

**STATE OF NEW YORK
OFFICE OF THE STATE COMPTROLLER
DIVISION OF STATE SERVICES
BUREAU OF ACCOUNTING OPERATIONS**

**Comptroller's Monthly Report
On State Funds Cash Basis of Accounting
(Pursuant to Sec. 8(9-a) of the State Finance Law)
OCTOBER 2003**



**ALAN G. HEVESI
COMPTROLLER**

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(in millions)**

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS			
	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED	MONTH OF	7 MO. ENDED
	OCT. 2003	OCT. 31, 2003	OCT. 2003	OCT. 31, 2003	OCT. 2003	OCT. 31, 2003	OCT. 2003	OCT. 31, 2003	OCT. 2003	OCT. 31, 2003	OCT. 2002	OCT. 31, 2002
RECEIPTS:												
Personal Income Tax (1)	\$1,252.7	\$9,983.4	\$45.6	\$225.6	\$417.5	\$3,118.6	\$ --	\$ --	\$1,715.8	\$13,327.6	\$1,666.6	\$14,306.3
Consumption/Use Taxes and Fees (2)	621.2	4,548.6	48.7	365.2	172.7	1,292.2	91.3	637.3	933.9	6,843.3	812.2	6,352.2
Business Taxes	22.9	1,560.1	44.5	528.5	--	--	45.4	343.9	112.8	2,432.5	97.9	2,450.9
Other Taxes	104.9	504.3	--	--	47.6	240.6	11.2	56.0	163.7	800.9	103.9	759.0
Miscellaneous Receipts (8)	84.8	2,885.2	843.3	5,996.0	64.4	475.0	100.0	1,171.7	1,092.5	10,527.9	1,530.0	7,582.8
Federal Grants	324.0	650.5	2,304.2	20,235.2	--	--	165.7	800.5	2,793.9	21,686.2	2,646.4	18,929.2
Total Receipts	2,410.5	20,132.1	3,286.3	27,350.5	702.2	5,126.4	413.6	3,009.4	6,812.6	55,618.4	6,857.0	50,380.4
DISBURSEMENTS:												
Local Assistance Grants: (3)												
General Purpose	15.6	254.4	--	--	--	--	--	--	15.6	254.4	16.7	311.8
Education	768.6	7,947.3	172.7	3,620.1	--	--	--	0.1	941.3	11,567.5	1,511.8	10,100.3
Social Services	660.3	4,868.5	2,393.0	15,672.9	--	--	--	--	3,053.3	20,541.4	3,042.6	19,556.6
Health and Environment	44.4	291.5	124.6	1,153.8	--	--	3.7	55.9	172.7	1,501.2	181.4	1,587.6
Mental Hygiene	113.1	596.5	28.4	138.7	--	--	4.2	11.5	145.7	746.7	114.5	745.2
Transportation	0.2	98.5	116.5	805.2	--	--	45.3	159.2	162.0	1,062.9	134.1	1,126.3
Criminal Justice	11.5	74.7	10.9	123.8	--	--	--	--	22.4	198.5	35.0	157.8
SEMO and Disaster Assistance	0.8	16.7	22.5	1,475.8	--	--	--	--	23.3	1,492.5	63.0	789.7
Miscellaneous	41.5	259.3	97.8	425.4	--	--	23.9	42.3	163.2	727.0	112.9	732.7
Total Local Assistance Grants	1,656.0	14,407.4	2,966.4	23,415.7	--	--	77.1	269.0	4,699.5	38,092.1	5,212.0	35,108.0
Departmental Operations:												
Personal Service	360.7	3,668.5	502.1	2,226.3	--	--	--	--	862.8	5,894.8	915.8	5,981.8
Non-Personal Service	136.7	1,103.2	330.6	1,726.9	0.3	4.8	--	--	467.6	2,834.9	461.6	2,814.2
General State Charges	258.0	2,046.1	52.0	342.7	--	--	--	--	310.0	2,388.8	282.6	2,107.6
Debt Service, Including Payments on												
Financing Agreements (4)	--	--	--	--	126.6	1,742.4	--	--	126.6	1,742.4	107.0	1,757.4
Capital Projects (5)	--	--	0.1	7.3	--	--	372.0	2,105.5	372.1	2,112.8	346.7	2,298.8
Total Disbursements	2,411.4	21,225.2	3,851.2	27,718.9	126.9	1,747.2	449.1	2,374.5	6,838.6	53,065.8	7,325.7	50,067.8
Excess (Deficiency) of Receipts over Disbursements	(0.9)	(1,093.1)	(564.9)	(368.4)	575.3	3,379.2	(35.5)	634.9	(26.0)	2,552.6	(468.7)	312.6
OTHER FINANCING SOURCES (USES):												
Bond Proceeds (net)	--	--	--	--	--	--	--	139.5	--	139.5	--	--
Transfers from Other Funds (6)	640.5	4,567.4	267.9	1,831.7	341.9	2,777.7	42.9	113.7	1,293.2	9,290.5	885.6	8,302.8
Transfers to Other Funds (6)	(112.0)	(1,203.5)	(215.9)	(1,487.6)	(891.7)	(6,059.7)	(76.4)	(595.2)	(1,296.0)	(9,346.0)	(887.6)	(8,344.7)
Total Other Financing Sources (Uses)	528.5	3,363.9	52.0	344.1	(549.8)	(3,282.0)	(33.5)	(342.0)	(2.8)	84.0	(2.0)	(41.9)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	527.6	2,270.8	(512.9)	(24.3)	25.5	97.2	(69.0)	292.9	(28.8)	2,636.6	(470.7)	270.7
Beginning Fund Balances (Deficit) (7)	2,558.6	815.4	1,527.2	1,038.6	229.8	158.1	(428.6)	(790.5)	3,887.0	1,221.6	2,775.3	2,033.9
Ending Fund Balances (Deficit) (7)	\$3,086.2	\$3,086.2	\$1,014.3	\$1,014.3	\$255.3	\$255.3	(\$497.6)	(\$497.6)	\$3,858.2	\$3,858.2	\$2,304.6	\$2,304.6

GOVERNMENTAL FUNDS FOOTNOTES

October 2003 - **Exhibit A Notes**

1. A portion of personal income tax receipts is also transferred to the State Special Revenue-School Tax Relief (STAR) Fund and used to reimburse school districts for the STAR property tax exemptions for homeowners. Local Assistance Education grant payments total \$180.0m for the month of September and \$35.0m for October.

For a complete analysis of tax receipts, please refer to Exhibit E.

2. More than 60% of the proceeds from the State cigarette tax of \$1.50 (per pack) are deposited in the Tobacco Control and Insurance Initiatives Pool established in the Health Care Reform Act of 2000 (HCRA). General Fund receipts do not include \$370.5m of cigarette tax receipts, which were collected by the State and transferred, off budget, to the Tobacco Control and Insurance Initiatives Pool.
3. Special Revenue Federal Funds disbursements include the payments made by the State which will be reimbursed by the Federal Government in November 2003:

Federal DHHS (Medicaid)	\$163.6million
Federal DHHS (All Other)	197.0
Federal USDA/Food and Consumer Services	.5
Federal DHHS/Block Grant	1.4
Federal Education	14.8
Federal Miscellaneous Operating Grants	-
Federal Employment and Training Grants	.1
Federal WTC Grants	-

4. Total debt service disbursements include:

Principal and interest on general obligation bonds	\$252.2 million
Lease-purchase/contractual obligation payments	1,490.2

5. Certain disbursements from Capital Projects Funds are financed by operating transfers from other funds proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Youth Facilities)	\$.8 million
Urban Development Corporation (Correctional Facilities)	12.4
Housing Finance Agency (HFA)	74.8
Dormitory Authority (MCFFA)	217.4
Dormitory Authority (Health Facilities)	29.0
Dormitory Authority and State University Income Fund	16.7
Federal Capital Projects	161.5
State bond and note proceeds	2.3

6. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers To Other Funds" includes transfers to the following funds:

State Capital Projects	\$101.7 million
General Debt Service	786.7
Court Facilities Incentive Aid	45.2
New York City County Clerks' Operating	7.8
Miscellaneous Special Revenue	12.7

Judiciary Data Processing Offset	13.8
Banking Services	59.7
State University Income Fund	117.9

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service Funds (\$18.2m) and Special Revenue Funds (\$36.5m).

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service Funds (\$1,373.9m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and (\$23.2m) from the SUNY Income Fund State University Hospital Income Reimbursable Account.

Also included in Special Revenue Funds are transfers to the General Fund from the following:

Miscellaneous State Special Revenue Fund	\$61.5 million
Encon Special Revenue Fund	2.0
Training and Education Program on OSHA	4.6
Hazardous Waste Remedial Fund	12.6
Federal Operating Grants	8.5

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following funds:

Revenue Bond Tax	\$3,069.8 million
Local Government Assistance Tax	1,193.1
Clean Water/Clean Air	187.8
Housing Debt Fund	6.3

Also included in Debt Service Funds are transfers to Special Revenue Funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Departments of Health (\$67.0m), Mental Hygiene (\$1,394.2m) and the State University (\$129.9m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Debt Service Fund (\$574.7m) and the General Fund (\$20.6m).

7. Governmental fund balances (current & prior year) reflect the implementation of GASB Statement 34. **The reclassifications are as follows:**

Non-Expendable Trust Fund Group to Special Revenue Fund Group

Expendable Trust Funds to Special Revenue Fund Group
 Mental Health Gifts and Donations
 Combined Expendable Trust
 Archives Partnership Trust
 Winter Sports Education Trust

Expendable Trust Funds to Private Purpose Trust Group
 Agriculture Producer's Security
 Milk Producer's Security

Expendable Trust Funds to Enterprise Fund
 Unemployment Insurance Benefit

GOVERNMENTAL FUNDS FOOTNOTES (continued)

8. Miscellaneous receipts in Governmental Funds include:

	GENERAL FUND	SPECIAL REVENUE	DEBT SERVICE	CAPITAL PROJECTS	7 Months Ended October 31			Increase/ (Decrease)
					2003	2002	(2)	
	(amounts in millions)							
Abandoned and Unclaimed Property	\$ 72.9	\$ --	\$ --	\$ --	\$ 72.9	\$ 230.5	\$	(157.6)
Interest Earnings	1.1	23.3	4.4	5.4	34.2	56.4		(22.2)
Receipts from Public Authorities:								
Bond Issuance Fees	56.4	19.4	--	--	75.8	98.4		(22.6)
Cost Recovery Assessments	--	5.2	--	--	5.2	0.6		4.6
Tobacco Settlement Financing Corporation (1)	2,201.5	--	--	--	2,201.5	--		2,201.5
Housing Finance Agency	--	--	--	48.7	48.7	50.0		(1.3)
State of New York Mortgage Agency	--	--	--	--	--	150.0		(150.0)
Bond Proceeds to Reimburse Capital Spending								
Dormitory Authority	--	--	--	34.9	34.9	62.1		(27.2)
Hudson River Park Trust	--	--	--	--	--	9.9		(9.9)
Environmental Fac Corp	--	--	--	2.9	2.9	--		2.9
SUNY Construction Fund	--	--	--	114.5	114.5	101.7		12.8
Thruway Authority	--	--	--	768.0	768.0	298.0		470.0
Urban Development Corp	--	--	--	146.4	146.4	226.1		(79.7)
All Other	--	43.6	--	0.2	43.8	46.2		(2.4)
Refunds and Reimbursements:								
Return of Adelpia Project Funds	--	--	50.0	--	50.0	--		50.0
SUNY Contracts and Grants	--	176.7	--	--	176.7	195.7		(19.0)
Receipts from Municipalities	0.4	3.5	24.9	--	28.8	25.9		2.9
Women, Infants and Children Rebates	--	60.4	--	--	60.4	68.2		(7.8)
HESC Student Loan Recoveries	--	49.0	--	--	49.0	42.7		6.3
Admin Recoveries - Collection of Local Taxes	28.4	15.4	--	--	43.8	47.0		(3.2)
Indirect Cost Assessments	34.8	--	--	--	34.8	42.4		(7.6)
All Other	16.9	80.6	2.3	8.9	108.7	118.2		(9.5)
Health Care Reform Act Transfers From:								
Health Care Initiatives Pool	--	41.0	--	--	41.0	--		41.0
Loans from HCRA Pools	--	--	--	--	--	50.0		(50.0)
Tobacco Control & Insurance Initiatives Pool	--	1,081.9	--	--	1,081.9	680.7		401.2
Revenues of State Departments:								
Patient/Client Care	43.8	460.0	242.8	--	746.6	674.0		72.6
Medical Care Provider Assessments	86.7	916.2	--	--	1,002.9	1,086.7		(83.8)
Child Health Plus	--	148.0	--	--	148.0	302.0		(154.0)
Assessments against Regulated Industries	--	384.9	--	--	384.9	295.5		89.4
Student Tuition and Fees	--	546.4	135.6	--	682.0	583.3		98.7
Rental on World Trade Center	8.8	--	--	--	8.8	8.8		--
EPIC Premiums and Fees	--	112.1	--	--	112.1	79.0		33.1
Rentals and Leases	5.8	5.2	--	5.4	16.4	10.2		6.2
Miscellaneous Sales	4.6	9.3	--	0.3	14.2	50.9		(36.7)
All Other	5.9	20.5	15.0	9.7	51.1	39.8		11.3
Gaming:								
Lottery - Education	--	932.3	--	--	932.3	883.1		49.2
Lottery - Administration	--	268.2	--	--	268.2	249.9		18.3
VLT - Education	--	--	--	--	--	--		--
VLT - Administration	--	0.1	--	--	0.1	--		--
Casinos	--	--	--	--	--	--		--
Licenses and Fees	194.1	533.1	--	26.2	753.4	587.2		166.2
Fines	123.1	59.7	--	0.2	183.0	131.7		51.3
TOTAL	<u>\$ 2,885.2</u>	<u>\$ 5,996.0</u>	<u>\$ 475.0</u>	<u>\$ 1,171.7</u>	<u>\$ 10,527.9</u>	<u>\$ 7,582.8</u>		<u>\$ 2,945.0</u>

(1) Tobacco securitization bond proceeds

(2) Restated to reflect fund reclassifications for GASB 34 (See Exhibit A Note # 7)

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN EQUITY (1)
 (in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS (memorandum only)			
	MONTH OF OCT. 2003	7 MO. ENDED OCT. 31, 2003	MONTH OF OCT. 2003	7 MO. ENDED OCT. 31, 2003	MONTH OF OCT. 2003	7 MO. ENDED OCT. 31, 2003	MONTH OF OCT. 2002	7 MO. ENDED OCT. 31, 2002
RECEIPTS:								
Miscellaneous Receipts	\$6.3	\$49.3	\$31.6	\$254.5	\$37.9	\$303.8	\$51.5	\$361.3
Federal Grants	75.0	542.9	--	--	75.0	542.9	85.3	853.0
Unemployment Taxes	214.8	1,644.9	--	--	214.8	1,644.9	225.0	1,705.8
TOTAL RECEIPTS	296.1	2,237.1	31.6	254.5	327.7	2,491.6	361.8	2,920.1
DISBURSEMENTS:								
Departmental Operations:								
Personal Service	0.8	6.5	8.9	61.7	9.7	68.2	10.7	75.5
Non-Personal Service	5.7	40.4	35.9	243.6	41.6	284.0	26.5	310.2
General State Charges	0.1	0.8	4.2	18.8	4.3	19.6	1.4	24.1
Debt Service, Including Payments on Financing Agreements	--	--	--	30.7	--	30.7	--	49.9
Unemployment Benefits	269.1	2,151.5	--	--	269.1	2,151.5	304.2	2,537.6
TOTAL DISBURSEMENTS	275.7	2,199.2	49.0	354.8	324.7	2,554.0	342.8	2,997.3
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	20.4	37.9	(17.4)	(100.3)	3.0	(62.4)	19.0	(77.2)
OTHER FINANCING SOURCES (USES):								
Transfers from Other Funds	--	--	2.8	59.7	2.8	59.7	2.1	42.0
Transfers to Other Funds	--	--	--	--	--	--	--	--
NET SOURCES (USES)	--	--	2.8	59.7	2.8	59.7	2.1	42.0
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	20.4	37.9	(14.6)	(40.6)	5.8	(2.7)	21.1	(35.2)
BEGINNING FUND EQUITY (DEFICITS)	33.5	16.0	(130.1)	(104.1)	(96.6)	(88.1)	(132.2)	(75.9)
ENDING FUND EQUITY (DEFICITS)	\$53.9	\$53.9	(\$144.7)	(\$144.7)	(\$90.8)	(\$90.8)	(\$111.1)	(\$111.1)

(1) See Exhibit A, Footnote #7.

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES (1)
(in millions)

EXHIBIT C

	PRIVATE PURPOSE TRUST			
	MONTH OF OCT. 2003	7 MO. ENDED OCT. 31, 2003	MONTH OF OCT. 2002	7 MO. ENDED OCT. 31, 2002
RECEIPTS:				
Miscellaneous Receipts	\$ 0.1	\$ 0.3	\$ --	\$ 0.2
TOTAL RECEIPTS	<u>0.1</u>	<u>0.3</u>	<u>--</u>	<u>0.2</u>
DISBURSEMENTS:				
Departmental Operations:				
Personal Service	--	0.1	--	0.1
Non-Personal Service	0.3	0.3	--	--
General State Charges	--	0.1	--	--
TOTAL DISBURSEMENTS	<u>0.3</u>	<u>0.5</u>	<u>--</u>	<u>0.1</u>
EXCESS (DEFICIENCY) OF RECEIPTS OVER DISBURSEMENTS	<u>(0.2)</u>	<u>(0.2)</u>	<u>--</u>	<u>0.1</u>
OTHER FINANCING SOURCES (USES):				
Transfers from Other Funds	--	--	--	--
Transfers to Other Funds	--	--	--	--
NET SOURCES (USES)	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(0.2)	(0.2)	--	0.1
BEGINNING FUND BALANCES	9.8	9.8	9.8	9.7
ENDING FUND BALANCES	<u>\$ 9.6</u>	<u>\$ 9.6</u>	<u>\$ 9.8</u>	<u>\$ 9.8</u>

(1) See Exhibit A, Footnote #7.

**STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 STATE FISCAL YEAR ENDED MARCH 31, 2004
 FOR SEVEN (7) MONTHS ENDED OCTOBER 31, 2003
 (amounts rounded to the nearest million)**

EXHIBIT "D"

	GENERAL FUND		
	Financial Plan (1)	Actual	Favorable (Unfavorable) Variance
OPENING CASH BALANCE-APRIL 1, 2003	\$815.0	\$815.4	0.4
RECEIPTS:			
Taxes:			
Personal Income Tax	10,076.0	9,983.4	(92.6)
Consumption/Use Taxes:			
Sales and Use Taxes	4,087.0	4,079.9	(7.1)
Other Consumption/Use Taxes	456.0	468.7	12.7
Business Taxes	1,579.0	1,560.1	(18.9)
Other Taxes	446.0	504.3	58.3
Tobacco Bond Proceeds	2,202.0	2,201.5	(0.5)
Federal Grants	646.0	650.5	4.5
Miscellaneous Receipts	740.0	683.7	(56.3)
Total Receipts	<u>20,232.0</u>	<u>20,132.1</u>	<u>(99.9)</u>
DISBURSEMENTS:			
Local Assistance Grants	14,309.0	14,407.4	(98.4)
Departmental Operations	4,779.0	4,771.7	7.3
General State Charges	2,062.0	2,046.1	15.9
Total Disbursements	<u>21,150.0</u>	<u>21,225.2</u>	<u>(75.2)</u>
Excess (Deficiency) of Receipts Over Disbursements	<u>(918.0)</u>	<u>(1,093.1)</u>	<u>(175.1)</u>
OTHER FINANCING SOURCES (USES):			
Transfers From Other Funds	4,555.0	4,567.4	12.4
Transfers To Other Funds	(1,207.0)	(1,203.5)	3.5
Total Other Financing Sources (Uses)	<u>3,348.0</u>	<u>3,363.9</u>	<u>15.9</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>2,430.0</u>	<u>2,270.8</u>	<u>(159.2)</u>
CLOSING CASH BALANCE-OCTOBER 31, 2003	<u>\$3,245.0</u>	<u>\$3,086.2</u>	<u>(\$158.8)</u>

(1) As provided in the General Fund Cash Flow revised November 4, 2003.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(amounts in millions)**

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS			
	MONTH OF OCT. 2003	7 MO. ENDED OCT. 31, 2003	MONTH OF OCT. 2003	7 MO. ENDED OCT. 31, 2003	MONTH OF OCT. 2003	7 MO. ENDED OCT. 31, 2003	MONTH OF OCT. 2003	7 MO. ENDED OCT. 31, 2003	MONTH OF OCT. 2003	7 MO. ENDED OCT. 31, 2003	MONTH OF OCT. 2002	7 MO. ENDED OCT. 31, 2002
PERSONAL INCOME TAX												
Withholding	\$ 1,604.6	\$ 10,955.9	\$ --	\$ --	\$ --	\$ --	\$ --	\$ --	\$ 1,604.6	\$ 10,955.9	\$ 1,571.9	\$ 10,502.3
Estimated payments	62.3	3,113.7	--	--	--	--	--	--	62.3	3,113.7	38.3	3,190.8
Final returns	104.4	1,149.8	--	--	--	--	--	--	104.4	1,149.8	101.5	1,238.3
Other	41.5	237.0	--	--	--	--	--	--	41.5	237.0	41.1	235.9
Gross Receipts	<u>1,812.8</u>	<u>15,456.4</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>1,812.8</u>	<u>15,456.4</u>	<u>1,752.8</u>	<u>15,167.3</u>
Transfers to School Tax Relief Fund	(45.6)	(225.6)	45.6	225.6	--	--	--	--	--	--	--	--
Transfers to Debt Reduction Reserve Fund	--	--	--	--	--	--	--	--	--	--	--	--
Transfers to Revenue Bond Tax Fund	(417.5)	(3,118.6)	--	--	417.5	3,118.6	--	--	--	--	--	--
Refund Reserve Reduction (Increase)	--	627.5	--	--	--	--	--	--	--	627.5	--	1,677.4
Less: Refunds Issued	<u>(97.0)</u>	<u>(2,756.3)</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>(97.0)</u>	<u>(2,756.3)</u>	<u>(86.2)</u>	<u>(2,538.4)</u>
Total	<u>1,252.7</u>	<u>9,983.4</u>	<u>45.6</u>	<u>225.6</u>	<u>417.5</u>	<u>3,118.6</u>	<u>--</u>	<u>--</u>	<u>1,715.8</u>	<u>13,327.6</u>	<u>1,666.6</u>	<u>14,306.3</u>
CONSUMPTION / USE TAXES AND FEES												
Sales and Use	560.1	4,079.9	30.7	250.2	172.7	1,292.2	--	--	763.5	5,622.3	646.2	5,117.2
Auto Rental	--	--	--	--	--	--	--	23.4	--	23.4	--	22.8
Hotel / Motel	--	--	--	--	--	--	--	--	--	--	--	--
Motor Vehicle	6.6	62.7	8.9	57.4	--	--	44.3	289.1	59.8	409.2	54.2	364.3
Cigarette/Tobacco Products	36.9	261.1	--	--	--	--	--	--	36.9	261.1	38.2	294.1
Motor Fuel	--	--	9.1	57.6	--	--	35.1	236.4	44.2	294.0	44.0	338.3
Alcoholic Beverage	14.2	113.2	--	--	--	--	--	--	14.2	113.2	13.3	107.6
Beverage Container	--	--	--	--	--	--	--	--	--	--	--	--
Highway Use	--	--	--	--	--	--	11.9	88.4	11.9	88.4	12.6	85.7
Alcoholic Beverage Control Licenses	<u>3.4</u>	<u>31.7</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>3.4</u>	<u>31.7</u>	<u>3.7</u>	<u>22.2</u>
Total	<u>621.2</u>	<u>4,548.6</u>	<u>48.7</u>	<u>365.2</u>	<u>172.7</u>	<u>1,292.2</u>	<u>91.3</u>	<u>637.3</u>	<u>933.9</u>	<u>6,843.3</u>	<u>812.2</u>	<u>6,352.2</u>
BUSINESS TAXES												
Corporation Franchise	11.5	625.7	6.8	90.6	--	--	--	--	18.3	716.3	26.0	741.0
Corporation and Utilities	4.2	319.3	(1.5)	66.7	--	--	--	--	2.7	386.0	(3.7)	477.6
Insurance	5.8	436.8	2.9	54.8	--	--	--	--	8.7	491.6	4.7	361.7
Bank	1.4	178.3	(0.2)	37.0	--	--	--	--	1.2	215.3	(9.9)	253.9
Petroleum Business	--	--	36.5	279.4	--	--	45.4	343.9	81.9	623.3	80.8	616.7
Lubricating Oil	--	--	--	--	--	--	--	--	--	--	--	--
Total	<u>22.9</u>	<u>1,560.1</u>	<u>44.5</u>	<u>528.5</u>	<u>--</u>	<u>--</u>	<u>45.4</u>	<u>343.9</u>	<u>112.8</u>	<u>2,432.5</u>	<u>97.9</u>	<u>2,450.9</u>
OTHER TAXES												
Real Property Gains	--	3.2	--	--	--	--	--	--	--	3.2	0.5	2.9
Estate and Gift	102.6	482.9	--	--	--	--	--	--	102.6	482.9	42.3	446.4
Pari-Mutuel	2.1	17.8	--	--	--	--	--	--	2.1	17.8	2.3	18.7
Real Estate Transfer	--	--	--	--	47.6	240.6	11.2	56.0	58.8	296.6	58.6	290.6
Racing and Exhibitions	<u>0.2</u>	<u>0.4</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>--</u>	<u>0.2</u>	<u>0.4</u>	<u>0.2</u>	<u>0.4</u>
Total	<u>104.9</u>	<u>504.3</u>	<u>--</u>	<u>--</u>	<u>47.6</u>	<u>240.6</u>	<u>11.2</u>	<u>56.0</u>	<u>163.7</u>	<u>800.9</u>	<u>103.9</u>	<u>759.0</u>
TOTAL TAX RECEIPTS	<u>\$ 2,001.7</u>	<u>\$ 16,596.4</u>	<u>\$ 138.8</u>	<u>\$ 1,119.3</u>	<u>\$ 637.8</u>	<u>\$ 4,651.4</u>	<u>\$ 147.9</u>	<u>\$ 1,037.2</u>	<u>\$ 2,926.2</u>	<u>\$ 23,404.3</u>	<u>\$ 2,680.6</u>	<u>\$ 23,868.4</u>

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2003-2004
(in millions)

EXHIBIT "F"

												7 Months Ended Oct. 31		
	2003 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2004 JANUARY	FEBRUARY	MARCH	2003	2002
OPENING CASH BALANCE	\$815.4	\$2,785.7	\$2,150.5	\$1,989.1	\$1,466.0	\$1,331.1	\$2,558.6						\$815.4	\$1,031.8
RECEIPTS:														
Personal Income Tax (1)	2,810.8	244.2	1,544.9	1,213.5	1,125.9	1,791.4	1,252.7						9,983.4	11,295.8
Consumption/Use Taxes and Fees (2)	552.9	534.7	732.4	620.9	609.0	877.5	621.2						4,548.6	4,121.6
Business Taxes	55.6	(133.4)	727.9	57.7	42.5	786.9	22.9						1,560.1	1,550.2
Other Taxes	49.2	93.6	33.3	59.6	67.5	96.2	104.9						504.3	468.4
Miscellaneous Receipts	70.4	52.9	2,316.6	94.0	80.6	185.9	84.8						2,885.2	1,034.8
Federal Grants	--	1.6	323.7	--	--	1.2	324.0						650.5	4.2
Total Receipts	3,538.9	793.6	5,678.8	2,045.7	1,925.5	3,739.1	2,410.5	0.0	0.0	0.0	0.0	0.0	20,132.1	18,475.0
DISBURSEMENTS:														
Local Assistance Grants:														
General Purpose	--	--	59.9	42.2	0.1	136.6	15.6						254.4	311.8
Education	236.4	50.1	4,556.9	616.3	889.7	829.3	768.6						7,947.3	6,381.3
Social Services	1,075.5	514.8	541.9	851.9	656.4	567.7	660.3						4,868.5	5,215.6
Health and Environment	9.0	1.4	50.9	123.2	43.9	18.7	44.4						291.5	294.0
Mental Hygiene	90.2	18.7	107.5	152.9	42.3	71.8	113.1						596.5	614.8
Transportation	0.1	0.1	71.5	0.2	23.5	2.9	0.2						98.5	97.8
Criminal Justice	9.0	6.5	13.4	13.2	8.1	13.0	11.5						74.7	84.1
SEMO and Disaster Assistance	8.4	1.3	4.3	0.6	--	1.3	0.8						16.7	12.1
Miscellaneous	33.4	11.0	19.7	33.1	59.3	61.3	41.5						259.3	231.6
Total Local Assistance Grants	1,462.0	603.9	5,426.0	1,833.6	1,723.3	1,702.6	1,656.0	0.0	0.0	0.0	0.0	0.0	14,407.4	13,243.1
Departmental Operations:														
Personal Service	553.1	680.0	475.9	676.3	439.7	482.8	360.7						3,668.5	4,033.6
Non-Personal Service	189.9	119.1	171.6	169.1	165.8	151.0	136.7						1,103.2	1,225.9
General State Charges	32.2	268.0	246.1	359.1	246.1	636.6	258.0						2,046.1	1,827.4
Total Disbursements	2,237.2	1,671.0	6,319.6	3,038.1	2,574.9	2,973.0	2,411.4	0.0	0.0	0.0	0.0	0.0	21,225.2	20,330.0
Excess (Deficiency) of Receipts over Disbursements	1,301.7	(877.4)	(640.8)	(992.4)	(649.4)	766.1	(0.9)	0.0	0.0	0.0	0.0	0.0	(1,093.1)	(1,855.0)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds (1)	897.8	296.7	770.5	585.3	560.8	815.8	640.5						4,567.4	3,757.7
Transfers to State Capital Projects	(24.1)	(10.7)	29.5	(38.7)	3.2	(17.9)	(43.0)						(101.7)	(321.3)
Transfers to General Debt Service	(127.5)	(28.8)	(221.0)	(36.0)	(31.4)	(292.3)	(49.7)						(786.7)	(916.8)
Transfers to All Other State Funds	(77.6)	(15.0)	(99.6)	(41.3)	(18.1)	(44.2)	(19.3)						(315.1)	(485.3)
Total Other Financing Sources (Uses)	668.6	242.2	479.4	469.3	514.5	461.4	528.5	0.0	0.0	0.0	0.0	0.0	3,363.9	2,034.3
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,970.3	(635.2)	(161.4)	(523.1)	(134.9)	1,227.5	527.6	0.0	0.0	0.0	0.0	0.0	2,270.8	179.3
CLOSING CASH BALANCE	\$2,785.7	\$2,150.5	\$1,989.1	\$1,466.0	\$1,331.1	\$2,558.6	\$3,086.2	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$3,086.2	\$1,211.1

(1) See Exhibit A, Footnote #1

(2) Reported amount does not include cigarette taxes which, pursuant to statute, are credited to the Tobacco Control and Insurance Initiatives Pool (see Exhibit A - Note #2)

STATE OF NEW YORK
GENERAL FUND
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2003-2004
(in millions)

EXHIBIT " F "
(page 2)

	7 Months Ended October 31												2003	2002	
	2003 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2004 JANUARY	FEBRUARY	MARCH			
PERSONAL INCOME TAX															
Withholdings	\$1,695.5	\$1,434.3	\$1,474.2	\$1,626.2	\$1,509.2	\$1,611.9	\$1,604.6							\$10,955.9	\$10,502.3
Estimated payments	1,213.4	20.5	765.5	29.4	33.4	989.2	62.3							3,113.7	3,190.8
Final returns	933.6	25.2	20.2	15.5	33.5	17.4	104.4							1,149.8	1,238.3
Other	87.6	16.7	(46.6)	50.3	41.7	45.8	41.5							237.0	235.9
Gross Receipts	<u>3,930.1</u>	<u>1,496.7</u>	<u>2,213.3</u>	<u>1,721.4</u>	<u>1,617.8</u>	<u>2,664.3</u>	<u>1,812.8</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>15,456.4</u>	<u>15,167.3</u>
Transfers to School Tax Relief Fund	--	--	--	--	--	(180.0)	(45.6)							(225.6)	(808.0)
Transfers to Debt Reduction Reserve Fund	--	--	--	--	--	--	--							--	--
Transfers to Revenue Bond Tax Fund	(727.8)	(81.3)	(515.0)	(404.5)	(375.4)	(597.1)	(417.5)							(3,118.6)	(2,202.5)
Refund reserve reduction (increase)	627.5	--	--	--	--	--	--							627.5	1,677.4
Refunds issued	(1,019.0)	(1,171.2)	(153.4)	(103.4)	(116.5)	(95.8)	(97.0)							(2,756.3)	(2,538.4)
Total Personal Income Tax	<u>2,810.8</u>	<u>244.2</u>	<u>1,544.9</u>	<u>1,213.5</u>	<u>1,125.9</u>	<u>1,791.4</u>	<u>1,252.7</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>9,983.4</u>	<u>11,295.8</u>
CONSUMPTION/USE TAXES AND FEES															
Sales and Use	449.8	460.8	691.8	547.4	557.2	812.8	560.1							4,079.9	3,662.9
Auto Rental	--	--	--	--	--	--	--							--	--
Hotel / Motel	--	--	--	--	--	--	--							--	--
Motor Vehicle	39.3	16.4	(12.6)	12.6	(5.9)	6.3	6.6							62.7	34.8
Cigarette/Tobacco Products	39.4	37.1	35.3	37.4	38.1	36.9	36.9							261.1	294.1
Motor Fuel	--	--	--	--	--	--	--							--	--
Alcoholic Beverage	19.7	15.9	13.0	18.8	15.1	16.5	14.2							113.2	107.6
Beverage Container	--	--	--	--	--	--	--							--	--
Highway Use	--	--	--	--	--	--	--							--	--
Alcoholic Beverage Control Licenses	4.7	4.5	4.9	4.7	4.5	5.0	3.4							31.7	22.2
Total Consumption/Use Taxes and Fees	<u>552.9</u>	<u>534.7</u>	<u>732.4</u>	<u>620.9</u>	<u>609.0</u>	<u>877.5</u>	<u>621.2</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>4,548.6</u>	<u>4,121.6</u>
BUSINESS TAXES															
Corporation Franchise	32.0	(104.1)	262.2	42.4	29.3	352.4	11.5							625.7	641.8
Corporation and Utilities	7.5	1.0	133.0	10.0	5.2	158.4	4.2							319.3	361.5
Insurance	6.9	0.3	197.4	9.8	6.6	210.0	5.8							436.8	329.7
Bank	9.2	(30.6)	135.3	(4.5)	1.4	66.1	1.4							178.3	217.2
Petroleum Business	--	--	--	--	--	--	--							--	--
Lubricating Oil	--	--	--	--	--	--	--							--	--
Total Business Taxes	<u>55.6</u>	<u>(133.4)</u>	<u>727.9</u>	<u>57.7</u>	<u>42.5</u>	<u>786.9</u>	<u>22.9</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>1,560.1</u>	<u>1,550.2</u>
OTHER TAXES															
Real Property Gains	1.1	0.8	--	0.1	0.6	0.6	--							3.2	2.9
Estate and Gift	46.8	90.4	30.5	57.0	63.4	92.2	102.6							482.9	446.4
Pari-Mutuel	1.3	2.4	2.8	2.4	3.5	3.3	2.1							17.8	18.7
Real Estate Transfer	--	--	--	--	--	--	--							--	--
Racing and Exhibitions	--	--	--	0.1	--	0.1	0.2							0.4	0.4
Total Other Taxes	<u>49.2</u>	<u>93.6</u>	<u>33.3</u>	<u>59.6</u>	<u>67.5</u>	<u>96.2</u>	<u>104.9</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>0.0</u>	<u>504.3</u>	<u>468.4</u>
TOTAL TAX RECEIPTS	<u>\$3,468.5</u>	<u>\$739.1</u>	<u>\$3,038.5</u>	<u>\$1,951.7</u>	<u>\$1,844.9</u>	<u>\$3,552.0</u>	<u>\$2,001.7</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$16,596.4</u>	<u>\$17,436.0</u>

**STATE OF NEW YORK
SPECIAL REVENUE FUNDS
STATEMENT OF CASH FLOW (1)
FISCAL YEAR 2003-2004
(in millions)**

EXHIBIT "G"

												7 Months Ended Oct. 31		
	2003 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2004 JANUARY	FEBRUARY	MARCH	2003	2002
OPENING CASH BALANCE	\$1,038.6	\$1,287.9	\$2,097.8	\$1,828.1	\$1,993.9	\$451.5	\$1,527.2						\$1,038.6	\$1,100.5
RECEIPTS:														
Personal Income Tax	--	--	--	--	--	180.0	45.6						225.6	808.0
Consumption/Use Taxes and Fees	63.2	41.5	59.5	45.5	48.5	58.3	48.7						365.2	324.1
Business Taxes	48.9	26.0	146.9	49.4	49.9	162.9	44.5						528.5	557.3
Other Taxes	--	--	--	--	--	--	--						--	--
Miscellaneous Receipts	623.7	1,271.3	614.1	685.7	711.3	1,246.6	843.3						5,996.0	5,429.4
Federal Grants	2,534.8	2,539.5	2,851.7	2,902.1	3,255.1	3,847.8	2,304.2						20,235.2	18,000.1
Total Receipts	<u>3,270.6</u>	<u>3,878.3</u>	<u>3,672.2</u>	<u>3,682.7</u>	<u>4,064.8</u>	<u>5,495.6</u>	<u>3,286.3</u>	0.0	0.0	0.0	0.0	0.0	27,350.5	25,118.9
DISBURSEMENTS:														
Local Assistance Grants:														
Education	383.1	325.7	529.1	244.1	1,653.2	312.2	172.7						3,620.1	3,718.3
Social Services	1,801.8	2,024.6	2,340.2	2,458.3	2,097.0	2,558.0	2,393.0						15,672.9	14,341.0
Health and Environment	125.8	133.8	161.7	161.5	175.4	271.0	124.6						1,153.8	1,141.6
Mental Hygiene	10.2	8.3	39.5	19.8	15.2	17.3	28.4						138.7	119.4
Transportation	32.8	41.2	169.3	52.2	268.5	124.7	116.5						805.2	884.9
Criminal Justice	10.8	5.6	6.6	13.5	43.2	33.2	10.9						123.8	73.7
SEMO and Disaster Assistance	118.7	29.9	142.2	4.9	721.3	436.3	22.5						1,475.8	777.6
Miscellaneous	70.7	44.1	46.3	60.4	42.1	64.0	97.8						425.4	407.1
Total Local Assistance Grants	<u>2,553.9</u>	<u>2,613.2</u>	<u>3,434.9</u>	<u>3,014.7</u>	<u>5,015.9</u>	<u>3,816.7</u>	<u>2,966.4</u>	0.0	0.0	0.0	0.0	0.0	23,415.7	21,463.6
Departmental Operations:														
Personal Service	280.2	217.4	280.4	309.3	316.9	320.0	502.1						2,226.3	1,948.2
Non-Personal Service	214.9	175.0	267.4	241.4	194.0	303.6	330.6						1,726.9	1,584.5
General State Charges	35.2	58.4	34.7	41.3	55.4	65.7	52.0						342.7	280.2
Capital Projects	5.9	0.2	0.3	0.3	0.2	0.3	0.1						7.3	3.7
Total Disbursements	<u>3,090.1</u>	<u>3,064.2</u>	<u>4,017.7</u>	<u>3,607.0</u>	<u>5,582.4</u>	<u>4,506.3</u>	<u>3,851.2</u>	0.0	0.0	0.0	0.0	0.0	27,718.9	25,280.2
Excess (Deficiency) of Receipts over Disbursements	<u>180.5</u>	<u>814.1</u>	<u>(345.5)</u>	<u>75.7</u>	<u>(1,517.6)</u>	<u>989.3</u>	<u>(564.9)</u>	0.0	0.0	0.0	0.0	0.0	(368.4)	(161.3)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	243.1	197.4	243.4	311.4	219.9	348.6	267.9						1,831.7	1,644.8
Transfers to Other Funds	(174.3)	(201.6)	(167.6)	(221.3)	(244.7)	(262.2)	(215.9)						(1,487.6)	(1,167.7)
Total Other Financing Sources (Uses)	<u>68.8</u>	<u>(4.2)</u>	<u>75.8</u>	<u>90.1</u>	<u>(24.8)</u>	<u>86.4</u>	<u>52.0</u>	0.0	0.0	0.0	0.0	0.0	344.1	477.1
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>249.3</u>	<u>809.9</u>	<u>(269.7)</u>	<u>165.8</u>	<u>(1,542.4)</u>	<u>1,075.7</u>	<u>(512.9)</u>	0.0	0.0	0.0	0.0	0.0	(24.3)	315.8
CLOSING CASH BALANCE	<u>\$1,287.9</u>	<u>\$2,097.8</u>	<u>\$1,828.1</u>	<u>\$1,993.9</u>	<u>\$451.5</u>	<u>\$1,527.2</u>	<u>\$1,014.3</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$1,014.3</u>	<u>\$1,416.3</u>

(1) See Exhibit A, Footnote #7.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS
CASH FLOW SCHEDULE OF TAX RECEIPTS
FISCAL YEAR 2003-2004
(in millions)

EXHIBIT "G"
(page 2)

	2003							2004					7 Months Ended October 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2003	2002
PERSONAL INCOME TAX	\$ --	\$ --	\$ --	\$ --	\$ --	\$180.0	\$45.6						\$225.6	\$808.0
Total Personal Income Tax	--	--	--	--	--	180.0	45.6	0.0	0.0	0.0	0.0	0.0	225.6	808.0
CONSUMPTION/USE TAXES AND FEES														
Sales and Use	53.0	27.4	38.0	29.9	29.9	41.3	30.7						250.2	235.9
Auto Rental	--	--	--	--	--	--	--						--	--
Hotel / Motel	--	--	--	--	--	--	--						--	--
Motor Vehicle	2.1	8.1	13.0	8.6	8.6	8.1	8.9						57.4	45.4
Cigarette/Tobacco Products	--	--	--	--	--	--	--						--	--
Motor Fuel	8.1	6.0	8.5	7.0	10.0	8.9	9.1						57.6	42.8
Alcoholic Beverage	--	--	--	--	--	--	--						--	--
Beverage Container	--	--	--	--	--	--	--						--	--
Highway Use	--	--	--	--	--	--	--						--	--
Alcoholic Beverage Control Licenses	--	--	--	--	--	--	--						--	--
Total Consumption/Use Taxes and Fees	63.2	41.5	59.5	45.5	48.5	58.3	48.7	0.0	0.0	0.0	0.0	0.0	365.2	324.1
BUSINESS TAXES														
Corporation Franchise	6.2	(11.8)	37.5	5.2	3.6	43.1	6.8						90.6	99.2
Corporation and Utilities	6.3	(2.0)	20.6	1.6	(0.3)	42.0	(1.5)						66.7	116.1
Insurance	(0.3)	1.9	24.3	1.6	0.8	23.6	2.9						54.8	32.0
Bank	1.6	(3.3)	24.6	(0.5)	0.4	14.4	(0.2)						37.0	36.7
Petroleum Business	35.1	41.2	39.9	41.5	45.4	39.8	36.5						279.4	273.3
Lubricating Oil	--	--	--	--	--	--	--						--	--
Total Business Taxes	48.9	26.0	146.9	49.4	49.9	162.9	44.5	0.0	0.0	0.0	0.0	0.0	528.5	557.3
OTHER TAXES														
Real Property Gains	--	--	--	--	--	--	--						--	--
Estate and Gift	--	--	--	--	--	--	--						--	--
Pari-Mutuel	--	--	--	--	--	--	--						--	--
Real Estate Transfer	--	--	--	--	--	--	--						--	--
Racing and Exhibitions	--	--	--	--	--	--	--						--	--
Total Other Taxes	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--
TOTAL TAX RECEIPTS	\$112.1	\$67.5	\$206.4	\$94.9	\$98.4	\$401.2	\$138.8	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$1,119.3	\$1,689.4

**STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2003-2004
(in millions)**

EXHIBIT "H"

	2003							2004					7 Months Ended Oct. 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2003	2002
OPENING CASH BALANCE	\$158.1	\$236.3	\$258.6	\$263.6	\$210.3	\$264.8	\$229.8						\$158.1	\$169.0
RECEIPTS:														
Personal Income Tax	727.8	81.3	515.0	404.5	375.4	597.1	417.5						3,118.6	2,202.5
Consumption/Use Taxes and Fees														
Sales and Use	149.0	154.3	224.8	169.0	172.2	250.2	172.7						1,292.2	1,218.4
Motor Fuel	--	--	--	--	--	--	--						--	74.0
Other Taxes	37.4	33.9	28.6	14.7	49.1	29.3	47.6						240.6	234.6
Miscellaneous Receipts	124.1	59.9	56.9	42.1	50.3	77.3	64.4						475.0	359.3
Total Receipts	1,038.3	329.4	825.3	630.3	647.0	953.9	702.2	0.0	0.0	0.0	0.0	0.0	5,126.4	4,088.8
DISBURSEMENTS:														
Departmental Operations:														
Non-Personal Service	0.4	0.3	1.7	0.1	0.1	1.9	0.3						4.8	3.8
Debt Service, including payments on financing agreements	263.4	112.9	333.7	136.4	174.2	595.2	126.6						1,742.4	1,757.4
Total Disbursements	263.8	113.2	335.4	136.5	174.3	597.1	126.9	0.0	0.0	0.0	0.0	0.0	1,747.2	1,761.2
Excess (Deficiency) of Receipts over Disbursements	774.5	216.2	489.9	493.8	472.7	356.8	575.3	0.0	0.0	0.0	0.0	0.0	3,379.2	2,327.6
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	372.3	237.6	464.6	327.1	351.3	682.9	341.9						2,777.7	2,568.2
Transfers to Other Funds	(1,068.6)	(431.5)	(949.5)	(874.2)	(769.5)	(1,074.7)	(891.7)						(6,059.7)	(4,808.6)
Total Other Financing Sources (Uses)	(696.3)	(193.9)	(484.9)	(547.1)	(418.2)	(391.8)	(549.8)	0.0	0.0	0.0	0.0	0.0	(3,282.0)	(2,240.4)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	78.2	22.3	5.0	(53.3)	54.5	(35.0)	25.5	0.0	0.0	0.0	0.0	0.0	97.2	87.2
CLOSING CASH BALANCE	\$236.3	\$258.6	\$263.6	\$210.3	\$264.8	\$229.8	\$255.3	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$255.3	\$256.2

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2003-2004
(in millions)**

EXHIBIT "I"

	7 Months Ended Oct. 31												2003	2002		
	2003 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2004 JANUARY	FEBRUARY	MARCH				
OPENING CASH BALANCE (DEFICITS)	(\$790.5)	(\$392.3)	(\$452.0)	(\$297.7)	(\$209.2)	(\$274.8)	(\$428.6)								(\$790.5)	(\$267.4)
RECEIPTS:																
Consumption/Use Taxes and Fees																
Auto Rental	2.5	--	8.8	--	--	12.1	--								23.4	22.8
Motor Vehicle	19.4	39.8	57.2	45.4	42.8	40.2	44.3								289.1	284.1
Motor Fuel	29.5	33.0	34.1	32.9	38.3	33.5	35.1								236.4	221.5
Highway Use	13.0	11.1	13.6	12.2	11.7	14.9	11.9								88.4	85.7
Business Taxes																
Petroleum Business	42.8	51.0	49.2	51.1	55.3	49.1	45.4								343.9	343.4
Other Taxes	--	--	11.2	11.2	11.2	11.2	11.2								56.0	56.0
Miscellaneous Receipts	507.1	36.6	134.8	176.5	49.0	167.7	100.0								1,171.7	759.3
Federal Grants	78.8	73.6	105.7	118.0	117.1	141.6	165.7								800.5	924.9
Total Receipts	693.1	245.1	414.6	447.3	325.4	470.3	413.6	0.0	0.0	0.0	0.0	0.0	0.0	3,009.4	2,697.7	
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.1	--	--	--	--	--	--								0.1	0.7
Social Services	--	--	--	--	--	--	--								--	--
Health and Environment	--	1.4	2.2	1.4	0.6	46.6	3.7								55.9	152.0
Mental Hygiene	0.4	0.1	4.0	0.7	0.7	1.4	4.2								11.5	11.0
Transportation	24.3	14.7	14.9	13.7	22.4	23.9	45.3								159.2	143.6
Miscellaneous	4.5	0.8	1.6	9.7	1.0	0.8	23.9								42.3	94.0
Total Local Assistance Grants	29.3	17.0	22.7	25.5	24.7	72.7	77.1	0.0	0.0	0.0	0.0	0.0	0.0	269.0	401.3	
Departmental Operations:																
Personal Service	--	--	--	--	--	--	--								--	--
Non-Personal Service	--	--	--	--	--	--	--								--	--
General State Charges	--	--	--	--	--	--	--								--	--
Capital Projects	224.5	233.2	286.1	306.7	290.3	392.7	372.0								2,105.5	2,295.1
Total Disbursements	253.8	250.2	308.8	332.2	315.0	465.4	449.1	0.0	0.0	0.0	0.0	0.0	0.0	2,374.5	2,696.4	
Excess (Deficiency) of Receipts over Disbursements	439.3	(5.1)	105.8	115.1	10.4	4.9	(35.5)	0.0	0.0	0.0	0.0	0.0	0.0	634.9	1.3	
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	--	--	139.5	--	--	--	--								139.5	--
Transfers from Other Funds	24.7	10.7	(19.8)	38.6	(2.5)	19.1	42.9								113.7	332.1
Transfers to Other Funds	(65.8)	(65.3)	(71.2)	(65.2)	(73.5)	(177.8)	(76.4)								(595.2)	(645.0)
Total Other Financing Sources (Uses)	(41.1)	(54.6)	48.5	(26.6)	(76.0)	(158.7)	(33.5)	0.0	0.0	0.0	0.0	0.0	0.0	(342.0)	(312.9)	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	398.2	(59.7)	154.3	88.5	(65.6)	(153.8)	(69.0)	0.0	0.0	0.0	0.0	0.0	0.0	292.9	(311.6)	
CLOSING CASH BALANCE (DEFICITS)	(\$392.3)	(\$452.0)	(\$297.7)	(\$209.2)	(\$274.8)	(\$428.6)	(\$497.6)	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	(\$497.6)	(\$579.0)	

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW (1)
FISCAL YEAR 2003-2004
(in millions)

EXHIBIT J

													7 Months Ended Oct. 31	
	2003 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2004 JANUARY	FEBRUARY	MARCH	2003	2002
BEGINNING FUND EQUITY (DEFICITS)	\$16.0	\$27.6	\$45.5	\$34.5	\$32.5	\$44.7	\$33.5						\$16.0	\$25.6
RECEIPTS:														
Miscellaneous Receipts	6.0	5.8	5.6	7.7	7.4	10.5	6.3						49.3	44.9
Federal Grants	73.2	69.5	82.5	79.8	73.1	89.8	75.0						542.9	853.0
Unemployment Taxes	274.4	233.8	225.4	246.1	225.3	225.1	214.8						1,644.9	1,705.8
Total Receipts	353.6	309.1	313.5	333.6	305.8	325.4	296.1	0.0	0.0	0.0	0.0	0.0	2,237.1	2,603.7
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	0.8	0.6	0.7	1.0	0.7	1.9	0.8						6.5	7.6
Non-Personal Service	4.0	2.0	7.5	7.9	4.8	8.5	5.7						40.4	38.0
General State Charges	0.3	0.1	--	0.1	0.1	0.1	0.1						0.8	0.7
Debt Service, Including Payments on Financing Agreements	--	--	--	--	--	--	--						--	--
Unemployment Benefits	336.9	288.5	316.3	326.6	288.0	326.1	269.1						2,151.5	2,537.6
Total Disbursements	342.0	291.2	324.5	335.6	293.6	336.6	275.7	0.0	0.0	0.0	0.0	0.0	2,199.2	2,583.9
Excess (Deficiency) of Receipts over Disbursements	11.6	17.9	(11.0)	(2.0)	12.2	(11.2)	20.4	0.0	0.0	0.0	0.0	0.0	37.9	19.8
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--	--					--	--
Transfers to Other Funds	--	--	--	--	--	--	--	--					--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	11.6	17.9	(11.0)	(2.0)	12.2	(11.2)	20.4	0.0	0.0	0.0	0.0	0.0	37.9	19.8
CLOSING CASH BALANCE	\$27.6	\$45.5	\$34.5	\$32.5	\$44.7	\$33.5	\$53.9	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$53.9	\$45.4

(1) See Exhibit A, Footnote #7.

**STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2003-2004
(in millions)**

EXHIBIT K

													7 Months Ended Oct. 31	
	2003 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2004 JANUARY	FEBRUARY	MARCH	2003	2002
BEGINNING FUND EQUITY (DEFICITS)	(\$104.1)	(\$120.1)	(\$126.0)	(\$118.1)	(\$136.2)	(\$149.7)	(\$130.1)						(\$104.1)	(\$101.5)
RECEIPTS:														
Miscellaneous Receipts	23.6	31.1	47.5	29.7	41.3	49.7	31.6						254.5	316.4
Total Receipts	23.6	31.1	47.5	29.7	41.3	49.7	31.6	0.0	0.0	0.0	0.0	0.0	254.5	316.4
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	8.5	9.2	8.2	11.5	8.0	7.4	8.9						61.7	67.9
Non-Personal Service	25.8	37.0	55.6	39.2	26.8	23.3	35.9						243.6	272.2
General State Charges	5.3	1.4	0.2	1.2	3.9	2.6	4.2						18.8	23.4
Debt Service, Including Payments on Financing Agreements	--	--	--	10.1	20.6	--	--						30.7	49.9
Total Disbursements	39.6	47.6	64.0	62.0	59.3	33.3	49.0	0.0	0.0	0.0	0.0	0.0	354.8	413.4
Excess (Deficiency) of Receipts over Disbursements	(16.0)	(16.5)	(16.5)	(32.3)	(18.0)	16.4	(17.4)	0.0	0.0	0.0	0.0	0.0	(100.3)	(97.0)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	10.6	24.4	14.2	4.5	3.2	2.8						59.7	42.0
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
Total Other Financing Sources (Uses)	--	10.6	24.4	14.2	4.5	3.2	2.8	0.0	0.0	0.0	0.0	0.0	59.7	42.0
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(16.0)	(5.9)	7.9	(18.1)	(13.5)	19.6	(14.6)	0.0	0.0	0.0	0.0	0.0	(40.6)	(55.0)
ENDING FUND EQUITY(DEFICITS)	<u>(\$120.1)</u>	<u>(\$126.0)</u>	<u>(\$118.1)</u>	<u>(\$136.2)</u>	<u>(\$149.7)</u>	<u>(\$130.1)</u>	<u>(\$144.7)</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>\$0.0</u>	<u>(\$144.7)</u>	<u>(\$156.5)</u>

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW (1)
FISCAL YEAR 2003-2004
(in millions)

EXHIBIT L

	2003							2004					7 Months Ended Oct. 31	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2003	2002
OPENING CASH BALANCE	\$9.8	\$9.9	\$9.9	\$9.9	\$9.9	\$9.8	\$9.8						\$9.8	\$9.7
RECEIPTS:														
Miscellaneous Receipts	0.2	--	--	0.1	(0.1)	--	0.1						0.3	0.2
Total Receipts	0.2	--	--	0.1	(0.1)	--	0.1	0.0	0.0	0.0	0.0	0.0	0.3	0.2
DISBURSEMENTS:														
Departmental Operations:														
Personal Service	0.1	--	--	--	--	--	--						0.1	0.1
Non-Personal Service	--	--	--	--	--	--	0.3						0.3	--
General State Charges	--	--	--	0.1	--	--	--						0.1	--
Total Disbursements	0.1	--	--	0.1	--	--	0.3	0.0	0.0	0.0	0.0	0.0	0.5	0.1
Excess (Deficiency) of Receipts over Disbursements	0.1	--	--	--	(0.1)	--	(0.2)	0.0	0.0	0.0	0.0	0.0	(0.2)	0.1
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	--	--	--	--	--	--	--						--	--
Transfers to Other Funds	--	--	--	--	--	--	--						--	--
Total Other Financing Sources (Uses)	--	--	--	--	--	--	--	0.0	0.0	0.0	0.0	0.0	--	--
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.1	--	--	--	(0.1)	--	(0.2)	0.0	0.0	0.0	0.0	0.0	(0.2)	0.1
CLOSING CASH BALANCE	\$9.9	\$9.9	\$9.9	\$9.9	\$9.8	\$9.8	\$9.6	\$0.0	\$0.0	\$0.0	\$0.0	\$0.0	\$9.6	\$9.8

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES (1)
 FOR THE MONTH OF OCTOBER 2003
 (amounts in millions)

SCHEDULE 1

	BALANCE 10/1/03	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/03
GENERAL FUND					
001-Local Assistance	\$ --	\$ 2,201.543	\$ 1,648.330	\$ (553.213)	\$ --
003-State Operations	2,505.595	2,361.759	708.354	(1,121.709)	3,037.291
004-Tax Stabilization Reserve	--	--	--	--	--
005-Contingency Reserve	20.624	--	--	--	20.624
006-Universal Pre-K	--	--	--	--	--
007-Community Projects	32.391	--	7.703	1.950	26.638
012-Tobacco Proceeds Fund	--	(2,201.459)	--	2,201.459	--
166-Fringe Benefits Escrow	--	48.663	47.059	--	1.604
TOTAL GENERAL FUND	2,558.610	2,410.506	2,411.446	528.487	3,086.157
SPECIAL REVENUE FUNDS-GENERAL					
019-Mental Health Gifts and Donations	1.584	0.178	0.027	--	1.735
020-Combined Expendable Trust	24.126	0.972	1.378	--	23.720
023-New York Interest on Lawyer Account	4.474	0.983	1.760	--	3.697
024-Archives Partnership Trust	0.375	--	0.032	(0.009)	0.334
050-Tuition Reimbursement	1.682	0.139	0.279	--	1.542
052-Local Government Records Management Improvement	8.850	1.146	1.016	(0.094)	8.886
053-School Tax Relief	--	45.600	35.041	--	10.559
054-Charter Schools Stimulus	4.203	0.004	--	--	4.207
055-Not-For-Profit Short Term Revolving Loan	--	--	--	--	--
056-Hudson River Valley Greenway	--	--	--	--	--
059-Rehabilitative Alcohol & Substance Abuse Treatment	0.016	--	--	--	0.016
061-HCRA Transfer	175.026	152.345	283.706	--	43.665
062-Tobacco Transfer	--	--	--	--	--
068-Indigent Care	185.146	9.702	11.623	--	183.225
073-Dedicated Mass Transportation Trust	61.403	44.723	43.521	--	62.605
160-State Lottery	(907.076)	188.894	20.212	--	(738.394)
221-Combined Student Loan	22.258	3.349	6.172	--	19.435
300-Sewage Treatment Program Mgmt. & Administration	(1.948)	(0.001)	0.481	--	(2.430)
301-EnCon Special Revenue	27.488	4.293	6.124	1.678	27.335
302-Conservation	23.635	13.641	5.457	(1.678)	30.141
303-Environmental Protection and Oil Spill Compensation	17.184	6.424	3.093	(3.455)	17.060
305-Training and Education Program on OSHA	17.498	0.642	2.526	--	15.614
306-Lawyers' Fund for Client Protection	5.615	0.520	1.277	--	4.858
307-Equipment Loan for the Disabled	0.334	0.004	0.008	--	0.330
312-Hazardous Waste Remedial	(7.639)	1.064	1.459	(0.993)	(9.027)
313-Mass Transportation Operating Assistance	161.887	49.568	70.266	0.912	142.101
314-Clean Air	5.649	2.353	1.800	--	6.202
318-New York State Infrastructure Trust	0.055	0.001	--	--	0.056
321-Legislative Computer Services	4.625	0.155	--	--	4.780
328-Biodiversity Stewardship and Research	--	--	--	--	--
332-Combined Non-Expendable Trust	4.066	0.026	--	--	4.092
333-Winter Sports Education Trust	1.203	0.001	--	--	1.204
335-Musical Instrument Revolving	0.001	--	--	--	0.001
337-Rural Housing Assistance	--	--	--	--	--
338-Arts Capital Revolving	0.377	0.003	--	--	0.380
339-Miscellaneous State Special Revenue	969.661	208.900	635.384	247.939	791.116
340-Court Facilities Incentive Aid	22.769	0.021	0.978	(0.300)	21.512
341-Employment Training	0.217	0.537	--	--	0.754

(1) See Exhibit A, Footnote #7.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES (1)
FOR THE MONTH OF OCTOBER 2003
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 10/1/03	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/03
SPECIAL REVENUE FUNDS-GENERAL (CONTINUED)					
342-Homeless Housing and Assistance	\$ --	\$ --	\$ --	\$ --	\$ --
345-State University Income	366.391	215.669	328.358	24.115	277.817
346-Substance Abuse Service	1.402	0.285	0.006	--	1.681
349-Lake George Park Trust	0.714	0.080	0.125	--	0.669
354-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	16.470	10.147	0.810	--	25.807
355-New York Great Lakes Protection	3.579	0.003	0.006	--	3.576
359-Federal Revenue Maximization	0.051	--	--	--	0.051
360-Housing Development	9.950	0.610	--	--	10.560
362-NYS/DOT Highway Safety Program	(0.224)	0.212	0.200	--	(0.212)
365-Vocational Rehabilitation	0.083	--	0.001	--	0.082
366-Drinking Water Program Management and Administration	(0.323)	--	0.314	--	(0.637)
368-NYC County Clerks' Operations Offset	(7.446)	--	1.399	--	(8.845)
369-Judiciary Data Processing Offset	(1.562)	--	0.839	--	(2.401)
377-IFR / CUTRA	32.193	9.383	5.253	--	36.323
379-Racing Preservation	--	--	--	--	--
383-Supplemental Jury Facilities	--	--	--	--	--
385-USOC Lake Placid Training	0.067	0.016	--	--	0.083
390-Indigent Legal Services	--	0.388	--	--	0.388
482-Unemployment Insurance Interest and Penalty	4.703	0.679	1.137	--	4.245
TOTAL SPECIAL REVENUE FUNDS-GENERAL	1,260.792	973.659	1,472.068	268.115	1,030.498
SPECIAL REVENUE FUNDS-FEDERAL					
261-Federal USDA / Food and Consumer Services	(4.524)	80.145	76.121	--	(0.500)
265-Federal Health and Human Services	(59.075)	1,894.501	1,979.945	(216.059)	(360.578)
267-Federal Education	(0.197)	123.956	138.604	--	(14.845)
269-Federal DHHS Block Grant	(3.259)	27.727	25.836	--	(1.368)
290-Federal Miscellaneous Operating Grants	312.465	81.953	69.950	(0.008)	324.460
480-Unemployment Insurance Administration	33.272	18.210	21.234	--	30.248
484-Unemployment Insurance Occupational Training	3.825	4.457	1.799	--	6.483
486-Federal Employment and Training Grants	(16.084)	81.739	65.780	--	(0.125)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	266.423	2,312.688	2,379.269	(216.067)	(16.225)
TOTAL SPECIAL REVENUE FUNDS	1,527.215	3,286.347	3,851.337	52.048	1,014.273
DEBT SERVICE FUNDS					
064-Debt Reduction Reserve	--	--	--	--	--
065-State University Educational Facilities	--	--	--	--	--
304-Mental Health Services	115.439	22.664	0.113	(10.151)	127.839
311-General Obligation Debt Service	--	417.573	122.745	(294.828)	--
315-Grade Crossing Elimination Debt Service	--	--	--	--	--
316-State Housing Debt Service	--	3.141	2.010	(1.131)	--
319-Department of Health Income	28.890	7.138	--	(6.604)	29.424
330-State University Dormitory Income	65.354	31.410	--	(23.553)	73.211
361-Clean Water/Clean Air	11.690	47.564	--	(41.607)	17.647
364-Local Government Assistance Tax	8.466	172.764	2.090	(171.957)	7.183
TOTAL DEBT SERVICE FUNDS	\$ 229.839	\$ 702.254	\$ 126.958	\$ (549.831)	\$ 255.304

(1) See Exhibit A, Footnote #7.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES (1)
FOR THE MONTH OF OCTOBER 2003
(amounts in millions)

SCHEDULE 1
(continued)

	BALANCE 10/1/03	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE 10/31/03
CAPITAL PROJECTS FUNDS					
002-State Capital Projects	\$ --	\$ 24.331	\$ 69.230	\$ 44.899	\$ --
072-Dedicated Highway and Bridge Trust	(148.033)	140.597	183.135	(40.009)	(230.580)
074-SUNY Residence Halls Rehabilitation and Repair	76.170	0.067	2.389	(0.075)	73.773
075-New York State Canal System Development	1.378	0.001	--	--	1.379
076-Parks Infrastructure	(9.452)	17.104	1.410	--	6.242
077-Passenger Facility Charge	0.387	0.001	--	--	0.388
078-Environmental Protection	8.427	11.473	5.948	--	13.952
079-Clean Water/Clean Air Implementation	(4.047)	--	--	--	(4.047)
080-Hudson River Park	0.074	--	--	--	0.074
101-Energy Conservation Thru Improved Transportation Bond	0.164	--	--	--	0.164
103-Park & Recreation Land Acquisition Bond	0.002	--	--	--	0.002
105-Pure Waters Bond	--	--	--	--	--
106-Outdoor Recreation Development Bond	--	--	--	--	--
109-Transportation Capital Facilities Bond	3.565	--	--	--	3.565
115-Environmental Quality Protection Bond	13.406	--	--	(0.023)	13.383
118-Rail Preservation and Development Bond	--	--	--	--	--
119-State Housing Bond	--	--	--	--	--
123-Transportation Infrastructure Renewal Bond	13.242	--	--	(0.544)	12.698
124-1986 Environmental Quality Bond Act	34.263	--	--	9.971	44.234
126-Accelerated Capacity and Transportation Improvement Bond	3.060	--	--	(1.212)	1.848
127-Clean Water/Clean Air Bond	82.391	--	--	(10.019)	72.372
291-Federal Capital Projects	(132.025)	165.815	117.539	(77.703)	(161.452)
310-Forest Preserve Expansion	0.286	0.001	--	--	0.287
317-Pine Barrens	--	--	--	--	--
322-Lake Champlain Bridges	--	--	--	--	--
327-Suburban Transportation	0.326	--	--	--	0.326
357-Division for Youth Facilities Improvement	(1.302)	1.302	0.843	--	(0.843)
358-Youth Centers Facility	--	--	--	--	--
374-Housing Assistance	(0.505)	0.521	--	--	0.016
376-Housing Program	(61.627)	19.928	33.070	--	(74.769)
378-Natural Resource Damage	8.386	0.007	0.008	--	8.385
380-DOT Engineering Services	(108.083)	--	13.425	41.227	(80.281)
384-State University Capital Projects	15.657	0.552	0.923	--	15.286
387-Miscellaneous Capital Projects	17.682	0.271	0.952	--	17.001
388-CUNY Capital Projects	(1.118)	(0.002)	--	--	(1.120)
389-Mental Hygiene Facilities Capital Improvement	(211.519)	1.891	7.789	--	(217.417)
399-Correction Facilities Capital Improvement	(29.772)	29.771	12.443	--	(12.444)
TOTAL CAPITAL PROJECTS FUNDS	<u>(428.617)</u>	<u>413.631</u>	<u>449.104</u>	<u>(33.488)</u>	<u>(497.578)</u>
TOTAL GOVERNMENTAL FUNDS	<u>\$ 3,887.047</u>	<u>\$ 6,812.738</u>	<u>\$ 6,838.845</u>	<u>\$ (2.784)</u>	<u>\$ 3,858.156</u>

(1) See Exhibit A, Footnote #7.

STATE OF NEW YORK
PROPRIETARY FUNDS

SCHEDULE 2

SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND EQUITY (1)
FOR THE MONTH OF OCTOBER 2003
(amounts in millions)

<u>FUND TYPE</u>	<u>FUND EQUITY 10/1/03</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND EQUITY 10/31/03</u>
ENTERPRISE FUNDS					
324-Youth Commissary	\$ 0.310	\$ 0.015	\$ 0.011	\$ --	\$ 0.314
325-State Exposition Special	2.239	0.989	1.170	--	2.058
326-Correctional Services Commissary	1.256	2.792	2.764	--	1.284
329-Correctional Services Family Benefit	2.479	1.640	1.611	--	2.508
331-Agency Enterprise	1.079	0.119	0.236	--	0.962
351-Mental Health Sheltered Workshop	2.191	0.266	0.283	--	2.174
352-Mental Retardation Sheltered Workshop	0.523	0.072	0.079	--	0.516
353-Mental Hygiene Community Stores	2.324	0.130	0.179	--	2.275
450-Industrial Exhibit Authority	1.421	0.251	0.285	--	1.387
481-Unemployment Insurance Benefit	19.646	289.824	269.065	--	40.405
TOTAL ENTERPRISE FUNDS	33.468	296.098	275.683	--	53.883
INTERNAL SERVICE FUNDS					
323-O.G.S. Centralized Services	4.310	(9.126)	6.837	--	(11.653)
334-Agency Internal Service	(110.344)	35.861	34.578	2.790	(106.271)
343-Mental Hygiene Revolving	0.914	0.143	0.090	--	0.967
347-Youth Vocational Education	0.003	--	--	--	0.003
394-Joint Labor/Management Administration	0.317	0.624	0.128	--	0.813
395-Audit and Control Revolving	(0.694)	--	0.230	--	(0.924)
396-Health Insurance Revolving	(22.206)	0.696	1.955	--	(23.465)
397-Correctional Industries Revolving	(2.370)	3.393	5.163	--	(4.140)
TOTAL INTERNAL SERVICE FUNDS	(130.070)	31.591	48.981	2.790	(144.670)
TOTAL PROPRIETARY FUNDS	\$ (96.602)	\$ 327.689	\$ 324.664	\$ 2.790	\$ (90.787)

(1) See Exhibit A, Footnote #7.

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES (1)
 FOR THE MONTH OF OCTOBER 2003

SCHEDULE 3

<u>FUND TYPE</u>	<u>FUND BALANCE 10/1/03</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>FUND BALANCE 10/31/03</u>
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
021-Agriculture Producers Security	\$ 4.021	\$ 0.015	\$ 0.011	\$ --	\$ 4.025
022-Milk Producers Security	5.828	0.039	0.308	--	5.559
TOTAL PRIVATE PURPOSE TRUST FUNDS	9.849	0.054	0.319	--	9.584
<u>AGENCY FUNDS</u>					
129-Private Not-For-Profit School Capital Facilities Financing Reserve	--	--	--	--	--
130-School Capital Facilities Financing Reserve	37.741	1.387	--	--	39.128
152-Employees Health Insurance	76.810	351.255	318.356	--	109.709
153-Social Security Contribution	29.824	62.388	73.591	--	18.621
154-Employee Payroll Withholding Escrow	50.704	231.614	274.297	--	8.021
162-Employees Dental Insurance	0.965	6.121	6.424	--	0.662
163-Management Confidential Group Insurance	1.082	0.512	0.832	--	0.762
165-Lottery Prize	239.740	50.608	79.646	--	210.702
167-Health Insurance Reserve Receipts	0.014	--	--	--	0.014
169-Miscellaneous New York State Agency	519.377	246.817	132.176	--	634.018
175-Elderly Pharmaceutical Insurance Coverage Escrow	28.939	26.553	54.400	--	1.092
176-City University Senior College Operating	90.126	45.002	113.096	--	22.032
179-Medicaid Management Information System Escrow	195.093	3,168.884	3,018.653	--	345.324
309-Special Education	--	--	--	--	--
344-State University Collection	196.334	(31.344)	--	--	164.990
382-SUNY Federal Direct Lending Program	(0.544)	0.437	--	--	(0.107)
TOTAL AGENCY FUNDS	1,466.205	4,160.234	4,071.471	--	1,554.968
TOTAL FIDUCIARY FUNDS	\$ 1,476.054	\$ 4,160.288	\$ 4,071.790	\$ --	\$ 1,564.552

(1) See Exhibit A, Footnote # 7.

STATE OF NEW YORK
 SOLE CUSTODY AND INVESTMENT ACCOUNTS
 STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
 FOR THE MONTH OF OCTOBER 2003
 (amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BEGINNING BALANCE 10/1/03</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>ENDING BALANCE 10/31/03</u>
<u>ACCOUNTS</u>				
060-Tobacco Settlement	\$ 88.914	\$ 4.179	\$ 93.016	\$ 0.077
149-Sole Custody Investment	1,422.481	1,122.388	1,413.864	1,131.005
650-Comptroller's Refund	--	111.392	111.392	--
750-NYS Thruway Authority Operating	0.330	34.663	28.428	6.565
TOTAL ACCOUNTS	\$ 1,511.725	\$ 1,272.622	\$ 1,646.700	\$ 1,137.647

**STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2004**

PURPOSE	DEBT OUTSTANDING APR. 1, 2003	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING OCT. 31, 2003	INTEREST DISBURSED	
		MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2003 (1)	MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2003		MONTH OF OCTOBER	7 MONTHS ENDED OCT. 31, 2003
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	\$ 1,172,175,921.03	\$ --	\$ 10,476,959.39	\$ 13,001,140.27	\$ 67,118,528.88	\$ 1,115,534,351.54	\$ 5,958,362.45	\$ 29,290,273.05
Clean Water/Clean Air:								
Air Quality	137,285,573.80	--	885,957.32	294,934.97	6,567,907.99	131,603,623.13	180,054.92	3,007,040.28
Safe Drinking Water	255,121,346.43	--	29,899,733.18	--	8,569,687.87	276,451,391.74	73,569.29	6,091,683.17
Water	390,096,295.13	--	18,169,048.00	525,048.21	1,647,910.98	406,617,432.15	764,703.65	6,385,672.16
Solid Waste	114,517,979.66	--	34,373,874.00	--	1,349,207.01	147,542,646.65	130,439.28	1,555,157.75
Environmental Restoration	23,266,564.93	--	--	--	90,086.21	23,176,478.72	23,094.25	311,393.98
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	56,658,501.89	--	97,984.72	59,324.66	1,106,501.56	55,649,985.05	63,103.81	1,497,371.17
Environmental Quality Protection (1972):								
Air	31,388,125.12	--	15,380,964.01	805,078.22	2,047,915.22	44,721,173.91	120,492.70	873,071.94
Land	88,162,055.09	--	15,511,527.50	1,496,825.86	6,218,125.62	97,455,456.97	221,746.74	2,467,485.55
Wet Lands	--	--	--	--	--	--	--	--
Water	226,151,206.39	--	78,044.14	3,080,455.00	8,769,925.27	217,459,325.26	1,113,230.29	6,918,512.61
Environmental Quality (1986):								
Land and Forests	130,044,664.43	--	502,241.44	6,265,890.70	9,893,642.78	120,653,263.09	1,170,489.73	3,943,216.92
Solid Waste Management	667,950,442.71	--	30,588,848.30	1,955,844.00	5,171,685.79	693,367,605.22	2,829,530.39	9,623,226.75
Higher Education Construction	2,280,000.00	--	--	--	--	2,280,000.00	--	49,050.00
Housing								
Low Cost	137,962,932.62	--	--	1,060,000.00	15,395,000.00	122,567,932.62	949,935.00	3,312,003.77
Middle Income	71,752,000.00	--	--	--	395,000.00	71,357,000.00	--	1,564,882.25
Urban Renewal	606,777.43	--	--	--	32,997.05	573,780.38	--	13,720.58
Outdoor Recreation Development	677,705.88	--	--	102,682.00	102,682.00	575,023.88	17,816.13	36,398.54
Park and Recreation Land Acquisition	156,439.64	--	--	--	35,083.16	121,356.48	--	4,368.20
Pure Waters	179,518,080.68	--	22,666.96	3,262,802.01	6,955,533.27	172,585,214.37	963,144.51	5,453,999.53
Rail Preservation Development	55,969,340.17	--	9,064.61	1,178,122.00	3,069,952.99	52,908,451.79	184,658.58	1,947,502.91
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	8,769,438.23	--	--	98,311.66	1,351,176.17	7,418,262.06	58,864.16	200,157.90
Ports, Canals, and Waterways	4,025,548.24	--	1,564.30	453,547.78	903,171.74	3,123,940.80	53,597.03	158,146.54
Rapid Transit, Rail, and Aviation	61,037,594.23	--	354,822.12	1,202,999.50	4,164,529.59	57,227,886.76	298,177.00	1,846,034.50
Transportation Capital Facilities:								
Aviation	63,481,258.11	--	231,433.19	754,010.16	2,420,520.79	61,292,170.51	200,882.39	2,013,500.79
Mass Transportation	116,962,207.44	--	--	937,983.00	6,298,228.06	110,663,979.38	299,912.45	3,993,169.21
Total General Obligation Bonded Debt	\$ 3,996,017,999.28	\$ --	\$ 156,584,733.18	\$ 36,535,000.00	\$ 159,675,000.00	\$ 3,992,927,732.46	\$ 15,675,804.75	\$ 92,557,040.05

(1) Includes June 2003 Refunding Bonds as follows: Refunding \$362,905,000 and Refunded \$345,320,000.

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SIX (6) MONTHS ENDED SEPTEMBER 30, 2003

SCHEDULE 5a

	DEBT REDUCTION RESERVE FUND (064)	GENERAL DEBT SERVICE (311- 01)	DEPARTMENT OF HEALTH INCOME (319)	LOCAL GOVERNMENT ASSISTANCE TAX (364)	MENTAL HEALTH SERVICES (304)	REVENUE BOND TAX (311- 02)	STATE UNIVERSITY DORMITORY INCOME (330)	COMBINED TOTALS		INCREASE (DECREASE)
								6 MONTHS ENDED 2003	2002	
Special Contractual Financing Obligations:										
City University Construction	\$ --	\$ 46,898,111	\$ --	\$ --	\$ --	\$ --	\$ --	\$ 46,898,111	\$ 153,031,023	\$ (106,132,913)
Community Enhancement Facilities Program	--	1,664,116	--	--	--	--	--	1,664,116	1,060,616	603,500
County of Albany	--	--	--	--	--	--	--	--	--	--
Department of TransRegion 1 Schenectady	--	738,691	--	--	--	--	--	738,691	814,024	(75,333)
Dormitory Authority	53,183,736	346,518,677	14,803,473	--	78,217,834	16,915,871	18,793,306	528,432,896	533,167,382	(4,734,486)
Environmental Conservation - Broadway Albany	--	3,278,392	--	--	--	--	--	3,278,392	3,185,973	92,419
Environmental Conservation - 50 Wolf Rd Albany	--	1,324,183	--	--	--	--	--	1,324,183	1,301,250	22,933
Energy Research & Development Authority	--	7,547,708	--	--	--	--	--	7,547,708	7,093,675	454,033
Environmental Facilities Corporation	--	6,400,316	--	--	--	3,759,899	--	10,160,215	13,254,447	(3,094,232)
Hampton Plaza	--	--	--	--	--	--	--	--	181,188	(181,188)
Hanson Place	--	2,185,500	--	--	--	--	--	2,185,500	1,885,500	300,000
44 Holland Avenue	--	834,432	--	--	--	--	--	834,432	830,016	4,416
Housing Finance Agency	--	19,517,138	--	--	--	--	--	19,517,138	37,291,249	(17,774,111)
Local Government Assistance Corporation	--	--	--	87,896,150	--	--	--	87,896,150	92,245,348	(4,349,198)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	--	61,994,072	--	--	--	--	--	61,994,072	--	61,994,072
Triborough Bridge & Tunnel Authority:										
Javits Convention Center Project	--	8,844,220	--	--	--	--	--	8,844,220	8,849,897	(5,677)
Thruway Authority	--	497,418,000	--	--	--	5,365,000	--	502,783,000	429,715,000	73,068,000
Urban Development Corporation:										
Correctional Facilities	--	85,799,838	--	--	--	--	--	85,799,838	94,425,106	(8,625,268)
Center for Industrial Innovation at RPI	--	931,913	--	--	--	--	--	931,913	991,123	(59,210)
Syracuse University Science and Technology Center	--	167,145	--	--	--	--	--	167,145	216,871	(49,726)
Cornell Univer. Supercomputer Center	--	776,748	--	--	--	--	--	776,748	793,368	(16,620)
Columbia Univer. Telecommunications Center	--	3,845,277	--	--	--	--	--	3,845,277	3,838,663	6,614
Onondaga Convention Center	--	1,291,269	--	--	--	--	--	1,291,269	1,327,363	(36,094)
Clarkson University	--	308,934	--	--	--	--	--	308,934	319,204	(10,270)
Alfred University	--	104,663	--	--	--	--	--	104,663	147,833	(43,170)
New York University	--	--	--	--	--	--	--	--	--	--
Rochester University	--	--	--	--	--	--	--	--	--	--
Higher Education	--	1,270,338	--	--	--	--	--	1,270,338	1,338,053	(67,715)
Youth Facilities	--	3,710,275	--	--	--	--	--	3,710,275	4,367,092	(656,817)
University Facilities Grant 95 Refunding	--	374,451	--	--	--	--	--	374,451	384,131	(9,680)
Economic Development Heritage Trail Project	--	376,740	--	--	--	--	--	376,740	488,743	(112,003)
Economic Development Housing	--	--	--	--	--	13,988,401	--	13,988,401	--	13,988,401
Sports Facility	--	3,298,417	--	--	--	--	--	3,298,417	3,438,044	(139,627)
Ten Eyck Project Albany	--	822,416	--	--	--	--	--	822,416	822,416	--
Long Island and Pine Barren	--	337,367	--	--	--	--	--	337,367	352,048	(14,681)
South Mall	--	--	--	--	--	--	--	--	--	--
State Facilities and Equipment	--	--	--	--	--	14,243,895	--	14,243,895	--	14,243,895
State Office Building	--	--	--	--	--	--	--	--	--	--
Strategic Investment Program	--	--	--	--	--	--	--	--	--	--
Total Disbursements for Special Contractual Financing Obligations	\$ 53,183,736	\$ 1,108,579,346	\$ 14,803,473	\$ 87,896,150	\$ 78,217,834	\$ 54,273,066	\$ 18,793,306	\$ 1,415,746,911	\$ 1,397,156,646	\$ 18,590,265

**SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF OCTOBER 2003
AS REQUIRED OF THE STATE COMPTROLLER**
(amounts in millions)

	<u>OCTOBER 2003</u>	<u>FISCAL YEAR TO DATE</u>
<u>SHORT TERM INVESTMENT POOL</u>		
AVERAGE DAILY INVESTMENT BALANCE*	\$4,760.5	\$4,003.8
AVERAGE YIELD*	1.052%	1.128%
TOTAL INVESTMENT EARNINGS	\$4.252	\$26.471

<u>DESCRIPTION</u>	<u>PAR AMOUNT</u>
TREASURY BILLS	\$250.0
GOVT. AGENCY BILLS/NOTES	\$500.0
REPURCHASE AGREEMENTS	\$11.4
COMMERCIAL PAPER	\$4,058.0
CERTIFICATES OF DEPOSIT	\$346.8
0% COMPENSATING BALANCE CD's	\$1,900.4
	\$7,066.6

*Does not include 0% Compensating Balance CD,s.

SOURCE: PENSION INVESTMENT AND PUBLIC FINANCE

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - TOBACCO CONTROL AND INSURANCE INITIATIVES POOL
FISCAL YEAR 2003-2004**

	<i>1st Quarter April-June</i>	JULY	AUGUST	SEPTEMBER	2003-2004
OPENING CASH BALANCE	\$450,213,112.01	\$148,456,248.85	\$158,221,347.87	\$165,758,390.33	\$450,213,112.01
RECEIPTS:					
UPL Receipts					0.00
Interest Income	940,405.56	104,609.39	93,467.08	96,612.11	1,235,094.14
Cigarette Tax Receipts	188,083,065.85	66,414,869.27	62,040,899.33	65,072,699.69	381,611,534.14
Other Receipts					0.00
Total Receipts	189,023,471.41	66,519,478.66	62,134,366.41	65,169,311.80	382,846,628.28
DISBURSEMENTS:					
Program Disbursements:					
Audit Services	(269,962.00)		(1,963,360.00)	(188,000.00)	(2,421,322.00)
Grants to Residential Health Care Facilities					0.00
Grants to Medical Schools	(307,322.75)	(87,603.83)	(98,656.62)	(81,926.75)	(575,509.95)
Grants to School Based Health Centers					0.00
Health Care Recruitment & Retention	(15,704,699.69)	(837,057.18)	(1,628,790.84)	(10,940,890.19)	(29,111,437.90)
Direct Pay Marketing					0.00
Tobacco Use Prevention & Control	(9,646,013.05)	(3,583,185.48)	(2,845,935.72)	(1,554,736.11)	(17,629,870.36)
Roswell Park Cancer Institute			(25,125,000.00)		(25,125,000.00)
Healthy NY - Individual	(197,163.99)	(71,389.08)		(48,100.45)	(316,653.52)
Healthy NY - Group	(301,975.13)	(47,500.00)			(349,475.13)
Excess Medical Malpractice	(47,400,000.00)				(47,400,000.00)
High Need Indigent Care Adjustment Pool					0.00
DSH Share Rural Hospital Adjustment					0.00
Non-DSH Share Rural Hospital Adjustment					0.00
Infertility Grant Program	(905,410.25)		(394,647.38)	(74,551.00)	(1,374,608.63)
Other					0.00
Total Program Disbursements	(74,732,546.86)	(4,626,735.57)	(32,056,390.56)	(12,888,204.50)	(124,303,877.49)
Administrative Expenses	(162,648.42)	(4,028.00)	(100,071.08)		(266,747.50)
Healthy New York Individual/Group Administration					0.00
Investment Purchases					0.00
Total Disbursements	(74,895,195.28)	(4,630,763.57)	(32,156,461.64)	(12,888,204.50)	(124,570,624.99)
Excess (Deficiency) of Receipts over Disbursements	114,128,276.13	61,888,715.09	29,977,904.77	52,281,107.30	258,276,003.29
OTHER FINANCING SOURCES (USES):					
Transfers from Other Pools:					
Regional Escrow	309,353.32		64,554.95		373,908.27
Medicaid Disproportionate Share					0.00
Public Goods Pool	182.00				182.00
Transfers From State Funds:					
060-Tobacco Settlement Fund	259,000,000.00				259,000,000.00
068-Indigent Care Fund					0.00
Other					0.00
Total Other Financing Sources	259,309,535.32	0.00	64,554.95	0.00	259,374,090.27
Transfers to Other Pools:					
Medicaid Disproportionate Share	(1,018,094.39)	(140,000.00)	(8,039.00)		(1,166,133.39)
Health Facility Assessment Fund					0.00
Public Goods Pool					0.00
Statewide Bad Debt & Charity Care Pool					0.00
Regional Distribution Account					0.00
Escrow	(11,893.58)			(76,031.91)	(87,925.49)
Other					0.00
Transfers to State Funds:					
061-HCRA Transfer Fund	(527,741,000.00)		(20,000,000.00)	(15,308,000.00)	(563,049,000.00)
068-Indigent Care Fund	(24,423,686.64)	(1,983,616.07)	(2,497,378.26)	(21,012,116.93)	(49,916,797.90)
339-DN-Provider Collection Monitoring Account				(500,000.00)	(500,000.00)
339-J6-EPIC Program	(122,000,000.00)	(50,000,000.00)		(50,000,000.00)	(222,000,000.00)
Other					0.00
Total Other Financing Uses	(675,194,674.61)	(52,123,616.07)	(22,505,417.26)	(86,896,148.84)	(836,719,856.78)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(301,756,863.16)	9,765,099.02	7,537,042.46	(34,615,041.54)	(319,069,763.22)
CLOSING CASH BALANCE	\$148,456,248.85	\$158,221,347.87	\$165,758,390.33	\$131,143,348.79	\$131,143,348.79

Source: HCRA - Office of Pool Administration

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2003-2004**

	<u>1st Quarter</u> <u>April-June</u>	JULY	AUGUST	SEPTEMBER	2003-2004
OPENING CASH BALANCE	\$689,534,250.63	\$692,841,949.27	\$719,475,900.37	\$704,833,091.65	\$689,534,250.63
RECEIPTS:					
Assessments	531,539,566.83	184,879,926.24	126,590,402.66	219,515,966.78	1,062,525,862.51
Interest Income	1,947,787.62	509,977.24	452,512.50	453,212.79	3,363,490.15
Other Receipts					0.00
Total Receipts	<u>533,487,354.45</u>	<u>185,389,903.48</u>	<u>127,042,915.16</u>	<u>219,969,179.57</u>	<u>1,065,889,352.66</u>
DISBURSEMENTS:					
Program Disbursements:					
Senate/Assembly Discretionary	(1,881,325.11)	(193,635.58)	(1,194,553.97)	(548,168.00)	(3,817,682.66)
Commissioner of Health Discretionary	(6,971,774.07)	(3,023,902.28)	(1,319,608.54)	(1,749,454.42)	(13,064,739.31)
Rural Health Care Initiatives	(3,028,467.05)	(1,637,940.52)	(638,440.20)	(1,134,025.70)	(6,438,873.47)
Health Facilities Restructuring	(20,000,000.00)				(20,000,000.00)
Poison Control		(2,387,817.00)			(2,387,817.00)
ADAP/HIV Uninsured Care Program	(10,000,000.00)				(10,000,000.00)
Cancer Related Services	(1,785,869.71)	(440,605.41)	(132,855.26)	(1,197,070.74)	(3,556,401.12)
Diagnostic and Treatment Centers	(36,409.85)		(10,195,720.05)	(2,710,806.86)	(12,942,936.76)
Supplemental BDC Awards					0.00
Health Information & Health Care Quality	(19,863.80)		(100,919.83)		(120,783.63)
Health Work Force Retraining Program	(540,794.74)	(516,459.87)	(284,555.09)	(385,347.75)	(1,727,157.45)
Minority Partnership in Medical Education Grants	(116,978.68)				(116,978.68)
Voucher Insurance Program					0.00
Specialty Children & Cancer Hospital					0.00
Small Business Health Insurance	(779,683.73)	(92,581.07)	(132,767.39)	(249,575.81)	(1,254,608.00)
Catastrophic Health Care Expense	(824,108.00)		(292,128.00)	(261,392.00)	(1,377,628.00)
Cancer Mapping					0.00
Individual Subsidy Program	(1,169,988.51)	(258,084.57)			(1,428,073.08)
Area Health Education Center					0.00
PEP Distributions	(127,733,142.68)	(39,623,755.48)	(31,385,716.52)	(37,751,773.77)	(236,494,388.45)
Primary Health Care Services	(2,093,689.00)	(214,656.00)	(280,741.00)	(621,615.00)	(3,210,701.00)
Other					0.00
Total Program Disbursements	<u>(176,982,094.93)</u>	<u>(48,389,437.78)</u>	<u>(45,958,005.85)</u>	<u>(46,609,230.05)</u>	<u>(317,938,768.61)</u>
Administrative Expenses	<u>(614,737.82)</u>	<u>(3,502.00)</u>	<u>(372,338.79)</u>		<u>(990,578.61)</u>
Total Disbursements	<u>(177,596,832.75)</u>	<u>(48,392,939.78)</u>	<u>(46,330,344.64)</u>	<u>(46,609,230.05)</u>	<u>(318,929,347.22)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>355,890,521.70</u>	<u>136,996,963.70</u>	<u>80,712,570.52</u>	<u>173,359,949.52</u>	<u>746,960,005.44</u>
OTHER FINANCING SOURCES (USES):					
Transfers from Other Pools:					
Tobacco Control and Insurance Initiatives					0.00
Escrow	175,234.37			4,211,590.00	4,386,824.37
Medicaid Disproportionate Share	0.10				0.10
Health Facility Assessment Fund	71,468.79			23,830.57	95,299.36
Hospital Regional Pool Contribution	9,462.26		61,344.76	7,925.78	78,732.80
Statewide Bad Debt & Charity Care Pool					0.00
SPRCF	1,500.00				1,500.00
SHMO	1,500.00				1,500.00
Transfers From State Funds:					
060-Tobacco Settlement Fund					0.00
068-Indigent Care Fund					0.00
Other					0.00
Total Other Financing Sources	<u>259,165.52</u>	<u>0.00</u>	<u>61,344.76</u>	<u>4,243,346.35</u>	<u>4,563,856.63</u>
Transfers to Other Pools:					
Medicaid Disproportionate Share	(850,076.87)	(492,798.91)	(386,461.59)	(1,564,697.43)	(3,294,034.80)
Tobacco Control & Insurance Initiatives	(182.00)				(182.00)
Public Goods Pool					0.00
Statewide Bad Debt & Charity Care Pool					0.00
Regional Distribution Account					0.00
Escrow	(4,131,210.81)	(690,952.61)	(98,796.65)	(667,457.07)	(5,588,417.14)
Other					0.00
Transfers to State Funds:					
061-HCRA Transfer Fund	(41,000,000.00)				(41,000,000.00)
068-Indigent Care Fund	(257,360,518.90)	(75,179,261.08)	(58,431,465.76)	(287,058,939.01)	(678,030,184.75)
339-AF - Hospital Based Grants		(5,000,000.00)	(7,000,000.00)		(12,000,000.00)
339-AK - Insurance Voucher- Admin					0.00
339-BO - Primary Care Initiatives Monitoring					0.00
339-H3 - Small Business Health Insurance Partnership					0.00
339-H3 - Pilot Health Ins. Program			(1,050,000.00)		(1,050,000.00)
339-K3 - Catastrophic Health Care					0.00
339-LB - Health Care Planning			(450,000.00)		(450,000.00)
339-LD - Rural Health Care Delivery					0.00
339-22 - Emergency Medical Services	(5,000,000.00)			(4,000,000.00)	(9,000,000.00)
339-DN-Provider Collection Monitoring Account	(500,000.00)				(500,000.00)
339-J6-EPIC Program					0.00
339-29 - Child Health Insurance	(43,000,000.00)	(27,000,000.00)	(28,000,000.00)	(50,000,000.00)	(148,000,000.00)
339-LC - Maternal & Child HIV Services	(1,000,000.00)	(2,000,000.00)			(3,000,000.00)
339-LE - Health Care Delivery Improvement					0.00
Other					0.00
Total Other Financing Uses	<u>(352,841,988.58)</u>	<u>(110,363,012.60)</u>	<u>(95,416,724.00)</u>	<u>(343,291,093.51)</u>	<u>(901,912,818.69)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>3,307,698.64</u>	<u>26,633,951.10</u>	<u>(14,642,808.72)</u>	<u>(165,687,797.64)</u>	<u>(150,388,956.62)</u>
CLOSING CASH BALANCE	<u>\$692,841,949.27</u>	<u>\$719,475,900.37</u>	<u>\$704,833,091.65</u>	<u>\$539,145,294.01</u>	<u>\$539,145,294.01</u>

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK - STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2003-2004

	<u>1st Quarter April-June</u>	<u>JULY</u>	<u>AUGUST</u>	<u>SEPTEMBER</u>	<u>2003-2004</u>
OPENING CASH BALANCE	\$8,045,785.41	\$8,251,644.16	\$8,331,727.06	\$5,986,712.85	\$8,045,785.41
RECEIPTS:					
Assessments					0.00
Interest Income	81,964.89	25,559.22	18,598.19	54,515.41	180,637.71
Total Receipts	<u>81,964.89</u>	<u>25,559.22</u>	<u>18,598.19</u>	<u>54,515.41</u>	<u>180,637.71</u>
DISBURSEMENTS:					
Program Disbursements:					
Maintenance of Effort - Phase 3					0.00
Indigent Care(PGP)/Regional and Statewide (BDCCP)	(284,694,927.94)	(77,741,152.38)	(63,686,957.01)	(304,984,292.11)	(731,107,329.44)
Other					0.00
Total Program Disbursements	<u>(284,694,927.94)</u>	<u>(77,741,152.38)</u>	<u>(63,686,957.01)</u>	<u>(304,984,292.11)</u>	<u>(731,107,329.44)</u>
Investment Purchases					0.00
Total Disbursements	<u>(284,694,927.94)</u>	<u>(77,741,152.38)</u>	<u>(63,686,957.01)</u>	<u>(304,984,292.11)</u>	<u>(731,107,329.44)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(284,612,963.05)</u>	<u>(77,715,593.16)</u>	<u>(63,668,358.82)</u>	<u>(304,929,776.70)</u>	<u>(730,926,691.73)</u>
OTHER FINANCING SOURCES (USES):					
Transfers from Other Pools:					
Tobacco Control and Insurance Initiatives	1,018,094.39	140,000.00	8,039.00		1,166,133.39
Public Goods Pool	850,076.87	492,798.91	386,461.59	1,564,697.43	3,294,034.80
Regional Medicaid Disproportionate Share					0.00
Statewide Bad Debt & Charity Care Pool					0.00
Regional Distribution Account					0.00
Regional Escrow Account	1,166,445.10				1,166,445.10
Transfers From State Funds:					
068-Indigent Care Fund	281,784,205.54	77,162,877.15	60,928,844.02	308,071,055.94	727,946,982.65
Other					0.00
Total Other Financing Sources	<u>284,818,821.90</u>	<u>77,795,676.06</u>	<u>61,323,344.61</u>	<u>309,635,753.37</u>	<u>733,573,595.94</u>
Transfers to Other Pools:					
Tobacco Control & Insurance Initiatives					0.00
Public Goods Pool	(0.10)				(0.10)
Health Facility Assessment					0.00
Regional Medicaid Disproportionate Share					0.00
Other					0.00
Transfers to State Funds:					
Other					0.00
Total Other Financing Uses	<u>(0.10)</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>(0.10)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>205,858.75</u>	<u>80,082.90</u>	<u>(2,345,014.21)</u>	<u>4,705,976.67</u>	<u>2,646,904.11</u>
CLOSING CASH BALANCE	<u>\$8,251,644.16</u>	<u>\$8,331,727.06</u>	<u>\$5,986,712.85</u>	<u>\$10,692,689.52</u>	<u>\$10,692,689.52</u>

Source: HCRA - Office of Pool Administration

**STATE OF NEW YORK - STATEMENT OF CASH FLOW - BDCCP and INDIGENT CARE ESCROW
FISCAL YEAR 2003-2004**

	<i>1st Quarter April-June</i>	JULY	AUGUST	SEPTEMBER	2003-2004
OPENING CASH BALANCE	\$45,793,314.80	\$48,416,608.49	\$49,145,328.81	\$49,214,318.35	\$45,793,314.80
RECEIPTS:					
Assessments					0.00
Interest Income	131,220.09	37,767.71	34,747.84	36,832.68	240,568.32
Total Receipts	<u>131,220.09</u>	<u>37,767.71</u>	<u>34,747.84</u>	<u>36,832.68</u>	<u>240,568.32</u>
DISBURSEMENTS:					
Program Disbursements:					
Other					0.00
Total Program Disbursements	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Investment Purchases					0.00
Total Disbursements	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
Excess (Deficiency) of Receipts over Disbursements	<u>131,220.09</u>	<u>37,767.71</u>	<u>34,747.84</u>	<u>36,832.68</u>	<u>240,568.32</u>
OTHER FINANCING SOURCES (USES):					
Transfers from Other Pools:					
Tobacco Control and Insurance Initiatives	11,893.58			76,031.91	87,925.49
Public Goods	4,131,210.81	690,952.61	98,796.65	667,457.07	5,588,417.14
Hospital Regional	2.00				2.00
Other					0.00
Transfers From State Funds:					
Other					0.00
Total Other Financing Sources	<u>4,143,106.39</u>	<u>690,952.61</u>	<u>98,796.65</u>	<u>743,488.98</u>	<u>5,676,344.63</u>
Transfers to Other Pools:					
Tobacco Control & Insurance Initiatives	(309,353.32)		(64,554.95)		(373,908.27)
Public Goods Pool	(175,234.37)			(4,211,590.00)	(4,386,824.37)
Hospital Regional					0.00
Regional Medicaid Disproportionate Share					0.00
Medicaid Disproportionate Share	(1,166,445.10)				(1,166,445.10)
Statewide Bad Debt & Charity Care					0.00
Other					0.00
Transfers to State Funds:					
068-Indigent Care Fund					0.00
Other					0.00
Total Other Financing Uses	<u>(1,651,032.79)</u>	<u>0.00</u>	<u>(64,554.95)</u>	<u>(4,211,590.00)</u>	<u>(5,927,177.74)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>2,623,293.69</u>	<u>728,720.32</u>	<u>68,989.54</u>	<u>(3,431,268.34)</u>	<u>(10,264.79)</u>
CLOSING CASH BALANCE	<u>\$48,416,608.49</u>	<u>\$49,145,328.81</u>	<u>\$49,214,318.35</u>	<u>\$45,783,050.01</u>	<u>\$45,783,050.01</u>

Source: HCRA - Office of Pool Administration