTORE	--	--	--	20	--	--	--	--	--	--	--	--	20
CUNY Senior Colleges	7,380	12,582	9,982	11,968	10,286	5,656	--	--	--	--	--	--	57,854
CUNY Community Colleges	2,854	3,238	1,435	2,096	3,712	277	--	--	--	--	--	--	13,612
SUNY Dormitories	4,179	10,329	8,263	5,969	10,142	1,088	--	--	--	--	--	--	39,970
Upstate Community Colleges	3,678	2,506	2,212	4,541	3,300	1,778	--	--	--	--	--	--	18,015
Mental Health	4,814	8,738	4,625	10,531	3,270	2,257	--	--	--	--	--	--	34,235
Mental Retardation	3,015	6,032	2,493	4,271	3,067	287	--	--	--	--	--	--	19,165
Alcoholism & Alcohol Abuse	248	203	110	217	614	--	--	--	--	--	--	--	1,392
<b>TOTAL DORMITORY AUTHORITY:</b>	<b>39,270</b>	<b>48,967</b>	<b>34,669</b>	<b>53,926</b>	<b>39,176</b>	<b>20,592</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>236,600</b>
<b>EMPIRE STATE DEVELOPMENT CORP:</b>													
Regional Development:													
Centers of Excellence	612	995	--	2,144	730	9,177	--	--	--	--	--	--	13,658
CCAP	363	960	466	1,479	911	1,799	--	--	--	--	--	--	5,978
Empire Opportunity	2,000	250	1,964	--	--	--	--	--	--	--	--	--	4,214
CEFAP	57	99	--	417	--	163	--	--	--	--	--	--	736
SEMATECH	--	--	--	--	--	--	--	--	--	--	--	--	--
State Facilities and Equipment	7,735	3,626	390	5,594	3,428	3,103	--	--	--	--	--	--	23,876
<b>TOTAL EMPIRE STATE DEVELOPMENT CORP:</b>	<b>10,767</b>	<b>5,930</b>	<b>2,820</b>	<b>9,634</b>	<b>5,069</b>	<b>14,242</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>48,462</b>
<b>THRUWAY AUTHORITY:</b>													
CHIPS	--	--	19,787	--	--	88,967	--	--	--	--	--	--	108,754
SHIPS	--	--	--	--	6	--	--	--	--	--	--	--	6
Marchiselli	--	--	16,388	--	--	6,663	--	--	--	--	--	--	23,051
Multi-modal	--	1,288	--	--	2,047	--	--	--	--	--	--	--	3,335
<b>TOTAL THRUWAY AUTHORITY:</b>	<b>--</b>	<b>1,288</b>	<b>36,175</b>	<b>--</b>	<b>2,053</b>	<b>95,630</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>135,146</b>
<b>TOTAL OFF-BUDGET:</b>	<b>50,037</b>	<b>56,185</b>	<b>73,664</b>	<b>63,560</b>	<b>46,298</b>	<b>130,464</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>420,208</b>
TOTAL CEFAP	57	1,373	92	585	163	2,276	--	--	--	--	--	--	4,546
ECONOMIC DEVELOPMENT:													
Total CCAP	3,222	3,544	1,596	9,169	2,165	3,011	--	--	--	--	--	--	22,707
Total Multi-modal	1,369	210	--	862	--	999	--	--	--	--	--	--	3,440
Total GenNYsis	8,748	526	3,709	3,585	230	4,047	--	--	--	--	--	--	20,845
Total RESTORE	--	--	--	20	--	--	--	--	--	--	--	--	20
Total Centers for Excellence	612	995	--	2,144	730	9,177	--	--	--	--	--	--	13,658
Total Empire Opportunity	2,000	250	1,964	--	--	--	--	--	--	--	--	--	4,214
Total Economic Development	15,951	5,525	7,269	15,780	3,125	17,234	--	--	--	--	--	--	64,884

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.